



European Medicines Agency

**ASSESSMENT REPORT**

**FOR**

**Celvapan**

Common Name: **Pandemic influenza vaccine (H5N1 whole virion, Vero cell derived, inactivated)**

**Procedure No. EMEA/H/C/000982**

Assessment Report as adopted by the CHMP with  
all information of a commercially confidential nature deleted.

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# 1. BACKGROUND INFORMATION ON THE PROCEDURE

## 1.1 Submission of the dossier

The applicant Baxter AG submitted on 30 January 2008 an application for Marketing Authorisation to the European Medicines Agency (EMA) for Celvapan, through the centralised procedure under Article 3 (2) (a) of Regulation (EC) No 726/2004. The eligibility to the centralised procedure was agreed upon by the EMA/CHMP on 20 September 2008.

The legal basis for this application refers to:

Article 8.3 of Directive 2001/83/EC, as amended - complete and independent application.

The application submitted is a complete dossier:  
composed of administrative information, complete quality data, non-clinical and clinical data based on applicants' own tests and studies and/or bibliographic literature substituting/supporting certain test(s) or study(ies).

The Applicant applied for the following indications:

Prophylaxis of influenza in an officially declared pandemic situation. Pandemic influenza vaccine should be used in accordance with official guidance.

### **Scientific Advice:**

The applicant received Scientific Advice from the CHMP on 19 July 2007. The Scientific Advice pertained to quality and clinical aspects of the dossier.

### **Licensing status:**

The product was not licensed in any country at the time of submission of the application.

The Rapporteur and Co-Rapporteur appointed by the CHMP and the evaluation teams were:

Rapporteur : **Christian K. Schneider**                      Co-Rapporteur : **Heribert Pittner**

## 1.2 Steps taken for the assessment of the product

- The application was received by the EMA on 30 January 2008.
- The procedure started on 27 February 2008.
- The Rapporteur's first Assessment Report was circulated to all CHMP members on 22 May 2008 . The Co-Rapporteur's first Assessment Report was circulated to all CHMP members on 16 May 2008. In accordance with Article 6(3) of Regulation (EC) No 726/2004, the Rapporteur and Co-Rapporteur declared that they had completed their assessment report in less than 80 days.
- During the meeting on 26 June 2008, the CHMP agreed on the consolidated List of Questions to be sent to the applicant. The final consolidated List of Questions was sent to the applicant on 26 June 2008
- The applicant submitted the responses to the CHMP consolidated List of Questions on 21 August 2008.
- The GCP inspection, requested by the CHMP, was carried out at two investigator sites in Austria (inspected 9-13 Jun and 30 Jun - 4th Jul 2008) and at the sponsor site in Austria (inspected 1-3 Sep 2008). The final Integrated Inspection report was issued on 17 October 2008.
- The Rapporteurs circulated the Joint Assessment Report on the applicant's responses to the List of Questions to all CHMP members on 14 October 2008.

- During the CHMP meeting on 23 October 2008, the CHMP agreed on a list of outstanding issues to be addressed in writing by the applicant.
- The applicant submitted the responses to the CHMP consolidated List of Outstanding Issues 19 November 2008.
- The Rapporteurs circulated the Joint Assessment Report on the applicant's responses to the List of Outstanding Issue to all CHMP members on 1 December 2008.
- During the meeting on 15-18 December 2008, the CHMP, in the light of the overall data submitted and the scientific discussion within the Committee, issued a positive opinion for granting a Marketing Authorisation under exceptional circumstances to Celvapan on 18 December 2008. The applicant provided the letter of undertaking on the specific obligations and follow-up measures to be fulfilled post-authorisation on 17 December 2008.

## 2 SCIENTIFIC DISCUSSION

### 2.1 Introduction

An influenza pandemic is a global outbreak of influenza disease that occurs when a type A influenza strain to which most or all humans are immunologically naïve emerges to cause clinically apparent illness and then spreads easily from person to person worldwide. Pandemics are different from seasonal outbreaks of influenza, as the latter are caused by subtypes of influenza viruses that are already circulating in the world whereas pandemics are caused by new subtypes or by subtypes that have not circulated among people for a long time.

Specific guidance has been developed for the fast track assessment procedure for pandemic influenza vaccines<sup>1</sup>, which can only be used once WHO/EU have officially declared the pandemic (WHO Phase 6 onwards). The procedure involves the submission and evaluation of a core pandemic dossier during the inter-pandemic period, followed by a fast track assessment of the data for replacing the mock-up vaccine strain with the recommended pandemic strain as a variation to the MAA.

Baxter AG has submitted a Marketing Authorisation Application (core pandemic dossier) for Celvapan in line with the above mentioned guidelines. Celvapan is a whole virion inactivated influenza vaccine, which is produced in Vero cells and employing a wild type virus H5N1 strain. The final vaccine comprises 7.5 µg of HA antigen of strain A/Vietnam/1203/2004 (or A/Indonesia/05/2005) per 0.5 ml dose and is presented in a 10-dose vial with no preservative added.

Celvapan is indicated for prophylaxis of influenza in an officially declared pandemic situation. Pandemic influenza vaccine should be used in accordance with official guidance.

Unlike for the seasonal vaccine, a single immunization is expected not to be sufficient to achieve protection, since in a pandemic situation vaccinees will be most likely immunologically naïve for the pandemic influenza strain. Thus, the proposed vaccination schedule is intended to be two 0.5 ml intramuscular injections with an interval of 3 weeks for individuals from 18 years of age and older.

From an epidemiological point of view it is very unlikely that influenza strain A/Vietnam /1203/2004 would be the next pandemic strain, since the H5N1 virus continues to undergo antigenic drift. It is also possible that the next pandemic will not be caused by a H5N1 virus but will be due to another subtype of influenza virus (e.g. with haemagglutinin of type H2, H7 or H9). In line with the core dossier concept, a variation would therefore have to be submitted to introduce the WHO/EU recommended strain, prepared from the influenza virus causing the pandemic, prior to use of Celvapan in a pandemic. Celvapan is not indicated for prophylactic use during the pre-pandemic period.

### 2.2 Quality aspects

#### Introduction

Celvapan is a Vero cell-derived, monovalent, whole virion, inactivated vaccine containing 7.5 µg/dose of Haemagglutinin (HA). The whole virions of Influenza type A as the active ingredient is inactivated both

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<sup>1</sup> *Guideline on Submission of Marketing Authorisation Applications for Pandemic Influenza Vaccines through the Centralised Procedure (CPMP/VEG/4986/03).  
Guideline on Dossier Structure and Content for Pandemic Influenza Vaccine Marketing Authorisations Application (CPMP/VEG/4717/03).*

by formaldehyde and UV-irradiation and purified on a sucrose density gradient. The present core pandemic dossier describes a mock-up vaccine derived from the reference virus A/Vietnam/1203/2004 (H5N1) with supporting data from A/Indonesia/05/2005 (H5N1).

The production process of the pandemic influenza vaccine is based on previous experience with Baxter's interpandemic influenza process. The Active Substance is the Vero cell-derived, formaldehyde- and UV-inactivated and sucrose gradient purified whole virions of influenza virus. The finished product is a suspension for injection presented in a multidose formulation with no preservative added.

For details on the composition of Celvapan please refer to Table 1.

**Table 1. Composition of Celvapan**

|                   | Name of Ingredients  |                   | Content<br>(per 0.5 mL dose)   | Function                        | Monograph         |
|-------------------|--|-------------------|--|---------------------------------|-------------------|
| Active Ingredient | Vero cell-derived, formaldehyde- and UV - inactivated, sucrose gradient-purified Influenza virus |                   | 7.5 µg Haemagglutinin (HA), lower limit of confidence interval (p=95) ≥ 6µg HA | Active Antigen Substance        | Ph. Eur. 2308     |
| Excipients        | Tween 80   |                   | 0.10-0.15 %<br>(target 0.125 % i.e. 0.63 mg/dose)                              | Prevention of micro-aggregation | Ph.Eur. 0428, USP |
|                   | Tris-buffered Saline   | NaCl              | 4.0 mg   | Electrolyte                     | Ph.Eur. 0193, USP |
|                   |  | Tris (Trometamol) | 1.2 mg   | Buffer Substance                | Ph.Eur. 1053, USP |
|                   | Water for Injection  |                   | filled to 0.5 mL   | Solvent                         | Ph.Eur. 0169, USP |

### Active Substance

The Active Substance is an aqueous solution containing Vero cell-derived, formaldehyde- and UV-inactivated, and sucrose gradient purified whole virions of influenza virus. Additional components of the Active Substance are Tween 80, Sodium Chloride and Tris-buffer (TBS, containing Trometamol).

- **Manufacturer**

All manufacturing steps of Celvapan are performed in Baxter facilities under Good Manufacturing Practice (GMP) conditions. The involved facilities Baxter AG in Orth/Donau; Austria and Baxter BioScience s.r.o. in Jevany Bohumil, Czech republic hold current GMP licenses (Manufacturing Authorisations). The specific development work was performed with H5N1 strain A/Vietnam/1203/2004 and A/Indonesia/05/2005.

The production process using the Vero cell technology can be divided into four main stages:

- Vero Cell Propagation
- Virus Propagation and Harvesting
- Inactivation

- Purification and sterile Filtration

In the upstream processing, cells are produced and then infected with the respective influenza virus (i.e. H5N1). Then the virus is harvested and inactivated by sequential formaldehyde and Ultraviolet Irradiation (UV) inactivation steps. Two separate inactivation steps were designed for two separate targets i.e. primarily protein for formaldehyde and nucleic acid as a target for UV irradiation. In Purification I, the product is concentrated and purified using ultra-centrifugation with a sucrose gradient. During Purification II, the product is homogenized and sucrose and further impurities are removed by ultrafiltration. The final stage of Active Substance manufacture is the sterile filtration of the Monovalent Bulk.

- Control of Materials

The following starting materials used in the production of monovalent bulk are of biological origin: Vero cell line used in the production of viral antigens and Influenza virus seed. The H5N1 working seed is derived from the Strain A/Vietnam/1203/2004 and Strain A/Indonesia/05/2005.

The different Vero cell populations Master Cell Bank (MCB), Working Cell Bank (WCB) and Post Production Cell Bank (PPCB) were tested for characterisation and safety according to Ph. Eur. 5.2.3. including DNA fingerprinting on MCB, WCB, and PPCB. Mycoplasma testing by indicator DNA fluorochrome test or by cultivation assay. Morphology examination, extraneous agents testing and tests for bacterial and fungal contamination and retroviruses. In conclusion the testing panel on the cell bank system provide assurance that the cell banks can be considered free of extraneous agents according to Ph. Eur. 5.2.3.

Extraneous Agents were evaluated *in vitro* and *in vivo*. *In vitro* testing of the neutralized Vietnam strain Production Virus Banks, both from the Orth and Bohumil facility, confirmed the absence of extraneous agents in the Production Virus Banks.

Additionally the Applicant studied the evaluation of feasibility to completely neutralize H5N1 for the purpose of extraneous agents *in vivo* testing on the Production Virus Banks of the Vietnam strain, as sufficient neutralisation of the virus banks is a prerequisite for the performance of the *in vivo* testing. The neutralized samples were inoculated into appropriate numbers of adult mice, suckling mice and guinea pigs as per Ph. Eur. Animals were observed for the requested time period for signs of disease or death. The suckling mice study was considered to have been completed successfully in compliance with Ph.Eur. 2.6.16. The currently ongoing studies in guinea pigs and adult mice will be finalized by March 2009 and results will be provided as follow-up measures. In addition the extraneous agents test program for virus banks of a future pandemic strain will be revised to be fully in line with Ph. Eur 2308. In conclusion sufficient data on extraneous agents testing *in vitro* and *in vivo* as well as by PCR have been generated to demonstrate absence of extraneous agents.

The excipients of animal origin, Trypsin and Cytodex, are used in the production of the Active Substance. The two animal components and the manufacturing process itself (including media used in equipment with direct contact with the product) have been evaluated according to the relevant guidelines and found to present no risk of TSE transmission. Biological reagents involved in routine manufacture of the active substance do not contain components of bovine origin.

- Process validation

Production of the Active Substance starts with the Vero Cell Inoculum and the Production Virus Bank. Quality control testing is performed on intermediate products at the following steps:

- Vero cell culture in Fermenter step 3 prior to infection

- Fermentation Broth
- Formaldehyde Treated Virus Harvest
- Purified Monovalent Virus Harvest (PMVH) as the result of Purification I

Critical steps in the production of the Active Substance are those associated with viral safety and sterility. These include tests for inactivation with formaldehyde, inactivation by UV light, control of total inactivation process and sterile filtration, which has been tested through filtration contact time, filter integrity and sterility according to Ph.Eur.

Validation studies for Celvapan were based on the H5N1 Influenza strains A/Vietnam/1203/2004 and A/Indonesia/05/2005. The validation of Active Substance manufacture has been carried out with the Vietnam/1203/2004 strain. The occurrence of human infections with Clade 2 H5N1 influenza strains in Indonesia, and the high mortality rate (56 %) associated with these infections, has prompted Baxter to also produce a whole virus H5N1 influenza candidate vaccine based on the Clade 2 A/Indonesia/05/2005 strain for a clinical Phase 1 study, which was used to validate the formulation and filling process steps.

The validation of WCB production was performed retrospectively on all relevant WCBs produced in the last years at the Orth/Austria facility. The WCB lots listed in the dossier were used for production of material for clinical trials of several investigational products, e.g. pandemic and inter-pandemic influenza, SARS and Ross River vaccine. In conclusion, sufficient information has been provided regarding the specific WCB(s) used for production of Celvapan clinical trial material and conformance lots. All tests according to Ph. Eur. 2308 and 5.2.3 have been conducted and were included in the specification for production of future Working Cell Banks.

Process validation of the Vero Cell Inoculum in Bohumil included twelve consecutive lots. The conformity of the cell propagation from 120 L up to 6000 L bioreactors was tested on three consecutive lots for the purpose of the Process Validation of the Cell Propagation at different stages of Fermentation. These results demonstrated that different lots used for both the vero cell inoculation and fermentation process were found to be comparable.

The strain used for process validation covering virus propagation, harvest and inactivation was A/Vietnam/1203/2004 (Clade 1). Three conformance lots were produced in the Bohumil facility and the results confirmed the consistency of the manufacturing process. During the process validation for Celvapan production, it was verified that the manufacturing process of the virus propagation, harvest and inactivation, purification and transport conforms to the process validation protocols.

In conclusion the data generated during process validation at both facilities Orth/Austria and Bohumil/Czech Republic demonstrated a consistent manufacturing process.

- Characterisation and Specification

The biological, immunological, genetic and physicochemical characterisation included a comparison between egg-derived and vero-cell derived influenza virus seeds.

The biological characterisation of the inactivated whole virus vaccine Active Substance was carried out by determining the haemagglutination (HA) titre and the infectious titre. For this purpose the egg infectious dose 50 (EID<sub>50</sub>/mL) as well as the plaque forming units (pfu/mL) were determined. Additionally the Applicant also detected the neuraminidase (NA) activity. The Applicant tested whether egg-derived influenza virus vaccine strains would differ from the vero cell derived ones with respect to their biological characterisation, however, no significant differences could be detected.

The genetic stability of the influenza virus grown in Vero cells versus egg derived virus was evaluated by comparing the genetic sequence of the Haemagglutinin gene sequence of an egg-derived Seed Virus Bank

to that of a Post Production Virus developed in Vero cells. The egg-derived Seed Virus Bank and the Vero-derived post production virus preparations were identical on the DNA and on the amino acid level, demonstrating that once a recommended vaccine strain has been adapted to sufficient growth in eggs, no re-adaptation during the passages in serum free Vero cells occurs.

Immunological characterization was carried out on the egg derived and vero derived by haemagglutination inhibition (HI) assay, neuraminidase inhibition (NAI) assay and Western blot analysis. Further immunological characterization was done by infection and immunization studies in mice with egg-derived and Vero-derived viruses and vaccines. Additionally, a challenge experiment was carried out in ferrets. There were generally no significant differences in HI titres between any of the samples from any season, egg-derived or Vero cell-derived. These results demonstrate that passages of egg-derived influenza virus on Vero cells do not change their antigenicity.

The physicochemical characterization was carried out by Coomassie staining of the viral proteins, separated by polyacrylamide gel electrophoresis (PAGE). The protein compositions of the Vero cell-derived influenza virus MVBs were comparable to those of the egg-derived NIBSC standard antigen reagents.

The following product- and process-related impurities have been identified during the Active Substance manufacturing process and are routinely tested for during the process: Vero Cell DNA during Manufacturing of Monovalent Bulk (MVB); Residual Vero Cell DNA in the Monovalent Bulk; Vero Host Cell Protein; residuals of formaldehyde, sucrose, trypsin and benzoylase.

The agreed specifications for the monovalent bulk include a test for vero cell protein via ELISA, the Haemagglutinin assay and SRD test for HA protein, the Bradford Method for total protein, the Haemagglutination Inhibition test, H5N1 identity test using RT PCR, a safety test for preparative influenza virus on Vero cells, a test for Tween 80 concentration via photometric detection, the LAL test for bacterial endotoxine and a sterility test.

The specifications of the monovalent bulk have been sufficiently justified and are considered adequate.

- Stability

Stability test results of up to 12 month on 4 lots of Purified Monovalent Virus Harvest and 5 lots of monovalent bulk have been provided. An apparent decrease in protein concentration measured by the Bradford method was observed after 9 month with all MVBs produced to date. Therefore the shelf life of the monovalent bulk has been set at 6 month. The Applicant committed to provide the outcome of the his investigations regarding the decrease of total protein in the MVB and further results of stability studies on Monovalent Bulk as a follow up measure as soon as they become available.

## **Medicinal Product**

- Pharmaceutical Development

Celvapan finished product contains the formalin- and UV-inactivated, purified whole virion in a formulation of 7.5 µg HA/0.5 mL dose without adjuvant. The product is presented in a 10 mL glass vial of hydrolytic type I. The filling volume corresponds to a content of 10 doses with 0.5 mL. The stopper consists of latex-free halogen-butyl rubber and is qualified by the supplier to be penetrated up to ten times. Overfilling of the vials by 0.85 mL minimum ensures that the nominal amount of product doses (10 doses per vial) can be drawn from the vial. Therefore, the 10 dose vial contains at least 5.85 mL of Medicinal product solution.

The Applicant's pharmaceutical development was based on experience with various influenza strains, which have shown that individual strains exhibit different aggregation behaviour which results in losses during sterile filtration. Therefore, prior to sterile filtration a homogenization step is performed in the course of the Purification process. No additives or preservatives are added, except for Tween 80, which prevents re-aggregation of the virions. The excipients Tris-buffered saline (TBS containing Tris (Trometamol) and Sodium Chloride, Tris (Trometamol, 20 mM) as buffer, NaCl (137 mM) as electrolyte and Tween 80 detergent are used for the finished product (see Table 1).

The most critical aspect of formulation and filling is to maintain sterility of the Medicinal product as the sterile filtration is performed at the final stages of Active Substance preparation. All added buffer solutions are sterile filtered directly prior to introduction into the formulation system. Primary container components are sterilized and the vials depyrogenized before filling. The second critical aspect is the homogeneity of the product throughout the filling process. This is guaranteed by continuous stirring of the formulation vessel.

Formulation and filling steps are performed according to established and validated procedures. The Bulk Medicinal product is prepared in a closed production system that assures aseptic working conditions. The Bulk Medicinal product is filled clean room Class A conditions according to EU cGMP Guide, in multi dose vials and the vials are stoppered and crimped under class A conditions to give the Final Container Product. All components of the final container that come into contact with the product comply with the respective requirements in USP, Ph. Eur. and ISO standard specifications concerning containers for injectables.

The components of the Medicinal product have been adequately described and justified. No novel or unusual excipients are used and the formulation development is supported by clinical development. The manufacturing process complies with standard formulation and filling procedures used for inactivated viral vaccines.

- Adventitious Agents

No materials of animal origin are added to the Active Substance in the manufacture of the finished product. Only the excipients Tris-buffered saline and Sodium Chloride and Tween 80 are used for the finished product. The excipients used are tested for sterility using membrane filtration, bacterial endotoxins using the LAL test, pH, conductivity and Tween 80 content. The analytical methods are performed according to Ph. Eur. where applicable and are validated according to ICH guidelines.

The two excipients of animal origin, Trypsin and Cytodex, used in the production of the Active Substance have been evaluated and found to present no risk of TSE transmission. No biological reagents involved in routine manufacture of the active substance contain any components of bovine origin. Overall, sufficient data is provided to exclude a risk of TSE transmission through Celvapan. The risk of transmitting TSE by Celvapan is thus considered very remote.

- Manufacture of the Product

Sterile Monovalent Bulks (MVB) are transported at +2 to +8 °C from the Bohumil facility in the Czech Republic to Vienna/Austria for formulation. Tris-buffer and Tween 80 solution are delivered from the Orth/Austria facility to Lange Allee 51. The Bulk Medicinal product is prepared in a closed production system, which has been validated by media runs. The calculated amount of Tween 80 solution and Tris-Buffer are sterile filtered into the formulation tank. No preservatives are added. The mobile tank is stored in a cold storage at 2-8 °C until filling. The Bulk Medicinal product is filled under clean room Class A conditions (EU cGMP Guide) in multi dose vials and the vials are stoppered and crimped under class A conditions to give the Final Container Product. All components of the final container that come into contact with the product comply with the respective requirements in Ph. Eur., USP, and ISO standard

specifications concerning containers for injectables. Visual inspection is generally performed together in one step with labelling and packaging. No reprocessing is performed or foreseen in the course of the production of the Medicinal product.

- **Product Specification**

The quality control program performed on the Bulk Medicinal product for Celvapan include the SRH Assay for quantification of haemagglutinin (HA), the Bradford assay to determine total protein, a PCR test for detection of residual Vero cell DNA, an ELISA test for residual benzoylcholine as well as tests for Tween 80 concentration, sucrose, formaldehyde, pH and sterility. Quality control testing performed on Final Container Product consists of SRH Assay for quantification of haemagglutinin (HA), extractable volume, pH, bacterial endotoxin using the LAL test and sterility. All analytical methods are performed according to Ph. Eur. where applicable and are validated according to ICH guidelines.

To overcome a possible limitations of availability of SRD reagents during a pandemic situation, the Applicant developed an alternative haemagglutinin (HA) quantification method based on HPLC determination of the HA-1 subunit of the HA protein. The value determined with this HPLC testing is compared to results of Influenza strains where SRD reagents are available. The acceptability of the alternative HPLC method was subject of a Scientific Advice and was assessed to be acceptable. The Applicant has committed to complete the validation and implementation of this method in follow-up measures.

Compliance with the product specifications has been shown on three conformance lots each, the A/Vietnam/1203/2004 and the A/Indonesia/05/2005 strain. The provided data is considered acceptable.

- **Stability of the Product**

The stability indicating parameters cover identity, potency and purity as well as general quality and safety parameters. The specifications used in the stability studies and the end of shelf life specifications, are identical with the acceptance criteria defined in the release specification for the respective production stage. Stability studies are performed using the actual final container (10 dose vials), except for the studies performed on clinical Phase 1/2 material, which was filled in single-dose syringes of the same glass material.

Based on the data currently available on the Pandemic Influenza Vaccine for Clinical Phase 1/2, Phase 3 and Conformance Batches and taking the experience with several inter-pandemic Vero cell derived Influenza Vaccine lots into consideration a shelf life of 12 months for the Medicinal product was accepted. To investigate the source of an apparent upward trend of the HA content detected in the SRD assay stability of the H5N1 vaccine will be further addressed in a follow up measure.

The open shelf life following the first withdrawal of a dose is the following: “vial to be used within one vaccination session or within 3 hours, whichever is less”

## **Discussion on chemical, pharmaceutical and biological aspects**

### **Active Substance**

Information on development, manufacture and control of the Active Substance and Medicinal product have been presented in a satisfactory manner. The results of the tests carried out indicate satisfactory consistency and uniformity of important product quality characteristics, and these in turn lead to the conclusion that the product should have a satisfactory and uniform performance in the clinic.

At the time of CHMP opinion, there were a number of minor unresolved quality issues having no impact on the Risk-benefit balance of the product. The applicant provided a Letter of Undertaking and committed to resolve these as follow-up measures after the opinion, within an agreed timeframe.

## 2.3 Non-clinical aspects

### Introduction

Pharmacology studies evaluated both the immunogenicity and protective efficacy of the vaccines in small animals. Mice s.c. immunized with the A/Vietnam/1203/2004 candidate vaccines developed anti-H5 HA-specific antibodies as well as functional antibodies (HI and/or MN titers), and survived the challenge with homologous or heterologous (clade 2.1 A/Indonesia/05/2005 or clade 3 A/HongKong/156/1997) strains. The vaccines were also demonstrated to be immunogenic in rats and guinea pigs in terms of all three serological tests (H5 specific binding ELISA, HI and MN assay). Immune antisera raised against non-GMP research material in guinea pigs cross-neutralized an array of heterologous H5N1 strains (3x Clade 1, 1x Clade 2.1, 2x Clade 2.2, 1x Clade 3, and H5N3) *in vitro*. Further supportive data on the immunogenicity and (cross-)protective efficacy were generated in small animals (mice, guinea pigs: s.c., preclinical materials) with the A/Indonesia/05/2005 H5N1 candidate vaccines.

### Pharmacology

- Primary Pharmacodynamics

Two ferret challenge studies demonstrated protective efficacy against a homologous challenge with  $2.1 \times 10^6$  TCID<sub>50</sub> in the ferrets previously immunised using a clinical lot of H5N1 vaccine prepared from strain A/Vietnam (Lot VNV1G001A, 7.5µg HA) and using the intended route and time interval. Whereas all animals in the control group receiving buffer died 4 to 7 days after administration of the challenge dose, 100% of ferrets in the vaccine group survived challenge. Data on virus recovery from post-mortem tissues confirmed that every animal in the control and vaccine group demonstrated some level of virus replication either in nasal wash or in one or more tissues. At moribund sacrifice, all animals of the control cohort except one had high titres of virus in the lungs (between 3.8 to 6.4 logs TCID<sub>50</sub> per gram of tissue), liver (4.3 to 5.9 logs TCID<sub>50</sub> per gram), brain (2.9 to 4.9 logs TCID<sub>50</sub> per gram) and olfactory bulb (5.4 to 7.1 logs TCID<sub>50</sub> per gram). One animal only had virus recovered from the nasal wash and the liver (4.3 logs TCID<sub>50</sub> per gram) and was found to have an atypical course of infection. The animals of the vaccinated cohort, having all survived to day 14, had for the most part cleared virus from every tissue examined except the liver. There was an absence of detectable virus in the lungs of all but one animal and in the brain of all but two animals. All olfactory bulbs taken from the vaccinated ferrets were negative for virus. The viral titres in the livers of the vaccinated ferrets were lower (between 3.5 to 4.4 logs TCID<sub>50</sub> per gram) than for the control cohort (4.3 to 5.9 logs TCID<sub>50</sub> per gram). In general disease symptoms were mitigated in the vaccinated ferrets compared with the control group, i.e. reduced weight loss, a less pronounced and shorter increase in temperature, a less marked reduction in lymphocyte counts and in reduction of necrosis in the brain and olfactory bulb.

Protection against homologous or heterologous challenge was investigated using ferrets immunised with a Clade 2 strain A/Indonesia/05/2005 vaccine. Sixty-six animals were divided into 6 cohorts and received either a dose of 7.5µg HA, 3.75µg HA or buffer on days 0 and 21. Animals were challenged intranasally with either A/Indonesia/05/2005 ( $1.0 \times 10^5$  TCID<sub>50</sub>, 1 log lower as targeted) or A/Vietnam/1203/2004 ( $1.5 \times 10^6$  TCID<sub>50</sub>) on day 35. Both the high and low doses of A/Indonesia/05/2005 vaccine were shown to be efficacious with 100% survival, reduced incidence of fever, reduced weight loss, reduced virus burden, and reduced haematological changes in the vaccinated cohorts following homologous challenge. However, due to the low challenge dose, 2 out of 8 animals in the control group survived the homologous challenge. Cross-protection against a heterologous challenge indicated a vaccine dose-dependent survival as compared to the control cohort. All control animals infected with A/Vietnam/1203/04 died between days 3

and 7 following heterologous challenge, while 38% of animals vaccinated with 2 doses of 7.5µg HA and 63% of animals vaccinated with 2 doses of 3.75µg HA died between days 6 and 10. Similarly to the homologous challenge, vaccination reduced virus burden, and reduced haematological changes against a heterologous challenge. Moreover, there is some evidence that survival correlates with absence of viremia since hepatic inflammatory necrosis was not found in any of the ferrets which survived 14 days post challenge.

- Secondary pharmacodynamics

Secondary pharmacodynamic studies were not performed. This approach is in accordance with the relevant guidelines, note for guidance on preclinical pharmacological and toxicological testing of vaccines (CPMP/SWP/465/95) and the guideline on dossier structure and content for pandemic influenza vaccine marketing authorisation application, CPMP/VEG/4717/03.

- Safety Pharmacology

No studies were conducted as no specific concerns in physiological functions are raised.

- Pharmacodynamic drug interactions

No studies were conducted.

### **Pharmacokinetics**

Experimental studies to demonstrate absorption, distribution, metabolism, and excretion of the active ingredients in Celvapan have not been performed. This is in line with the relevant guidelines CPMP/SWP/465/95 and CPMP/VEG/4717/03.

### **Toxicology**

The non-clinical toxicological testing program comprises a literature-based risk assessment of Tween 80 (Polysorbate 80), a non-GLP rabbit pyrogenicity study, a GLP single-dose toxicity study and a GLP pivotal repeat-dose toxicity study in which local tolerance assessment was included. This program is considered to meet sufficiently the requirements of Regulatory Guideline on “core dossier approach to registration of pandemic influenza vaccines” (CPMP/VEG/4717/03).

- Single-dose toxicity

The GLP single-dose toxicity study assessed the acute toxicity and local tolerance of the candidate vaccine after single intramuscular injection in Wistar rats. In this study, the vaccine used was Pre-clinical 100L GMP material, and both adjuvanted (0.2% alum, 30 µg HA) and non-adjuvanted (45 µg HA) formulations were tested. No treatment-related systemic and local reactions (except the expected microscopical findings at the injection sites) were noted. However, the potency of these preparations in the tested rat strain is not known and the magnitude of immune responses to vaccines after single intramuscular injection was not shown.

- Repeat-dose toxicity (with toxicokinetics)

The repeat-dose toxicity study performed in CD rats was a pivotal GLP study and is considered appropriate for toxicity evaluation (local and systemic). In this study, an appropriate number of animals per sex per group was included and relevant vaccine exposure (clinical lot, intramuscular route, 3x injections at a dose of either 24 µg HA with alum or 36 µg HA without adjuvant) given. The study consisted of a main study arm (32 days) and a 2-week recovery arm (46 days). The induction of relevant, functional immune response was provided by the induction of functional immune response (HI titers, on

day 32 and 46). Overall, no treatment-related effects were observed on general conditions, clinical signs (including injection sites), body weight, food consumption, ophthalmology, urine analysis, haematology, clinical chemistry, bone marrow, gross macroscopical pathology, or organ weight. However, dose-dependent or treatment-related abnormalities in two clinical pathology parameters were noted: one was a slight but statistically significant increase in the liver enzymes (ALT, AST, ALP) and the other is slight but statistically significant decrease in plasma calcium, both occurring in male animals. These changes are small at group mean levels, however, some individual ALT values reached 2-fold increase relative to concurrent controls and many individual plasma calcium values were found out of the range of control values. Whether these variations are within the limits of biological variability of these clinical parameters in the tested animal strain is unknown. Histology analysis (in this study, that is liver on day 46, and parathyroid gland and bone on days 32 and 46) has not been performed.

Also in this pivotal toxicity study it was found that the mean weights of lungs and bronchi (absolute change) were lower and of the thyroids (adapted change) were higher in females treated with non-adjuvanted vaccine in comparison with concurrent control. A relationship of this change with treatment is difficult to determine, because the finding was only observed on one occasion (day 46). The Applicant considered the finding to be of doubtful toxicological importance, and justified the statement by providing new histological data for thyroids/parathyroids and lungs and bronchi in the recovery group animals (Day 46). There were no abnormal findings or treatment-related changes in the concerned organ/tissues, and therefore it is considered that the slight changes seen in the weights of these organs were of less toxicological importance.

- Genotoxicity and Carcinogenicity

No studies on genotoxicity and carcinogenicity were conducted with the candidate vaccines.

- Reproduction Toxicity

A reproductive and developmental toxicity study is scheduled but the data are not available for the time being. This is acceptable according to the relevant guidelines. A rat study with A/Indonesia/05/2005 candidate vaccine was initiated in March, 2008 and the final study report was available in November, 2008. Another rat study with A/Vietnam/1203/2004 candidate vaccine was initiated in August, 2008 and the final study report will be available in April, 2009. This timetable is considered acceptable, as for a mock-up pandemic vaccine having such data before authorization is not necessary.

- Local tolerance

See single-dose studies.

- Other toxicity studies

A non-GLP rabbit pyrogenicity study investigated the pyrogenicity characteristics of the H5N1 whole viral candidate vaccine in comparison with a licensed seasonal influenza vaccine, Vaxigrip, as a Standard Reference. In this study, the vaccine formulation used (final container sample) and the vaccine exposure (i.v., 5 human doses) were relevant. Two separate tests (12 rabbits in total) suggested that the candidate vaccine is non-pyrogenic.

### **Ecotoxicity/environmental risk assessment**

No environmental risk assessment is included in this application. According to the guideline EMEA/CHMP/SWP/4447/00 “*Environmental Risk Assessment of Medicinal Products for Human Use*” vaccines due to the nature of their constituents are exempted from the requirement to provide an

environmental risk assessment in the application for a marketing authorisation for a medicinal product for human use.

## **2.4 Clinical aspects**

### **Introduction**

The initial submission was based upon two clinical studies 810501 and 810601 that are summarized in Table 1. Both studies are multi-center uncontrolled studies. Whereas in study 810501 different vaccines formulations containing H5N1 whole virion inactivated antigen derived from Vero cells were investigated in adults aged 18-45 years study 810601 employed the final formulation in two age groups - healthy adults (18-59 years) and elderly (60 years and older).

For the primary vaccination series H5N1 strain A/Vietnam/1203/2004 was used to prepare the investigational vaccine, whereas for the booster immunizations strain A/Vietnam/1203/2004 (clade1, Month 6 booster), and strain A/Indonesia/05/2005 (clade 2; Month 6, M12, M24 booster) were used to prepare the prototype vaccine. In study 810601 vaccine derived from both strains were administered for the booster immunisations, whereas in study 810703 – the follow-up of subjects enrolled in study 810501 - 7.5µg HA of vaccine prepared from strain A/Indonesia was given as booster immunisation.

**Table 1: Summary of Clinical Studies**

|  | <b>810501</b>   | <b>810601</b>   |
|--|---|---|
| <b>Design</b>                          | Phase I/II, randomised, partially blinded, multicenter, dose escalating uncontrolled  | Phase III, open-label, multicenter, randomized only for booster vaccination, uncontrolled   |
| <b>Countries and No of study sites</b> | Austria (1 site) and Singapore (2 sites)  | Germany (3 sites) and Austria (5 sites)   |
| <b>Sample size and study posology</b>  | <p>284 healthy subjects aged 18 to 45 years divided in 6 vaccine groups receiving H5N1 strain A/Vietnam/1203/2004 for primary vaccination series:</p> <p>7.5µg HA, N = 45<br/> 15µg HA, N=45<br/> 3.75µg HA+ alum, N = 45<br/> 7.5µg HA+ alum, N = 45<br/> 15µg HA+ alum, N = 46<br/> 30µg HA+ alum, N = 49</p> <p>2 doses, i.m., 0, 21 days</p>  | <p>561 healthy adults (18-59 years; N=280) and elderly subjects (&gt;60 years; N=281)<br/> 7.5 µg HA of H5N1 strain A/Vietnam/1203/2004<br/> 2 doses i.m., 0, 21 days</p> <p>Booster immunisation at month 6 with either 3.75µg HA or 7.5µg HA prepared from H5N1 strains A/Vietnam/1203/2004 or A/Indonesia/05/2005, respectively</p> <p>Booster immunisation at month 12 to 15 with 3.75µg or 7.5µg HA prepared from H5N1 strain A/Indonesia/05/2005</p> <p>Booster immunisation at month 24 with 3.75µg HA prepared from H5N1 strain A/Indonesia/05/2005</p> |
| <b>Study Objectives</b>                | To assess the immunogenicity and safety of different doses of adjuvanted and non-adjuvanted mock-up pandemic influenza vaccine (whole virion, Vero cell derived, inactivated)   | To assess the immunogenicity and safety in adults and elderly<br>To assess the need of a booster dose<br>To evaluate the cellular immune response in a subset of subjects   |
| <b>Immune Response Assessments</b>     | <u>All subjects:</u><br>anti-HA antibodies by HI; SRH;<br>neutralizing antibodies by MN<br><u>Subset of subjects:</u><br>Cell mediated immune response  | <u>All subjects:</u><br>anti-HA antibodies by HI; SRH;<br>neutralizing antibodies by MN<br><u>Subset of subjects:</u><br>Cell mediated immune response  |
| <b>Study Duration</b>                  | Date of first enrollment:12.06.2006<br>Part A (through day 42): 05.10.2006<br>Part B (through Day 180): 16.02.2007<br>Part C (through Day 250): 07.03.2007<br><br>For each subject: <ul style="list-style-type: none"> <li>• 42 days (Part A)</li> <li>• 180 days (Parts A and B combined)</li> <li>• Up to 250 days for subgroup of subjects continuing participation through Part C (Austrian site only)</li> </ul> Interim reports on Part A and B available | First subject enrolled: 10.04.2007<br>Last subject completed Part A (through Day 42): 02.08.2007<br><br>For each subject <ul style="list-style-type: none"> <li>• through 42 days (primary immunisation series; Part A)</li> </ul> For subset of subjects <ul style="list-style-type: none"> <li>• 21 days following 6-months booster (Part B)</li> <li>• 21 days following 12-months booster (Part C)</li> <li>• 21 days following 24-months booster (Part D)</li> </ul>   |

|  |  |  |
|--|--|--|
|  |  | <ul style="list-style-type: none"> <li>• evaluation of cell mediated immunity (Part E)</li> </ul> <p>Study ongoing</p> |
|--|--|--|

Interim clinical reports were planned for study 810501 following the primary immunisation series and at 6 months after first vaccination in order to get information on antibody persistence. For 810501 two clinical study reports (Part A alone, and Part A and B combined) were submitted containing the analyses after completion of the primary series and analyses for antibody persistence up to 6 months after primary vaccination. The 6-months safety analysis and analysis of cellular immune responses were available during the procedure (Part C).

For study 810601 an interim report after completion of the primary immunisation series (Part A) was submitted in the initial marketing authorisation application. Results on antibody persistence derived from study 810601 and the 6-months booster immunisations of study 810601 and 12-15 month booster immunisation of study 810703 were available during the procedure. Parts C and D of the study 810601 are ongoing and the anticipated completion of CSRs is given as Q2 2009 and Q2 2010, respectively.

Two further studies are currently ongoing. Study 810701 is an open-label Phase I/II study to assess the safety and immunogenicity of two doses (3.75µg or 7.5µg HA) of a Vero cell-derived, whole viron Clade 2 H5N1 Influenza vaccine (strain A/Indonesia/05/2005) in healthy volunteers aged 21 to 45 years. The study is conducted in Hong Kong and Singapore and an interim CSR was available during the procedure. The Phase I clinical study with a H5N1 clade 1 A/Vietnam/1203/2004 candidate vaccine sponsored by the NIAID is ongoing and no CSR is available.

**GCP Inspection performed**

The clinical trial 810601 was performed in accordance with the quality standards of the International Conference on Harmonisation (ICH) guidelines for Good Clinical Practice (GCP) and reflected the requirements of the EMEA guidance. Study 810601 was performed in Europe. Written informed consent was obtained from each subject prior to entry into the study.

**Pharmacokinetics**

As noted in the CHMP guideline ‘Note for guidance on clinical evaluation of new vaccines’ (CPMP/EWP/463/97) pharmacokinetic studies are generally not required for injectable vaccines. The kinetic properties of vaccines do not provide information useful for establishing adequate dosing recommendations”. Pharmacokinetic studies were therefore not conducted during the clinical development of Celvapan.

**Pharmacodynamics**

The pharmacodynamic principle of vaccines generally could be regarded as the induction of an immune response sufficient to protect from infection with or disease arising from the specific pathogen, the vaccination is directed against. In the context of influenza, surrogate parameters are defined (CPMP/VEG/4717/03) that allow conclusion on the efficacy of the vaccine. Clinical studies performed on Celvapan were designed to obtain information on these specific surrogate parameters and further characteristics of the immune response, i.e. the level and type of specific antibodies elicited the persistence of antibody titres and the investigation of a dose response relationship to define the appropriate dosing recommendation. Thus the immunological response to Celvapan is covered as part of the evaluation of efficacy.

## Clinical efficacy

### Immunogenicity assessment

The immunogenicity of Celvapan was investigated in two clinical trials using haemagglutination inhibition (HI) assays, microneutralisation (MN) assays and single radial hemolysis (SRH) assays. For both studies the interpretation of the HI and SRH results for each H5N1 vaccine formulation after each injection was linked to the immunogenicity requirements defined by the Note for Guidance on Harmonisation for Influenza vaccines (CPMP/BWP/214/96).

**Table 2: Parameters of the Note for Guidance (CPMP/BWP/214/96)**

| Defined from D0 to D21 and D0 to D42   | Age            |            |
|--|----------------|------------|
|  | 18 to 60 years | > 60 years |
| Seroconversion* or significant increase <sup>†</sup> rate of titer             | >40%           | >30%       |
| Mean Geometric fold increase <sup>‡</sup>                                      | >2.5           | >2.0       |
| Seroprotection rate (HI titer $\geq$ 1:40, SRH area $\geq$ 25mm <sup>2</sup> ) | >70%           | >60%       |

\* Proportion of subjects with a pre-vaccination HI titer <1:10 to a post-vaccination HI titer  $\geq$ 1:40

Proportion of subjects with a baseline hemolysis area of  $\leq$ 4 mm<sup>2</sup> and an area of  $\geq$ 25 mm<sup>2</sup> post vaccination

† Proportion of subjects with HI titres  $\geq$ 1:10 before vaccination and  $\geq$ 4-fold increase of the titer.

Proportion of subjects with a  $\geq$  50% increase in hemolysis area if the pre-vaccination area is >4 mm<sup>2</sup>

‡ Geometric mean of individual ratios (post-/pre-vaccination titres: D21/D0 or D42/D0)

With regards to the MN assay similar requirements were defined for the calculation of seroneutralisation rates using a cut-off of  $\geq$ 1:20. Further as proposed in guideline EMEA/CHMP/VWP/263499/2006 the proportions of achieving at least a fourfold increase in the neutralising antibody titer (criterion for seroconversion) and GMTs were reported along with reverse cumulative distribution curves.

To allow the use of the immunogenicity criteria it should be demonstrated that the Vero-cell derived pandemic influenza vaccine is antigenically similar to the egg-cultured vaccine, as requested in the NfG on influenza vaccines (CPMP/BWP/214/96). The Applicant elaborated in detail on this issue, and provided data on the characterization of egg-derived and Vero cell-derived influenza virus vaccine strains of previous influenza seasons. No significant differences in their infectivity, antigenicity and immunogenicity in mice were demonstrated. Moreover the egg-derived seed virus remains genetically stable during five passages in Vero cells. Hence it can be anticipated that the production system has no influence on the antigenicity of the vaccine.

### HI assay

The evaluation of human sera by HI assays revealed a high variability in the test results, although varying designs of the assay were applied: HI titres were assessed using horse or turkey erythrocytes as well as utilising antigen from homologous or heterologous wild type or RG reassortant strains from different sources (egg-derived or MDCK-derived). Surprisingly the highest immune responses across all vaccine groups were found with antigen of the RG reassortants regardless whether it was egg or MDCK derived or represent a homologous or heterologous strain. In general, a low responsiveness was observed throughout all analyses of human sera most probably due to a low sensitivity of the assay in clinical studies – in contrast to pre-clinical studies. Similar findings were reported for some other H5N1 vaccines.

The high variability and low sensitivity of the HI assay was also subject of the EMEA Scientific Advice (EMEA/CHMP/SAWP/310862/2007) and the company was encouraged to provide further immunogenicity data based on the SRH assay and challenge studies using the ferret model to confirm proof-of-concept.

### MN assay

The MN assay is based on ability of neutralising antibodies to inhibit the attachment of virus to cells as well as intracellular penetration and propagation. Such assays are commonly used to detect protective antibodies in human convalescent sera or sera from vaccinees. However, at present it is not known which neutralising antibody titer confers protection against a potential pandemic strain. Moreover there is a high variability in test results depending on the laboratory and the specific neutralisation assay employed. Several studies have indicated that a cut-off of 1:20 is appropriate whereas others have used a cut-off of 1:40. The interpretation of results based on different neutralisation assays is further hampered because no international reference material is available for standardisation.

The Applicant has performed passive immune transfer studies in mice to evaluate whether the chosen cut-off titer of 1:20 is appropriately defined. A MN titer of 1:5 (mouse immune sera) or 1:7 (guinea pig immune sera), respectively, was demonstrated to correlate with 50% protection against a lethal challenge. In addition two independent passive immune transfer experiments using pooled human immune sera from vaccinees enrolled in study 810601 were conducted. One day after intravenous injection of different dilutions of the human antibodies mice were challenged with a lethal dose of wild type virus strain A/Vietnam/1203/2004 of 133 LD<sub>50</sub> units. Two hours before challenge the animals were bled and the neutralising antibody titres were determined before and after administration. The calculated MN titre of 1:10 was found to protect 50% of animals, whereas these calculated MN titers were not measurable after administration. However, these data suggest that the cut-off titer of 1:20 is appropriately defined for the MN assay and that the neutralising antibody response as measured in cell culture corresponds to a functional immune response in vivo.

With regard to assay validation an initial validation report was presented. In addition upon request during the procedure and following a GCP inspection revalidation of the assay was conducted. In summary, the new validation data were found to be satisfactory.

### SRH assay

As requested per EMEA Scientific Advice standard SRH assays were conducted to confirm the results obtained with the MN assay. A detailed description of the assay and the validation report was provided in the Applicant's response to the day120 LoQ. The performance of the assay was found to be satisfactorily validated.

### Cellular immunity

Preliminary data on cellular immunity were provided and demonstrate a strong bias towards a humoral immune response.

- Dose response studies

### Dose response study 810501

In the dose-response study 810501 four vaccine formulations adjuvanted with alum (3.5µg, 7.5µg, 15µg and 30µg) and 2 non-adjuvanted vaccine formulations (7.5µg and 15µg) were evaluated in healthy adults of 18-45 years of age. Vaccines were administered intramuscularly on day 0 and day 21 (ref to Table 1).

Based on the MN and SRH assay using the homologous vaccine strain (A/Vietnam) the highest immune responses were achieved following two immunisations with the non-adjuvanted vaccine formulations. Moreover after the first vaccination significantly higher seroprotection rates by SRH assay and seroneutralisation rates (percentage of subjects with MN titre  $\geq$  1:20) by MN assay were observed in the non-adjuvanted vaccine groups compared to the adjuvanted vaccine groups indicating no adjuvanting but rather an inhibitory effect of alum throughout all antigen concentrations. These results are contrary to the experience with an already approved whole virion vaccine where an adjuvanting effect of alum could be demonstrated. The controversial effects might be explained by the fact that different manufacturing

processes are used for the two vaccines. Celvapan is based on a wild type virus strain propagated in Vero cells whereas the other whole virion vaccine utilises a reassortant strain grown in embryonated hen eggs.

The seroprotection and seroneutralisation rates following the 2-dose vaccination schedule and 6 months later are summarised in Table 3 (MN assay) and Table 4 (SRH assay).

**Table 3: Number of subjects with neutralising antibody responses (cut-off titer  $\geq 1:20$ ), 21 days after 1<sup>st</sup>/2<sup>nd</sup> vaccination and 180 days after the first vaccination measured by MN titer (ITT dataset)**

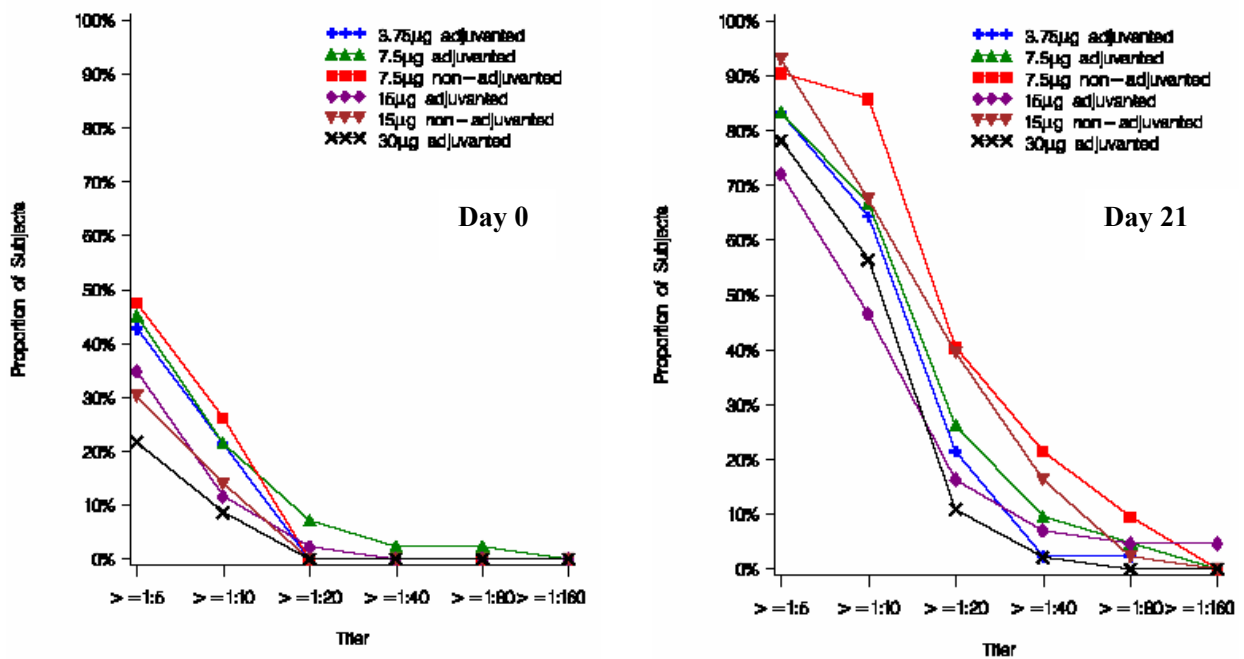
| Day                | Study Group       |                 |                 |                 |                |                 |                |                 |                |                 |                |                 |
|--------------------|-------------------|-----------------|-----------------|-----------------|----------------|-----------------|----------------|-----------------|----------------|-----------------|----------------|-----------------|
|                    | 3.75 $\mu$ g + AI |                 | 7.5 $\mu$ g +AI |                 | 15 $\mu$ g +AI |                 | 30 $\mu$ g +AI |                 | 7.5 $\mu$ g    |                 | 15 $\mu$ g     |                 |
|                    | n/N<br>%          | 95%<br>C.I.     | n/N<br>%        | 95%<br>C.I.     | n/N<br>%       | 95%<br>C.I.     | n/N<br>%       | 95%<br>C.I.     | n/N<br>%       | 95%<br>C.I.     | n/N<br>%       | 95%<br>C.I.     |
| <b>A/Vietnam</b>   |                   |                 |                 |                 |                |                 |                |                 |                |                 |                |                 |
| <b>0</b>           | 0/42<br>0.0%      | 0.0%;<br>8.4%   | 3/42<br>7.1%    | 1.5%;<br>19.5%  | 1/43<br>2.3%   | 0.1%;<br>12.3%  | 0/46<br>0.0%   | 0.0%;<br>7.7%   | 0/42<br>0.0%   | 0.0%;<br>8.4%   | 0/43<br>0.0%   | 0.0%;<br>8.2%   |
| <b>21</b>          | 9/42<br>21.4%     | 10.3%;<br>36.8% | 11/42<br>26.2%  | 13.9%;<br>42.0% | 7/43<br>16.3%  | 6.8%;<br>30.7%  | 5/46<br>10.9%  | 3.6%;<br>23.6%  | 17/42<br>40.5% | 25.6%;<br>56.7% | 17/43<br>39.5% | 25.0%;<br>55.6% |
| <b>42</b>          | 29/42<br>69.0%    | 52.9%;<br>82.4% | 25/39<br>64.1%  | 47.2%;<br>78.8% | 25/41<br>61.0% | 44.5%;<br>75.8% | 29/44<br>65.9% | 50.1%;<br>79.5% | 32/42<br>76.2% | 60.5%;<br>87.9% | 29/41<br>70.7% | 54.5%;<br>83.9% |
| <b>180</b>         | 9/42<br>21.4%     | 10.3%;<br>36.8% | 9/38<br>23.7%   | 11.4%;<br>40.2% | 15/41<br>36.6% | 22.1%;<br>53.1% | 18/43<br>41.9% | 27.0%;<br>57.9% | 23/42<br>54.8% | 38.7%;<br>70.2% | 29/41<br>70.7% | 54.5%;<br>83.9% |
| <b>A/Indonesia</b> |                   |                 |                 |                 |                |                 |                |                 |                |                 |                |                 |
| <b>0</b>           | 1/42<br>2.4%      | 0.1%;<br>12.6%  | 1/42<br>2.4%    | 0.1%;<br>12.6%  | 1/43<br>2.3%   | 0.1%;<br>12.3%  | 0/46<br>0.0%   | 0.0%;<br>7.7%   | 0/42<br>0.0%   | 0.0%;<br>8.4%   | 0/43<br>0.0%   | 0.0%;<br>8.2%   |
| <b>21</b>          | 5/42<br>11.9%     | 4.0%;<br>25.6%  | 5/42<br>11.9%   | 4.0%;<br>25.6%  | 1/43<br>2.3%   | 0.1%;<br>12.3%  | 3/46<br>6.5%   | 1.4%;<br>17.9%  | 10/42<br>23.8% | 12.1%;<br>39.5% | 7/43<br>16.3%  | 6.8%;<br>30.7%  |
| <b>42</b>          | 12/42<br>28.6%    | 15.7%;<br>44.6% | 14/39<br>35.9%  | 21.2%;<br>52.8% | 3/41<br>7.3%   | 1.5%;<br>19.9%  | 13/44<br>29.5% | 16.8%;<br>45.2% | 19/42<br>45.2% | 29.8%;<br>61.3% | 15/41<br>36.6% | 22.1%;<br>53.1% |
| <b>180</b>         | 5/42<br>11.9%     | 4.0%;<br>25.6%  | 5/38<br>13.2%   | 4.4%;<br>28.1%  | 1/41<br>2.4%   | 0.1%;<br>12.9%  | 13/41<br>31.7% | 18.1%;<br>48.1% | 14/42<br>33.3% | 19.6%;<br>49.5% | 2/43<br>4.7%   | 0.6%;<br>15.8%  |
| <b>A/Hongkong</b>  |                   |                 |                 |                 |                |                 |                |                 |                |                 |                |                 |
| <b>0</b>           | 0/42<br>0.0%      | 0.0%;<br>8.4%   | 4/42<br>9.5%    | 2.7%;<br>22.6%  | 2/43<br>4.7%   | 0.6%;<br>15.8%  | 1/46<br>2.2%   | 0.1%;<br>11.5%  | 2/42<br>4.8%   | 0.6%;<br>16.2%  | 1/43<br>2.3%   | 0.1%;<br>12.3%  |
| <b>21</b>          | 9/42<br>21.4%     | 10.3%;<br>36.8% | 13/42<br>31.0%  | 17.6%;<br>47.1% | 9/43<br>20.9%  | 10.0%;<br>36.0% | 7/46<br>15.2%  | 6.3%;<br>28.9%  | 20/42<br>47.6% | 32.0%;<br>63.6% | 18/43<br>41.9% | 27.0%;<br>57.9% |
| <b>42</b>          | 28/42<br>66.7%    | 50.5%;<br>80.4% | 25/39<br>64.1%  | 47.2%;<br>78.8% | 26/41<br>63.4% | 46.9%;<br>77.9% | 34/44<br>77.3% | 62.2%;<br>88.5% | 32/42<br>76.2% | 60.5%;<br>87.9% | 32/41<br>78.0% | 62.4%;<br>89.4% |
| <b>180</b>         | 18/42<br>42.9%    | 27.7%;<br>59.0% | 22/38<br>57.9%  | 40.8%;<br>73.7% | 25/41<br>61.0% | 44.5%;<br>75.8% | 25/43<br>58.1% | 42.1%;<br>73.0% | 30/42<br>71.4% | 55.4%;<br>84.3% | 35/41<br>85.4% | 70.8%;<br>94.4% |

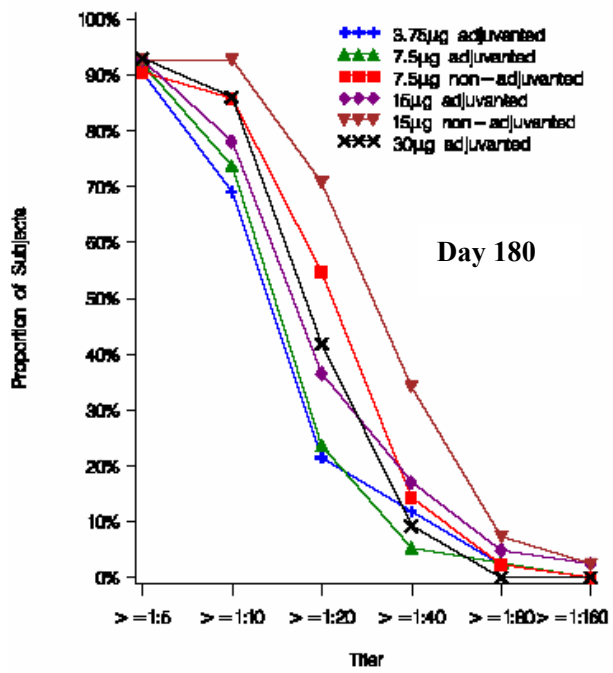
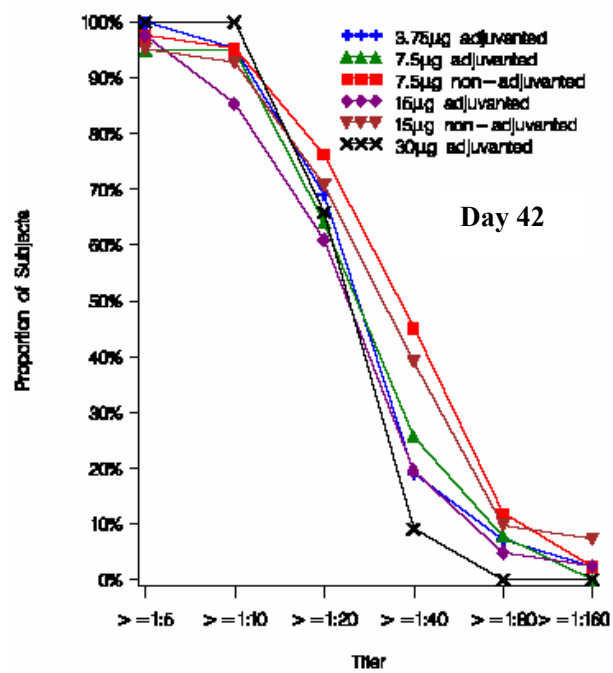
**Table 4: Number of subjects with antibody response associated with protection as defined by SRH area  $\geq 25\text{mm}^2$ , 21 days after 1st/2nd vaccination and 180 days after the first vaccination (ITT dataset)**

| Day       | Study Group             |               |                        |               |                       |               |                       |               |                   |               |                  |               |
|-----------|-------------------------|---------------|------------------------|---------------|-----------------------|---------------|-----------------------|---------------|-------------------|---------------|------------------|---------------|
|           | 3.75 $\mu\text{g}$ + Al |               | 7.5 $\mu\text{g}$ + Al |               | 15 $\mu\text{g}$ + Al |               | 30 $\mu\text{g}$ + Al |               | 7.5 $\mu\text{g}$ |               | 15 $\mu\text{g}$ |               |
|           | n/N<br>%                | 95%<br>C.I.   | n/N<br>%               | 95%<br>C.I.   | n/N<br>%              | 95%<br>C.I.   | n/N<br>%              | 95%<br>C.I.   | n/N<br>%          | 95%<br>C.I.   | n/N<br>%         | 95%<br>C.I.   |
| A/Vietnam |                         |               |                        |               |                       |               |                       |               |                   |               |                  |               |
| 0         | 2/42<br>4.8%            | 0.6;<br>6.2   | 2/42<br>4.8%           | 0.6;<br>16.2  | 2/43<br>4.7%          | 0.6;<br>15.8  | 1/46<br>2.2%          | 0.1;<br>11.5  | 3/42<br>7.1%      | 1.5;<br>19.5  | 1/43<br>2.3%     | 0.1;<br>12.3  |
| 21        | 11/42<br>26.2%          | 13.9;<br>42.0 | 11/42<br>26.2%         | 13.9;<br>42.0 | 7/43<br>16.3%         | 6.8;<br>30.7  | 10/46<br>21.7%        | 10.9;<br>36.4 | 29/42<br>69.0%    | 52.9;<br>82.4 | 18/43<br>41.9%   | 27.0;<br>57.9 |
| 42        | 21/42<br>50.0%          | 34.2;<br>65.8 | 14/39<br>35.9%         | 21.2;<br>52.8 | 16/41<br>39.0%        | 24.2;<br>55.5 | 25/43<br>58.1%        | 42.1;<br>73.0 | 33/42<br>78.6%    | 63.2;<br>89.7 | 25/41<br>61.0%   | 44.5;<br>75.8 |
| 180       | 11/42<br>26.2%          | 13.9;<br>42.0 | 6/38<br>15.8%          | 6.0;<br>31.3  | 11/41<br>26.8%        | 14.2;<br>42.9 | 15/43<br>34.9%        | 21.0;<br>50.9 | 22/42<br>52.4%    | 36.4;<br>68.0 | 20/41<br>48.8%   | 32.9;<br>64.9 |

Reverse cumulative analyses on MN titre distributions post dose 1 and 2 provide additional evidence on the lack of an adjuvanting effect of alum and demonstrate that there is no impact of the antigen concentration on the immune response, i.e no dose-response is observed neither for the adjuvanted nor the non-adjuvanted vaccine formulations (Figure 1).

**Figure 1: Reverse cumulative distributions of neutralising (MN) antibody responses (A/Vietnam)**





With both the SRH and the MN assay all three requirements were fulfilled following two immunisations with the non-adjuvanted 7.5µg vaccine formulation with seroprotection rate of 78.6% by SRH assay and seroneutralisation rate of 76.2% by MN assay, seroconversion rates of 69.0% and 73.8% and a GM fold increase of 5.3 and 6.3, respectively. Moreover cross-neutralisation experiments indicate a high responsiveness for the original prototype A/Hongkong strain (76.2%) and a reasonable cross-neutralising response for the further evolved strain A/Indonesia (45.2%). The neutralising antibody responses against all three virus strains persist over 6 months with low to moderate decline rates (A/Vietnam: 54.8%; A/Indonesia: 33.3%; A/Hongkong: 71.4%).

Thus, the choice of the non-adjuvanted 7.5µg formulation is justified for Celvapan.

- Main studies

**Study 810601** immunogenicity of the 7.5µg vaccine in healthy adults and elderly

METHODS (The methods for study 810501 and 810601 are described together in this section)

#### *Study Participants*

The inclusion and exclusion criteria for both studies 810501 and 810601 were in general identical except for the age at the time of first vaccination. In study 810501 healthy adults aged 18 to 45 years were enrolled, whereas in study 810601 persons 18-59 years of age and 60 years of age and older were included.

#### *Treatments*

##### **Study 810501:**

Four different alum adjuvanted (3.75µg, 7.5µg, 15µg, 30µg HA) and two non-adjuvanted (7.5µg, 15µg HA) vaccine formulations of the pandemic candidate influenza vaccine (single-dose presentation) were administered each on D0 and D21 as primary vaccinations. Each subject received two injections of 0.5ml of the same vaccine dose and formulation by intra-muscular injection into the musculus deltoideus. Blood samples were taken on day 0, day 21 and 41 as well as on day 180 (+14 days) for the immunogenicity assessment.

##### **Study 810601:**

One lot (Lot Number VNV1G001A) of the candidate vaccine was used for the first and second vaccinations in all subjects. The vaccine for the primary vaccination series was produced of strain A/Vietnam/1203/2003 according to the final manufacturing process. It is provided as multi-dose presentation containing no preservative

#### *Objectives*

##### **Study 810501:**

The primary objective of this study was to identify the immunogenicity and safety of different doses of an adjuvanted and non-adjuvanted mock-up pandemic influenza vaccine.

##### **Study 810601:**

To assess the immune response to an H5N1 influenza vaccine in an adult and elderly population

To assess the safety and tolerability of an H5N1 influenza vaccine in an adult and elderly population

To assess the need for and timing of a booster vaccination

For a subset of subjects further objectives of the study are:

To evaluate the T-cell mediated immune response induced by an H5N1 influenza vaccine after the first, second and booster vaccination.

#### *Outcomes/endpoints*

## **Study 810501:**

### **Primary endpoints**

Number of subjects with antibody response to the vaccine strain (A/Vietnam/1203/04) associated with protection 21 days after the first and second vaccination defined as either Hemagglutination Inhibition (HI) titer  $\geq 1:40$  or titer measured by Microneutralization (MN) test  $\geq 1:20$ .

**Secondary endpoints** included the antibody response 21 days after the first and second vaccinations in terms of:

- Fold increase of antibody response 21 days after the first and second vaccinations as compared to baseline measured by HI and MN assays
- Number of subjects with seroconversion defined as a minimum four fold increase in titer measured by HI or MN assay 21 days after the first and second vaccinations as compared to baseline
- Antibody response 180 days after the first vaccination measured by HI and MN assays
- Fold increase of antibody response 180 days after the first vaccination as compared to baseline measured by HI and MN assays
- Number of subjects with antibody response associated with protection 180 days after the first vaccination defined as either HI titer  $\geq 1:40$  or titer measured by MN  $\geq 1:20$
- Number of subjects with antibody response associated with protection 21 days after the first and second vaccinations as well as 180 days after the first vaccination defined as Single Radial Haemolysis (SRH) area  $\geq 25 \text{ mm}^2$ ;

For a subset of subjects cellular immunity has been assessed.

## **Study 810601:**

### **Primary endpoints**

Number of subjects with antibody response to the vaccine strain (A/Vietnam/1203/2004) associated with protection 21 days after the second vaccination defined as titer measured by microneutralization (MN) test  $\geq 20$

**Secondary endpoints** included the number of subjects with antibody response associated with protection 21 days after the first vaccination measured by MN assay, number of subjects with HI titer  $\geq 40$  and SRH area  $\geq 25 \text{ mm}^2$  measured 21 days after the first and second vaccinations, antibody titer 21 days after the first and second vaccinations as measured by MN, SRH and HI assays, fold increase of antibody response as compared to baseline 21 days after the first and second vaccinations as measured by MN, SRH and HI assays, number of subjects with seroconversion (defined as a minimum four fold titer increase) 21 days after the first and second vaccinations as measured by MN, SRH and HI assays and booster data measured with different assays.

For a subset of subjects cellular immunity has been assessed.

### *Sample size*

**Study 810501:** The sample size was planned under the assumption that for a seroprotection rate of 80% and 40 subjects per group, the (half-) width of the two-sided 95% CI for this rate is at most 15.2%. To account for a drop-out rate of about 10% forty-five subjects had to be enrolled per group.

**Study 810601:** Anticipating an observed seroprotection rate of about 60%, with a sample size of 250 subjects, the (half-) width of the two-sided 95% CI for this rate is at most 6.4%. In order to account for a drop-out rate of 10% a total number of 275 subjects were to be included into each of the 2 age strata (18 to 59 years,  $\geq 60$  years).

### *Randomisation*

**In study 810501** patients were randomised in cohorts. In cohort 1 patients were randomised applying a randomisation ratio of 1:1:1 to receive 3.75 $\mu\text{g}$  adjuvanted, 7.5 $\mu\text{g}$  adjuvanted or 7.5 $\mu\text{g}$  non-adjuvanted H5N1, in cohort 2 patients were randomised in an 1:1 ratio to receive either 15 $\mu\text{g}$

adjuvanted or 15µg non-adjuvanted H5N1 while patients in cohort 3 were not randomised but received 30 µg adjuvanted H5N1.

**In study 810601** initially all patients received 7.5µg non-adjuvanted H5N1. Subjects were randomised at visit 4 (day 180 +/- 14 days) in a ratio of 2:1:1 to receive either 6 months, 12-months or 24-months booster vaccinations.

#### *Blinding (masking)*

Study 810501 was blinded with respect to the individual treatment group within cohorts 1 and 2 respectively. The reported part of study 810601 was performed as a not controlled, open label trial.

#### *Statistical methods*

Seroprotection rates were the primary efficacy parameter in both trials. In study 810501 for each treatment group the seroprotection rates (defined as MN titer  $\geq$  1:20 and HI titer  $\geq$  1:40 respectively) 21 days after the first and second vaccination and their 95% CIs intervals were calculated separately for both, HI and MN assays. In study 810601 the seroprotection rates (defined as MN titer  $\geq$  1:20) 21 days after the second vaccination and their 95% confidence intervals calculated separately for both age strata.

All secondary immunogenicity endpoints were described by means of point estimates including their 95%-CIs stratified for the pre-defined strata.

In order to assess the effect of adjuvant, in study 810501 the antibody response to the two vaccine doses prepared with and without adjuvant (with 7.5 µg and 15 µg of antigen) was evaluated by an analysis of covariance. Dose, presence of adjuvant and the interaction between dose and adjuvant were the factors included into the analysis model; baseline values were considered as covariates. These analyses were done separately for the HI assay and the MN assay, as well as for the first and second vaccination. Logistic regression was used to perform similar analyses with respect to seroprotection rates and seroconversion rates.

#### *Study population*

Subjects are included in the Intent to treat Population (ITT) datasets if they received the 1st/2nd vaccination and have available serology data at Day 21 after the 1<sup>st</sup>/2<sup>nd</sup> vaccination.

Subjects are included in the Per Protocol Population (PP) analysis if they fulfill inclusion/exclusion criteria, have no major protocol violations, received both vaccinations and have available serology data at Day 21 after the 1<sup>st</sup>/2<sup>nd</sup> vaccination.

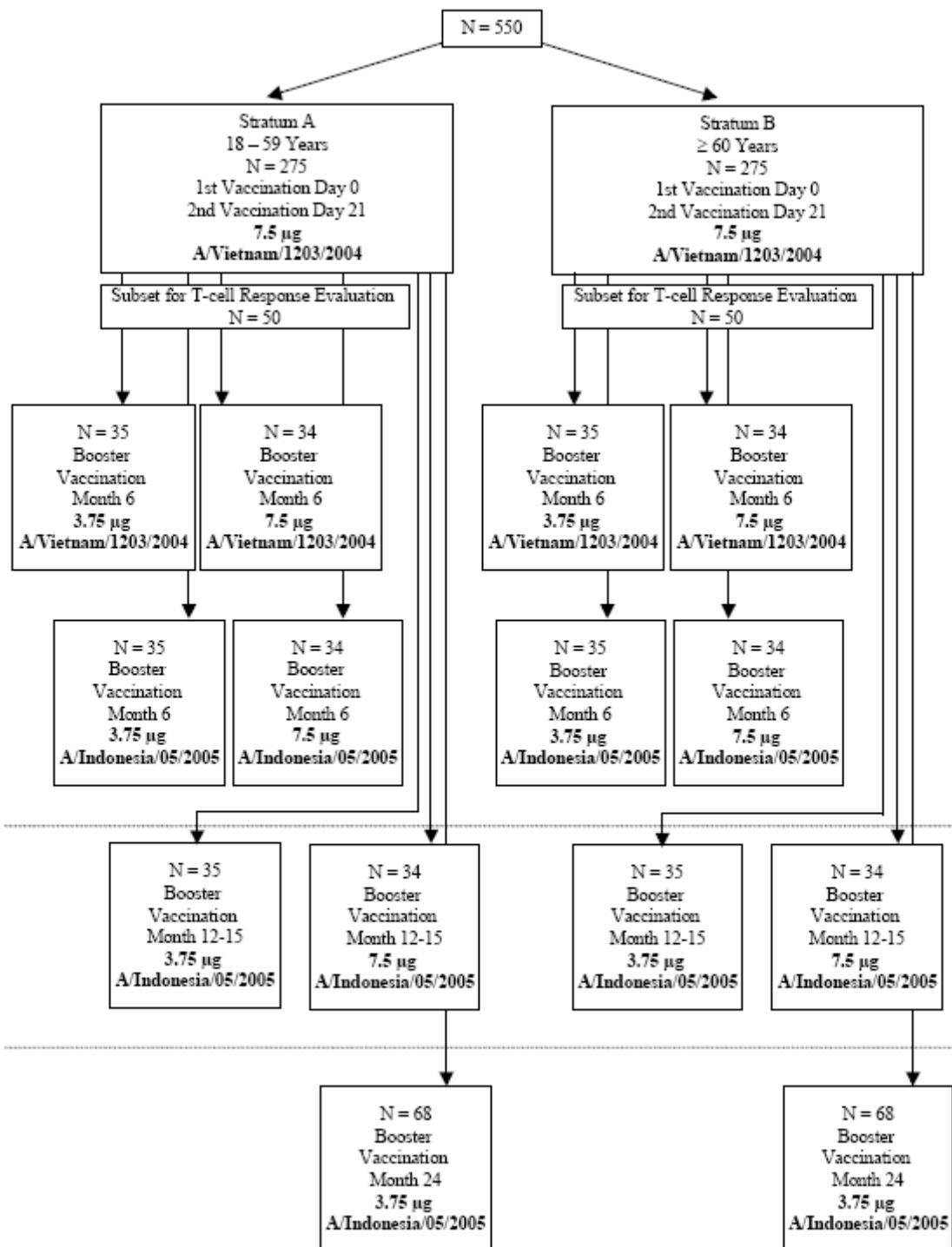
## RESULTS

### *Participant flow*

#### **Study 810501:**

Each subject received two 0.5ml doses of the same vaccine intramuscularly in the primary vaccination series (D0 and D21) and a booster dose of the vaccine containing either the homologous A/Vietnam strain or the heterologous A/Indonesia/05/2005 strain was administered to a subset of subjects on month 6, month 12 or month 24, respectively (see flow chart below).

Study Design for Baxter Clinical Study 810601:



For immunogenicity evaluation blood samples are drawn on day 0 pre-vaccination and 21 days after the first and second vaccinations. Further samples were drawn before and 21 days after each booster immunisation.

#### Recruitment

In study 810501 the date of first enrolment was 12.06.2006, for Part A (through day 42): 05.10.2006, for Part B (through Day 180): 16.02.2007 and the last subject completed Part C (through Day 250) on 07.03.2007.

In study 810601 the first subject has been enrolled 10.04.2007 and the last subject completed Part A (through Day 42) at 02.08.2007.

### *Conduct of the study*

In **study 810501** a total of 284 subjects were enrolled of which 275 received the first vaccination and 257 subjects received the second vaccination. In total, 249 subjects were valuable for the immunogenicity analysis. Seventeen subjects did not come back after the first vaccination and eight subjects did not come back after the second vaccination at day 42.

Study **810601** had 6 amendments to the original protocol, but only 5 were ultimately implemented. All study centres in Singapore and Hong Kong were dropped. For the German study centres, a blood draw to evaluate liver function 7 days after the first and second vaccination was introduced in response to elevated liver enzymes in a preclinical test in rats. The amended booster vaccination schedule includes a booster vaccination at 6-months, 12-months and 24-months using the H5N1 influenza vaccine containing alternatively the vaccine strain or the clade 2 A/Indonesia/05/2005 strain. In the amendment 5, the principal investigator of a study site in Austria was replaced because of GCP/GDP related irregularities at this site. Amendment 6 comprised of a revision of the 12M booster to include both the 3.75 and 7.5µg dose of A/Indonesia/05/2005 strain vaccine.

### *Baseline data*

In **study 80501** slightly more male subjects (143 for the first and 137 for the second vaccination) than female subjects (115 for the first vaccination and 112 for the second vaccination) were included in the immunogenicity dataset. On Day 180 slightly more male subjects (136) than female subjects (111) were included in the immunogenicity dataset. The largest number of subjects in both datasets was aged 18 to 25 years (23%-35% across groups); the second largest number of subjects was aged 26 to 30 years (19%-35% across groups).

### **Study 810601**

Gender was evenly distributed in both strata. Age was well distributed in Stratum A, in Stratum B 51.1 % of subjects were between 60 and 65 and a further 32.5 % of subjects between 66 and 70 years old. Seropositive antibody titres against the H5N1 vaccine strain (A/Vietnam/1203/2004) at baseline were shown in 4.1% and 16.9% of subjects for MN, and 4.5% and 5.3% for SRH in Stratum A and B, respectively.

### *Numbers analysed*

In **study 810501** the immunogenicity dataset was used for the analysis of antibody response after the first and second vaccinations and on Day 180 and comprised the subjects who fulfilled the inclusion/exclusion criteria and had immunogenicity data available for the first (n=258) and second (n=249) vaccination, as well as for Day 180 (n=247). No subjects were excluded for major protocol violations.

In **study 810601** number of subjects planned were 550 (275 Stratum A, 275 Stratum B) and analyzed (Part A) were 561 (281 Stratum A, 280 Stratum B) in full analysis dataset for first vaccination, 542 (270 Stratum A, 272 Stratum B) in ITT dataset for first vaccination (ITT 1), 539 (269 Stratum A, 270 Stratum B) received second vaccination, 539 (269 Stratum A, 270 Stratum B) in full analysis dataset for second vaccination, 535 (265 Stratum A, 270 Stratum B) in ITT dataset for second vaccination (ITT 2) and 525 (257 Stratum A, 268 Stratum B) in PP dataset for second vaccination

### *Outcomes and estimation*

Following two vaccinations and based on the MN assay all three requirements were fulfilled in the age group of adults and 2 out of 3 requirements were met in the elderly (Table 5). With regards to the group of adults a seroneutralisation rate of 72.5%, a seroconversion rate of 60.8% and a 4.7 fold GM increase was achieved. In the elderly a seroneutralisation rate of 74.1%, a seroconversion rate of 26.7% and a 2.8 fold increase was obtained (Table 5). In summary based on the MN assay 3 out of 3

CHMP requirements were met for the adults and 2 out of 3 requirements were fulfilled for the elderly subjects.

**Table 5: Immunogenicity evaluation using the MN assay and wild type strain A/Vietnam (ITT dataset)**

|   | Age groups |      |             |         |      |             |
|---|------------|------|-------------|---------|------|-------------|
|   | 18-59 yrs  |      |             | ≥60 yrs |      |             |
| <b>Seroneutralisation rates (MN titer ≥1:20) 21 days after 1<sup>st</sup>/2<sup>nd</sup> vaccination</b>            |            |      |             |         |      |             |
| Day   | n/N        | %    | 95% CI      | n/N     | %    | 95% CI      |
| 0   | 11/270     | 4.1  | 2.1; 7.2    | 46/272  | 16.9 | 12.7; 21.9  |
| 21  | 137/270    | 50.7 | 44.6; 56.9  | 148/272 | 54.4 | 48.3; 60.4  |
| 42  | 192/265    | 72.5 | 66.7; 77.7  | 200/270 | 74.1 | 68.4; 79.2  |
| 180   | 85/243     | 35.0 | 29.0; 41.3  | 104/257 | 40.5 | 34.4; 46.7  |
| <b>Seroconversion rates 21 days after the 1<sup>st</sup> and 2<sup>nd</sup> vaccination as compared to baseline</b> |            |      |             |         |      |             |
| Day   | n/N        | %    | 95% CI      | n/N     | %    | 95% CI      |
| 21  | 107/270    | 39.6 | 33.8; 45.7  | 39/272  | 14.3 | 10.4; 19.1  |
| 42  | 161/265    | 60.8 | 54.6; 66.7  | 72/270  | 26.7 | 21.5; 32.4  |
| <b>Geometric Mean measured 21 days after 1<sup>st</sup>/2<sup>nd</sup> vaccination</b>                              |            |      |             |         |      |             |
| Day   | N          | GMT  | 95% CI      | N       | GMT  | 95% CI      |
| 0   | 270        | 5.7  | 5.3 ; 6.1   | 272     | 10.5 | 9.7 ; 11.4  |
| 21  | 270        | 19.5 | 17.9 ; 21.2 | 272     | 21.6 | 19.8 ; 23.6 |
| 42  | 265        | 26.5 | 24.4 ; 28.7 | 270     | 29.5 | 27.2 ; 31.9 |
| 180   | 243        | 16.0 | 14.7 ; 17.4 | 257     | 18.5 | 16.9 ; 20.1 |

| <b>Geometric Mean fold Increase measured 21 days after 1<sup>st</sup>/2<sup>nd</sup> vaccination as compared to baseline</b> |     |     |           |     |     |           |
|--|-----|-----|-----------|-----|-----|-----------|
| Day  | N   | GM  | 95% CI    | N   | GM  | 95% CI    |
| 21   | 270 | 3.4 | 3.1 ; 3.7 | 272 | 2.1 | 1.9 ; 2.2 |
| 42   | 265 | 4.7 | 4.2 ; 5.1 | 270 | 2.8 | 2.6 ; 3.0 |

The results of the MN assay were generally confirmed by the SRH assay (Table 6). Following two vaccinations 2 out of 3 three CHMP requirements were fulfilled in adults and all three 3 requirements were met in the elderly. In the group of the adults a seroprotection rate of 63.3%, a seroconversion rate of 60.2% and a 4.6 fold GM increase was achieved. In the elderly a seroprotection rate of 67.7%, a seroconversion rate of 62.4% and a 4.6 fold increase was obtained.

**Table 6: Immunogenicity evaluation using the SRH assay and wild type strain A/Vietnam (ITT dataset)**

|  | Age groups |      |             |         |      |             |
|--|------------|------|-------------|---------|------|-------------|
|  | 18-59 yrs  |      |             | ≥60 yrs |      |             |
| <b>Seroprotection rates (SRH area <math>\geq 25 \text{ mm}^2</math>) 21 days after 1<sup>st</sup>/2<sup>nd</sup> vaccination</b> |            |      |             |         |      |             |
| Day  | n/N        | %    | 95% CI      | n/N     | %    | 95% CI      |
| 0  | 12/268     | 4.5  | 2.3; 7.7    | 14/266  | 5.3  | 2.9; 8.7    |
| 21   | 142/266    | 53.4 | 47.2; 59.5  | 157/271 | 57.9 | 51.8; 63.9  |
| 42   | 164/259    | 63.3 | 57.1; 69.2  | 180/266 | 67.7 | 61.7; 73.3  |
| 180  | 58/243     | 23.9 | 18.7; 29.7  | 69/258  | 26.7 | 21.4; 32.6  |
| <b>Seroconversion rates 21 days after the 1<sup>st</sup> and 2<sup>nd</sup> vaccination as compared to baseline</b>              |            |      |             |         |      |             |
| Day  | n/N        | %    | 95% CI      | n/N     | %    | 95% CI      |
| 21   | 132/266    | 49.6 | 43.5; 55.8  | 142/271 | 52.4 | 46.3; 58.5  |
| 42   | 156/259    | 60.2 | 54.0; 66.2  | 166/266 | 62.4 | 56.3; 68.2  |
| <b>Geometric Mean measured 21 days after 1<sup>st</sup>/2<sup>nd</sup> vaccination</b>   |            |      |             |         |      |             |
| Day  | N          | GMT  | 95% CI      | N       | GMT  | 95% CI      |
| 0  | 268        | 4.9  | 4.6 ; 5.3   | 266     | 5.4  | 5.0 ; 5.8   |
| 21   | 266        | 17.2 | 14.8 ; 20.0 | 271     | 19.6 | 17.0 ; 22.7 |
| 42   | 259        | 22.7 | 19.6 ; 26.4 | 266     | 25.0 | 21.7 ; 28.8 |
| 180  | 243        | 9.3  | 8.2 ; 10.6  | 258     | 9.8  | 8.6 ; 11.2  |
| <b>Geometric Mean fold Increase measured 21 days after 1<sup>st</sup>/2<sup>nd</sup> vaccination as compared to baseline</b>     |            |      |             |         |      |             |
| Day  | N          | GM   | 95% CI      | N       | GM   | 95% CI      |
| 21   | 264        | 3.5  | 3.0 ; 4.1   | 265     | 3.6  | 3.1 ; 4.2   |
| 42   | 257        | 4.6  | 4.0 ; 5.4   | 260     | 4.6  | 4.0 ; 5.3   |

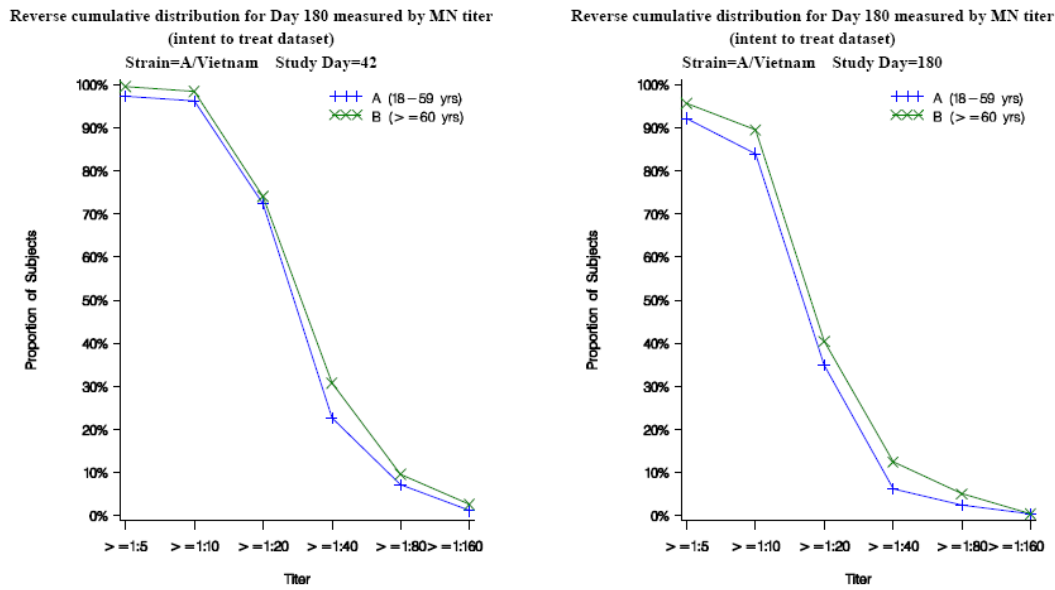
Of note is the high rate of seropositivity in the MN assay prior to vaccination. Detectable pre-vaccination anti H5N1 neutralising antibodies were found in 4.1% of subjects in the group of adults (11 subjects) and 16.9% of subjects in the group of elderly (46 subjects). This finding is confirmed by the reverse distribution of MN titres where 60% of elderly subjects achieved MN titres of at least 1:10. Considering that elderly are routinely vaccinated with seasonal influenza vaccines, it can be assumed that an antibody response against N1 is at least partially responsible for the pre-existing immunity towards H5N1 viruses. The presence of cross-reactive antibodies especially at older ages is well documented and was also reported for other pandemic vaccines. It should be noted however, that cross-neutralisation experiments conducted in guinea pigs demonstrate that the immune response to Celvapan is predominantly directed against the H5 molecule and not the N1 protein. This implies that a pre-existing immunity against the N1 protein is probably not boosted by Celvapan. In order to clarify, whether the baseline seropositivity is due to cross reactive anti NA antibodies cross-absorption analyses using different concentrations of NA and HA were requested and the Applicant is committed to initiate such studies.

Although a high proportion of the elderly were found to have pre-existing neutralising antibodies only a low seroconversion rate (defined as 4-fold increase) could be achieved post dose II indicating that there is a reduced ability to react to antigen or to boost the immune response. Moreover the comparison of the seroconversion rates measured by MN vs. SRH assay reveals significant differences for elderly subjects. Post dose I seroconversion rates of 14.3 % (MN assay) and 52.4 % (SRH assay) were obtained and reached 26.7 % and 62.4 % by MN assay and SRH assay, respectively following post dose II. In order to dispel the influence of baseline H5N1 antibody titres on the immunogenicity results, a detailed analysis of the serology endpoints according to baseline status was requested. The study population was divided into two groups by using a cut-off of  $<25\text{mm}^2$  for the SRH and  $<1:20$  for the MN assay. Therefore, one group consisted of those subjects who already had so-called “protective” titres at baseline and the other group was made up of subjects who were either seronegative or had low titres before the first immunization. This analysis predictably showed that those subjects who had a high titre at baseline still had high titres at day 42, but fold increase and seroconversion rates were lower for both assays. The subjects with low or negative baseline titres showed adequate SRH fold increase and seroconversion rates, but the rate of subjects with a titre  $\geq 25\text{mm}^2$  was 61.8% in the group of adults and therefore well below the acceptance limit. In the group of the elderly all 3 requirements for the SRH assay were met. Regarding the MN assay, if the CHMP guideline requirements are applied, all of them can be satisfied in both age strata. A further analysis of subjects negative for baseline neutralising antibodies is deemed to be of greater relevance to identify the responsiveness of immunologically naïve subjects.

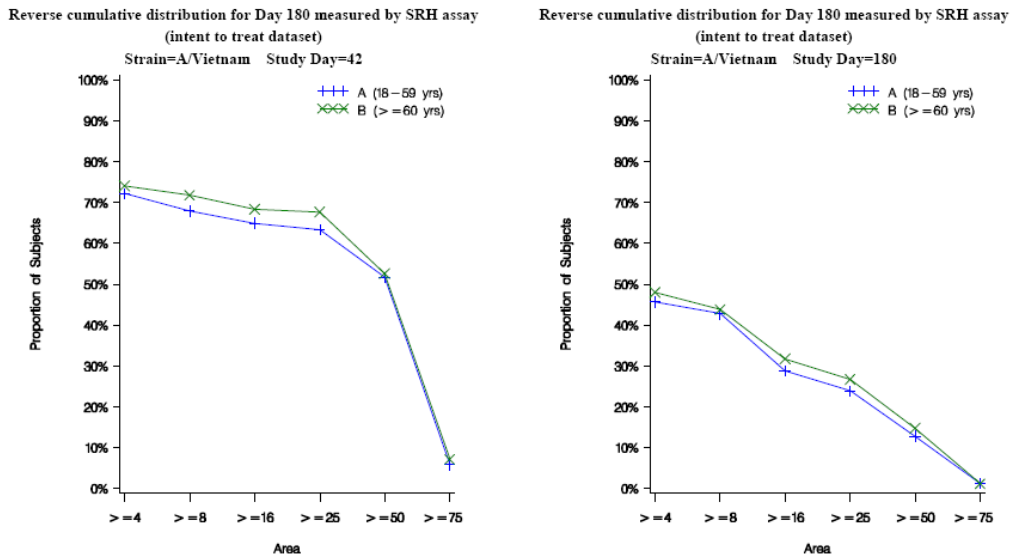
#### **Antibody persistence**

Data on antibody persistence up to day 180 were provided in the Applicant’s response to the day120 LoQ and Table 5 (MN assay) and Table 6 (SRH assay) are updated accordingly. The data on antibody persistence reveal a decline in seroneutralisation/seroprotection rates of 35% to 40% for both age groups using either the MN or the SRH assay. The decline in the neutralizing antibody responses is however less pronounced than the decline in antibody responses determined by SRH assay. Whereas a substantial number of vaccinees have neutralizing antibody titres (of at least of 1:10) up to 180 days post vaccination (Figure 2), for only approximately 50% of adults and elderly subjects antibodies  $\geq 4\text{mm}^2$  are detectable in the SRH assay (Figure 3).

**Figure 2: Reverse cumulative distributions of neutralizing (MN) antibody responses (A/Vietnam)**



**Figure 3: Reverse cumulative distributions of antibody responses as measured by SRH assay (A/Vietnam)**



**Results following booster immunisation**

The effects of a homologous and heterologous booster immunisation were evaluated in study 810703 (follow-up to dose-finding study 810501) and in study 810601 (part C). The study reports were provided in the Applicant’s response to the day120 LoQ.

**Study 810703 (follow-up to study 810501)**

All subjects (N=141) who were vaccinated and completed the Day 42 visit at the Austrian study site in Study 810501 were invited to participate in this follow-up study. Only 77 of the 141 subjects who completed Study 810501 through Day 42 and were eligible for this follow-up agreed to participate.

Each subject received one dose of 7.5 µg A/H5N1/Indonesia/05/2005 HA antigen in a non-adjuvanted formulation as a heterologous booster vaccination 12 to 17 months (360 to 510 days) after the first vaccination with a two-dose regimen of the A/Vietnam/1203/2004 strain influenza vaccine administered in Study 810501. Blood samples were drawn on Day 0 before vaccination, as well as on Day 7 and 21 of the study.

The following serological assays were performed to assess the antibody response to the vaccine: MN, SRH and HI. The HI results were again consistently low with and highly inconsistent with the immune response detected with MN and SRH assays.

The seroneutralisation/seroprotection rates against strain A/Vietnam and strain A/Indonesia following a heterologous booster immunisation with 7.5µg HA strain A/Indonesia/05/2005 are summarised in Table 7 for the MN assay and in Table 8 for the SRH assay.

**Table 7: Number of subjects with neutralising antibody response (MN titer  $\geq 1:20$ ) following a booster with non-adjuvanted 7.5µg A/Indonesia/05/2005 vaccine dose (ITT dataset)**

|                    | Study Group in Study 810501 |        |           |        |          |        |          |        |        |        |        |        |
|--------------------|-----------------------------|--------|-----------|--------|----------|--------|----------|--------|--------|--------|--------|--------|
|                    | 3.75µg + AI                 |        | 7.5µg +AI |        | 15µg +AI |        | 30µg +AI |        | 7.5µg  |        | 15µg   |        |
|                    | n/N                         | 95%    | n/N       | 95%    | n/N      | 95%    | n/N      | 95%    | n/N    | 95%    | n/N    | 95%    |
|                    | %                           | CI     | %         | CI     | %        | CI     | %        | CI     | %      | CI     | %      | CI     |
| <b>A/Vietnam</b>   |                             |        |           |        |          |        |          |        |        |        |        |        |
| <b>D0</b>          | 2/17                        | 1.5%;  | 2/15      | 1.7%;  | 2/13     | 1.9%;  | 3/12     | 5.5%;  | 3/12   | 5.5%;  | 4/8    | 15.7%; |
|                    | 11.8%                       | 36.4%  | 13.3%     | 40.5%  | 15.4%    | 45.4%  | 25.0%    | 57.2%  | 25.0%  | 57.2%  | 50.0%  | 84.3%  |
| <b>D7</b>          | 13/16                       | 54.4%; | 14/15     | 68.1%; | 12/13    | 64.0%; | 11/12    | 61.5%; | 10/11  | 58.7%; | 8/8    | 63.1%; |
|                    | 81.3%                       | 96.0%  | 93.3%     | 99.8%  | 92.3%    | 99.8%  | 91.7%    | 99.8%  | 90.9%  | 99.8%  | 100.0% | 100.0% |
| <b>D21</b>         | 16/17                       | 71.3%; | 14/15     | 68.1%; | 13/13    | 75.3%; | 12/12    | 73.5%; | 11/12  | 61.5%; | 7/7    | 59.0%; |
|                    | 94.1%                       | 99.9%  | 93.3%     | 99.8%  | 100.0%   | 100.0% | 100.0%   | 100.0% | 91.7%  | 99.8%  | 100.0% | 100.0% |
| <b>A/Indonesia</b> |                             |        |           |        |          |        |          |        |        |        |        |        |
| <b>D0</b>          | 0/17                        | 0.0%;  | 1/15      | 0.2%;  | 0/13     | 0.0%;  | 1/12     | 0.2%;  | 0/12   | 0.0%;  | 0/8    | 0.0%;  |
|                    | 0.0%                        | 19.5%  | 6.7%      | 31.9%  | 0.0%     | 24.7%  | 8.3%     | 38.5%  | 0.0%   | 26.5%  | 0.0%   | 36.9%  |
| <b>D7</b>          | 13/16                       | 54.4%; | 14/15     | 68.1%; | 12/13    | 64.0%; | 12/12    | 73.5%; | 10/11  | 58.7%; | 8/8    | 63.1%; |
|                    | 81.3%                       | 96.0%  | 93.3%     | 99.8%  | 92.3%    | 99.8%  | 100.0%   | 100.0% | 90.9%  | 99.8%  | 100.0% | 100.0% |
| <b>D21</b>         | 16/17                       | 71.3%; | 15/15     | 78.2%; | 13/13    | 75.3%; | 12/12    | 73.5%; | 12/12  | 73.5%; | 6/7    | 42.1%; |
|                    | 94.1%                       | 99.9%  | 100.0%    | 100.0% | 100.0%   | 100.0% | 100.0%   | 100.0% | 100.0% | 100.0% | 85.7%  | 99.6%  |

**Table 8: Number of subjects with antibody response associated with protection as defined by SRH area  $\geq 25\text{mm}^2$  following a booster with non-adjuvanted 7.5µg A/Indonesia/05/2005 vaccine dose (ITT dataset)**

|                    | Study Group in Study 810501 |        |           |        |          |        |          |        |       |        |       |        |
|--------------------|-----------------------------|--------|-----------|--------|----------|--------|----------|--------|-------|--------|-------|--------|
|                    | 3.75µg + AI                 |        | 7.5µg +AI |        | 15µg +AI |        | 30µg +AI |        | 7.5µg |        | 15µg  |        |
|                    | n/N                         | 95%    | n/N       | 95%    | n/N      | 95%    | n/N      | 95%    | n/N   | 95%    | n/N   | 95%    |
|                    | %                           | CI     | %         | CI     | %        | CI     | %        | CI     | %     | CI     | %     | CI     |
| <b>A/Vietnam</b>   |                             |        |           |        |          |        |          |        |       |        |       |        |
| <b>D0</b>          | 0/17                        | 0.0%;  | 0/15      | 0.0%;  | 1/13     | 0.2%;  | 0/12     | 0.0%;  | 0/12  | 0.0%;  | 2/8   | 3.2%;  |
|                    | 0.0%                        | 19.5%  | 0.0%      | 21.8%  | 7.7%     | 36.0%  | 0.0%     | 26.5%  | 0.0%  | 26.5%  | 25.0% | 65.1%  |
| <b>D7</b>          | 11/16                       | 41.3%; | 10/15     | 38.4%; | 9/13     | 38.6%; | 11/12    | 61.5%; | 10/11 | 58.7%; | 5/8   | 24.5%; |
|                    | 68.8%                       | 89.0%  | 66.7%     | 88.2%  | 69.2%    | 90.9%  | 91.7%    | 99.8%  | 90.9% | 99.8%  | 62.5% | 91.5%  |
| <b>D21</b>         | 15/17                       | 63.6%; | 13/15     | 59.5%; | 13/13    | 75.3%; | 12/12    | 73.5%; | 10/12 | 51.6%; | 6/7   | 42.1%; |
|                    | 88.2%                       | 98.5%  | 86.7%     | 98.3%  | 100.0%   | 100.0% | 100.0%   | 100.0% | 83.3% | 97.9%  | 85.7% | 99.6%  |
| <b>A/Indonesia</b> |                             |        |           |        |          |        |          |        |       |        |       |        |
| <b>D0</b>          | 0/17                        | 0.0%;  | 0/15      | 0.0%;  | 0/13     | 0.0%;  | 0/12     | 0.0%;  | 0/12  | 0.0%;  | 0/8   | 0.0%;  |
|                    | 0.0%                        | 19.5%  | 0.0%      | 21.8%  | 0.0%     | 24.7%  | 0.0%     | 26.5%  | 0.0%  | 26.5%  | 0.0%  | 36.9%  |
| <b>D7</b>          | 9/16                        | 29.9%; | 10/15     | 38.4%; | 9/13     | 38.6%; | 11/12    | 61.5%; | 8/11  | 39.0%; | 3/8   | 8.5%;  |
|                    | 56.3%                       | 80.2%  | 66.7%     | 88.2%  | 69.2%    | 90.9%  | 91.7%    | 99.8%  | 72.7% | 94.0%  | 37.5% | 75.5%  |
| <b>D21</b>         | 13/17                       | 50.1%; | 11/15     | 44.9%; | 12/13    | 64.0%; | 12/12    | 73.5%; | 8/12  | 34.9%; | 4/7   | 18.4%; |
|                    | 76.5%                       | 93.2%  | 73.3%     | 92.2%  | 92.3%    | 99.8%  | 100.0%   | 100.0% | 66.7% | 90.1%  | 57.1% | 90.1%  |

The GM fold increase following the heterologous 7.5µg booster immunisation is given in Table 9 (MN assay) and Table 10 (SRH assay).

**Table 9: Geometric Mean fold increase of MN titer measured 7 and 21 days after booster vaccination with 7.5µg HA strain A/Indonesia/05/2005**

|                            | Study Group in Study 810501 |                   |           |                   |          |                    |           |                    |       |                   |      |                  |
|----------------------------|-----------------------------|-------------------|-----------|-------------------|----------|--------------------|-----------|--------------------|-------|-------------------|------|------------------|
|                            | 3.75µg + AI                 |                   | 7.5µg +AI |                   | 15µg +AI |                    | 30µg + AI |                    | 7.5µg |                   | 15µg |                  |
|                            | N                           | GMI<br>95% CI     | N         | GMI<br>95% CI     | N        | GMI<br>95% CI      | N         | GMI<br>95% CI      | N     | GMI<br>95% CI     | N    | GMI<br>95% CI    |
| <b>A/Vietnam/1203/2004</b> |                             |                   |           |                   |          |                    |           |                    |       |                   |      |                  |
| <b>D7</b>                  | 16                          | 3.8<br>2.8; 5.1   | 15        | 6.9<br>3.9; 12.4  | 13       | 6.5<br>3.6; 11.8   | 12        | 6.6<br>4.0; 10.9   | 11    | 6.1<br>3.8; 9.7   | 8    | 3.2<br>1.7; 5.9  |
| <b>D21</b>                 | 17                          | 6.1<br>3.7; 9.8   | 15        | 12.8<br>6.9; 23.5 | 13       | 11.6<br>6.9; 19.3  | 12        | 12.4<br>8.0; 19.2  | 12    | 7.0<br>4.1; 12.0  | 7    | 4.8<br>2.1; 11.2 |
| <b>A/Indonesia/05/2005</b> |                             |                   |           |                   |          |                    |           |                    |       |                   |      |                  |
| <b>D7</b>                  | 16                          | 8.4<br>5.1;13.8   | 15        | 10.8<br>6.0; 19.4 | 13       | 11.8<br>6.3; 22.1  | 12        | 15.1<br>7.4; 30.8  | 11    | 11.8<br>7.0; 19.9 | 8    | 5.6<br>2.6; 11.9 |
| <b>D21</b>                 | 17                          | 15.5<br>8.7; 27.6 | 15        | 24.0<br>13.7;42.0 | 13       | 25.6<br>15.8; 41.5 | 12        | 33.0<br>16.8; 64.8 | 12    | 14.3<br>8.4; 24.5 | 7    | 9.2<br>3.2; 27.1 |

**Table 10: Geometric Mean of fold increase of antibody responses measured by SRH assay 7 and 21 days after booster vaccination with 7.5µg HA strain A/Indonesia/05/2005**

|                            | Study Group in Study 810501 |                   |           |                  |          |                   |           |                    |       |                   |      |                  |
|----------------------------|-----------------------------|-------------------|-----------|------------------|----------|-------------------|-----------|--------------------|-------|-------------------|------|------------------|
|                            | 3.75µg + AI                 |                   | 7.5µg +AI |                  | 15µg +AI |                   | 30µg + AI |                    | 7.5µg |                   | 15µg |                  |
|                            | N                           | GMI<br>95% CI     | N         | GMI<br>95% CI    | N        | GMI<br>95% CI     | N         | GMI<br>95% CI      | N     | GMI<br>95% CI     | N    | GMI<br>95% CI    |
| <b>A/Vietnam/1203/2004</b> |                             |                   |           |                  |          |                   |           |                    |       |                   |      |                  |
| <b>D7</b>                  | 16                          | 5.6<br>3.0;10.3   | 15        | 5.7<br>3.0; 10.7 | 13       | 5.4<br>2.5; 11.5  | 12        | 10.0<br>6.1; 16.3  | 11    | 11.3<br>6.5; 19.6 | 8    | 2.6<br>0.9; 7.2  |
| <b>D21</b>                 | 17                          | 10.2<br>6.8; 15.5 | 15        | 9.6<br>5.6; 16.4 | 13       | 11.9<br>7.4; 19.1 | 12        | 14.5<br>12.2; 17.1 | 12    | 10.0<br>5.0; 19.8 | 7    | 4.5<br>1.4; 14.5 |
| <b>A/Indonesia/05/2005</b> |                             |                   |           |                  |          |                   |           |                    |       |                   |      |                  |
| <b>D7</b>                  | 16                          | 4.4<br>2.4; 8.0   | 15        | 6.5<br>3.8; 10.9 | 13       | 6.6<br>3.9; 11.1  | 12        | 10.9<br>6.6; 17.9  | 11    | 8.1<br>4.1; 16.0  | 8    | 3.0<br>1.0; 9.1  |
| <b>D21</b>                 | 17                          | 7.6<br>4.6; 12.7  | 15        | 8.5<br>5.0; 14.5 | 13       | 12.2<br>9.2; 16.0 | 12        | 15.4<br>13.3; 17.8 | 12    | 7.4<br>3.4; 15.8  | 7    | 4.5<br>1.2; 16.7 |

Seroconversion rates as determined by MN assay (4-fold increase, Table 11) or SRH assay (50% increase in haemolysis, Table 12) at 7 and 21 days after heterologous 7.5µg booster immunisation are given below.

**Table 11: Rate of subjects with  $\geq 4$  fold increase measured by MN titer 7 and 21 days after booster vaccination with 7.5µg HA strain A/Indonesia/05/2005**

|                    | Study Group in Study 810501 |                 |                 |                 |                 |                  |                 |                  |                |                 |              |                 |
|--------------------|-----------------------------|-----------------|-----------------|-----------------|-----------------|------------------|-----------------|------------------|----------------|-----------------|--------------|-----------------|
|                    | 3.75µg + AI                 |                 | 7.5µg +AI       |                 | 15µg +AI        |                  | 30µg +AI        |                  | 7.5µg          |                 | 15µg         |                 |
|                    | n/N (%)                     | 95% C.I.        | n/N (%)         | 95% C.I.        | n/N (%)         | 95% C.I.         | n/N (%)         | 95% C.I.         | n/N (%)        | 95% C.I.        | n/N (%)      | 95% C.I.        |
| <b>A/Vietnam</b>   |                             |                 |                 |                 |                 |                  |                 |                  |                |                 |              |                 |
| <b>D7</b>          | 7/16<br>43.8%               | 19.8%;<br>70.1% | 10/15<br>66.7%  | 38.4;<br>88.2   | 7/13<br>53.8%   | 25.1%;<br>80.8%  | 8/12<br>66.7%   | 34.9%;<br>90.1%  | 8/11<br>72.7%  | 39.0%;<br>94.0% | 3/8<br>37.5% | 8.5%;<br>75.5%  |
| <b>D21</b>         | 11/17<br>64.7%              | 38.3%;<br>85.8% | 11/15<br>73.3%  | 44.9;<br>92.2   | 12/13<br>92.3%  | 64.0%;<br>99.8%  | 12/12<br>100.0% | 73.5%;<br>100.0% | 8/12<br>66.7%  | 34.9%;<br>90.1% | 4/7<br>57.1% | 18.4%;<br>90.1% |
| <b>A/Indonesia</b> |                             |                 |                 |                 |                 |                  |                 |                  |                |                 |              |                 |
| <b>D7</b>          | 13/16<br>81.3%              | 54.4%;<br>96.0% | 13/15<br>86.7%  | 59.5;<br>98.3%  | 11/13<br>84.6%  | 54.6%;<br>98.1%  | 12/12<br>100.0% | 73.5%;<br>100.0% | 10/11<br>90.9% | 58.7%;<br>99.8% | 5/8<br>62.5% | 24.5%;<br>91.5% |
| <b>D21</b>         | 15/17<br>88.2%              | 63.6%;<br>98.5% | 15/15<br>100.0% | 78.2;<br>100.0% | 13/13<br>100.0% | 75.3%;<br>100.0% | 12/12<br>100.0% | 73.5%;<br>100.0% | 11/12<br>91.7% | 61.5%;<br>99.8% | 5/7<br>71.4% | 29.0%;<br>96.3% |

**Table 12: Number of subjects with seroconversion measured by SRH assay<sup>§</sup> 7 and 21 days after booster vaccination with 7.5µg HA strain A/Indonesia/05/2005**

|                    | Study Group in Study 810501 |                 |                |                |                |                 |                 |                  |                |                 |              |                 |
|--------------------|-----------------------------|-----------------|----------------|----------------|----------------|-----------------|-----------------|------------------|----------------|-----------------|--------------|-----------------|
|                    | 3.75µg + AI                 |                 | 7.5µg +AI      |                | 15µg +AI       |                 | 30µg +AI        |                  | 7.5µg          |                 | 15µg         |                 |
|                    | n/N %                       | 95% C.I.        | n/N %          | 95% C.I.       | n/N %          | 95% C.I.        | n/N %           | 95% C.I.         | n/N %          | 95% C.I.        | n/N %        | 95% C.I.        |
| <b>A/Vietnam</b>   |                             |                 |                |                |                |                 |                 |                  |                |                 |              |                 |
| <b>D7</b>          | 11/16<br>68.8%              | 41.3%;<br>89.0% | 10/15<br>66.7% | 38.4;<br>88.2% | 8/13<br>61.5%  | 31.6%;<br>86.1% | 11/12<br>91.7%  | 61.5%;<br>99.8%  | 10/11<br>90.9% | 58.7%;<br>99.8% | 4/8<br>50.0% | 15.7%;<br>84.3% |
| <b>D21</b>         | 16/17<br>94.1%              | 71.3%;<br>99.9% | 13/15<br>86.7% | 59.5;<br>98.3% | 12/13<br>92.3% | 64.0%;<br>99.8% | 12/12<br>100.0% | 73.5%;<br>100.0% | 10/12<br>83.3% | 51.6%;<br>97.9% | 5/7<br>71.4% | 29.0%;<br>96.3% |
| <b>A/Indonesia</b> |                             |                 |                |                |                |                 |                 |                  |                |                 |              |                 |
| <b>D7</b>          | 9/16<br>56.3%               | 29.9%;<br>80.2% | 10/15<br>66.7% | 38.4;<br>88.2% | 9/13<br>69.2%  | 38.6%;<br>90.9% | 11/12<br>91.7%  | 61.5%;<br>99.8%  | 8/11<br>72.7%  | 39.0%;<br>94.0% | 3/8<br>37.5% | 8.5%;<br>75.5%  |
| <b>D21</b>         | 13/17<br>76.5%              | 50.1%;<br>93.2% | 11/15<br>73.3% | 44.9;<br>92.2% | 12/13<br>92.3% | 64.0%;<br>99.8% | 12/12<br>100.0% | 73.5%;<br>100.0% | 8/12<br>66.7%  | 34.9%;<br>90.1% | 4/7<br>57.1% | 18.4%;<br>90.1% |

§ defined as either a  $\geq 25$  mm<sup>2</sup> hemolysis area after vaccination if baseline sample is negative [ $\leq 4$ mm<sup>2</sup>] or a  $\geq 50\%$  increase in hemolysis area if the baseline sample is  $> 4$ mm<sup>2</sup>

With the MN assay a seroneutralisation rate of 100%, a GM fold increase of 14.0 and a seroconversion rate of 91.7% were achieved against the booster strain A/Indonesia. Based on the SRH assay all subjects were found to be seronegative ( $< 25$ mm<sup>2</sup>) for the heterologous strain A/Indonesia prior booster immunisation and 7 to 21 days after the heterologous booster SPR of  $\sim 70\%$ , a GM increase of 7.4 and a SCR of  $\sim 70\%$  were obtained. While the neutralising antibody response against the A/Vietnam strain was generally lower than against strain A/Indonesia after the heterologous booster immunisation it was significantly higher against strain A/Vietnam than against strain A/Indonesia by SRH analysis. These findings indicate that most likely different types of antibodies are measured by the two different assays. While for the SRH assay complement is used, it is not specifically added to the MN assay. Consequently antibodies not binding to and thereby activating complement will not be detected in the SRH assay but might be measured in the MN assay. It can be speculated that complement dependent antibodies are more specific in their epitope binding activity than complement independent neutralising antibodies. Another possible explanation for the different antibody responses to

homologous and heterologous antigens could be the presence of anti NP or M2 antibodies detectable in one assay but not the other.

#### Study 810601

For the 6-months booster immunisation half of the subjects were randomized into 4 groups to receive one of the following dosages:

- 3.75 µg HA antigen, strain A/Vietnam/1203/2004 per 0.25 mL
- 7.5 µg HA antigen, strain A/Vietnam/1203/2004 per 0.5 mL
- 3.75 µg HA antigen, strain A/Indonesia/05/2005 per 0.25 mL
- 7.5 µg HA antigen, strain A/Indonesia/05/2005 per 0.5 mL

Antibody response to the vaccine was assessed using the following assays:

- Microneutralization (MN)
- Hemagglutination Inhibition (HI)
- Single Radial Haemolysis (SRH)

Immunogenicity endpoints determined by MN, HI and SRH assay were evaluated against the H5N1 influenza strain contained in the vaccine for the 6-months booster vaccination (either A/Vietnam/1203/2004 or A/Indonesia/05/2005). Currently no SRH analysis was provided using strain A/Indonesia/05/2005 as antigen.

Immunogenicity endpoints were analyzed for the ITT dataset only and comprised all subjects who had data available on Day 180 ( $\pm 14$  days) and for the subjects randomized to receive the 6-months booster vaccination with available data on Day 201 ( $21 \pm 3$  days).

The ITT dataset for Day 180 (pre booster vaccination) comprises 501 subjects (243 in Stratum A - adults and 258 in Stratum B - elderly). The post 6-months booster vaccination ITT dataset comprises 243 subjects (116 adults and 127 elderly).

The Day 201 results of the HI assay reported (using horse erythrocytes) were consistently low with respect to all measures i.e. seroprotection rate, seroconversion rate, GMT and GM fold increase from baseline after the 6-months booster vaccination. These tests were inconclusive due to the apparent insensitivity of the HI assay.

#### Seroneutralisation/seroprotection

The rates of subjects who achieved an antibody titer  $\geq 1:20$  measured by MN against the vaccine strain A/Vietnam/1203/2004 or A/Indonesia/05/2005 after the 6-months booster vaccination are presented in Table 13 (Adults) and Table 14 (Elderly). The rates of subjects with antibody response associated with protection as defined by area  $\geq 25\text{mm}^2$  is presented in Table 15.

**Table 13: Number of subjects with neutralising antibody titer  $\geq 1:20$ , 21 days after the 6-months booster measured by MN assay (intent to treat dataset) - Adults 18-59 years**

| Strain used for analysis | Day | Booster immunisation with |                    |             |                    |              |                    |             |                    |
|--------------------------|-----|---------------------------|--------------------|-------------|--------------------|--------------|--------------------|-------------|--------------------|
|                          |     | A/Vietnam                 |                    |             |                    | A/Indonesia  |                    |             |                    |
|                          |     | 3.75 $\mu$ g              |                    | 7.5 $\mu$ g |                    | 3.75 $\mu$ g |                    | 7.5 $\mu$ g |                    |
|                          |     | n/N                       | %<br>95% CI        | n/N         | %<br>95% CI        | n/N          | %<br>95% CI        | n/N         | %<br>95% CI        |
| A/Vietnam                | 0   | 1/30                      | 3.3<br>0.1; 17.2   | 0/29        | 0.0<br>0.0; 11.9   | 2/30         | 6.7<br>0.8; 22.1   | 0/30        | 0.0<br>0.0; 11.6   |
|                          | 21  | 17/30                     | 56.7<br>37.4; 74.5 | 19/29       | 65.5<br>45.7; 82.1 | 15/30        | 50.0<br>31.3; 68.7 | 17/30       | 56.7<br>37.4; 74.5 |
|                          | 42  | 24/30                     | 80.0<br>61.4; 92.3 | 23/29       | 79.3<br>60.3; 92.0 | 22/30        | 73.3<br>54.1; 87.7 | 25/30       | 83.3<br>65.3; 94.4 |
|                          | 180 | 12/30                     | 40.0<br>22.7; 59.4 | 8/29        | 27.6<br>12.7; 47.2 | 13/30        | 43.3<br>25.5; 62.6 | 11/30       | 36.7<br>19.9; 56.1 |
|                          | 201 | 20/29                     | 69.0<br>49.2; 84.7 | 25/29       | 86.2<br>68.3; 96.1 | 21/29        | 72.4<br>52.8; 87.3 | 25/29       | 86.2<br>68.3; 96.1 |
| A/Indonesia              | 0   | 1/30                      | 3.3<br>0.1; 17.2   | 0/29        | 0.0<br>0.0; 11.9   | 0/30         | 0.0<br>0.0; 11.6   | 0/30        | 0.0<br>0.0; 11.6   |
|                          | 21  | 8/30                      | 26.7<br>12.3; 45.9 | 7/29        | 24.1<br>10.3; 43.5 | 8/30         | 26.7<br>12.3; 45.9 | 9/30        | 30.0<br>14.7; 49.4 |
|                          | 42  | 14/30                     | 46.7<br>28.3; 65.7 | 7/29        | 24.1<br>10.3; 43.5 | 14/30        | 46.7<br>28.3; 65.7 | 12/30       | 40.0<br>22.7; 59.4 |
|                          | 180 | 4/30                      | 13.3<br>3.8; 30.7  | 2/29        | 6.9<br>0.8; 22.8   | 9/30         | 30.0<br>14.7; 49.4 | 7/30        | 23.3<br>9.9; 42.3  |
|                          | 201 | 14/29                     | 48.3<br>29.4; 67.5 | 19/29       | 65.5<br>45.7; 82.1 | 21/29        | 72.4<br>52.8; 87.3 | 27/29       | 93.1<br>77.2; 99.2 |

**Table 14: Number of subjects with neutralising antibody titer  $\geq 1:20$ , 21 days after the 6-months booster measured by MN assay (ITT dataset) - Elderly  $\geq 60$  years**

| Strain used for analysis | Day | Booster immunisation with |                    |             |                    |              |                    |             |                    |
|--------------------------|-----|---------------------------|--------------------|-------------|--------------------|--------------|--------------------|-------------|--------------------|
|                          |     | A/Vietnam                 |                    |             |                    | A/Indonesia  |                    |             |                    |
|                          |     | 3.75 $\mu$ g              |                    | 7.5 $\mu$ g |                    | 3.75 $\mu$ g |                    | 7.5 $\mu$ g |                    |
|                          |     | n/N                       | %<br>95% CI        | n/N         | %<br>95% CI        | n/N          | %<br>95% CI        | n/N         | %<br>95% CI        |
| A/Vietnam                | 0   | 4/31                      | 12.9<br>3.6; 29.8  | 5/32        | 15.6<br>5.3; 32.8  | 8/32         | 25.0<br>11.5; 43.4 | 3/32        | 9.4<br>2.0; 25.0   |
|                          | 21  | 17/31                     | 54.8<br>36.0; 72.7 | 17/32       | 53.1<br>34.7; 70.9 | 19/32        | 59.4<br>40.6; 76.3 | 20/32       | 62.5<br>43.7; 78.9 |
|                          | 42  | 24/31                     | 77.4<br>58.9; 90.4 | 22/32       | 68.8<br>50.0; 83.9 | 23/32        | 71.9<br>53.3; 86.3 | 24/32       | 75.0<br>56.6; 88.5 |
|                          | 180 | 15/30                     | 50.0<br>31.3; 68.7 | 11/30       | 36.7<br>19.9; 56.1 | 14/32        | 43.8<br>26.4; 62.3 | 14/32       | 43.8<br>26.4; 62.3 |
|                          | 201 | 20/31                     | 64.5<br>45.4; 80.8 | 20/31       | 64.5<br>45.4; 80.8 | 19/32        | 59.4<br>40.6; 76.3 | 21/32       | 65.6<br>46.8; 81.4 |
| A/Indonesia              | 0   | 2/30                      | 6.7<br>0.8; 22.1   | 1/32        | 3.1<br>0.1; 16.2   | 3/32         | 9.4<br>2.0; 25.0   | 5/32        | 15.6<br>5.3; 32.8  |
|                          | 21  | 8/31                      | 25.8<br>11.9; 44.6 | 11/32       | 34.4<br>18.6; 53.2 | 14/32        | 43.8<br>26.4; 62.3 | 17/32       | 53.1<br>34.7; 70.9 |
|                          | 42  | 15/31                     | 48.4<br>30.2; 66.9 | 15/32       | 46.9<br>29.1; 65.3 | 20/32        | 62.5<br>43.7; 78.9 | 23/32       | 71.9<br>53.3; 86.3 |
|                          | 180 | 11/30                     | 36.7<br>19.9; 56.1 | 7/30        | 23.3<br>9.9; 42.3  | 11/32        | 34.4<br>18.6; 53.2 | 9/32        | 28.1<br>13.7; 46.7 |
|                          | 201 | 17/31                     | 54.8<br>36.0; 72.7 | 17/31       | 54.8<br>36.0; 72.7 | 24/32        | 75.0<br>56.6; 88.5 | 23/32       | 71.9<br>53.3; 86.3 |

**Table 15: Number of subjects with antibody response associated with protection against A/Vietnam as defined by Single Radial Haemolysis (SRH) area  $\geq 25\text{mm}^2$  (ITT dataset)**

| Age group             | Day                        | Booster immunisation with |                    |                   |                    |                    |                    |                   |                    |
|-----------------------|----------------------------|---------------------------|--------------------|-------------------|--------------------|--------------------|--------------------|-------------------|--------------------|
|                       |                            | A/Vietnam                 |                    |                   |                    | A/Indonesia        |                    |                   |                    |
|                       |                            | 3.75 $\mu\text{g}$        |                    | 7.5 $\mu\text{g}$ |                    | 3.75 $\mu\text{g}$ |                    | 7.5 $\mu\text{g}$ |                    |
| n/N                   | %<br>95% CI                | n/N                       | %<br>95% CI        | n/N               | %<br>95% CI        | n/N                | %<br>95% CI        |                   |                    |
| Adults<br>18-59 years | 0                          | 1/30                      | 3.3<br>0.1; 17.2   | 2/28              | 7.1<br>0.9; 23.5   | 1/29               | 3.4<br>0.1; 17.8   | 1/30              | 0.0<br>0.1; 17.2   |
|                       | 21                         | 20/30                     | 66.7<br>47.2; 82.7 | 16/29             | 55.2<br>35.7; 73.6 | 15/29              | 51.7<br>32.5; 70.6 | 18/30             | 60.0<br>40.6; 77.3 |
|                       | 42                         | 22/30                     | 73.3<br>54.1; 87.7 | 18/29             | 62.1<br>42.3; 79.3 | 19/30              | 63.3<br>43.9; 80.1 | 21/30             | 70.0<br>50.6; 85.3 |
|                       | 180                        | 10/30                     | 33.3<br>17.3; 52.8 | 6/29              | 20.7<br>8.0; 39.7  | 8/30               | 26.7<br>12.3; 45.9 | 5/30              | 16.7<br>5.6; 34.7  |
|                       | 201                        | 15/29                     | 51.7<br>32.5; 70.6 | 19/29             | 65.5<br>45.7; 82.1 | 15/29              | 51.7<br>32.5; 70.6 | 20/29             | 69.0<br>49.2; 84.7 |
|                       | Elderly<br>$\geq 60$ years | 0                         | 1/30               | 3.3<br>0.1; 17.2  | 3/32               | 9.4<br>2.0; 25.0   | 2/31               | 6.5<br>0.8; 21.4  | 1/31               |
| 21                    |                            | 16/31                     | 51.6<br>33.1; 69.8 | 19/32             | 59.4<br>40.6; 76.3 | 20/32              | 62.5<br>43.7; 78.9 | 19/32             | 59.4<br>40.6; 76.3 |
| 42                    |                            | 19/31                     | 61.3<br>42.2; 78.2 | 22/32             | 68.8<br>50.0; 83.9 | 22/32              | 68.8<br>50.0; 83.9 | 20/32             | 62.5<br>43.7; 78.9 |
| 180                   |                            | 10/30                     | 33.3<br>17.3; 52.8 | 7/30              | 23.3<br>9.9; 42.3  | 14/32              | 43.8<br>26.4; 62.3 | 5/32              | 15.6<br>5.3; 32.8  |
| 201                   |                            | 18/31                     | 58.1<br>39.1; 75.5 | 19/32             | 59.4<br>40.6; 76.3 | 17/32              | 53.1<br>34.7; 70.9 | 13/32             | 40.6<br>23.7; 59.4 |

GM of fold increase

The GMs of fold increase of MN titer post booster vaccination are presented in Table 16 (Adults) and Table 17 (Elderly). The GM of fold increase as measured by SRH assay is shown in Table 18.

In adults aged 18 to 59 years, the highest GM fold increase of MN titer (3.3) was observed in the 7.5 $\mu\text{g}$  A/Indonesia/05/2005 booster vaccine group when tested against the A/Indonesia/1205/05 strain. The GM fold increase in SRH area was 2.6 in the 7.5  $\mu\text{g}$  A/Vietnam/1203/2004 dose group and 3.8 in the 7.5  $\mu\text{g}$  A/Indonesia/05/2005 dose group. In elderly subjects, the GM fold increase in MN titer was lower compared to adults. The GM of fold increase in SRH area was only slightly lower than the defined CPMP criterion ( $>2.0$ ) in the 7.5  $\mu\text{g}$  A/Indonesia/05/2005 dose group (2.0).

**Table 16: Geometric Mean fold increase of MN titer measured 21 days after the 6-months booster as compared to baseline (intent to treat dataset) – Adults 18-59 years**

| Strain used for analysis | Day              | Booster immunisation with |                 |                   |                 |                    |                 |                   |                 |
|--------------------------|------------------|---------------------------|-----------------|-------------------|-----------------|--------------------|-----------------|-------------------|-----------------|
|                          |                  | A/Vietnam                 |                 |                   |                 | A/Indonesia        |                 |                   |                 |
|                          |                  | 3.75 $\mu\text{g}$        |                 | 7.5 $\mu\text{g}$ |                 | 3.75 $\mu\text{g}$ |                 | 7.5 $\mu\text{g}$ |                 |
| N                        | GMI<br>95% CI    | N                         | GMI<br>95% CI   | N                 | GMI<br>95% CI   | N                  | GMI<br>95% CI   |                   |                 |
| A/Vietnam                | 21 <sup>a</sup>  | 30                        | 3.4<br>2.5; 4.7 | 29                | 4.5<br>3.3; 6.2 | 30                 | 3.1<br>2.4; 3.9 | 30                | 3.3<br>2.5; 4.3 |
|                          | 42 <sup>a</sup>  | 30                        | 4.4<br>3.2; 6.1 | 29                | 5.6<br>4.1; 7.5 | 30                 | 4.1<br>3.1; 5.5 | 30                | 5.1<br>4.0; 6.5 |
|                          | 201 <sup>b</sup> | 29                        | 1.6<br>1.3; 2.1 | 29                | 1.9<br>1.6; 2.4 | 29                 | 1.7<br>1.4; 2.1 | 29                | 2.1<br>1.6; 2.6 |
| A/Indonesia              | 21 <sup>a</sup>  | 30                        | 2.1<br>1.7; 2.6 | 29                | 2.6<br>2.0; 3.3 | 30                 | 2.4<br>1.8; 3.2 | 30                | 2.3<br>1.8; 2.9 |
|                          | 42 <sup>a</sup>  | 30                        | 2.7<br>2.2; 3.3 | 29                | 3.2<br>2.6; 3.9 | 30                 | 3.2<br>2.4; 4.1 | 30                | 3.4<br>2.7; 4.2 |
|                          | 201 <sup>b</sup> | 29                        | 1.9<br>1.5; 2.4 | 29                | 2.5<br>1.9; 3.2 | 29                 | 2.4<br>1.9; 2.9 | 29                | 3.3<br>2.4; 4.6 |

|   |                                       |
|---|---------------------------------------|
| a | Fold increase as compared to Day 0.   |
| b | Fold increase as compared to Day 180. |

**Table 17: Geometric Mean fold increase of MN titer measured 21 days after the 6-months booster as compared to baseline (intent to treat dataset) – Elderly ≥60 years**

| Strain used for analysis | Day                                   | Booster immunisation with |                  |       |                  |             |                  |       |                  |
|--------------------------|---------------------------------------|---------------------------|------------------|-------|------------------|-------------|------------------|-------|------------------|
|                          |                                       | A/Vietnam                 |                  |       |                  | A/Indonesia |                  |       |                  |
|                          |                                       | 3.75µg                    |                  | 7.5µg |                  | 3.75µg      |                  | 7.5µg |                  |
| N                        | GMI<br>95% CI                         | N                         | GMI<br>95% CI    | N     | GMI<br>95% CI    | N           | GMI<br>95% CI    |       |                  |
| A/Vietnam                | 21 <sup>a</sup>                       | 31                        | 2.6<br>2.0 ; 3.4 | 32    | 2.0<br>1.6 ; 2.5 | 32          | 1.8<br>1.5 ; 2.1 | 32    | 2.3<br>1.8 ; 3.0 |
|                          | 42 <sup>a</sup>                       | 31                        | 3.4<br>2.7 ; 4.3 | 32    | 2.9<br>2.2 ; 3.6 | 32          | 2.2<br>1.9 ; 2.7 | 32    | 2.8<br>2.2 ; 3.7 |
|                          | 201 <sup>b</sup>                      | 30                        | 1.4<br>1.2 ; 1.6 | 29    | 1.7<br>1.2 ; 2.4 | 32          | 1.5<br>1.2 ; 1.8 | 32    | 1.7<br>1.4 ; 2.2 |
| A/Indonesia              | 21 <sup>a</sup>                       | 30                        | 1.6<br>1.4 ; 1.9 | 32    | 1.5<br>1.3 ; 1.8 | 32          | 1.5<br>1.4 ; 1.7 | 32    | 1.8<br>1.5 ; 2.3 |
|                          | 42 <sup>a</sup>                       | 30                        | 2.0<br>1.6 ; 2.5 | 32    | 2.0<br>1.7 ; 2.4 | 32          | 1.9<br>1.6 ; 2.2 | 32    | 2.2<br>1.7 ; 2.8 |
|                          | 201 <sup>b</sup>                      | 30                        | 1.4<br>1.2 ; 1.7 | 29    | 1.9<br>1.5 ; 2.4 | 32          | 1.8<br>1.4 ; 2.3 | 32    | 2.3<br>1.7 ; 3.0 |
| a                        | Fold increase as compared to Day 0.   |                           |                  |       |                  |             |                  |       |                  |
| b                        | Fold increase as compared to Day 180. |                           |                  |       |                  |             |                  |       |                  |

**Table 18: Geometric Mean fold increase of antibody response against strain A/Vietnam measured by SRH assay as compared to baseline (intent to treat dataset)**

| Age group             | Day                                   | Booster immunisation with |                   |       |                  |             |                  |       |                  |
|-----------------------|---------------------------------------|---------------------------|-------------------|-------|------------------|-------------|------------------|-------|------------------|
|                       |                                       | A/Vietnam                 |                   |       |                  | A/Indonesia |                  |       |                  |
|                       |                                       | 3.75µg                    |                   | 7.5µg |                  | 3.75µg      |                  | 7.5µg |                  |
| N                     | GMI<br>95% CI                         | N                         | GMI<br>95% CI     | N     | GMI<br>95% CI    | N           | GMI<br>95% CI    |       |                  |
| Adults<br>18-59 years | 21 <sup>a</sup>                       | 30                        | 5.1<br>3.2 ; 8.3  | 28    | 3.2<br>2.0 ; 5.3 | 28          | 4.4<br>2.6 ; 7.4 | 30    | 4.0<br>2.5 ; 6.4 |
|                       | 42 <sup>a</sup>                       | 30                        | 6.4<br>4.1 ; 10.1 | 28    | 4.3<br>2.6 ; 7.0 | 29          | 5.6<br>3.4 ; 9.1 | 30    | 5.3<br>3.4 ; 8.3 |
|                       | 201 <sup>b</sup>                      | 29                        | 1.7<br>1.2 ; 2.4  | 29    | 2.6<br>1.6 ; 4.2 | 29          | 1.7<br>1.2 ; 2.5 | 29    | 3.8<br>2.4 ; 5.9 |
| Elderly<br>≥60 years  | 21 <sup>a</sup>                       | 30                        | 3.6<br>2.3 ; 5.6  | 32    | 3.1<br>2.1 ; 4.7 | 31          | 3.5<br>2.2 ; 5.6 | 31    | 4.3<br>2.6 ; 7.0 |
|                       | 42 <sup>a</sup>                       | 30                        | 4.5<br>2.8 ; 7.1  | 32    | 4.3<br>2.8 ; 6.5 | 31          | 4.1<br>2.6 ; 6.4 | 31    | 4.7<br>2.9 ; 7.7 |
|                       | 201 <sup>b</sup>                      | 30                        | 1.9<br>1.3 ; 2.7  | 30    | 2.8<br>1.8 ; 4.3 | 32          | 1.3<br>1.0 ; 1.7 | 32    | 2.0<br>1.4 ; 2.9 |
| a                     | Fold increase as compared to Day 0.   |                           |                   |       |                  |             |                  |       |                  |
| b                     | Fold increase as compared to Day 180. |                           |                   |       |                  |             |                  |       |                  |

### Seroconversion

The number of subjects with cross-strain seroconversion (defined as a >4 fold increase in MN titer/50% increase in haemolysis area 21 days after booster vaccination) was low across both dose groups strains. This is most likely due to the higher percentage of subjects with pre-existing antibodies elicited by the primary vaccination series with A/Vietnam/1203/2004 vaccine 6 months prior to the booster (Table 19, Table 20 and Table 21).

**Table 19: Number of subjects with seroconversion (defined as a  $\geq 4$  fold increase after vacc.) measured by MN titer 21 days after the 6-months booster as compared to baseline (intent to treat dataset) – Adults 18-59 years**

| Strain used for analysis | Day                                   | Booster immunisation with |                    |             |                    |              |                    |             |                    |
|--------------------------|---------------------------------------|---------------------------|--------------------|-------------|--------------------|--------------|--------------------|-------------|--------------------|
|                          |                                       | A/Vietnam                 |                    |             |                    | A/Indonesia  |                    |             |                    |
|                          |                                       | 3.75 $\mu$ g              |                    | 7.5 $\mu$ g |                    | 3.75 $\mu$ g |                    | 7.5 $\mu$ g |                    |
| n/N                      | %<br>95% CI                           | n/N                       | %<br>95% CI        | n/N         | %<br>95% CI        | n/N          | %<br>95% CI        |             |                    |
| A/Vietnam                | 21 <sup>a</sup>                       | 10/30                     | 33.3<br>17.3; 52.8 | 17/29       | 58.6<br>38.9; 76.5 | 8/30         | 26.7<br>12.3; 45.9 | 12/30       | 40.0<br>22.7; 59.4 |
|                          | 42 <sup>a</sup>                       | 15/30                     | 50.0<br>31.3; 68.7 | 21/29       | 72.4<br>52.8; 87.3 | 17/30        | 56.7<br>37.4; 74.5 | 22/30       | 73.3<br>54.1; 87.7 |
|                          | 201 <sup>b</sup>                      | 2/29                      | 6.9<br>0.8; 22.8   | 4/29        | 13.8<br>3.9; 31.7  | 1/29         | 3.4<br>0.1; 17.8   | 3/29        | 10.3<br>2.2; 27.4  |
| A/Indonesia              | 21 <sup>a</sup>                       | 3/30                      | 10.0<br>2.1; 26.5  | 7/29        | 24.1<br>10.3; 43.5 | 8/30         | 26.7<br>12.3; 45.9 | 4/30        | 13.3<br>3.8; 30.7  |
|                          | 42 <sup>a</sup>                       | 8/30                      | 26.7<br>12.3; 45.9 | 10/29       | 34.5<br>17.9; 54.3 | 11/30        | 36.7<br>19.9; 56.1 | 7/30        | 23.3<br>9.9; 42.3  |
|                          | 201 <sup>b</sup>                      | 3/29                      | 10.3<br>2.2; 27.4  | 7/29        | 24.1<br>10.3; 43.5 | 5/29         | 17.2<br>5.8; 35.8  | 10/29       | 34.5<br>17.9; 54.3 |
| a                        | Fold increase as compared to Day 0.   |                           |                    |             |                    |              |                    |             |                    |
| b                        | Fold increase as compared to Day 180. |                           |                    |             |                    |              |                    |             |                    |

**Table 20: Number of subjects with seroconversion (defined as a  $\geq 4$  fold increase after vacc.) measured by MN titer 21 days after the 6-months booster as compared to baseline (intent to treat dataset) – Elderly  $\geq 60$  years**

| Strain used for analysis | Day                                   | Booster immunisation with |                    |             |                    |              |                   |             |                    |
|--------------------------|---------------------------------------|---------------------------|--------------------|-------------|--------------------|--------------|-------------------|-------------|--------------------|
|                          |                                       | A/Vietnam                 |                    |             |                    | A/Indonesia  |                   |             |                    |
|                          |                                       | 3.75 $\mu$ g              |                    | 7.5 $\mu$ g |                    | 3.75 $\mu$ g |                   | 7.5 $\mu$ g |                    |
| n/N                      | %<br>95% CI                           | n/N                       | %<br>95% CI        | n/N         | %<br>95% CI        | n/N          | %<br>95% CI       |             |                    |
| A/Vietnam                | 21 <sup>a</sup>                       | 6/31                      | 19.4<br>7.5; 37.5  | 5/32        | 15.6<br>5.3; 32.8  | 1/32         | 3.1<br>0.1; 16.2  | 6/32        | 18.8<br>7.2; 36.4  |
|                          | 42 <sup>a</sup>                       | 13/31                     | 41.9<br>24.5; 60.9 | 8/32        | 25.0<br>11.5; 43.4 | 5/32         | 15.6<br>5.3; 32.8 | 10/32       | 31.3<br>16.1; 50.0 |
|                          | 201 <sup>b</sup>                      | 1/30                      | 3.3<br>0.1; 17.2   | 3/29        | 10.3<br>2.2; 27.4  | 1/32         | 3.1<br>0.1; 16.2  | 3/32        | 9.4<br>2.0; 25.0   |
| A/Indonesia              | 21 <sup>a</sup>                       | 1/30                      | 3.3<br>0.1; 17.2   | 0/32        | 0.0<br>0.0; 10.9   | 0/32         | 0.0<br>0.0; 10.9  | 6/32        | 18.8<br>7.2; 36.4  |
|                          | 42 <sup>a</sup>                       | 4/30                      | 13.3<br>3.8; 30.7  | 2/32        | 6.3<br>0.8; 20.8   | 1/32         | 3.1<br>0.1; 16.2  | 7/32        | 21.9<br>9.3; 40.0  |
|                          | 201 <sup>b</sup>                      | 2/30                      | 6.7<br>0.8; 22.1   | 3/29        | 10.3<br>2.2; 27.4  | 2/32         | 6.3<br>0.8; 20.8  | 6/32        | 18.8<br>7.2; 36.4  |
| a                        | Fold increase as compared to Day 0.   |                           |                    |             |                    |              |                   |             |                    |
| b                        | Fold increase as compared to Day 180. |                           |                    |             |                    |              |                   |             |                    |

**Table 21: Number of subjects with seroconversion measured by SRH assay using strain A/Vietnam 21 days after the 6-months booster vaccinations (intent to treat dataset)**

| Age group             | Day                                   | Booster immunisation with |                    |       |                    |             |                    |       |                    |
|-----------------------|---------------------------------------|---------------------------|--------------------|-------|--------------------|-------------|--------------------|-------|--------------------|
|                       |                                       | A/Vietnam                 |                    |       |                    | A/Indonesia |                    |       |                    |
|                       |                                       | 3.75µg                    |                    | 7.5µg |                    | 3.75µg      |                    | 7.5µg |                    |
| n/N                   | %<br>95% CI                           | n/N                       | %<br>95% CI        | n/N   | %<br>95% CI        | n/N         | %<br>95% CI        |       |                    |
| Adults<br>18-59 years | 21 <sup>a</sup>                       | 19/30                     | 63.3<br>43.9; 80.1 | 13/28 | 46.4<br>27.5; 66.1 | 15/28       | 53.6<br>33.9; 72.5 | 17/30 | 56.7<br>37.4; 74.5 |
|                       | 42 <sup>a</sup>                       | 21/30                     | 70.0<br>50.6; 85.3 | 16/28 | 57.1<br>37.2; 75.5 | 18/29       | 62.1<br>42.3; 79.3 | 21/30 | 70.0<br>50.6; 85.3 |
|                       | 201 <sup>b</sup>                      | 6/29                      | 20.7<br>8.0; 39.7  | 14/29 | 48.3<br>29.4; 67.5 | 7/29        | 24.1<br>10.3; 43.5 | 17/29 | 58.6<br>38.9; 76.5 |
| Elderly<br>≥60 years  | 21 <sup>a</sup>                       | 16/30                     | 53.3<br>34.3; 71.7 | 17/32 | 53.1<br>34.7; 70.9 | 17/31       | 54.8<br>36.0; 72.7 | 17/31 | 54.8<br>36.0; 72.7 |
|                       | 42 <sup>a</sup>                       | 18/30                     | 60.0<br>40.6; 77.3 | 20/32 | 62.5<br>43.7; 78.9 | 19/31       | 61.3<br>42.2; 78.2 | 18/31 | 58.1<br>39.1; 75.5 |
|                       | 201 <sup>b</sup>                      | 7/30                      | 23.3<br>9.9; 42.3  | 14/30 | 46.7<br>28.3; 65.7 | 5/32        | 15.6<br>5.3; 32.8  | 8/32  | 25.0<br>11.5; 43.4 |
| a                     | Fold increase as compared to Day 0.   |                           |                    |       |                    |             |                    |       |                    |
| b                     | Fold increase as compared to Day 180. |                           |                    |       |                    |             |                    |       |                    |

Based on these data it can be concluded that a homologous or heterologous booster immunisation has no added value as regards higher seroconversion rates but might elicit stronger cross-reactive antibody responses. Generally the antibody responses following the homologous and heterologous booster are however less pronounced compared to study 810703 indicating a moderate anamnestic response. In summary the responses are comparable to what is expected for seasonal revaccination.

#### *Ancillary analyses*

- Analysis performed across trials (pooled analyses and meta-analysis)
- Clinical studies in special populations
- Supportive studies

#### **Study 810701**

Study 810701 is an open-label Phase I/II study to assess the safety and immunogenicity of two doses of a Vero cell-derived, whole virus clade 2 H5N1 Influenza vaccine (strain A/Indonesia, 3.75µg and 7.5µg) in 110 healthy adult male and female aged 21 to 45 years. This multi-centre study is conducted in 4 centres in Hong Kong and Singapore.

Subjects were randomized 1:1 to receive 2 intramuscular injections of the whole virion, Vero cell-derived influenza vaccine containing either 3.75µg or 7.5µg H5N1 hemagglutinin (HA) antigen, strain A/Indonesia/05/2005, in a non-adjuvanted formulation on Day 0 and Day 21.

The study is being conducted in 2 parts:

- Part A was concluded 21(± 2) days after the second vaccination (Day 42 visit). These data are provided in the response document at day 121.
- All subjects will be monitored until Day 180 (±14 days) after the first vaccination. After the last subject has completed the Day 180 visit, a final clinical study report including all safety and immunogenicity data collected will be written.

The primary endpoints for evaluation were:

- Frequency and severity of systemic reactions after the first and second vaccinations
- Number of subjects with antibody response to the vaccine strain (A/Indonesia/05/2005) associated with protection 21 days after the second vaccination defined as titer measured by Microneutralization (MN) test ≥ 1:20

Further immunogenicity endpoints included the analysis of seroconversion, GM fold increase and GMT by MN assay and the evaluation by SRH assay.

Antibody response was analyzed for all subjects vaccinated with data available after the first and second vaccinations (ITT dataset). MN and SRH analyses were performed on 107 subjects for the first vaccination (55 vaccinated with the 3.75 µg dose, 52 vaccinated with the 7.5 µg dose), and 104 subjects after the second vaccination (52 vaccinated with the 3.75 µg dose, 52 vaccinated with the 7.5 µg dose).

Antibody response against the homologous strain A/Indonesia:

The neutralising antibody responses following the 2 doses against the homologous strain A/Indonesia are summarised in Table 22.

A neutralising antibody response defined as percentage with MN titres  $\geq$  1:20 21 days after the second vaccination for the vaccine strain, was found in 82.7% and 86.5% of subjects vaccinated with the 3.75µg or 7.5µg dose, respectively. Seroconversion defined as  $\geq$ 4-fold increase in MN titer 21 days after vaccination as compared to baseline, was achieved after the first vaccination in 40.0% and 25.0% of subjects, and after the second vaccination in 82.7% and 86.5%, in the 3.75µg and 7.5µg dose groups, respectively. The GMT was 12.8 vs. 13.6 after the first and 34.5 vs. 36.0 after the second vaccination in the 3.75µg and 7.5µg dose groups, respectively. GM fold increase in MN titer was 3.0 vs. 3.1 after the first and 8.0 vs. 8.3 after the second vaccination in the 3.75µg dose group and in the 7.5µg dose group.

**Table 22: Immunogenicity evaluation using the MN assay and wild type strain A/Indonesia (ITT dataset)**

|  | Study groups          |      |            |                       |      |            |
|--|-----------------------|------|------------|-----------------------|------|------------|
|  | 3.75µg non-adjuvanted |      |            | 7.5 µg non-adjuvanted |      |            |
| <b>Seroneutralisation rates (MN titer <math>\geq</math>1:20) 21 days after 1<sup>st</sup>/2<sup>nd</sup> vaccination</b>     |                       |      |            |                       |      |            |
| Day  | n/N                   | %    | 95% CI     | n/N                   | %    | 95% CI     |
| 0  | 0/55                  | 0.0  | 0.0; 6.5   | 0/52                  | 0.0  | 0.0; 6.8   |
| 21   | 20/55                 | 36.4 | 23.8;50.4  | 10/52                 | 19.2 | 9.6; 32.5  |
| 42   | 43/52                 | 82.7 | 69.7; 91.8 | 45/52                 | 86.5 | 74.2; 94.4 |
| <b>Seroconversion rates 21 days after the 1<sup>st</sup> and 2<sup>nd</sup> vaccination as compared to baseline</b>          |                       |      |            |                       |      |            |
| Day  | n/N                   | %    | 95% CI     | n/N                   | %    | 95% CI     |
| 21   | 22/55                 | 40.0 | 27.0; 54.1 | 13/52                 | 25.0 | 14.0; 38.9 |
| 42   | 43/52                 | 82.7 | 69.7; 91.8 | 45/52                 | 86.5 | 74.2; 94.4 |
| <b>Geometric Mean fold Increase measured 21 days after 1<sup>st</sup>/2<sup>nd</sup> vaccination as compared to baseline</b> |                       |      |            |                       |      |            |
| Day  | N                     | GMI  | 95% CI     | N                     | GMI  | 95% CI     |
| 21   | 55                    | 3.0  | 2.4 ; 3.7  | 52                    | 3.1  | 2.6 ; 3.7  |
| 42   | 52                    | 8.0  | 6.4 ; 10.1 | 52                    | 8.3  | 6.8 ; 10.1 |

The antibody responses as measured by the SRH assay are given in Table 23. Antibody response associated with protection 21 days after the second vaccination for the vaccine strain, as defined by SRH area  $\geq$ 25 mm<sup>2</sup> was determined in 71.2% and 69.2% of subjects vaccinated with the 3.75µg or 7.5µg dose, respectively. Seroconversion for the vaccine strain was shown in 38.2% vs. 38.5% after

the first, and 71.2% vs. 67.3% of subjects after the second vaccination in the 3.75µg or 7.5µg dose groups, respectively. Antibody response determined by SRH assay, expressed as GM of haemolysis area (GMT) for the vaccine strain was also similar between the dose groups: 11.8 and 10.5 after the first and 20.9 vs. 22.8 after the second vaccination in the 3.75µg and 7.5g dose groups, respectively. GM fold increase in antibody response measured by SRH in subjects in the 3.75µg and 7.5µg dose groups, respectively, with 2.8 vs. 2.5 after the first, and 5.0 vs. 5.4 after the second vaccination.

**Table 23: Immunogenicity evaluation using the SRH assay and wild type strain A/Indonesia (ITT dataset)**

| Study groups   |       |      |            |       |      |            |
|--|-------|------|------------|-------|------|------------|
| Seroconversion rates 21 days after the 1 <sup>st</sup> and 2 <sup>nd</sup> vaccination as compared to baseline           |       |      |            |       |      |            |
| Day  | n/N   | %    | 95% CI     | n/N   | %    | 95% CI     |
| 0  | 0/55  | 0.0  | 0.0; 6.5   | 1/52  | 1.9  | 0.0; 10.3  |
| 21   | 21/55 | 38.2 | 25.4; 52.3 | 21/52 | 40.4 | 27.0; 54.9 |
| 42   | 37/52 | 71.2 | 56.9; 82.9 | 36/52 | 69.2 | 54.9; 81.3 |
| Seroconversion rates (SRH area $\geq 25$ mm <sup>2</sup> ) 21 days after 1 <sup>st</sup> /2 <sup>nd</sup> vaccination    |       |      |            |       |      |            |
| Day  | n/N   | %    | 95% CI     | n/N   | %    | 95% CI     |
| 0  | 0/55  | 0.0  | 0.0; 6.5   | 1/52  | 1.9  | 0.0; 10.3  |
| 21   | 21/55 | 38.2 | 25.4; 52.3 | 21/52 | 40.4 | 27.0; 54.9 |
| 42   | 37/52 | 71.2 | 56.9; 82.9 | 36/52 | 69.2 | 54.9; 81.3 |
| Geometric Mean fold Increase measured 21 days after 1 <sup>st</sup> /2 <sup>nd</sup> vaccination as compared to baseline |       |      |            |       |      |            |
| Day  | N     | GM   | 95% CI     | N     | GM   | 95% CI     |
| 21   | 55    | 2.8  | 2.1 ; 3.8  | 52    | 2.5  | 1.8 ; 3.4  |
| 42   | 52    | 5.0  | 3.8 ; 6.6  | 52    | 5.4  | 4.1 ; 7.1  |

In summary, the results of study 810701 indicate again that no true dose-response relation exists. The responsiveness of a lower dose of 3.75µg HA strain A/Indonesia is similar to a dose of 7.5µg HA strain A/Indonesia. Moreover the SPRs, SCRs and GMI determined by MN and SRH assay are consistent with the results of main study 810601. However, it should be noted that subjects enrolled in study 810701 had no baseline neutralising antibody titres and only 1 subject was positive as measured by SRH assay.

Cross-reactivity against A/Vietnam determined by MN

The rate of subjects with reciprocal MN titer  $\geq 20$  against a heterologous clade 1 strain (A/Vietnam/1203/2004) 21 days after the first and second vaccination is given in Table 24.

**Table 24: Cross-Reactivity: Number of subjects with antibody titer  $\geq$  1:20, 21 days after the 1st/2nd vaccination measured by MN assay (ITT dataset)**

| Strain used for analysis | Day | Study groups vaccinated with strain A/Indonesia |      |            |                            |      |            |
|--------------------------|-----|---|------|------------|----------------------------|------|------------|
|                          |     | 3.75 $\mu$ g non-adjuvanted                     |      |            | 7.5 $\mu$ g non-adjuvanted |      |            |
|                          |     | n/N   | %    | 95% CI     | n/N                        | %    | 95% CI     |
| A/Vietnam                | 0   | 2/55  | 3.6  | 0.4; 12.5  | 1/52                       | 1.9  | 0.0; 10.3  |
|                          | 21  | 11/55   | 20.0 | 10.4; 33.0 | 6/52                       | 11.5 | 4.4; 23.4  |
|                          | 42  | 13/52   | 25.0 | 14.0; 38.9 | 11/52                      | 21.2 | 11.1; 34.7 |

### Clinical safety

- Patient exposure

Safety data are available from both clinical studies (810501 and 810601). In total 796 subjects were vaccinated with two doses of different vaccine formulations 21 days apart. 602 subjects received at least one dose of the vaccine formulation (7.5 $\mu$ g HA non-adjuvanted) intended for pandemic use.

- Adverse events

Special queried systemic and local adverse events were monitored by diary cards for 7 days after each vaccination. All adverse events were recorded for 21 days following each dose and for the time period 42 -180 days after first vaccination. For study 810601 all adverse events were reported for the time period 42 days after first vaccination for both age groups. Long-term 6-months follow-up data were provided during the procedure. Therefore the total number exposed is considered to be sufficient for a core dossier application as adverse reactions or events at a frequency of approximately 1% are detectable.

### Study 810501

A total of 275 subjects received the first vaccination (on Day 0) and 257 subjects received the second vaccination (on Day 21) with the whole virion, Vero cell-derived influenza vaccine containing 3.75 $\mu$ g, 7.5 $\mu$ g, 15 $\mu$ g or 30 $\mu$ g H5N1 HA antigen/dose in an adjuvanted formulation with aluminium hydroxide, or 7.5 $\mu$ g or 15 $\mu$ g H5N1 HA antigen/dose in a non-adjuvanted formulation.

The occurrence of fever with onset within 7 days after the 1<sup>st</sup> and 2<sup>nd</sup> vaccination is provided in Table 25 and Table 26.

**Table 25: Number of subjects with fever after 1<sup>st</sup> vaccination by severity grade (Study 810501)**

| Study group      | N | NA<br>% | Severity of fever |          |      |        |          |        | Total<br>N |        |     |
|------------------|---|---------|-------------------|----------|------|--------|----------|--------|------------|--------|-----|
|                  |   |         | No reaction       |          | Mild |        | Moderate |        |            | Severe |     |
|                  |   |         | N                 | %        | N    | %      | N        | %      |            | N      | %   |
| 3.75 $\mu$ g +Al | 0 | (0.0%)  | 44                | (97.8%)  | 0    | (0.0%) | 1        | (2.2%) | 0          | (0.0%) | 45  |
| 7.5 $\mu$ g +Al  | 0 | (0.0%)  | 43                | (95.6%)  | 2    | (4.4%) | 0        | (0.0%) | 0          | (0.0%) | 45  |
| 15 $\mu$ g +Al   | 2 | (4.3%)  | 42                | (91.3%)  | 2    | (4.3%) | 0        | (0.0%) | 0          | (0.0%) | 46  |
| 30 $\mu$ g +Al   | 0 | (0.0%)  | 48                | (98.0%)  | 1    | (2.0%) | 0        | (0.0%) | 0          | (0.0%) | 49  |
| 7.5 $\mu$ g      | 0 | (0.0%)  | 45                | (100.0%) | 0    | (0.0%) | 0        | (0.0%) | 0          | (0.0%) | 45  |
| 15 $\mu$ g       | 1 | (2.2%)  | 43                | (95.6%)  | 1    | (2.2%) | 0        | (0.0%) | 0          | (0.0%) | 45  |
| <b>Total</b>     | 3 | (1.1%)  | 265               | (96.4%)  | 6    | (2.2%) | 1        | (0.4%) | 0          | (0.0%) | 275 |

**Table 26: Number of subjects with fever after 2<sup>nd</sup> vaccination by severity grade (St. 810501)**

| Study group       | N | NA<br>% | Severity of fever |          |      |        |          |        | Total<br>N |        |     |
|-------------------|---|---------|-------------------|----------|------|--------|----------|--------|------------|--------|-----|
|                   |   |         | No reaction       |          | Mild |        | Moderate |        |            | Severe |     |
|                   |   |         | N                 | %        | N    | %      | N        | %      |            | N      | %   |
| <b>3.75µg +Al</b> | 0 | (0.0%)  | 42                | (100.0%) | 0    | (0.0%) | 0        | (0.0%) | 0          | (0.0%) | 42  |
| <b>7.5µg +Al</b>  | 1 | (2.4%)  | 40                | (95.2%)  | 1    | (2.4%) | 0        | (0.0%) | 0          | (0.0%) | 42  |
| <b>15µg +Al</b>   | 1 | (2.3%)  | 42                | (97.7%)  | 0    | (0.0%) | 0        | (0.0%) | 0          | (0.0%) | 43  |
| <b>30µg +Al</b>   | 0 | (0.0%)  | 44                | (97.8%)  | 1    | (2.2%) | 0        | (0.0%) | 0          | (0.0%) | 45  |
| <b>7.5µg</b>      | 0 | (0.0%)  | 40                | (95.2%)  | 1    | (2.4%) | 1        | (2.4%) | 0          | (0.0%) | 42  |
| <b>15µg</b>       | 2 | (4.7%)  | 38                | (88.4%)  | 3    | (7.0%) | 0        | (0.0%) | 0          | (0.0%) | 43  |
| <b>Total</b>      | 4 | (1.6%)  | 246               | (95.7%)  | 6    | (2.3%) | 1        | (0.4%) | 0          | (0.0%) | 257 |

Specifically queried symptoms of local and systemic reactions that occurred within 7 days after the first and second immunisation are shown in Table 27 and Table 28.

**Table 27: Specifically queried symptoms of local and systemic reactions (other than malaise and shivering) related to the 1<sup>st</sup> vaccination**

| Reported Term                                 | Preferred Term             | 3.75µg +Al | 7.5µg +Al | 15µg +Al   | 30µg +Al   | 7.5µg     | 15µg       |
|---|----------------------------|------------|-----------|------------|------------|-----------|------------|
|   |                            | n (%)      | n (%)     | n (%)      | n (%)      | n (%)     | n (%)      |
|   |                            | N=45       | N=45      | N=46       | N=49       | N=45      | N=45       |
| Swelling                                      | Injection site swelling    | 0 (0.0%)   | 0 (0.0%)  | 1 (2.2%)   | 1 (2.0%)   | 0 (0.0%)  | 0 (0.0%)   |
| Induration                                    | Injection site induration  | 0 (0.0%)   | 1 (2.2%)  | 0 (0.0%)   | 1 (2.0%)   | 0 (0.0%)  | 2 (4.4%)   |
| Redness                                       | Injection site erythema    | 0 (0.0%)   | 1 (2.2%)  | 2 (4.3%)   | 0 (0.0%)   | 1 (2.2%)  | 0 (0.0%)   |
| Injection Site Pain                           | Injection site pain        | 11 (24.4%) | 8 (17.8%) | 12 (26.1%) | 11 (22.4%) | 4 (8.9%)  | 8 (17.8%)  |
| Ecchymosis                                    | Injection site haemorrhage | 0 (0.0%)   | 0 (0.0%)  | 0 (0.0%)   | 1 (2.0%)   | 0 (0.0%)  | 1 (2.2%)   |
| Fatigue                                       | Fatigue                    | 5 (11.1%)  | 6 (13.3%) | 7 (15.2%)  | 4 (8.2%)   | 3 (6.7%)  | 7 (15.6%)  |
| Headache                                      | Headache                   | 11 (24.4%) | 8 (17.8%) | 5 (10.9%)  | 4 (8.2%)   | 5 (11.1%) | 10 (22.2%) |
| Sweating                                      | Hyperhidrosis              | 3 (6.7%)   | 3 (6.7%)  | 4 (8.7%)   | 2 (4.1%)   | 2 (4.4%)  | 2 (4.4%)   |
| Muscle pain                                   | Myalgia                    | 4 (8.9%)   | 6 (13.3%) | 4 (8.7%)   | 1 (2.0%)   | 2 (4.4%)  | 4 (8.9%)   |
| Joint pain                                    | Arthralgia                 | 4 (8.9%)   | 4 (8.9%)  | 4 (8.7%)   | 2 (4.1%)   | 1 (2.2%)  | 3 (6.7%)   |
| Fever with onset later than Day 7 after vacc. | Pyrexia                    | 0 (0.0%)   | 0 (0.0%)  | 0 (0.0%)   | 0 (0.0%)   | 0 (0.0%)  | 0 (0.0%)   |

**Table 28: Specifically queried symptoms of local and systemic reactions (other than malaise and shivering) related to the 2<sup>nd</sup> vaccination**

| Reported Term                                | Preferred Term             | 3.75µg+Al    | 7.5µg+Al     | 15µg+Al      | 30µg+Al      | 7.5µg        | 15µg         |
|--|----------------------------|--------------|--------------|--------------|--------------|--------------|--------------|
|  |                            | n(%)<br>N=42 | n(%)<br>N=42 | n(%)<br>N=43 | n(%)<br>N=45 | n(%)<br>N=42 | n(%)<br>N=43 |
| Swelling                                     | Injection site swelling    | 0 (0.0%)     | 1 (2.4%)     | 1 (2.3%)     | 0 (0.0%)     | 0 (0.0%)     | 0 (0.0%)     |
| Induration                                   | Injection site induration  | 2 (4.8%)     | 0 (0.0%)     | 1 (2.3%)     | 0 (0.0%)     | 0 (0.0%)     | 0 (0.0%)     |
| Redness                                      | Injection site erythema    | 0 (0.0%)     | 1 (2.4%)     | 0 (0.0%)     | 0 (0.0%)     | 1 (2.4%)     | 0 (0.0%)     |
| Injection Site Pain                          | Injection site pain        | 6 (14.3%)    | 4 (9.5%)     | 8 (18.6%)    | 5 (11.1%)    | 5 (11.9%)    | 7 (16.3%)    |
| Ecchymosis                                   | Injection site haemorrhage | 0 (0.0%)     | 1 (2.4%)     | 0 (0.0%)     | 1 (2.2%)     | 0 (0.0%)     | 1 (2.3%)     |
| Fatigue                                      | Fatigue                    | 3 (7.1%)     | 4 (9.5%)     | 5 (11.6%)    | 2 (4.4%)     | 2 (4.8%)     | 5 (11.6%)    |
| Headache                                     | Headache                   | 7 (16.7%)    | 3 (7.1%)     | 4 (9.3%)     | 5 (11.1%)    | 1 (2.4%)     | 4 (9.3%)     |
| Sweating                                     | Hyperhidrosis              | 1 (2.4%)     | 2 (4.8%)     | 0 (0.0%)     | 1 (2.2%)     | 2 (4.8%)     | 2 (4.7%)     |
| Muscle pain                                  | Myalgia                    | 5 (11.9%)    | 1 (2.4%)     | 1 (2.3%)     | 0 (0.0%)     | 1 (2.4%)     | 3 (7.0%)     |
| Joint pain                                   | Arthralgia                 | 0 (0.0%)     | 2 (4.8%)     | 0 (0.0%)     | 0 (0.0%)     | 0 (0.0%)     | 1 (2.3%)     |
| Fever with onset later than Day 7 after vac. | Pyrexia                    | 0 (0.0%)     | 0 (0.0%)     | 0 (0.0%)     | 0 (0.0%)     | 0 (0.0%)     | 0 (0.0%)     |

The analysis of the primary and secondary safety endpoints did not show any dose dependency or adjuvant effect, however, with respect to local reactions, there was a trend towards better tolerability in the absence of adjuvant. In the study group receiving 7.5 µg non-adjuvanted vaccine, the probability of occurrence of systemic reactions (including fever) was 24.4% and 14.3% after the first and second vaccinations, respectively. Fever was reported in this group in 0.0% of subjects after the first and in 4.8% after the second vaccination. No fever with onset later than Day 7 after vaccination was reported. Systemic reactions (excluding fever) were reported in 28.4% of subjects after the first and in 20.6% of subjects after the second vaccination. The severity of these reactions after the first and second vaccinations was mild in all but 4 (1.5%) and 1 (0.4%) subjects who reported moderate reactions after the first and second vaccinations, respectively. Malaise occurred in 9.5% of subjects after the first vaccination and in 6.6% of subjects after the second vaccination. The majority of cases were mild (8.4% and 6.2% after the first and second vaccination, respectively), with very few moderate cases reported. Shivering was reported less frequently: in 4.3% of subjects after the first and in 2.7% of subjects after the second vaccination. The most frequently reported queried symptoms of systemic reactions were headache, fatigue, and muscle pain.

All local reactions which occurred after the first and second vaccinations were mild in intensity and were reported in 22.5% and 15.2% of subjects, respectively. Injection site pain was the most frequently reported queried symptom of local reactions in all study groups. Among the other queried symptoms of local reactions (swelling, induration, erythema and ecchymosis) none occurred in more than a total of 4 subjects (0.0% to 4.4% of subjects per study group) after both the first and second vaccinations. As expected, between Day 42 and 180 (Part B of the study) there was a very low probability of occurrence of related AEs. Only one subject reported non-serious systemic symptoms

(diagnosed with upper respiratory tract infection 32 and 132 days after the second vaccination), which was judged as possibly related to study product.

#### Study 810601

A total of 561 subjects (281 adults and 280 elderly) received the first vaccination and 539 subjects (269 adults and 270 elderly) received the second vaccination 21 days later with the inactivated whole virion, Vero cell-derived vaccine containing 7.5µg H5N1 HA antigen, strain A/Vietnam/1203/2004.

The occurrence of fever with onset within 7 days after the 1<sup>st</sup> and 2<sup>nd</sup> vaccination is provided in Table 29 and Table 30.

**Table 29: Number of subjects with fever with onset within 7 days after 1<sup>st</sup> vaccination by severity grade (full analysis dataset)**

| Age group    | N  | NAV<br>% | Severity of fever |         |      |        |          |        | Total<br>N |        |     |
|--------------|----|----------|-------------------|---------|------|--------|----------|--------|------------|--------|-----|
|              |    |          | No reaction       |         | Mild |        | Moderate |        |            | Severe |     |
|              |    |          | N                 | %       | N    | %      | N        | %      |            | N      | %   |
| 18-59 yrs    | 5  | (1.8%)   | 270               | (96.1%) | 4    | (1.4%) | 2        | (0.7%) | 0          | (0.0%) | 281 |
| ≥60 yrs      | 5  | (1.8%)   | 272               | (97.1%) | 3    | (1.1%) | 0        | (0.0%) | 0          | (0.0%) | 280 |
| <b>Total</b> | 10 | (1.8%)   | 542               | (96.6%) | 7    | (1.2%) | 2        | (0.4%) | 0          | (0.0%) | 561 |

**Table 30: Number of subjects with fever with onset within 7 days after 2<sup>nd</sup> vaccination by severity grade (full analysis dataset)**

| Age group    | N | NAV<br>% | Severity of fever |         |      |        |          |        | Total<br>N |        |     |
|--------------|---|----------|-------------------|---------|------|--------|----------|--------|------------|--------|-----|
|              |   |          | No reaction       |         | Mild |        | Moderate |        |            | Severe |     |
|              |   |          | N                 | %       | N    | %      | N        | %      |            | N      | %   |
| 18-59 yrs    | 4 | (1.5%)   | 264               | (98.1%) | 1    | (0.4%) | 0        | (0.0%) | 0          | (0.0%) | 269 |
| ≥60 yrs      | 2 | (0.7%)   | 266               | (98.5%) | 1    | (0.4%) | 1        | (0.4%) | 0          | (0.0%) | 270 |
| <b>Total</b> | 6 | (1.1%)   | 530               | (98.3%) | 2    | (0.4%) | 1        | (0.2%) | 0          | (0.0%) | 539 |

Specifically queried symptoms of local and systemic reactions that occurred within 7 days after the first and second immunisation are shown in Table 31 and Table 32.

**Table 31: Specifically queried symptoms of local and systemic reactions (other than malaise and shivering) related to the 1<sup>st</sup> vaccination (full analysis dataset)**

| Reported Term                                       | Preferred Term            | Age group                         |                                 |
|---|---------------------------|-----------------------------------|---------------------------------|
|   |                           | 18-59 yrs<br>n/N (%)<br>95% C.I.  | ≥60 yrs<br>n/N (%)<br>95% C.I.  |
| Swelling  | Injection site swelling   | 2/281 (0.7%)<br>(0.1% ; 2.5%)     | 4/280 (1.4%)<br>(0.4% ; 3.6%)   |
| Induration  | Injection site induration | 6/281 (2.1%)<br>(0.8% ; 4.6%)     | 5/280 (1.8%)<br>(0.6% ; 4.1%)   |
| Redness   | Injection site erythema   | 1/281 (0.4%)<br>(0.0% ; 2.0%)     | 2/280 (0.7%)<br>(0.1% ; 2.6%)   |
| Injection Site Pain                                 | Injection site pain       | 44/281 (15.7%)<br>(11.6% ; 20.4%) | 16/280 (5.7%)<br>(3.3% ; 9.1%)  |
| Ecchymosis  | Injection site hemorrhage | 4/281 (1.4%)<br>(0.4% ; 3.6%)     | 0/280 (0.0%)<br>(0.0% ; 1.3%)   |
| Fatigue   | Fatigue                   | 23/281 (8.2%)<br>(5.3% ; 12.0%)   | 21/280 (7.5%)<br>(4.7% ; 11.2%) |
| Headache  | Headache                  | 27/281 (9.6%)<br>(6.4% ; 13.7%)   | 27/280 (9.6%)<br>(6.5% ; 13.7%) |
| Sweating  | Hyperhidrosis             | 12/281 (4.3%)<br>(2.2% ; 7.3%)    | 14/280 (5.0%)<br>(2.8% ; 8.2%)  |
| Muscle pain   | Myalgia                   | 11/281 (3.9%)<br>(2.0% ; 6.9%)    | 9/280 (3.2%)<br>(1.5% ; 6.0%)   |
| Joint pain  | Arthralgia                | 4/281 (1.4%)<br>(0.4% ; 3.6%)     | 14/280 (5.0%)<br>(2.8% ; 8.2%)  |
| Fever with onset later than Day 7 after vaccination | Pyrexia                   | 0/281 (0.0%)<br>(0.0% ; 1.3%)     | 0/280 (0.0%)<br>(0.0% ; 1.3%)   |

**Table 32: Specifically queried symptoms of local and systemic reactions (other than malaise and shivering) related to the 2<sup>nd</sup> vaccination (full analysis dataset)**

| Reported Term       | Preferred Term            | Age group                        |                                |
|---------------------|---------------------------|----------------------------------|--------------------------------|
|                     |                           | 18-59 yrs<br>n/N (%)<br>95% C.I. | ≥60 yrs<br>n/N (%)<br>95% C.I. |
| Swelling            | Injection site swelling   | 1/269 (0.4%)<br>(0.0% ; 2.1%)    | 4/270 (1.5%)<br>(0.4% ; 3.7%)  |
| Induration          | Injection site induration | 2/269 (0.7%)<br>(0.1% ; 2.7%)    | 4/270 (1.5%)<br>(0.4% ; 3.7%)  |
| Redness             | Injection site erythema   | 0/269 (0.0%)<br>(0.0% ; 1.4%)    | 5/270 (1.9%)<br>(0.6% ; 4.3%)  |
| Injection Site Pain | Injection site pain       | 37/269 (13.8%)                   | 8/270 (3.0%)                   |

|   |                           |                                 |                                |
|---|---------------------------|---------------------------------|--------------------------------|
|   |                           | (9.9% ; 18.5%)                  | (1.3% ; 5.8%)                  |
| Ecchymosis  | Injection site hemorrhage | 1/269 (0.4%)<br>(0.0% ; 2.1%)   | 1/270 (0.4%)<br>(0.0% ; 2.0%)  |
| Fatigue   | Fatigue                   | 18/269 (6.7%)<br>(4.0% ; 10.4%) | 12/270 (4.4%)<br>(2.3% ; 7.6%) |
| Headache  | Headache                  | 14/269 (5.2%)<br>(2.9% ; 8.6%)  | 17/270 (6.3%)<br>(3.7% ; 9.9%) |
| Sweating  | Hyperhidrosis             | 7/269 (2.6%)<br>(1.1% ; 5.3%)   | 9/270 (3.3%)<br>(1.5% ; 6.2%)  |
| Muscle pain   | Myalgia                   | 6/269 (2.2%)<br>(0.8% ; 4.8%)   | 9/270 (3.3%)<br>(1.5% ; 6.2%)  |
| Joint pain  | Arthralgia                | 6/269 (2.2%)<br>(0.8% ; 4.8%)   | 12/270 (4.4%)<br>(2.3% ; 7.6%) |
| Fever with onset later than Day 7 after vaccination | Pyrexia                   | 0/269 (0.0%)<br>(0.0% ; 1.4%)   | 0/270 (0.0%)<br>(0.0% ; 1.4%)  |

The probability of occurrence of systemic reactions (including fever) within 21 days after the first vaccination was 22.8% in adults and 23.3% in elderly subjects. The majority of subjects reported no fever within 7 days after the first and second vaccinations in both age strata. After the first vaccination, the occurrence of fever was 2.2% in the group of adults, and 1.1% in the elderly. After the second vaccination, the occurrence of fever within 7 days after vaccination was 0.4% and 0.7% in adults and elderly. No fever case lasted more than 2 days. Of the few fever cases reported, most were mild. There was no severe fever in either age stratum after either vaccination.

The probability of occurrence of malaise after the first vaccination was 6.4% in both age strata; after the second vaccination, 3.7% in adults, and 4.1% in elderly subjects. Malaise after the first vaccination in adults was reported mostly as mild (5.3%), 2 were moderate (0.7%), and 1 (0.4%) severe. The rates of malaise by severity were generally similar in elderly subjects (5.7% mild and 0.7% moderate), and none severe. After the second vaccination, mild or moderate malaise was reported in 6 (2.2%), and 4 adult subjects (1.5%), respectively, and 10 (3.7%) and 1 elderly subject (0.4%), respectively. The probability of occurrence of shivering after the first vaccination was 3.6% in adults and 4.6% in elderly; the rates were lower after the second vaccination: 1.1% and 1.9%, adult and elderly subjects, respectively. Reports of shivering were predominantly mild, with a few moderate cases reported, none were severe.

Local reactions after the first vaccination occurred at a rate of 17.1% in adults aged 18-59 years, and 8.6% in subjects 60 years and older, and in 14.5% and 6.3% of subjects after the second vaccination, respectively. Most of the local reactions were mild after each vaccination (15.7% and 8.2% after the first, and 13.8% and 5.9% after the second vaccination, respectively).

The follow-up data to 6 months after the first vaccination for all subjects were available during the procedure. None of the 503 subjects experienced systemic reactions and new adverse reaction in the period between day 42 and day 180. All systemic symptoms or diagnosis of AEs reported between Day 42 and 180 were considered unrelated to vaccination.

Systemic reactions within 21 days after the 6-months booster dose were mostly mild. One subject experienced moderate reactions (chills, nasopharyngitis, arthralgia and headache) in the group of adults. There were no severe systemic reactions.

- Serious adverse event/deaths/other significant events

#### Study 810501

During the 42 day and 180 day follow-up of the study, no SAEs related to the vaccination, deaths or other significant AEs were reported.

#### Study 810601

A total of 9 SAEs were reported during the 42 day follow-up of the study. Eight SAEs were considered unrelated to vaccination. One SAE (malaria tertiana reactivation) was judged related to vaccination by the investigator. The subject has a history of malaria tertiana since August 2006 and experienced an episode of reactivation of malaria tertiana previously in November 2006.

Within 21 days after the 6-month booster dose three subjects reported severe AEs (2 adults and 1 elderly subject), who suffered from nasopharyngitis, uveitis and spinal stenosis.

- Laboratory findings

Alanine aminotransferase (ALT) values were tested in a subpopulation (N=51) in study 810601. There were no clinically significant increases in ALT. Slightly elevated ALT values were detected in 3 subjects. All elevated ALT values were assessed as not related to vaccination by an independent DMC and the responsible investigators.

- Safety in special populations

A comparison of injection site reactions between the two age strata in Study 810601 showed that injection site pain was reported more often by the younger population than by the elderly. Joint pain and sweating was reported less often by the younger population than by the elderly.

- Safety related to drug-drug interactions and other interactions

Not applicable

- Discontinuation due to adverse events

**810501:** Two subjects stated adverse events experienced after the first vaccination as the reason for withdrawing their informed consent. These AEs were non-serious and were of mild or moderate severity, however, they were considered by the investigator to be related to the vaccination and included arthralgia, chills, eye discharge, fatigue, headache, hyperhidrosis, hypoesthesia, injection site pain, malaise, myalgia, generalized pruritus and insomnia for one subject and arthralgia, myalgia, papular rash for another.

**810601:** One subject reported an AE as the reason for withdrawal. This subject experienced severe malaise and mild fatigue 3 days after the first vaccination which were considered to be probably related to vaccination and which lasted 7 days.

- Post marketing experience

Not applicable

## **2.5 Pharmacovigilance**

### **Pharmacovigilance system**

The Rapporteur considers that the Pharmacovigilance system as described by the applicant fulfils the requirements and provides adequate evidence that the applicant has the services of a qualified person responsible for pharmacovigilance and has the necessary means for the notification of any adverse reaction suspected of occurring either in the Community or in a third country.

## Detailed description of the Pharmacovigilance system

The CHMP considered that the Pharmacovigilance system as described by the applicant fulfils the legislative requirements.

### Risk Management Plan

The routine and additional PhV activities proposed by the applicant are in accordance with CHMP Recommendations for the Pharmacovigilance Plan as part of the Risk Management Plan to be submitted with the Marketing Authorisation Application for a Pandemic Influenza Vaccines. Minor modifications requested during the initial evaluation have been included by the Applicant in the response document.

A clinical trial in children is currently discussed at the Paediatric Committee. After approval the Applicant is requested to submit the final study protocol as well as timelines. Further it is planned to include 300 patients with a chronic illness and 300 immunocompromised subjects as well as 450 vaccinees aged 61 years or older in a clinical trial which will be submitted to support the authorisation of a pre-pandemic H5N1 vaccine. A total of app. 4500 male and female subjects will be enrolled into three different cohorts. The study has been initiated in May 2008 and milestones and timelines have been provided.

The MAA submitted a risk management plan.

### Summary of the risk management plan for Celvapan

| Safety concern  | Proposed pharmacovigilance activities  | Proposed risk minimisation activities  |
|---|--|--|
| 1. Limited clinical data on vaccine safety and efficacy | <ul style="list-style-type: none"> <li>Pre-pandemic Phase III study in adult and elderly populations and specified risk groups (810705)</li> <li>Pre-pandemic paediatric study (810706)</li> <li>Pandemic observational study in subjects exposed to the vaccine through policies by governments or health authorities (810704)</li> <li>Routine pharmacovigilance activities</li> </ul> | <ul style="list-style-type: none"> <li>SmPC Section 5.1: “Mock-up vaccines contain influenza antigens that are different from those in the currently circulating influenza viruses. These antigens can be considered as ‘novel’ antigens and simulate a situation where the target population for vaccination is immunologically naïve. Data obtained with the mock-up vaccine will support a vaccination strategy that is likely to be used for the pandemic vaccine: clinical immunogenicity, safety and reactogenicity data obtained with mock-up vaccines are relevant for the pandemic vaccines.”</li> <li>Completion of additional clinical studies (810705, 810706, and 810704) will permit development of more accurate SmPC.</li> </ul> |
| 2. Immunogenicity                                       | <ul style="list-style-type: none"> <li>Monitoring of adverse events from ongoing clinical studies for any indication of abnormal immunogenicity</li> <li>Special reporting (7-day expedited reporting) of death or life-threatening reactions, and events of special interest (including neuritis, convulsion, severe allergic reaction,</li> </ul>                                      | <ul style="list-style-type: none"> <li>Caution in SmPC Section 4.4: “Caution is needed when administering this vaccine to persons with a known hypersensitivity (other than anaphylactic reaction) to the active substance(s), to any of the excipients and to trace residues e.g. formaldehyde, benzonase, or sucrose.</li> </ul>   |

|   |   |   |
|---|---|---|
|   | <p>encephalitis, thrombocytopenia, vasculitis, Guillain-Barré syndrome and Bell’s palsy)</p> <ul style="list-style-type: none"> <li>• Routine pharmacovigilance activities</li> </ul>   | <p>As with all injectable vaccines, appropriate medical treatment and supervision should always be readily available in case of a rare anaphylactic event following the administration of the vaccine.”</p> <ul style="list-style-type: none"> <li>• Review of adverse events of special interest in the observational study (810704)</li> </ul>                                |
| 3. Low efficacy                               | <ul style="list-style-type: none"> <li>• Pandemic observational study (810704)</li> <li>• Monitoring of adverse event reports for cases that may represent poor vaccine efficacy</li> <li>• Routine pharmacovigilance activities</li> </ul>   | <ul style="list-style-type: none"> <li>• Development of pandemic virus vaccine with relevant strains(s)</li> </ul>  |
| 4. Effects of vaccine on liver function       | <ul style="list-style-type: none"> <li>• Investigation of ALT levels, as a marker of altered liver function, will be included in subgroups of Cohort 2 (immunocompromised patients) and Cohort 3 (chronically ill patients) of study 810705 (pre-pandemic Phase III study in adult and elderly populations and specified risk groups). Further, in order to assess the risk of a potential negative effect of vaccination on liver functions in children, ALT investigation will also be included in a subset of the planned study 810706 (pre-pandemic paediatric study)</li> <li>• Routine pharmacovigilance activities</li> <li>• Monitoring of adverse event reports for abnormalities in liver function</li> </ul> | <ul style="list-style-type: none"> <li>• SmPC Section 5.3: “Non-Clinical studies demonstrated alterations in liver enzymes and calcium levels in repeat dose toxicity studies in rats. Such alterations in liver function have not been seen to date in human clinical studies. Alterations in calcium metabolism have not been examined in human clinical studies.”</li> </ul> |
| 5. Effects of vaccine on serum calcium levels | <ul style="list-style-type: none"> <li>• Serum calcium levels will be examined in subgroups of subjects of Cohort 1 (healthy subjects aged &gt;18 years), Cohort 2 (immunocompromised patients) and Cohort 3 (chronically ill patients) of study 810705 (pre-pandemic Phase III study in adult and elderly populations and specified risk groups)</li> <li>• Routine pharmacovigilance activities</li> <li>• Monitoring of adverse event reports for abnormalities in liver function</li> </ul>   | <ul style="list-style-type: none"> <li>• SmPC Section 5.3: “Non-Clinical studies demonstrated alterations in liver enzymes and calcium levels in repeat dose toxicity studies in rats. Such alterations in liver function have not been seen to date in human clinical studies. Alterations in calcium metabolism have not been examined in human clinical studies.”</li> </ul> |
| 6. Lack of                                    | <ul style="list-style-type: none"> <li>• Pre-pandemic paediatric study</li> </ul>   | <ul style="list-style-type: none"> <li>• Cautions in SmPC Section 4.2:</li> </ul>   |

|   |   |   |
|---|---|---|
| paediatric data   | <p>(810706)</p> <ul style="list-style-type: none"> <li>• Pandemic observational study in subjects exposed to the vaccine through policies by governments or health authorities (810704)</li> <li>• Routine pharmacovigilance activities</li> </ul>  | <p>“There is no data on CELVAPAN vaccination dose and schedule for subjects under 18 years old and for subjects with co-morbidities (e.g. immunosuppressed subjects). In a pandemic situation administration of the vaccine in those populations shall follow national recommendations.”</p> <ul style="list-style-type: none"> <li>• Planned studies in children may lead to more detailed information in the SmPC in the future.</li> </ul> |
| 7. Lack of data on pregnancy and lactation  | <ul style="list-style-type: none"> <li>• Completion of reproductive toxicology studies</li> <li>• Routine pharmacovigilance activities</li> </ul>   | <ul style="list-style-type: none"> <li>• Caution in SmPC Section 5.3: “As of yet data from non-clinical studies concerning reproduction and development are not available.”</li> </ul>  |
| 8. Lack of information on safety in individuals in various risk groups including patients with chronic disease and immunocompromised patients | <ul style="list-style-type: none"> <li>• Study 810705 (pre-pandemic Phase III study in adult and elderly populations and specified risk groups)</li> <li>• Pandemic observational study in subjects exposed to the vaccine through policies by governments or health authorities (810704)</li> <li>• Routine pharmacovigilance activities</li> </ul>  | <ul style="list-style-type: none"> <li>• None</li> </ul>  |
| 9. Pharmacovigilance monitoring during declared pandemic  | <ul style="list-style-type: none"> <li>• Enhanced PV activities including web based event collection and collection of consumer reports</li> <li>• Special reporting (7-day reports) for death or life-threatening reactions and events of special interest (including neuritis, convulsion, severe allergic reaction, encephalitis, thrombocytopenia, vasculitis, Guillain-Barré syndrome and Bell’s palsy)</li> <li>• Abbreviated PSUR with 14-day PSUR reporting cycle</li> <li>• Safety Data Exchange Agreements with countries purchasing vaccine</li> </ul> | <ul style="list-style-type: none"> <li>• None</li> </ul>  |

The CHMP, having considered the data submitted in the application, is of the opinion that no additional risk minimisation activities are required beyond those included in the product information.

## **2.6 Overall conclusions, risk/benefit assessment and recommendation**

### **Quality**

The production process of Celvapan Active Substance and Medicinal product is well defined and is sufficiently validated. All manufacturing sites are in compliance with current GMP requirements. Several non-compliance issues with the Ph. Eur regarding the Vero cell bank system and the omission of the classical extraneous agent testing, which were initially raised as major concerns, have been addressed by the Applicant. The Applicant has committed to further address some minor outstanding issues as follow-up measure.

### **Non-clinical pharmacology and toxicology**

Consistent pharmacology data has been generated to support the potency of the vaccine, independent of the manufacturing scales and animal species tested, although a large body of data are from mice. The pharmacological program is in line with the Guideline on “core dossier approach to registration of pandemic influenza vaccines” (CPMP/VEG/4717/03), which specifies that immunogenicity data derived from small animals that well respond to the human influenza vaccine are normally expected and that challenge experiments should be conducted if possible.

Non-clinical toxicological testing program comprises a literature-based risk assessment of Tween 80 (Polysorbate 80), a non-GLP rabbit pyrogenicity study, a GLP single-dose toxicity study and a GLP pivotal repeat-dose toxicity study in which local tolerance assessment is included. This program is considered to sufficiently meet the requirements of Regulatory Guideline on “core dossier approach to registration of pandemic influenza vaccines” (CPMP/VEG/4717/03).

Non-clinical safety data reveal no special hazard for humans based on conventional studies of safety pharmacology, acute and repeated dose toxicity, local tolerance, embryo-foetal and postnatal toxicity (up to the end of the lactation period).

### **Efficacy**

Clinical trials on protective efficacy for the mock-up vaccine are not possible. Therefore a detailed characterisation of the immunological response has been performed.

In the dose-response study 810501 four vaccine formulations adjuvanted with alum (3.5µg, 7.5µg, 15µg and 30µg) and 2 non-adjuvanted vaccine formulations (7.5, and 15µg) were evaluated in healthy adults of 18-45 years of age. Based on the MN and SRH assay using the homologous vaccine strain (A/Vietnam) the highest immune responses were achieved and all CHMP requirements were fulfilled following the first and second immunisation with the non-adjuvanted 7.5µg vaccine formulation. Moreover cross-neutralisation experiments indicate a high responsiveness for the original prototype A/HongKong strain and a moderate cross-neutralising response for the further evolved strain A/Indonesia. The neutralising antibody responses against all three virus strains persist over 6 months with low to moderate decline rates.

In the pivotal trial 810601 the immunogenicity of the 7.5µg vaccine was investigated in healthy adults of 18-59 years of age and elderly 60 years of age and older. Following two vaccinations and based on the MN assay all three requirements were fulfilled in the age group of adults and 2 out of 3 requirements were met in the elderly. With regards to the group of adults a seroneutralisation rate of 72.5%, a seroconversion rate of 60.8% and a 4.7 fold GM increase was achieved. In the elderly a seroneutralisation rate of 74.1%, a seroconversion rate of 26.7% and a 2.8 fold increase was obtained. The results of the MN assay were generally confirmed by the SRH assay. Following two vaccinations 2 out of 3 three CHMP requirements were fulfilled in adults and all three 3 requirements were met in the elderly. In the group of the adults a seroprotection rate of 63.3%, a seroconversion rate of 60.2% and a 4.6 fold GM increase was achieved. In the elderly a seroprotection rate of 67.7%, a

seroconversion rate of 62.4% and a 4.6 fold increase was obtained. Data on 6 months persistence of antibodies indicate a moderate decline in antibody responses.

Similar results were obtained in study 810701, where adults between 21 and 45 years of age received 2 doses of 3.75µg HA or 7.5µg HA of strain A/Indonesia/05/2005. With regard to the MN assay all three requirements were met regardless which antigen dose were administered. Based on the SRH assay nearly all CHMP criteria were fulfilled. While in the 3.75µg group a seroprotection rate of 71.2% was reached, it was slightly below the CHMP criterion for SPR in the 7.5µg group (69.2%).

Based on the MN and SRH assay the immunogenicity results obtained with the non-adjuvanted 7.5µg vaccine formulation are consistent throughout the three clinical studies suggesting that the Vero cell derived, inactivated whole virion H5N1 vaccine is suitable immunogenic.

## **Safety**

The safety data provided does not raise any safety concerns as regards frequency and nature of adverse events. The most commonly observed adverse reactions after administration of Celvapan were injection site pain, which was reported post dose 1 and 2. More rarely, local reactions such as injection site erythema and induration, as well as systemic reactions such as headache, fatigue, malaise, myalgia, chills, pharyngolaryngeal pain, pyrexia and arthralgia were reported after the first and second vaccination with the Vero cell-derived whole virion H5N1 pandemic vaccine. Symptoms normally abated without treatment after a few days. In general less systemic and local reactions were reported after the second vaccination compared to the first vaccination. The profile of adverse events after administration is not unusual and comparable to other licensed influenza vaccines. Considering that the vaccine will be used in a pandemic situation the frequency and nature of the adverse events is acceptable.

From the safety database all the adverse reactions reported in clinical trials have been included in the Summary of Product Characteristics.

Having considered the safety concerns in the risk management plan, the CHMP considered that the proposed activities described in section 3.5 adequately addressed these.

- User consultation

The user/readability testing is considered acceptable. Information on several outstanding issues regarding the user testing was provided by the Applicant and was found to be satisfactory.

## **Risk-benefit assessment**

### **Clinical context**

It is not known which strain (in terms of H and N type) will trigger the next human influenza pandemic. Celvapan is a mock-up influenza vaccine, whose scientific development is based on the guideline on dossier structure and content for pandemic influenza vaccine marketing authorisation application (CPMP/VEG/4717/03) and the guideline on submission of marketing authorisation applications for pandemic influenza vaccines through the centralised procedure (CPMP/VEG/4986/03).

### **Benefits**

The benefit of Celvapan can only be assessed during a pandemic and following insertion of an appropriate final pandemic strain into the vaccine. At present the potential benefit can only be evaluated based on detailed characterisation of immunological responses to vaccination.

Based on the MN and SRH assays the immunogenicity results obtained with the non-adjuvanted 7.5µg vaccine formulation are consistent throughout the three clinical studies suggesting that vaccine is suitable immunogenic

Therefore the expected benefit of Celvapan is to provide some protection against clinically-apparent infection and/or possibly against development of severe disease in case of an influenza pandemic. It is unlikely that Celvapan containing the antigens from the strain derived from A/Vietnam/1203/2004 would provide adequate protection if used during a pandemic. In line with the developed core dossier concept, a variation would therefore have to be submitted to introduce the WHO/EU recommended strain, prepared from the influenza virus causing the pandemic, prior to use of Celvapan.

### **Risks**

Celvapan is commonly or very commonly associated with a range of local and systemic adverse reactions but these are not often of severe intensity and the safety profile would not preclude the use of the vaccine in healthy adults aged 18-60 years or > 60 years.

The current safety database is considered to be sufficient to describe adverse reactions that occur uncommonly and to give an indication of any rare events. However, there are some adverse reactions known to be very rarely associated with influenza vaccines and it is currently not possible to predict if higher rates might be observed with Celvapan compared with, for example, seasonal influenza vaccines.

### **Balance**

The overall B/R of Celvapan is positive.

A risk management plan was submitted in accordance with the CHMP-recommended core RMP for these types of vaccines when intended only for use during an actual pandemic.

The clinical and pharmacovigilance specific obligations identified for Celvapan can only be fulfilled if and when a pandemic is officially declared. The data which could form the basis of an annual reassessment will therefore only be available after the pandemic has occurred. Since a review of these specific conditions would provide no relevant information in the absence of a declared pandemic situation, an annual review of the exceptional circumstances status should be initiated only in case the Pandemic is declared.

### **Recommendation**

Based on the CHMP review of data on quality, safety and efficacy, the CHMP considered by consensus that the risk-benefit balance of Celvapan for the prophylaxis of influenza in an officially declared pandemic situation, in accordance with official guidance, was favourable and therefore recommended the granting of the marketing authorisation under exceptional circumstances.