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3 Committee for Human Medicinal Products

4 **Q3C (R6): Impurities: guideline for residual solvents**
5 **Step 2b**

Adopted by CHMP for release for consultation	23 July 2015
Start of public consultation	4 August 2015
End of consultation (deadline for comments)	3 November 2015

6
7 The proposed guideline will replace 'ICH Q3C (R5) Guideline to include a PDE for triethylamine and
8 revise the PDE of methylisobutylketone due to new toxicity data'¹.
9

Comments should be provided using this [template](#). The completed comments form should be sent to ich@ema.europa.eu

¹ If this supersedes a previous guideline – otherwise delete.



First Codification	History	Date	New Codification Nov. 2005
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11 **Parent Guideline: Impurities: Guideline for Residual Solvents**

Q3C	Approval by the CPMP under <i>Step 3</i> and release for public consultation.	November 1996	Q3C
Q3C	Approval by the CPMP under <i>Step 4</i> and release for information.	September 1997	Q3C

12 **Revision of the PDE information for THF contained in the Parent Guideline**

Q3C(M) for THF	Permitted Daily Exposure (PDE) for Tetrahydrofuran (THF): revision of PDE based on new toxicological data. Approval by CPMP of the new PDE for THF under <i>Step 3</i> and release for public consultation.	July 2000	in Q3C(R1)
Q3C(M) for THF	Approval by the CPMP under <i>Step 4</i> and release for information.	September 2002	in Q3C(R1)

13 **Revision of PDE information for NMP contained in the Parent Guideline**

Q3C(M) for NMP	Permitted Daily Exposure (PDE) for N-Methylpyrrolidone (NMP): revision of PDE based on new toxicological data. Approval by CPMP of the Revision under <i>Step 3</i> and release for public consultation.	July 2000	in Q3C(R2)
Q3C(M) for NMP	Approval by the CPMP under <i>Step 4</i> and release for information.	September 2002	in Q3C(R2)
Q3C(M) for NMP	Corrigendum to calculation formula approved by the CPMP.	November 2002	in Q3C(R3)
Q3C, Q3C(M) for THF and Q3C(M) for NMP	The parent guideline is now renamed Q3C(R3) as the two updates (PDE for N-Methylpyrrolidone and PDE for Tetrahydrofuran) and the corrigendum of the update for NMP have been added to the parent guideline.	November 2005	Q3C(R3)

14 **Parent Guideline: Impurities: Guideline for Residual Solvents**

Q3C(R4)	Update of Table 2, Table 3 and Appendix 1 to reflect the revision of the PDEs for N-Methylpyrrolidone and Tetrahydrofuran.	February 2009	Q3C(R4)
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15 **Revision of PDE information for Cumene contained in the Parent Guideline**

PDE for Cumene	Permitted Daily Exposure (PDE) for Cumene: revision of PDE based on new toxicological data. Approval by CHMP under <i>Step 3</i> and release for public consultation.	June 2010	in Q3C(R5)
Q3C(R5)	Approval of the PDE for Cumene by CHMP under <i>Step 4</i> and release for information. The PDE for Cumene document has been integrated as part IV in the core Q3C(R4) Guideline which was then renamed Q3C(R5). The Table 2, Table 3 and Appendix 1 have been updated to reflect the revision of the PDE for Cumene.	March 2011	Q3C(R5)

16 **Current *Step 2b* version**

Q3C(R6)	Addition of PDE for triethylamine and revision of the PDE of methylisobutylketone due to new toxicity data. Approval by CHMP under <i>Step 2b</i> and release for public consultation.	July 2015	in Q3C(R6)
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18 Q3C (R6): Impurities: guideline for residual solvents

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36 **1. Triethylamine**

37 **1.1. Introduction**

38 Triethylamine is used as catalytic solvent in chemical synthesis (1, 2). It is a colourless liquid that is
39 soluble in water, ethanol, carbon tetrachloride, and ethyl ether, and very soluble in acetone, benzene,
40 and chloroform. Triethylamine has a vapour pressure of 54 mmHg (20°C), and has been reported to be
41 irritating to the lung and nasal passage with strong ammoniac odour (2, 3).

42 Data from human studies show that triethylamine is easily absorbed via the oral or inhalation route
43 and is rapidly excreted, mainly in the urine, as the parent compound and/or its *N*-oxide (4-6).

44 In studies in human volunteers, exposures of more than 2.5 ppm (10 mg/m³) caused transient visual
45 disturbance (4, 7) due to a locally induced cornea swelling; no systemic effects were observed at the
46 exposures which showed the cornea effect. The odour thresholds ranged from 0.0022 to 0.48 mg/m³
47 (8-10).

48 **1.2. Genotoxicity**

49 In an Ames test triethylamine did not induce mutations in standard Salmonella strains with or without
50 metabolic activation (11). Triethylamine did not induce sister chromatid exchanges in Chinese hamster
51 ovary cells with or without metabolic activation (12). In an *in vivo* study, triethylamine induced
52 aneuploidy but was not clastogenic in the bone marrow of rats exposed to 1 mg/m³ (0.25 ppm) and 10
53 mg/m³ (2.5 ppm) triethylamine via continuous inhalation for 30 or 90 days (13). The weak aneugenic
54 effect was observed at the low dose and early time point only; due to study deficiencies the relevance
55 of this finding is highly questionable.

56 **1.3. Carcinogenicity**

57 No data available.

58 **1.4. Reproductive toxicity**

59 No reliable information about reproductive toxicity is available. A three-generation reproductive study
60 in which rats (10/sex/group) were administered 0, 2, or 200 ppm (c.a. 0, 1.4 or 14 mg/kg/day)
61 triethylamine in drinking water was cited in the U.S. EPA Integrated Risk Information System
62 assessment review (14). The high dose was increased to 500 ppm in the third generation due to a lack
63 of observed symptoms. No apparent effects occurred at 200 ppm through two generations. However,
64 due to deficiencies in end-points measured the study data were disregarded from determining a PDE.

65 **1.5. Repeated dose toxicity**

66 A sub-chronic inhalation study (similar to OECD Test Guideline 413 and OECD Test Guideline 452) in
67 rats is considered to be the most relevant published animal study for deriving a PDE. F344 rats (50
68 rats/group/sex) were exposed by whole body inhalation at concentrations of 0, 25, or 247 ppm (0,
69 0.10 or 1.02 mg/L) for 6 hours/day, 5 days/week for 28 weeks (15). No statistically significant
70 treatment-related systemic effects were observed at all dose groups. Body weight gain was not
71 statistically affected, although a slight dose-related decrease of body weight in male rats was
72 observed. The NOEL of this study was 247 ppm.

73 Molecular weight of triethylamine: 101.19 g/mol

74 NOEL 247 ppm

75
$$247 \text{ ppm} = \frac{247 \times 101.19}{24.45} = 1022.2 \text{ mg/m}^3 = 1.022 \text{ mg/l}$$

76
77

78
$$\text{For continuous dosing} = \frac{1.022 \times 6 \times 5}{24 \times 7} = 0.183 \text{ mg/l}$$

79
80

81
$$\text{Daily dose} = \frac{0.183 \text{ mg l}^{-1} \times 2901 \text{ day}^{-1}}{0.425 \text{ kg}} = 124.9 \text{ mg/kg/day}$$

82
83
84
85

Rat respiratory volume: 290 L day⁻¹
Rat body weight: 0.425 kg

86
$$PDE = \frac{124.9 \times 50}{5 \times 10 \times 2 \times 1 \times 1} = 62.5 \text{ mg/day}$$

87

88 F1 = 5 to account for extrapolation from rats to humans

89 F2 = 10 to account for differences between individual humans

90 F3 = 2 because long duration of treatment (28 weeks)

91 F4 = 1 because no severe effects were observed

92 F5 = 1 because a NOEL was established

93

94
$$\text{Limit} = \frac{62.5 \times 1000}{10} = 6250 \text{ ppm}$$

95 Due to obvious study deficiencies other published animal toxicity data were disregarded from
96 determining a PDE.

97 **1.6. Conclusion**

98 The calculated PDE for triethylamine based upon the NOEL of the rat sub-chronic inhalation study is
99 62.5 mg/day. Since the proposed PDE is greater than 50 mg/day it is recommended that triethylamine
100 be placed into Class 3 ("solvents with low toxic potential") in Table 3 in the ICH Impurities: Residual
101 Solvents Guideline.

102 **1.7. References**

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137

138 **2. Methylisobutylketone**

139 **2.1. Introduction**

140 Methylisobutylketone (MIBK) is listed in the ICH Q3C parent guideline of 1997 in Class 3, i.e., as a
141 solvent with low toxicity based on a review of toxicity data available at that time resulting in a
142 Permitted Daily Exposure (PDE) value for MIBK of 100 mg/day (1). Due to new toxicity data including
143 results from NTP 2-year rat and mouse inhalation carcinogenicity studies and published studies on
144 reproductive and developmental toxicity the Expert Working Group has re-evaluated the PDE value of
145 MIBK.

146 **2.2. Genotoxicity**

147 No additional information about genotoxicity has been reported, since the last assessment was
148 conducted in 1997. The available data suggest that MIBK is not genotoxic.

149 **2.3. Carcinogenicity**

150 MIBK has been studied by NTP in 2-year rat and mouse inhalation studies. F344/N rats and B6C3F1
151 mice (50 animals/sex/group) were exposed to MIBK at concentrations of 0, 450, 900, or 1800 ppm by
152 inhalation, 6 hours per day, 5 days per week for two years. Survival was decreased in male rats at
153 1800 ppm (4). Body weight gains were decreased in male rats at 900 and 1800 ppm and in female
154 mice at 1800 ppm. The primary targets of MIBK toxicity and carcinogenicity were the kidney in rats
155 and the liver in mice. The NTP Technical Report concluded that there was some evidence of
156 carcinogenic activity of MIBK in rats and mice (4, 5). Based on these NTP data, IARC has classified
157 MIBK as a group 2B carcinogen ("possibly carcinogenic to humans") (6).

158 In the rat study, MIBK caused a slight increase in the incidences of renal tubule adenoma and
159 carcinomas in males at the highest dose. The observed increase in chronic progressive nephropathy
160 (CPN) and renal tubular tumors in male rats may have resulted from the well-known male rat specific
161 α 2u-globulin accumulation, which is considered to be without relevance to humans. However, since
162 exacerbated CPN was also observed in female rats (increases in the incidence of CPN in all exposure
163 concentrations and in the severity at 1800 ppm) additional yet unknown mechanisms are likely
164 involved (5, 6). Increases in mononuclear cell leukemias in male rats at 1800 ppm and the occurrence
165 of two renal mesenchymal tumors (very rare tumor, not observed in NTP historical control animals) in
166 female rats at 1800 ppm were findings with uncertain relationship to MIBK exposure (5).

167 From the results of the rat carcinogenicity study with MIBK, PDEs are calculated based on two different
168 scenarios:

169 (i) tumor findings in male and female rats are not relevant to humans and therefore the chronic
170 progressive nephropathy (CPN) in female rats observed at the lowest dose (LOEL = 450 ppm) is used
171 for PDE calculation

172 or

173 (ii) relevance of tumor findings at 1800 ppm in male and/or female rats to humans cannot be
174 excluded; the NOEL for tumors of 900 ppm is used for PDE calculation

175 Molecular weight of MIBK: 100.16 g/mol

176 Scenario 1: LOEL_(CPN) 450 ppm (rat)

177
178
$$450 \text{ ppm} = \frac{450 \times 100.16}{24.45} = 1843 \text{ mg/m}^3 = 1.843 \text{ mg/l}$$

179
180
181
$$\text{For continuous dosing} = \frac{1.843 \times 6 \times 5}{24 \times 7} = 0.329 \text{ mg/l}$$

182
183
184
$$\text{Daily dose} = \frac{0.329 \text{ mg l}^{-1} \times 2901 \text{ day}^{-1}}{0.425 \text{ kg}} = 225 \text{ mg/kg/day}$$

185
186
$$\text{Rat respiratory volume: } 290 \text{ l day}^{-1}$$

187 Rat body weight: 0.425 kg

188

$$189 \quad PDE = \frac{225 \times 50}{5 \times 10 \times 1 \times 2 \times 5} = 22.5 \text{ mg/day}$$

190

191 F1 = 5 to account for extrapolation from rats to humans

192 F2 = 10 to account for differences between individual humans

193 F3 = 1 because long duration of treatment (2 years)

194 F4 = 2 severity of effect (CPN in females) with unclear relevance for humans

195 F5 = 5 because a NOEL for CPN was not established

196

$$197 \quad \text{Limit} = \frac{22.5 \times 1000}{10} = 2250 \text{ ppm}$$

198

199 Scenario 2: NOEL_(tumor) 900 ppm (rat)

200

$$201 \quad 900 \text{ ppm} = \frac{900 \times 100.16}{24.45} = 3687 \text{ mg/m}^3 = 3.687 \text{ mg/l}$$

202

203

$$204 \quad \text{For continuous dosing} = \frac{3.687 \times 6 \times 5}{24 \times 7} = 0.658 \text{ mg/l}$$

205

206

$$207 \quad \text{Daily dose} = \frac{0.658 \text{ mg l}^{-1} \times 2901 \text{ day}^{-1}}{0.425 \text{ kg}} = 449 \text{ mg/kg/day}$$

208

209 Rat respiratory volume: 290 l day⁻¹

210 Rat body weight: 0.425 kg

211

$$212 \quad PDE = \frac{449 \times 50}{5 \times 10 \times 1 \times 10 \times 1} = 44.9 \text{ mg/day}$$

213

214 F1 = 5 to account for extrapolation from rats to humans

215 F2 = 10 to account for differences between individual humans

216 F3 = 1 because long duration of treatment (2 years)

217 F4 = 10 severity of endpoint (cancer)

218 F5 = 1 because a NOEL was established

219

$$220 \quad \text{Limit} = \frac{44.9 \times 1000}{10} = 4490 \text{ ppm}$$

221

222 In the mouse study, MIBK increased the incidence of hepatocellular adenomas, and adenoma or

223 carcinoma (combined) in male and female mice exposed to 1800 ppm. Because of lack of evidence of a

224 mouse-specific mode-of-action such as cytotoxic-related regenerative cell proliferation or a receptor-

225 mediated mechanism the IARC MIBK monograph concludes that the relevance to humans of the liver
226 tumor findings in mice cannot be excluded (6).

227

228 A NOEL for carcinogenicity of 900 ppm is used for calculating the oral PDE.

229

$$230 \quad 900 \text{ ppm} = \frac{900 \times 100.16}{24.45} = 3687 \text{ mg/m}^3 = 3.687 \text{ mg/l}$$

231

232

$$233 \quad \text{For continuous dosing} = \frac{3.687 \times 6 \times 5}{24 \times 7} = 0.658 \text{ mg/l}$$

234

235

$$236 \quad \text{Daily dose} = \frac{0.658 \text{ mg l}^{-1} \times 431 \text{ day}^{-1}}{0.028 \text{ kg}} = 1011 \text{ mg/kg/day}$$

237

238 Mouse respiratory volume: 43 l day⁻¹

239 Mouse body weight: 0.028 kg

240

241

$$242 \quad PDE = \frac{1011 \times 50}{12 \times 10 \times 1 \times 10 \times 1} = 42.1 \text{ mg/day}$$

243

244 F1 = 12 to account for extrapolation from mice to humans

245 F2 = 10 to account for differences between individual humans

246 F3 = 1 because long duration of treatment (2-years)

247 F4 = 10 because of severity of endpoint (cancer)

248 F5 = 1 because a NOEL was established

249

$$250 \quad \text{Limit} = \frac{42.1 \times 1000}{10} = 4210 \text{ ppm}$$

251

252 **2.4. Reproductive and developmental toxicity**

253 In a developmental toxicity study, pregnant F-344 rats were exposed to MIBK by inhalation at doses 0,
254 300, 1000, or 3000 ppm, 6 hours/day on gestational day 6 through 15. Some fetotoxicities (reduced
255 fetal body weight and reductions in skeletal ossification) were observed at 3000 ppm along with
256 maternal toxicities. There was no maternal, embryo, or fetal toxicity at 1000 ppm (2).

257 In a two-generation reproduction study, SD rats were exposed to MIBK via whole-body inhalation at
258 concentrations of 0, 500, 1000, or 2000 ppm, 6 hours/day, for 70 days covering the period prior to
259 mating of F0 generation through the lactation period of F2 generation. The NOAEL for reproductive
260 effects was 2000 ppm, the highest concentration tested; the NOAEL for neonatal toxicity was 1000
261 ppm, based on acute CNS depressive effects (3).

262 **2.5. Conclusion**

263 The former PDE of MIBK was greater than 50 mg/day (100 mg/day) and the solvent was placed in
264 Class 3. The newly calculated PDE of MIBK based upon the LOAEL for chronic progressive nephropathy
265 in female rats from the NTP 2-year inhalation study is 22.6 mg/day. Therefore, it is recommended that
266 MIBK be placed into Class 2 in Table 2 in the ICH Impurities: Residual Solvents Guideline.

267 **2.6. References**

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