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4 Guideline on quality of transdermal patches

5 Draft

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6
7 This guideline together with the new Guideline on Quality of Oral Modified Release Products replaces
8 the Note for Guidance on Modified Release products: A: Oral dosage Forms B: Transdermal Dosage
9 Forms. Part I (Quality).

10
11 Comments should be provided using this [template](#). The completed comments form should be sent to
qwp@ema.europa.eu

Keywords	<i>Transdermal patch; adhesives, dissolution, skin permeation</i>
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12 Guideline on quality of transdermal patches

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51 **Executive summary**

52 This guideline addresses new marketing authorisations applications (including generic applications) and
53 subsequent variation submissions for transdermal patches for systemic delivery.

54 Guidance is provided on the quality requirements for the description, development, manufacture,
55 characterisation of excipients, control of drug product, packaging and stability of transdermal patches.
56 In particular, *in vitro* performance testing with respect to drug release, adhesion and skin permeation
57 is discussed, together with its relation to clinical and *in vivo* performance.

58 It should be read in conjunction with the Note for Guidance relating to clinical aspects of transdermal
59 patches.

60 Transdermal patches are designed to provide a controlled delivery of the drug substance(s) through
61 the skin, principally by diffusion, resulting in a defined rate and extent of systemic delivery of drug
62 substance.

63 **1. Introduction (background)**

64 An important function of the skin is to protect the body from external environment, and it is normally a
65 very effective barrier to the permeation of drug substances. However, for certain drug substances,
66 depending on their physicochemical properties, passive diffusion is possible to achieve a therapeutic
67 effect. Otherwise, this may be achieved by chemical permeation enhancement, which involves the
68 manipulation of the formulation by either:

69 increasing the thermodynamic activity of the drug substance in formulation (e.g. by supersaturation)
70 chemical enhancement (e.g. solvents can act as a carrier of the active, skin penetration enhancers).

71 Permeation enhancement may also be achieved by physical technologies such as iontophoresis,
72 ultrasound and electroporation.

73 A Transdermal Drug Delivery System (TDDS) or transdermal patch is defined as a flexible, multi-
74 laminated, pharmaceutical preparation of varying size containing one or more drug substances to be
75 applied to the intact skin for systemic absorption. This is normally formulated with pressure-sensitive
76 adhesives that assure the adhesion of the preparation to the skin.

77 Transdermal patches are designed to slowly deliver the drug substance(s) through the intact skin,
78 resulting in a prolonged and adequately constant systemic absorption rate. The rate limiting step for
79 systemic absorption of the drug substance is usually the absorption through the skin. Absorption may
80 also be limited by incorporating or dissolving the drug substance in a (semi solid) reservoir, with a
81 membrane to control the release and the diffusion of the drug substance(s) from the patch. The
82 transdermal patch can also be formulated combining both drug delivery principles as the means of
83 controlling drug delivery to the surface of the skin (see also Definitions).

84 The degree to which formulation and product design may influence drug substance permeation through
85 the skin may be characterized by the *in vitro* release of the drug in a dissolution medium and by the *in*
86 *vitro* permeation through human skin. The results of these two experiments can together inform about
87 the contribution of the patch and the skin in controlling absorption.

88 To ensure the safe and effective use of transdermal patches, the drug substance should be delivered at
89 an adequate rate through the skin and should not irritate or sensitize the skin. The excipients should
90 not have an adverse effect on the skin or exacerbate the adverse effects of the drug substance. Skin

91 enhancers should have a reversible impact on the skin barrier. The solvents used should not interact
92 with the components of the patch system.

93 Transdermal patches usually contain an excess of drug substance than that delivered to the patient
94 during use. This excess is necessary to maintain a clinically effective rate of delivery over time and
95 allow the minimum patch surface area. Because the concentration of the drug substance can be near
96 to its saturation limit, there is a risk of crystallisation on storage with potential adverse effects on the
97 quality and efficacy of the product. Furthermore, the residual drug substance left in the patch after
98 administration can pose a safety risk to the patients, others and the environment. There is also a risk
99 of misuse of discarded transdermal patches e.g. those containing narcotic drugs.

100 It is acknowledged that transdermal patches can differ in drug content and surface area but still deliver
101 the same amount of drug over the same period of time. It is desirable to minimise the amount of
102 residual drug substance in the patch as much as possible.

103 **2. Scope**

104 This guideline considers the general requirements concerning the development and quality of a
105 transdermal patch for all new marketing authorisation applications and subsequent variations. In
106 addition, specific guidance is provided concerning the data requirements to support generic
107 applications.

108 Cutaneous patches (not intended to be systemically absorbed) are out of the scope of this guideline.
109 However, some of the quality aspects of transdermal patches may be relevant and applicable, e.g.
110 Sections 4.2 Pharmaceutical Development, 4.3 Manufacture and 4.5 Drug Product Specifications.

111 Annex 1 provides supplementary information with respect to *in vitro* skin permeation studies.

112 Annex 2 provides supplementary information with respect to *in vivo* skin adhesion studies.

113 **3. Legal basis**

114 This guideline should be read in conjunction with Directive 2001/82/EC, as amended and Directive
115 2001/83/EC, as amended and relevant Pharmacopoeial monographs and Notes for Guidance, including:

- 116 • Ph. Eur. Monograph 1011 Transdermal Patches;
- 117 • Pharmaceutical Development, ICH Q8 (R2), EMEA/CHMP/167068/2004;
- 118 • Manufacture of the Finished Dosage Form, CPMP/QWP/486/95 and Annex: Start of Shelf-Life of the
119 Finished Dosage Form CPMP/QWP/072/96;
- 120 • Process Validation CPMP/QWP/848/96 and Annex II: Process Validation - Non-Standard Processes
121 CPMP/QWP/2054/03;
- 122 • Excipients in the Dossier for Application for Marketing Authorisation of a Medicinal Product
123 CHMP/QWP/396951/06;
- 124 • Inclusion of Antioxidants and Antimicrobial Preservatives in Medicinal Products
125 CPMP/CVMP/QWP/115/95;
- 126 • Q 6A Specifications: Test Procedures and Acceptance Criteria for New Drug Substances and New
127 Drug Products: Chemical Substances CPMP/ICH/ 367/96-ICH Q6A;
- 128 • Q 2(R1) Validation of Analytical Procedures: Text and Methodology, CPMP/ICH/381/95 - ICH Q2
129 (R1);

- 130 • Stability Testing of New Drug Substances and Drug Products (ICH Q1A (R2)), CPMP/ICH/2736/99-
131 ICH Q1A (R2);
- 132 • Stability Testing: Requirements for New Dosage Forms (ICH Q1C), CPMP/ICH/280/95-ICH Q1C;
- 133 • Stability Testing of Existing Active Ingredients and Related Finished Products, CPMP/QWP/122/02
134 Rev. 1 corr.;
- 135 • Guideline on the details of the various categories of variations to the terms of marketing
136 authorisations for medicinal products for human use and veterinary medicinal products
137 Communication from the Commission (2010/C 17/01).

138 **4. New applications**

139 The data requirements discussed below are relevant to new applications for the first use of the drug
140 substance for systemic delivery using a transdermal patch and new generic applications. Additional
141 requirements for generic applications, in lieu of full clinical data, are given in Section 5 Requirements
142 to support a generic application.

143 **4.1. Description and composition of the drug product**

144 The description should be in sufficient detail to fully characterise the drug product (all strengths) and
145 inform the relevant quality sections of the SmPC, and as appropriate, the Patient Information Leaflet
146 and label.

147 The product description should include the following:

- 148 • Strength, as the mean dose delivered per unit time, normally mass delivered *in vivo* per hour;
- 149 • The content and location of drug substance in the drug product;
- 150 • *In vivo* release rate or strength per patch area (i.e. mass delivered *in vivo*/unit area/unit time);
- 151 • Drug substance utilisation (% of total drug substance absorbed per patient administration);
- 152 • Patch area activity (drug substance utilisation/patch area);
- 153 • Residual (mass of drug substance remaining in the drug product after completion of
154 administration);
- 155 • Instructions for use, including the use of any overlay;
- 156 • Period of use.

157 Unambiguous tabular formats, any necessary schematics (preferably supported by photographs)
158 should be provided to describe the following:

- 159 • Patch type, with respect to the control of drug release (e.g. reservoir, drug in adhesive);
- 160 • The form and function of each of the product laminates;
- 161 • The composition of each laminate, including the function and the grade of the excipient (the grade
162 is normally considered to be a critical quality attribute for transdermal delivery). Backing layers
163 and release liners should also be described;
- 164 • Overlay description (if applicable);
- 165 • Patch size, area and thickness for each strength;

166 • Appearance, including shape, colour and markings.
167 Drug product design aspects relating to patient administration and use throughout the period of use
168 should also be described.

169 Transdermal patch design should avoid cutting – a smaller transdermal patch should be developed
170 instead.

171 However, in exceptional cases for good patient safety and efficacy reasons, then this should be
172 described and supportive data given in 3.2.P.2 as well as in the clinical dossier.

173 Excipients not described in a Pharmacopoeia should also be brand named.

174 The primary and secondary packaging should be described and, if necessary, any other materials or
175 components required for reasons of stability.

176 The description is the achieved quality product profile. Some elements such as product strength, drug
177 substance utilisation, residual and suitability of administration and use cannot be directly or indirectly
178 (using surrogate markers) determined by quality tests. The determination and/or assessment of these
179 quality elements can only be achieved by appropriate valid clinical studies. The description should
180 therefore include cross references to other sections of the dossier, which describe their determination
181 and/or assessment, together with evidence of the validity of the clinical methods.

182 **4.2. Pharmaceutical development**

183 The pharmaceutical development component of the dossier should form a sound basis of the suitability
184 of the transdermal patch for its intended use, provide a clear narrative of product development and
185 include all relevant data.

186 **4.2.1. Therapeutic objectives and principle of the delivery system**

187 A summary of the therapeutic objectives and rationale for the choice of the transdermal route for the
188 drug substance, in terms of patient benefit / risk, should be provided.

189 Factors to be considered should include therapeutic use, local and systemic side effects associated with
190 other routes, pharmacodynamics, pharmacokinetic properties of the drug substance (e.g. $t_{1/2}$,
191 therapeutic index, first pass-effect).

192 Local tolerance, the means of administration (including occlusion, if relevant), administration site,
193 posology, patient compliance in medication taking and the proportionality of different strengths should
194 also be discussed.

195 Where appropriate, cross references to relevant clinical sections of the dossier should also be given.

196 The achievement of the therapeutic objectives by the design and function of the transdermal patch
197 should be fully discussed and correlate with the Description and Composition of the Drug Product e.g.
198 the identification and description of the type of transdermal patch (e.g. reservoir, drug in adhesive)
199 and how drug release over the intended time of application is achieved.

200 **4.2.2. Drug substance**

201 Drug substance physicochemical and biological properties that determine the capability and / or
202 influence the rate and extent of transdermal delivery and the manufacturability and stability of the
203 drug product should be identified and discussed. Such properties include molecular weight, partition

204 coefficient, melting point, pKa, solubility and pH effects, as well as solid state characteristics such as
205 particle size and polymorphism.

206 The solution state and solubility of the drug substance in the drug product should be determined and
207 discussed. When possible the thermodynamic activity of the drug substance should be determined.

208 The risks of precipitation / particle growth / change in crystal habit / changes in thermodynamic
209 activity arising from changes in temperature and on storage should be assessed and appropriate tests
210 included in the stability studies.

211 These properties could be inter-related and might need to be considered in combination.

212 **4.2.3. Excipients**

213 The choice of adhesives, excipients, laminates, and rate control membrane in the drug product, their
214 concentration, and their characteristics that can influence the drug product performance should be
215 discussed relative to their respective functions.

216 Detailed information on those materials which might have an influence on the adhesive properties and
217 drug substance transdermal permeation and bioavailability (e.g. solubiliser, penetration enhancer or
218 retarder) should be provided, including their ability to provide their intended functionality, and to
219 perform throughout the intended drug product shelf life (see also the Note for Guidance "Excipients in
220 the Dossier for Application for Marketing Authorisation of a Medicinal Product" CHMP/QWP/396951/06
221 Annex III).

222 For reservoir type transdermal patch, the suitability and performance of the rate controlling membrane
223 should be fully discussed.

224 The relevant characteristics of the laminates, such as appearance, flexibility, tensile strength, porosity,
225 occlusion and chemical inertness, and the other excipients should be discussed. This information can
226 be used, as appropriate, to justify the choice and quality attributes of the excipients, their specification
227 and safety (3.2.P.4.4 and 3.2.P4.6) and to support the drug product specification (3.2.P.5.6).

228 Excipient mixes, e.g. adhesive solutions or suspensions should be identified and fully described.

229 Processing aids, including temporary laminates, and solvents employed during manufacture, which are
230 subsequently removed, should be identified and described.

231 **4.2.4. Formulation development**

232 A summary describing the development of the drug product should be provided. This should clearly
233 and critically describe the means by which each of the defined quality product elements, given in
234 3.2.P.1 (see Section 4.1 above), were determined and achieved.

235 The development should be described with respect to critical quality attributes such as *in vitro* drug
236 release, *in vitro* skin permeation, adhesion/cohesion and viscoelastic properties and those factors
237 affecting ease of administration and duration of use. Satisfactory evidence of the suitability of the
238 methods employed should be provided (see also Section 4.2.6 *In Vitro* and *In Vivo* Drug Product
239 Performance and Annex 1 *In Vitro* Permeation Studies)

240 Satisfactory evidence of compliance with Ph Eur requirements for transdermal patches should be
241 demonstrated.

242 The relationship between the product quality profile, critical quality attributes and the Finished Product
243 Specification should be fully discussed. Product development should include appropriate feasibility

244 studies taking into account physicochemical and solubility properties of the drug substance in the
245 formulation, stability, drug release and rate and extent of drug permeation.

246 When the formulation composition is decided, gradual up-scaling of the manufacturing process will
247 start and the critical process parameters identified and controlled.

248 During this period, it is reasonable to expect that necessary adjustments will be made to reach and
249 optimise full-scale production. These adjustments might be changes in composition, manufacturing
250 processes, equipment or manufacturing site.

251 In most cases, these adjustments may have an effect on *in vitro* release / dissolution, *in vitro* skin
252 permeation and adhesion properties of the drug product and therefore should be assessed.

253 The clinical trial formulation and the batches used in the pharmacokinetic studies needs to be
254 described in detail. Any differences between clinical formulations and the formulation to be marketed
255 should be justified. Results from comparative *in vitro* studies (e.g. drug release / dissolution, skin
256 permeation, adhesion) or comparative *in vivo* studies (e.g., bioequivalence) should be provided.

257 Information on placebo patches may be helpful in developing product understanding with respect to its
258 adhesive properties and may support minor changes in adhesive composition.

259 In terms of quality in relation to efficacy:

260 The drug substance content, formulation, patch size and thickness should be justified by a sound
261 rationale and *in vitro* quality testing and clinical evidence, described by a clear narrative of product
262 development.

263 In terms of quality in relation to safety:

264 Those quality elements that may influence the safety of the drug product such as material
265 specifications, qualification, identification and control of residual solvents and impurities should be
266 discussed. The risks of dose dumping, leakage from reservoir, and residuals and product residues
267 should be discussed. Cross reference to relevant non-clinical or clinical data should be given.

268 In terms of quality with respect to the administration and use:

269 The adhesive and viscoelastic properties of the drug product should be fully discussed and
270 characterised, by both *in vitro* and *in vivo* testing.

271 The adhesion / cohesion balance should be considered, with respect to minimisation of cold flow
272 (formation of a "dark ring" around the transdermal patch in use), satisfactory elasticity and the
273 avoidance of detachment, or edge lifting throughout use and of skin damage following removal.
274 Residue formation following release liner removal and following transdermal patch removal should also
275 be addressed.

276 The design elements of the drug product to ensure satisfactory practical administration should be
277 discussed.

278 Cross reference to the verification of the suitability of the adhesive properties of the drug product and
279 the product design by clinical studies should be given.

280 **4.2.5. Stability programme development**

281 The proposed stability programme (3.2.P.8) should take into account the product understanding
282 gained during pharmaceutical development. This should include performance tests with respect to *in*
283 *vitro* drug release, skin permeation and adhesion.

284 The risk factors to product stability should also be fully discussed and a satisfactory drug product
285 stability protocol developed.

286 The stability programme should ensure that the drug product is subject to appropriate stressed and
287 real time storage conditions (including consideration of temperature cycling), representative of the
288 proposed marketing of the product.

289 The requirements for special storage warnings e.g. do not refrigerate, should be addressed.

290 With respect to physical stability, factors should include formulation changes arising from drug
291 substance and / or excipient evaporation or migration, drug substance crystallisation or other change
292 in its thermodynamic activity, changes in excipient habit. Changes in adhesion properties on under
293 different storage conditions should be assessed.

294 The stability of the intermediate laminate rolls should also be subject to a stability programme.

295 **4.2.6. *In vitro* and *In vivo* drug product performance**

296 **4.2.6.1. *In vitro* drug release / dissolution**

297 An *in vitro* release test evaluates the rate and extent of release of a drug substance from a
298 transdermal patch. Although the test may not model *in vivo* performance, it is a critical quality
299 attribute to be specified in the release and shelf life finished product specification.

300 The methods described in Ph Eur monograph for Transdermal Patches should be followed i.e. a
301 dissolution test or a release test using a membrane. If appropriate, alternative methods, with improved
302 discriminative power compared to the compendial methods, may be employed.

303 The test itself and / or sample preparation should not damage or otherwise alter the performance of
304 the transdermal patch. Any special requirements for sample preparation should be discussed. It may
305 be possible to test only a defined sample area of patch which is applicable to all strengths, if it is
306 shown that sample preparation has is no impact on drug release / dissolution.

307 The *in vitro* drug release / dissolution profile of the drug substance from the drug product should be
308 characterised and established from clinical batches for which satisfactory efficacy has been
309 demonstrated and used to support the *in vitro* drug release / dissolution limits in the drug product
310 specification (3.2.P.5.6), and so provide an assurance that future production batches are of similar
311 quality to the pivotal clinical batches.

312 Satisfactory evidence of discrimination should be provided, with respect to:

- 313 • critical manufacturing variables;
- 314 • excipient and drug substance critical quality attributes;
- 315 • the stability indicating power of the method.

316 A summary of the development of the dissolution test should be provided, where the transdermal
317 patch is tested under various conditions (media, pH, apparatus, agitation, etc.). Testing conditions
318 providing the most suitable discrimination should be chosen. In case of media with a low buffering
319 capacity, the pH should be controlled during the dissolution test to avoid influence of dissolved active
320 ingredient and/or excipients on the dissolution conditions during the test period.

321 The test period should be justified, and be sufficient to achieve complete drug release.

322 For the release / dissolution profile, the number of sampling time points should be sufficient to obtain
323 meaningful profiles, with more frequent sampling during the period of greatest change.

324 More than 3 sampling times are recommended to give a sharper and more differentiated profile.

325 For most matrix type patches earlier sampling times (between 0 to 1 hour) were found to be more
326 discriminative, i.e. quality indicating than later time points, when already up to 50 % of drug
327 substance is released from the patch. Changes in formulation or manufacturing parameters are more
328 likely to be detected within the first hour of *in vitro* dissolution testing if the specification ranges are
329 set in accordance to the requirements listed below:

330 For the dissolution profiles, the value to be reported at each time point should be the quantity of drug
331 substance released in mass units (mg or µg) per surface area. The quantity of drug substance may
332 also be reported as a % of the total.

333 In addition, the first derivative of this profile should also be reported, to allow assessment of the
334 change in the rate of release over time i.e. the value to be reported at each time point should be the
335 quantity of drug substance released per surface area, per time.

336 For transdermal patch products showing an *in vitro* zero order release (e.g. which may be seen in
337 those patches with a rate controlling membrane) a specification of the dissolution rate at a given time
338 point may be more appropriate than the cumulative amount dissolved at a given time point.

339 The number of samples used to characterise the dissolution profiles should be a minimum of 12 units
340 per batch (for routine release, a minimum of 6 units would be accepted).

341 Dissolution profile data should be provided in tabular and graphical formats, with a measure of
342 variability between units e.g. 95 % confidence interval, range, or other justified statistical approach.

343 The dissolution profiles should be discussed taking into account the type of transdermal patch.

344 In the case where the amount of drug substance released per surface area is specified, the permitted
345 variability in release at any given time point should not exceed a total numerical difference of ± 10%
346 of the cumulative amount of drug substance in mass units (mg or µg), unless a wider range is
347 supported by bioequivalence or other clinical studies. e.g. if the expected amount released at a given
348 time is 100µg, then the permitted limits would be 90-110µg.

349 If reporting limits as a % of total, and the total amount was 500µg, then in the above case, the limits
350 would be 18% - 22%.

351 In the case where the quantity of drug substance released per surface area and time is specified, the
352 permitted variability in release at any given time point should not exceed a total numerical difference
353 of ± 10% of the mean set value, unless justified by bioequivalence or other clinical data.

354 Release and shelf life limits should be the same, unless justified by reference to clinical batches.

355 **4.2.6.2. *In vitro* skin permeation studies**

356 *In vitro* permeation studies are not expected to correlate to *in vivo* release, but may be considered a
357 valuable measure of product quality, reflecting the thermodynamic activity of the drug substance in the
358 product.

359 In-vitro skin permeation studies should be principally used to direct and assess development and
360 optimization of the drug product formulation and are not currently suitable for routine batch control
361 testing. However, permeation studies could be included in the stability study protocol, albeit at a
362 reduced frequency, to provide supportive stability data of product performance on storage.

363 *In vitro* skin permeation should be consistent throughout the shelf life of the drug product.

364 Establishing the characteristic permeation profile of the drug product, using a discriminative *in vitro*
365 skin permeation method, can be of value in change control during life cycle management (see Section
366 6 Variation Applications).

367 Advice on the conduct of and requirements for *in vitro* skin permeation studies is given in Annex 1.

368 **4.2.6.3. Adhesive properties**

369 **4.2.6.3.1. *In vitro* adhesion tests**

370 *In vitro* adhesive tests should characterise the adhesion/cohesive and viscoelastic properties of the
371 transdermal patch. Although these tests may not model *in vivo* adhesion, they are critical quality
372 attributes to be specified in the release and shelf life finished product specification.

373 Tests should address the removal of the release liner, the adhesion of the drug product to a suitable
374 surface e.g. tack, and the removal of adhered drug product from a suitable surface e.g. peel adhesion
375 and shear adhesion.

376 Residue formation following release liner removal and transdermal patch removal should be addressed
377 and the anchorage / detachment of the formulation to the backing foil should also be addressed.

378 The range and sufficiency of the *in vitro* tests used to characterise the adhesive properties of the drug
379 product should be justified. A summary of their development should be provided.

380 The suitability and discriminatory power of the test methods employed need to be proven during the
381 product development, in particular, with respect to:

- 382 • critical manufacturing variables;
- 383 • excipient and/or drug substance critical quality attributes;
- 384 • stability indicating power of the method.

385 The *in vitro* adhesive properties of the drug product should thus be characterised, with the limits for
386 the specified test established and qualified from clinical batches for which satisfactory *in vivo* adhesive
387 properties under product use have been demonstrated and used to support their justification of the
388 drug product specification (3.2.P.5.6). See also Section 4.2.9 Administration.

389 Release and shelf life limits should be the same, unless justified by reference to clinical batches.

390 **4.2.6.3.2. *In vivo* adhesion studies**

391 Studies to investigate and establish the satisfactory *in vivo* adhesive performance of the drug product
392 should be undertaken.

393 A feasibility or pilot study should be considered to establish that the study methods and assessments
394 can be carried out satisfactorily.

395 The assessment should be undertaken throughout the proposed period of use. This is because
396 satisfactory adhesion performance of the clinical batches used would be a requirement for any clinical
397 conclusions to be valid and to achieve a representative number of subjects (both volunteers and
398 patients).

399 The clinical batches should be representative of the product to be marketed (see Section 4.2.6.5
400 Product Batches used in Clinical Studies).

401 Advice on the conduct of such studies is given in Annex 2.

402 **4.2.6.4. Pharmacokinetic studies**

403 A summary of all the bioavailability and pharmacokinetic studies should be given.

404 The data should include information on pharmacokinetics, i.e., AUC_{0-t}(last), AUC_{0-∞}, C_{max}, and
405 other relevant parameters.

406 Cross references to details of the bioanalytical methods and their validation should be provided.

407 The pivotal studies used to determine drug product strength, dose proportionality between strengths
408 (if necessary) and the residual drug substance content should be clearly identified.

409 Full details of the determination of drug product strength, dose proportionality and drug residual
410 should be provided and linked to the data in clinical dossier.

411 The clinical batches should be representative of the product to be marketed (see Section 4.2.6.5
412 Product Batches used in Clinical Studies).

413 **4.2.6.5. Product batches used in clinical studies**

414 Data should be provided to show that the batches used in all clinical studies are representative of the
415 product to be marketed (including site, scale and date of manufacture and certificate of analysis).

416 Studies should be performed with batches representative of the product to be marketed manufactured
417 using industrial scale equipment and conditions, e.g., full scale manufacture for the production of the
418 laminate rolls and for roll conversion to transdermal patches, at least 10% of full production scale and,
419 unless pivotal clinical studies have been performed with batches of smaller size. In this case,
420 bioavailability studies performed with batches of a smaller scale may be sufficient if these batches have
421 been produced in a manner representative of the full scale manufacturing process.

422 **4.2.7. Manufacturing process development**

423 The steps in the process should be identified and their purpose described. Hold times should be stated
424 and validated including any holding times for coating solutions.

425 A risk assessment should be undertaken of the manufacturing process and the critical process
426 parameters identified by the extent to which their variation can have impact on the quality of the drug
427 product.

428 The selection and optimisation of the manufacturing process described in 3.2.P.3.3, in particular its
429 critical aspects, should be explained.

430 The following non exhaustive list should be discussed:

- 431 • The preparation and homogeneity of the bulk drug containing and if applicable the bulk non-drug
432 containing adhesive masses;
- 433 • The coating process, including those parameters that control the layer thickness;
- 434 • Drying, curing and the removal of residual solvents;
- 435 • Laminations steps;
- 436 • The storage and handling of intermediate rolls;
- 437 • Roll conversion to transdermal patches;
- 438 • Primary packing.

439 The proven acceptable ranges of the process parameters should be described and justified.
440 Differences between the manufacturing process(es) used to produce pivotal clinical batches and the
441 process described in 3.2.P.3.3 should be avoided unless justified by data showing that there is no
442 influence in the product performance and critical quality attributes (see also Section 4.3 and Section
443 4.2.6.4).

444 **4.2.8. Container closure system**

445 The suitability of the container closure system (described in 3.2.P.7) for should be discussed and
446 justified. This should include the choice of materials, protection from moisture and light, drug product
447 compatibility and safety should be discussed.

448 The primary package should normally contain only a single transdermal patch.

449 The backing layer should not be considered a part of the container closure system.

450 Appropriate tests should be included in the stability study protocol to ensure that the suitability of the
451 container closure system is satisfactorily assessed throughout shelf-life.

452 For certain classes of drugs presenting a serious risk of harm to children, e.g., controlled drugs, it will
453 be necessary to provide evidence of container closure child resistance according to EN
454 14375:2003/AC:2006 (Child-resistant non-reclosable packaging for pharmaceutical products -
455 Requirements and testing).

456 The suitability of the packaging for intermediates, bulk storage, and transportation (shipping) should
457 also be discussed.

458 **4.2.9. Administration**

459 The SmPC and product information should fully address the correct administration of the transdermal
460 patch and include any necessary warnings for the safe use of the drug product. Consideration should
461 be given to the safety of medical personnel and patients after the use of the product, especially for
462 controlled drugs (e.g. opioids) should be discussed.

463 The Development Pharmaceuticals package should include the data to support this information or else
464 include cross reference to other parts of the dossier.

465 The suitability of the transdermal patch in use should be fully discussed. The following should be
466 considered:

- 467 • The identification, markings, appearance and visibility of the transdermal patch;
- 468 • Site of administration, and change in site per dose;
- 469 • The necessity to avoid damaged skin;
- 470 • The requirements for skin pre-treatment;
- 471 • The administration and securing the transdermal patch;
- 472 • Effect of exposure to environmental extremes of heat and cold;
- 473 • Effect of normal human behaviour such as washing, showers, sleeping, use of sun screens and
474 moisturisers;
- 475 • Action to take in the event of adhesion failure, patch displacement or detachment, cold flow;

- 476 • Transfer to others;
- 477 • Any necessary restrictions e.g. metallised backing and Magnetic Resonance Imaging, avoidance of
- 478 occlusion;
- 479 • The practical suitability of any special storage conditions;
- 480 • Avoiding appeal to and inadvertent use by children;
- 481 • Avoidance of cutting of the transdermal patches;
- 482 • Special precautions for disposal of a used transdermal patch.

483 **4.3. Manufacture**

484 Module 3.2.P.3.3 and 3.2.P.3.4 should be sufficiently detailed and include both critical and non-critical
485 process parameters and justified by reference to the manufacturing process development undertaken
486 (see also Section 4.2.7 Manufacturing Process Development).

487 Hold times and storage conditions of intermediate materials should be stated and justified, supported
488 by appropriate stability and other relevant data.

489 Transdermal patches are considered complex dosage forms manufactured by non-standard
490 manufacturing processes. The scale of manufacture should be supported by manufacturing batch data
491 at the proposed production scale.

492 In particular, the control of homogeneity and the thickness of the drug release and other layers, if
493 present, together with the removal of residual solvents should be fully validated.

494 **4.4. Control of excipients, laminates and liners**

495 If the material(s) is new or has not been previously authorised or for transdermal use, then full quality
496 details should be provided according to the drug substance format.

497 Critical quality attributes of the materials should be controlled in their specifications and their limits
498 fully justified. The safety of the materials should be addressed, which should include consideration of
499 leachables, solvents and monomers. The safety of these materials may be supported by suppliers'
500 certificates of compliance to relevant EU Directives, if applicable e.g. the Plastics Directive.

501 For adhesive materials, the molecular weight, viscoelastic and adhesion /cohesion properties should be
502 characterised and satisfactorily controlled.

503 For adhesive mixes, the composition should be provided. The quality standard of each component
504 should be discussed and justified.

505 **4.5. Drug product specifications**

506 The scope of the specification should comply with Pharmacopoeial and relevant ICH guideline
507 requirements, and should include appropriate performance tests with respect to *in vitro* release /
508 dissolution and adhesion (see Section 4.2.6.1 *In vitro* drug release / dissolution and Section 4.2.6.3.1
509 Adhesive Properties *in vitro* tests). The appearance of the transdermal patch should also be fully
510 specified.

511 The limits should be in line with representative batch and stability data, unless suitably qualified by
512 non clinical, clinical or other data.

513 Limits for performance tests should be justified by reference to clinical batches for which satisfactory
514 efficacy and safety has been demonstrated. The limits should be the same at release and shelf life,
515 unless justified and qualified by clinical data.

516 In general, at release a transdermal patch should show no signs of crystallization.

517 The occurrence of crystals throughout in a transdermal patch is unwanted but sometimes unavoidable
518 since the drug in adhesive or reservoir is incorporated close to or even at its saturation limit.

519 Crystal formation is a visible quality deficiency which may not have an influence on the *in vivo*
520 performance of the patch.

521 Any shelf life specification for the presence of crystals in the drug product would need to be fully
522 justified by relevant *in vitro* drug release / dissolution and permeation data, and as necessary clinical
523 studies.

524 For better quantification, microscopic and photometric methods are preferred rather than a simple
525 visual count.

526 Since residual solvents may affect adhesion and enhancement, it may be necessary to apply stricter
527 limits than those in ICH Q3C. Reference to the batch data of clinical batches for which satisfactory
528 efficacy has been demonstrated should also be made.

529 With respect to other impurities i.e. degradation products of the drug substance or reaction products of
530 the drug substance with an excipient and/or immediate container closure system, the specified limits
531 should comply with ICH Q3B, Impurities in New Drug Products, and qualified by reference to the
532 maximum daily systemic dose of the drug substance, the relative skin penetration of the impurities to
533 that of the drug substance, and clinical skin irritation safety studies.

534 **4.6. Control strategy**

535 Other regulatory guidance (including ICH Q8, Q9 and Q10) on the establishment and justification of a
536 control strategy for the drug product is given in other relevant guidelines. Particular attention should
537 however be paid to the *in vitro* drug release / dissolution, *in vitro* skin permeation and skin adhesion of
538 transdermal patches.

539 Pharmaceutical development should establish the links between the pharmacokinetic drug product
540 properties and clinical efficacy (including *in vivo* skin adhesion) to *in vitro* dissolution rate, *in vitro* skin
541 permeation and *in vitro* adhesion studies; if possible.

542 Since drug release rate and skin adhesion may be susceptible to scale-up effects, it is particularly
543 important that it is verified at the commercial scale.

544 **5. Requirements to support a generic application**

545 **5.1. General remarks**

546 The requirements to be considered for the development of an application for a generic transdermal
547 patch are not significantly different from the development of the original reference product transdermal
548 patch, and the data requirements as described for New Applications should be met, supplemented by
549 appropriate comparative quality and clinical data with respect to the reference product.

550 A comparable transdermal patch design with respect to the following should be considered:

- 551 • Patch type, with respect to the control of drug release (e.g. reservoir, drug in adhesive);

- 552 • Overlay (if applicable);
- 553 • Patch size, area and thickness for each strength.

554 **5.2. Development pharmaceuticals**

555 The data requirements are as described under New Applications.

556 The studies undertaken during pharmaceutical development to optimize the *in vivo* release rate (mass
557 delivered *in vivo*/unit area/unit time), drug substance utilisation and residual should be fully described.

558 These elements have an important influence on the medication compliance (patient friendly to allow
559 easy and correct use) as well as safety, including environmental safety.

560 Adhesion properties, skin tolerance, *in vitro* release and skin permeation, as well as patch size and
561 ease of use should also be addressed and discussed in relation to the reference product.

562 Given that there is little or no IVIVC between quality attributes and clinical efficacy and safety, quality
563 testing parameters need to be established based on the quality characteristics shown by the
564 satisfactory clinical batches, these should also be representative of the product to be marketed.

565 Of special interest are those quality related issues that might directly or indirectly indicate the *in vivo*
566 release characteristics of a transdermal patch e.g. *in vitro* drug release / dissolution, adhesion
567 properties, amount of enhancer.

568 Generic patches should have preferably either the same or a higher patch area activity compared to
569 the reference product. However, if justified that the benefit / risk has otherwise improved e.g. with
570 respect to skin tolerability, adhesion properties, potential crystallisation, cold flow a larger patch can be
571 accepted Nevertheless, patch area activity comparison to the reference product should be a crucial aim
572 of the pharmaceutical development.

573 With respect to the residual, it is acknowledged that an overload of drug substance in some
574 formulations may be unavoidable to ensure a sufficient thermodynamic activity. In the case of generic
575 or hybrid applications, the amount of residual drug should not exceed that of the reference product,
576 unless scientifically justified.

577 **5.3. Comparative quality and clinical data requirements**

578 **5.3.1. Quality**

579 With respect to drug product quality, the following elements (see Section 4.1 Description and
580 Composition of the Drug Product) should be compared:

- 581 • Strength, as the mean dose delivered per unit time, normally mass delivered *in vivo* per hour;
- 582 • The content and location of drug substance in the drug product;
- 583 • *In vivo* release rate or strength per patch area (i.e. mass delivered *in vivo*/unit area/unit time);
- 584 • Drug substance utilisation (% of total drug substance absorbed per patient administration);
- 585 • Patch area activity (drug substance utilisation/patch area);
- 586 • Residual (mass of drug substance remaining in the drug product after completion of
587 administration);
- 588 • Instructions for use, including the use of any overlay;

589 • Period of use.

590 With respect to *in vitro* performance:

591 Comparative drug release / dissolution, *in vitro* skin permeation and adhesion / cohesive and
592 viscoelastic properties should be investigated and the similarities in performance between the generic
593 and reference products should be discussed, supported by appropriate data.

594 For a generic application, the product strength must be the same as the reference product. The other
595 quality elements, given above, should also be the same or similar, unless fully justified.

596 **5.3.2. Clinical**

597 To support a generic application, bioequivalence with the reference product should be demonstrated
598 (see clinical guideline) and also non-inferiority with respect to *in vivo* skin adhesion (see Annex II).

599 Satisfactory clinical safety and local tolerance of the generic product should also be demonstrated.

600 **6. Variations applications**

601 The manufacturing process for transdermal patches is normally considered complex, in respect to
602 current variation guidance.

603 The following changes are considered to have a significant impact on the safety, quality or efficacy of
604 the drug product:

605 • Change in the physicochemical state and / or thermodynamic activity of the drug substance;

606 • Change in the qualitative and/or quantitative composition of excipients.

607 • Change in the manufacturing process:

608 - Change in a single Critical Process Parameter;

609 - Changes in a number of non-critical process parameters.

610 • Any other change that affects the *in vitro* dissolution release, *in vitro* permeation or *in vitro*
611 adhesion characteristics of the drug product.

612 In these cases, the change should be supported by appropriate and representative batch data of the
613 original and proposed change, of all critical quality attributes, including adhesion properties, *in vitro*
614 drug release/dissolution and *in vitro* permeation performance.

615 In addition, bioequivalence and *in vivo* skin adhesion equivalence studies should also be required,
616 unless extensively justified.

617 With respect to a change in adhesive, the respective characteristics should be compared to the whole
618 set of information available for the former formulation, e.g., properties of the adhesive excipient and
619 properties of the laminate with and without drug substance.

620

621 Definitions

622 Cold flow:

623 The viscoelastic properties of a pressure sensitive adhesive, used in transdermal patches, are a
624 balance between adhesive properties that allows good adhesion to the skin and resistance to peel or
625 detachment and cohesive properties that are necessary to avoid creep or cold flow of the adhesive
626 beyond the patch boundaries.

627 In use, the appearance of adhesive surrounding a transdermal patch due to cold flow is readily
628 apparent as a the formation of a dark ring about the transdermal patch, patch movement or
629 displacement, or patch wrinkling during use.

630 Cutaneous patch:

631 Flexible single-dose preparation intended to be applied to the unbroken skin to obtain a local effect by
632 penetration of the active substance(s) into the skin.

633 Dosage strength:

634 Amount of drug substance released *in vivo* per time unit (preferably per hour)

635 Patch area activity:

636 Expressed in %/cm²; It is a measure of the formulation's intrinsic capability to release drug substance
637 from the patch *in vivo* and as such a surrogate measurement of thermodynamic activity.

638 Indirect tool to determine appropriateness of formulation development for a generic transdermal patch
639 by putting two major product development parameters into relation: patch area and overall amount of
640 drug substance necessary to achieve bioequivalence to the originator's product.

641 Example: transdermal patch dosage strength 25 µg/h, application time 72h, patch size 15cm², overall
642 amount of drug substance incorporated 4.8 mg:

643 $72 \times 25\mu\text{g} = 1.8 \text{ mg}$;

644 1.8 mg corresponds to 37.5 % release related to 4.8 mg overall amount in the patch;

645 $37.5\% / 15 \text{ cm}^2 = 2.5\%/\text{cm}^2$ patch area activity.

646 Peel adhesion:

647 The force required to peel away a patch from a surface.

648 Tack:

649 The property that enables an adhesive to form a bond with the surface of another material upon brief
650 contact and under light pressure.

651 Shear adhesion:

652 The resistance of the matrix to flow (creep resistance or shear adhesion). Indication of the cohesion of
653 a matrix.

654 Transdermal patch:

655 Flexible single-dose preparation intended to be applied to the unbroken skin to obtain a systemic
656 delivery over an extended period of time. Transdermal patches consist of a backing sheet supporting a

657 reservoir or a matrix containing the drug substance(s) and on the top a pressure-sensitive adhesive,
658 which assures the adhesion of the preparation to the skin.

659 The backing sheet is impermeable to the drug substance(s) and normally impermeable to water.

660 In reservoir systems, the drug substance may be dissolved or dispersed in a semi-solid basis or in a
661 solid polymer matrix, which is separated from the skin by a rate-controlling membrane.

662 The pressure-sensitive adhesive may, in this case, be applied to some or all parts of the membrane, or
663 only around the border of the membrane and the backing sheet.

664 Matrix systems contain the drug substance in a solid or semi-solid matrix, the properties of which
665 control the diffusion pattern to the skin. The matrix system may also be a solution or dispersion of the
666 drug substance in the pressure-sensitive adhesive.

667 The releasing surface of the patch is covered by a protective liner to be removed before applying the
668 patch to the skin.

669

670 **References**

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681 Annex 1

682 *In vitro* permeation studies

683 1. Introduction

684 Percutaneous/dermal absorption describes the passage of compounds across the skin:

- 685 • penetration which is the entry of a substance into a particular layer or structure such as the
686 entrance of a compound into the stratum corneum;
- 687 • permeation which is the penetration through one layer into another, that is both functionally and
688 structurally different from the first layer.

689 *In vitro* permeation studies are often used throughout pharmaceutical development to evaluate the
690 permeation of a drug substance from a transdermal patch. A major advantage of *in vitro* studies is the
691 possibility for controlling the conditions of the experiment and therefore changes in permeation should
692 only arise from changes in the transdermal patch and/or the membrane used.

693 *In vitro* permeation studies are not expected to correlate with *in vivo* release, but the characterisation
694 of the permeation profile is considered a valuable measure of product quality and performance and
695 may reflect the thermodynamic activity of the drug substance in the product.

696 Establishing the characteristic permeation profile of the drug product, using a discriminative *in vitro*
697 skin permeation method, can be of value in change control during life cycle management.

698 For the comparison of products, the need to perform bioequivalence studies may, in certain cases,
699 potentially be waived. However, given that the product formulation may have a great impact on
700 efficacy and modify skin permeation, the products to be compared should have the same or similar
701 qualitative and quantitative excipient composition.

702 In line with the requirements for *in vitro* drug release / dissolution methods, satisfactory evidence of
703 discrimination and a summary of the development of the permeation test should be provided.

704 The chosen *in vitro* skin model should be as similar as possible to the in-use conditions, to better
705 reflect skin penetration *in vivo* in man.

706 It is recommended to use fresh human skin from breast or abdomen. However, if not possible, non-
707 viable skin or skin from other species (such as pig, rodent, guinea pig) can be used. In some cases,
708 artificial/synthetic membranes can be suitable. The choice of skin model used throughout the
709 development should be justified.

710 In the case of a comparative test (development of a generic transdermal patch or formulation
711 comparison), it is necessary that the products are compared with the same skin type.

712 The skin type, preparation, storage are elements that should be satisfactorily controlled, and that the
713 skin samples for experiment are not damaged by these processes and are of suitable quality.

714 The integrity of the skin should be determined before the experiment and shown to be satisfactory for
715 the experiment to be valid. After the experiment, an assessment of skin integrity should also
716 be undertaken and reported, unless otherwise justified.

717 A variety of integrity tests is currently available, e.g. measurement of Transepidermal Water Loss
718 (TEWL), monitoring the permeation of tritiated water or measurement of electrical resistance. The

719 suitability of integrity test for the proposed experiment and criteria for acceptability should be fully
720 discussed.

721 To address the variability of skin, preparation and skin integrity, a sufficient and justified number of
722 replicates should be included in the experiment. Typically, six replicates may be used, or more for
723 pivotal experiments.

724 *In vitro* permeation studies are usually performed using diffusion cells, with a skin diffusion area
725 between 0.5-2 cm². Diffusion cells should be inert, robust and easy to handle. It is also important that
726 the diffusion cell is maintainable at a constant temperature (32°C), provides easy sampling and
727 replenishment of the receptor phase and that it maintains the membrane integrity. The most common
728 diffusion cells are Franz-type (static) cells, which consist of two chambers that can be side-by-side or
729 upright separated by the skin. Flow through cells can also be used and are particularly useful to
730 maintain skin viability.

731 The receptor solution used should mimic the *in vivo* conditions. One appropriate receptor medium for
732 water soluble drugs is aqueous buffer. Solubilising agents e.g. surfactants or hydro-alcoholic media
733 e.g. ethanol/water media, or protein e.g. bovine serum albumin can also be used in the case of drugs
734 that are less soluble in water, if justified. The liquid in the receptor compartment has to be in contact
735 with the skin, i.e., it should be ensure that there are no air bubbles under the skin.

736 The receptor solution should not affect skin integrity.

737 Permeation of solubilising agents from the receptor solution into the skin sample should be considered
738 and avoided.

739 The composition of the receptor medium should be described and solubility studies submitted to
740 demonstrate that sink conditions can be maintained throughout the experiment.

741 The drug substance should be stable in the receptor solution for the duration of the test and
742 subsequent analysis.

743 The receptor solution should provide solubility sink conditions throughout the experiment and ensure
744 that the permeation of the drug substance is not limited by the receptor medium. An acceptable sink
745 condition is one where the maximum concentration of the drug substance in the receptor solution
746 achieved during the experiment does not exceed 10% of its maximum solubility in the receptor
747 solution. Sink conditions can be maintained during the experiment in static cells by continuous
748 replacement of the receptor phase or by using a flow through system.

749 For satisfactory permeation, satisfactory means should be in place to ensure that the receptor medium
750 is fully in contact with all the exposed skin.

751 The diffusion of the drug substance through the membrane is evaluated measuring the arrival of drug
752 in the receptor compartment by assay of sequentially collected samples of the receptor fluid.

753 Aliquots of the receptor fluid can be analysed by a validated HPLC for drug substance content or by any
754 other suitably validated analytical technique.

755 At the end of the experiment, the mass balance should be determined by determining the total content
756 of drug substance in each of the compartments of the experiment i.e. the receptor compartment, that
757 remaining in the donor compartment and that present in the skin layers.

758 Mass balance should be satisfactory for the experiment to be valid.

759 It is acknowledged that the variability in results seen with *in vitro* skin permeation data is related to
760 the variability in the skin used. In addition, if these methods are poorly developed, without satisfactory

761 validation and / or poorly executed, then the results from permeation studies can only be difficult to
762 interpret or without merit or meaning. Therefore, method development, optimisation and execution
763 should comply with known best practice, be satisfactorily validated, and subject to appropriate data
764 analysis and quality assurance principles.

765 **2. Skin and sample preparation**

766 The following should be described and their suitability discussed:

- 767 • The type of skin (origin, species, part of the body) should be stated;
- 768 • The storage and transport of the skin should be described and validated;
- 769 • The preparation and treatment (thickness, separation) of the skin should be described and
770 justified.

771 The integrity of the skin should be determined before and after the experiment and shown to be
772 satisfactory for the experiment to be valid.

773 Sample preparation of the drug product transdermal patch should be described and its suitability
774 discussed. Normally, the patch is carefully cut to size and applied to the skin in the donor chamber,
775 under unoccluded conditions, to mimic *in vivo* conditions.

776 The test itself and / or sample preparation should not damage or otherwise alter the performance of
777 the transdermal patch.

778 **3. Study design / study conditions**

779 The following study design is recommended for permeation studies using ex vivo human skin. Any
780 deviations from the proposed test protocol should be fully justified.

- 781 • Diffusion cell – Franz type or flow through;
- 782 • Receptor phase, to mimic *in vivo* conditions that also provides drug substance sink conditions,
783 degassed, e.g. in an ultrasound bath to prevent the build up of air pockets;
- 784 • The medium may be aqueous buffer and include justified solubilising agents and / or protein;
- 785 • Receptor phase should be continuously agitated and remain in contact with the skin. The stirring
786 speed should be justified;
- 787 • Temperature - the diffusion cell is maintainable at a constant temperature close to the
788 physiological human skin temperature ($32^{\circ}\text{C}\pm 1^{\circ}\text{C}$);
- 789 • Humidity – 30-70%;
- 790 • Human skin integrity should be tested at the beginning of the experiment;
- 791 • The suitability of integrity test e.g. (TEWL), permeation of trituated water or electrical resistance
792 and criteria for acceptance should be fully discussed;
- 793 • The integrity of the skin at the end of experiment should also be assessed and discussed;
- 794 • Number of replicates – The choice of the number of samples should be explained and
795 demonstrated to be statistically significant;
- 796 • Number of skin donors – at least 2 different donors;

- 797 • Skin anatomical region – abdominal, breast or back;
- 798 • The number of time points should be sufficient to satisfactorily characterise the permeation profile.
799 Minimum of 5 receptor sampling time points and an early time point, e.g., 15 or 30 min;
- 800 • Study duration - the duration of the exposure should be justified in regards to the in-use
801 administration. Nevertheless, it should not exceed 24 hours since skin integrity is likely to
802 deteriorate after 24 hours. Longer periods should be justified in respect to *in vivo* use and
803 satisfactory data to show that the integrity of the skin has not been compromised should be
804 provided.
- 805 • Unoccluded conditions
- 806 - The mass balance should be determined. The overall recovery of the drug substance of
807 90 -110% would be acceptable without justification, larger variation should be fully
808 justified and explained.

809 **4. Method development and validation**

810 A summary of the method development and optimisation should be provided.

811 The most appropriate receptor medium for water soluble drugs is aqueous buffer. Hydro-alcoholic
812 medium or indeed any other appropriate medium can be used in the case of drugs that are sparingly
813 soluble in water, provided that it is justified. Testing conditions providing the most suitable
814 discrimination should be selected. The composition of the receptor phase should not influence the
815 permeation of drugs, should ensure sink conditions and should not alter the membrane.

816 The method should be suitably discriminating. The following should be considered:

- 817 • it is discriminating between batches with respect to critical manufacturing parameters that are
818 known to have an impact on the bioavailability of the product
- 819 • it is discriminating between products formulated at different concentrations, and altered
820 formulations (e.g. drug content, permeation enhancer).
- 821 • The stability indicating power of the method should be assessed.

822 The analytical methods for determining the content of drug substance in the receptor fluid and mass
823 balance determinations should be provided and validated according to ICH Q2(R1).

824 The reference standards used during the validation and study sample analysis should be obtained from
825 an authentic and traceable source.

826 The method validation should also address the variability of the method and the coefficient of variation
827 established. For artificial membranes, the coefficient of variation should be less than 10%, for human
828 skin a coefficient of variation greater than 10% can be accepted.

829 **5. Data analysis**

830 Data from all diffusion cells should be reported and the validity, variability and reproducibility of the
831 results should be discussed. The results should be subject to an analysis of variance.

832 Outliers may be excluded from the statistical analysis, if satisfactorily explained and justified.

833 The plot of the cumulative amount of drug permeated per unit area (mass/cm²) as function of time
834 should be presented. The slope of the curve represents the permeation rate (flux) of the drug.

835 The permeation profile should be supported with tabulated data and statistical analysis. The
836 permeation rate (flux) should be calculated for each diffusion cell and the mean flux reported together
837 with the corresponding standard deviation (SD), coefficient of variation.

838 Mass balance and drug substance distribution in the various compartments (receptor solution,
839 membrane, residual product remaining in the patch) should be reported and discussed.

840 A discussion, interpretation and conclusions of the results of the experiment should be provided,
841 supported, as necessary, by appropriate scientific rationale.

842 For the comparison of products, relevant permeation parameters, e.g. flux, should be statistically
843 compared. The 90% confidence interval for the ratio of the two products should be determined and
844 should be contained within the interval of 80 - 125% to support a claim of equivalence, unless
845 justified.

846 ***6. Quality system and study report***

847 It should be ensured that the performing laboratory is qualified to perform the studies and that an
848 effective quality system is in place¹.

849 This should include:

- 850 • A declaration of compliance with the principles of Good Laboratory Practice¹;
- 851 • The technical ability of the performing laboratory and the validity of the method used should be
852 assessed at regular intervals, at least twice per year, by using reference compounds like caffeine or
853 benzoic acid. The recent results of such studies should be provided;
- 854 • The laboratory should be subject to external audit e.g. by the Marketing Authorisation Applicant or
855 a suitable accreditation body;
- 856 • Audits certificates, if available, should be included in the report.

857 The study report should give the complete documentation of the experimental protocol, including skin
858 and sample preparation, study design and conditions, method development and validation, data
859 analysis and evaluation, together with quality system evidence and signed by the investigator.

860 Names and affiliations of the responsible investigator(s), the site of the study and the period of its
861 execution should be stated.

862 Further information on quality systems and Principles of Good Laboratory Practice is given in the
863 following:

- 864 • DIRECTIVE 2004/10/EC on the harmonisation of laws, regulations and administrative provisions
865 relating to the application of the principles of good laboratory practice and the verification of their
866 applications for tests on chemical substances
- 867 • The Rules Governing Medicinal Products in the European Union Volume 4 EU Guidelines to Good
868 Manufacturing Practice Medicinal Products for Human and Veterinary Use Part I Chapter 6 Quality
869 Control
- 870 • ICH Q10 "Pharmaceutical Quality Systems".

871 **Annex 2**

872 **In vivo skin adhesion**

873 The investigation of *in vivo* adhesive performance may be included as a component part of human
874 clinical pharmacokinetic and efficacy studies (both single dose and multi dose), or may be an
875 independent study with either patients or volunteers.

876 For transdermal patches covering a range of different dosage strengths, as a minimum, the smallest
877 and the largest patch sizes should be tested *in vivo*. For transdermal patches covering a range of
878 different dosage strengths the smallest and the biggest patch sizes should be tested *in vivo*.

879 The elements of assessment should include:

- 880 • The sites of application;
- 881 • Transdermal patch application;
- 882 • Residue formation on release I liner removal and on transdermal patch removal;
- 883 • The percentage transdermal patch area adherence to the skin;
- 884 • Cold flow, such as the formation of a dark ring about the transdermal patch during use, patch
885 movement or displacement, wrinkling;
- 886 • The robustness of the product to normal human behaviours e.g. moisture resistance to washing,
887 showering, saunas, use of moisturisers, risk of removal during exercise and or sleeping, possible
888 transfer to partners or family.

889 The results of the study should inform the SmPC and PI. See also section 4.2.9.

890 The transdermal patch should be used as proposed. Patch reinforcement such as over-taping is not
891 allowed.

892 A satisfactory protocol, with justified visual or other scales of measurement should be provided.

893 The frequency of assessment should be stated and justified, and should include transdermal patch
894 administration and removal time points.

895 Satisfactory and unsatisfactory performance should also be supported by photographs.

896 For the determination of the percentage transdermal patch area adherence, the following is
897 recommended:

- 898 • The frequency of assessment should be more than daily;
- 899 • May be supported by analysis of photographs, to show validity of the method.

900 The scores for adhesion of transdermal patches should be scaled in 5 % increments as indicated
901 below:

- 902 • more than 95 % of the patch area adheres;
- 903 • more than 90 % of the patch area adheres;
- 904 • more than 85 % of the patch area adheres ;
- 905 • more than 80 % of the patch area adheres ;
- 906 • more than 75 % of the patch area adheres ;

- 907 • more than 70 % of the patch area adheres.
- 908 • less than 70 % adheres or patch detachment is regarded as significant patch adhesion failure.
- 909 For patches that completely detach during the study the score should be carried forward in the
910 adhesion analysis for all remaining observations in the application period.
- 911 In general, a mean adherence of greater than 90% should be expected and no instances of
912 detachment should be seen. Poor adherence events should be investigated and possible causes and
913 risk factors determined.
- 914 The results should be reported in explanatory tabular and graphical formats, including:
- 915 a. frequency table showing the number of patches with each adhesion score at each evaluation time
916 point.
- 917 b. number of patches that are completely detached at each evaluation time
- 918 A critical assessment and statistical analysis should be provided.
- 919 For the other *in vivo* assessment elements as indicated above, similar reports, critical assessment and
920 statistical analysis should be provided, as appropriate.