



EUROPEAN MEDICINES AGENCY  
SCIENCE MEDICINES HEALTH

25 July 2013  
EMA/CHMP/207780/2013  
Committee for Medicinal Products for Human Use (CHMP)

## CHMP assessment report

Vipidia

International non-proprietary name: alogliptin

Procedure No. EMEA/H/C/002182/0000



## Table of contents

<b>1. Background information on the procedure .....</b>	<b>5</b>
1.1. Submission of the dossier.....	5
1.2. Manufacturers.....	6
1.3. Steps taken for the assessment of the product.....	6
<b>2. Scientific discussion .....</b>	<b>7</b>
2.1. Introduction.....	7
2.2. Quality aspects .....	8
2.2.1. Introduction.....	8
2.2.2. Active Substance Alogliptin Benzoate .....	8
2.2.3. Finished Medicinal Product .....	10
2.2.4. Discussion on chemical, pharmaceutical and biological aspects.....	12
2.2.5. Conclusions on the chemical, pharmaceutical and biological aspects .....	12
2.2.6. Recommendation for future quality development .....	13
2.3. Non-clinical aspects .....	13
2.3.1. Introduction.....	13
2.3.2. Pharmacology .....	13
2.3.3. Pharmacokinetics.....	16
2.3.4. Toxicology .....	21
2.3.5. Ecotoxicity/environmental risk assessment .....	25
2.3.6. Discussion on non-clinical aspects.....	27
2.3.7. Conclusion on the non-clinical aspects.....	30
2.4. Clinical aspects .....	30
2.4.1. Introduction.....	30
2.4.2. Pharmacokinetics.....	32
2.4.3. Pharmacodynamics .....	35
2.4.4. Discussion on clinical pharmacology .....	36
2.4.5. Conclusions on clinical pharmacology .....	38
2.5. Clinical efficacy .....	38
2.5.1. Dose response study .....	38
2.5.2. Main studies .....	39
2.5.3. Discussion on clinical efficacy .....	70
2.5.4. Conclusions on the clinical efficacy.....	73
2.6. Clinical safety .....	73
2.6.1. Discussion on clinical safety .....	84
2.6.2. Conclusions on the clinical safety .....	87
2.7. Pharmacovigilance.....	87
2.8. Risk Management Plan .....	87
2.9. User consultation .....	90
<b>3. Benefit-Risk Balance.....</b>	<b>90</b>
<b>4. Recommendations .....</b>	<b>95</b>

## List of abbreviations

ADME	absorption, distribution, metabolism, and excretion
ADR	adverse drug reaction
Ae	total amount of drug excreted
A/G	albumin/globulin
ALB	albumin
ALP	alkaline phosphatase
ALT	alanine aminotransferase
ANCOVA	analysis of covariance
ANOVA	analysis of variance
API	Active Pharmaceutical Ingredient
ADR	adverse drug reactions
AR	Assessment Report
ASM	Active Substance Manufacturer
AST	aspartate aminotransferase
AUC	area under the plasma concentration-time curve
AUC(0-inf)	area under the plasma concentration-time curve from time 0 to time infinity
BA	bioavailability
BID	twice per day
%CV	percent coefficient of variation
Caco-2	human colonic adenocarcinoma
CHO	Chinese hamster ovary
CI	confidence interval
CK	creatinine kinase
Cl	chloride
CL	clearance
CLr	renal clearance
Cmax	maximum observed plasma concentration
CNS	central nervous system
Cr	serum creatinine
CrCl	creatinine clearance
CRP	C-reactive protein
CYP	cytochrome P-450
DASH	DPP-4 activity and/or structure homologues
DPP-2,-4...	dipeptidyl peptidase-2, 4, ...
E2	estradiol
EC50	half-maximal effective concentration
ECG	electrocardiogram
FDC	fixed-dose combination
GC	Gas Chromatography
GD	Gestation Day
GFR	glomerular filtration rate
GGT	γ-glutamyl transferase
GHb	glycosylated hemoglobin
GI	gastrointestinal
GIP	glucose-dependent insulintropic peptide
GLP	Good Laboratory Practice
GLP-1	glucagon-like peptide-1
Glut2	glucose transporter 2
GMP	Good Manufacturing Practice
HbA1c	glycosylated hemoglobin
HCl	Hydrochloric acid
HCT	hematocrit
HDL	high-density lipoprotein
HDL-C	high-density lipoprotein cholesterol
HGB	haemoglobin
HPLC	high-performance liquid chromatography
ICH	International Conference on Harmonisation
IC50	50% inhibitory concentration
IDL	intermediate-density lipoprotein
IP	intraperitoneal

IPC	In-process control
IR	Infrared
IR	immunoreactivity
ITT	intent to treat
IV	intravenously
ka	absorption constant
KF	Karl Fischer
LD	Lactation Day
LDH	lactate dehydrogenase
LDL	low-density lipoprotein
LDL-C	Low-density lipoprotein cholesterol
LDPE	Low Density Polyethylene
LH	luteinizing hormone
LS	least squares
M-I, M-II, ...	metabolite I, I ...
LYM	lymphocytes
MAA	Marketing Authorisation Application
MET	metformin
MON	monocytes
MS	Mass Spectrometry
MTD	maximum tolerated dose
N/A	not applicable
ND	Not detected
NOAEL	no-observed-adverse-effect level
NT	Not tested
OGTT	oral glucose tolerance test
OAT	organic anion transporters
PCTFE	Polychlorotrifluoroethylene
pdx-1	insulin promoter transcription factor
PE	Polyethylene
Ph.Eur.	European Pharmacopoeia
PIP	Paediatric Investigation Plan
PK	Pharmacokinetic
PO	by mouth
PPAR $\gamma$	peroxisome proliferator-activated receptor
Ppg	postprandial glucose
PSUR	Periodic Safety Update Report
PT	prothrombin time
PVC	Polyvinylchloride
QTc QT	interval corrected for heart rate
RBC	red blood cell
RET	reticulocytes
RH	Relative Humidity
RV	residual variability
SAE	serious adverse event
SC	subcutaneous
SCr	serum creatinine
%SEM	standard error of the parameter estimate divided by the parameter estimate 100%
STZ	streptozotocin
SU	sulfonylurea
T1/2 or T1/2, z	terminal elimination half-life
T2DM	type 2 diabetes mellitus
TFA	trifluoroacetate salt
TG	triglycerides
TLC	Thin Layer Chromatography
Tmax	time to reach Cmax
TS	tosylate salt
TZD	thiazolidinedione
ULN	upper limit of normal
UN	urea nitrogen
USP	United States Pharmacopoeia
UV	Ultraviolet
WBC	white blood cells

# 1. Background information on the procedure

## 1.1. Submission of the dossier

The applicant Takeda Global Research and Development Centre (Europe) Limited submitted on 2 May 2012 an application for Marketing Authorisation to the European Medicines Agency (EMA) for Vipidia, through the centralised procedure falling within the Article 3(1) and point 3 of Annex of Regulation (EC) No 726/2004 . The eligibility to the centralised procedure was agreed upon by the EMA/CHMP on 20 October 2011. During the procedure the applicant has changed to Takeda Pharma A/S.

The applicant applied for the following indication:

Vipidia is indicated to improve glycaemic control in adult patients ( $\geq 18$  years old) with type 2 diabetes mellitus:

- in combination with metformin when diet and exercise plus metformin alone do not provide adequate glycaemic control.
- in combination with a sulphonylurea when diet and exercise plus a sulphonylurea alone do not provide adequate glycaemic control.
- in combination with a thiazolidinedione when diet and exercise plus a thiazolidinedione alone do not provide adequate glycaemic control.
- in combination with a thiazolidinedione and metformin when diet and exercise plus dual therapy with these agents do not provide adequate glycaemic control.
- in combination with insulin (with or without metformin) when diet and exercise plus a stable dose of insulin do not provide adequate glycaemic control.

### The legal basis for this application refers to:

New active substance (Article 8(3) of Directive No 2001/83/EC)

The application submitted is composed of administrative information, complete quality data, non-clinical and clinical data based on applicants' own tests and studies and/or bibliographic literature substituting/supporting certain tests or studies.

### Information on Paediatric requirements

Pursuant to Article 7 of Regulation (EC) No 1901/2006, the application included an EMA Decision P/299/2011 on the agreement of a paediatric investigation plan (PIP).

At the time of submission of the application, the PIP P/299/2011 was not yet completed as some measures were deferred.

The PDCO issued an opinion on compliance for the PIP P/299/2011.

### Information relating to orphan market exclusivity

#### Similarity

Pursuant to Article 8 of Regulation (EC) No. 141/2000 and Article 3 of Commission Regulation (EC) No 847/2000, the applicant did not submit a critical report addressing the possible similarity with authorised orphan medicinal products because there is no authorised orphan medicinal product for a condition related to the proposed indication.

## **New active Substance status**

The applicant requested the active substance alogliptin contained in the above medicinal product to be considered as a new active substance in itself, as the applicant claims that it is not a constituent of a product previously authorised within the Union.

## **Scientific Advice**

The applicant received repeated Scientific Advice from the CHMP on 27 May 2005, 25 June 2009, 24 September 2009 and 19 November 2009. The Scientific Advice pertained to non-clinical and clinical aspects of the dossier.

## **Licensing status**

Vipidia has been given a Marketing Authorisation in Japan on 16 April 2010, in Mexico on 29 August 2012, in the US on 25 January 2013, in Korea on 31 May 2013 and in China on 16 July 2013.

A new application was filed in the following countries: Australia, Brazil, Canada, Indonesia, Philippines, Switzerland and Taiwan.

## **1.2. Manufacturers**

### **Manufacturer responsible for batch release**

Takeda Ireland Ltd.  
Bray Business Park  
Kilruddery  
Co Wicklow  
Ireland

## **1.3. Steps taken for the assessment of the product**

The Rapporteur and Co-Rapporteur appointed by the CHMP were:

Rapporteur: Pieter de Graeff

Co-Rapporteur: Kristina Dunder

CHMP Peer reviewers: Harald Enzmann and Patrick Salmon

- The application was received by the EMA on 2 May 2012.
- The procedure started on 23 May 2012.
- The Rapporteur's first Assessment Report was circulated to all CHMP members on 14 August 2012. The Co-Rapporteur's first Assessment Report was circulated to all CHMP members on 10 August 2012.
- During the meeting on 20 September 2012, the CHMP agreed on the consolidated List of Questions to be sent to the applicant. The final consolidated List of Questions was sent to the applicant on 10 October 2012.
- The applicant submitted the responses to the CHMP consolidated List of Questions on 20 December 2012.
- The Rapporteurs circulated the Joint Assessment Report on the applicant's responses to the List of Questions to all CHMP members on 22 January 2013.
- During the CHMP meeting on 21 February 2013, the CHMP agreed on a List of Outstanding Issues to be addressed in writing by the applicant.

- The applicant submitted the responses to the CHMP List of Outstanding Issues on 22 March 2013.
- The Rapporteurs circulated the Joint Assessment Report on the applicant's responses to the List of Questions to all CHMP members on 02 April 2013.
- During the CHMP meeting on 25 April 2013, the CHMP agreed on a 2nd List of Outstanding Issues to be addressed in writing and/or oral explanation by the applicant.
- The applicant submitted the responses to the CHMP 2nd List of Outstanding Issues on 23 May 2013.
- The Rapporteurs circulated the Joint Assessment Report on the applicant's responses to the List of Questions to all CHMP members on 18 July 2013.
- During the meeting on 25 July 2013, the CHMP, in the light of the overall data submitted and the scientific discussion within the Committee, issued a positive opinion for granting a Marketing Authorisation to Vipidia.

## 2. Scientific discussion

### 2.1. Introduction

The prevalence of T2DM has increased dramatically throughout the world, and is expected to continue to rise from approximately 366 million adults in 2011 to 552 million adults by 2030. T2DM is a chronic illness associated with a number of long-term microvascular (ie, nephropathy, retinopathy, and neuropathy) and macrovascular (ie, cardiovascular [CV] disease, stroke, and peripheral vascular disease) complications.

Current pharmacologic interventions for T2DM include a diverse range of antidiabetic medications with different mechanisms of action, developed to manage the 2 different aspects of the disease: reduced insulin secretion and peripheral insulin resistance. The main classes of oral agents include biguanides (eg, MET), SUs (eg, glipizide), TZDs (eg, pioglitazone), and other DPP-4 inhibitors (eg, sitagliptin). Insulin and glucagon like peptide-1 (GLP-1) analogs (eg, exenatide and liraglutide) are also commercially available and are administered by injection. Many therapies have clinically important side effects, such as hypoglycaemia (SUs), weight gain, fluid retention and heart failure (TZDs), and gastrointestinal effects and lactic acidosis (MET).

A relatively new class of agents, DPP-4 inhibitors, has emerged as a novel treatment to help manage T2DM. In patients with T2DM, actions of the incretin hormones GLP-1 and glucose-dependent insulintropic polypeptide (GIP) are blunted, which contributes to hyperglycaemia. GLP-1 and GIP are released into the bloodstream in response to meals/glucose levels, but are quickly inactivated by DPP-4. Inhibition of DPP-4 increases circulating blood levels of GLP-1 and GIP, thereby increasing insulin levels and decreasing glucagon levels.

The aim of the clinical program was to investigate the therapeutic effect and safety profile in the target population of T2DM subjects. As such, Phase III studies were designed to evaluate the efficacy, safety, and tolerability of alogliptin compared with placebo and active comparators when used in combination with widely used and effective antidiabetic agents, MET, SU, TZD, and insulin. The clinical program was also designed to support global registration of alogliptin as a monotherapy product and in combination with the approved oral antidiabetic medications pioglitazone and MET, as fixed-dose combination (FDC) tablets. Fixed dose combinations are described in more detail in separate European Public Assessment Reports for these products.

Alogliptin and the alogliptin/pioglitazone FDC were first approved in Japan in April 2010 and July 2011, respectively (25 mg with 12.5 and 6.25 mg for renally impaired patients and 25/15 mg and 25/30 mg alogliptin/pioglitazone).

For this MAA, key guidance documents considered in the design of the clinical development program included the Committee for Proprietary Medicinal Products (CPMP) and the Note for Guidance on Clinical Investigation of Medicinal Products in the Treatment of Diabetes Mellitus (May 2002). The program is also largely consistent with the later draft guidance (September 2011).

## **2.2. Quality aspects**

### **2.2.1. Introduction**

The finished product is manufactured as oval, biconvex, immediate-release tablets in 3 strengths: 6.25 mg, 12.5 mg and 25 mg containing alogliptin (as benzoate). All three strengths of the drug product have the same nominal dimensions (9.1 mm long by 5.1 mm wide and 3.7 mm thick). The 3 strengths are distinguished both by color and by dose specific imprinted markings on one side.

The composition is further detailed in section 6.1 of the SmPC.

The product is available in polychlorotrifluoroethylene / polyvinylchloride (PCTFE/PVC) blister as described in section 6.5 of the SmPC.

### **2.2.2. Active Substance Alogliptin Benzoate**

The active substance alogliptin benzoate (INN: alogliptin) is a white crystalline odourless powder, soluble in dimethylsulfoxide, sparingly soluble in methanol, slightly soluble in tetrahydrofuran, and practically insoluble in toluene and diethyl ether. The aqueous solubility is high and independent of the pH between 3 and 11. The chemical name

is 2-({6-[(3R)-3-aminopiperidin-1-yl]-3-methyl-2,4-dioxo-3,4-dihydropyrimidin-1(2H)-yl}methyl)-benzonitrile monobenzoate, also known as

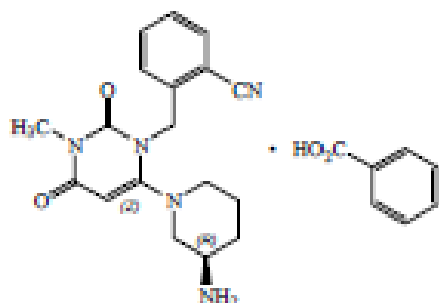
2-[[6-[(3R)-3-Amino-1-piperidinyl]-3,4-dihydro-3-methyl-2,4-dioxo-1(2H)-pyrimidinyl]methyl]benzonitrile monobenzoate and has the structural formula C<sub>25</sub>H<sub>27</sub>N<sub>5</sub>O<sub>4</sub>. It is a 1:1 salt between alogliptin and benzoic acid.

The structure of alogliptin benzoate was unambiguously confirmed by NMR, UV, and IR spectroscopy, mass spectrometry, elemental analysis, and an X-ray crystal structural study.

Physico-chemical properties such as crystalline form, optical rotation and partition coefficients have been detailed. Although alogliptin exhibits polymorphism, a single stable polymorphic form is routinely delivered by the manufacturing process. The active substance is not hygroscopic. It has a single chiral centre and is manufactured as the R enantiomer.



The chemical structure of alogliptin benzoate is:



## Manufacture

Alogliptin is synthesized in three steps from commercially available, well-defined starting materials. The active substance is then milled to attain the desired particle size. Detailed information about the manufacturing process, control of starting materials, reagents and solvents, control of critical steps and intermediates along with process development and validation has been provided.

The manufacturing process is adequately described. The full 3-step process can be carried out in its entirety at one manufacturer. Alternatively, step 1 is carried out at a different manufacturer. The synthetic scheme, including the raw materials suppliers and process descriptions is identical for all manufacturing sites although the scales differ. The starting materials are well-defined, commercially available and purchased from vendors who have demonstrated the ability to supply materials that consistently meet the established acceptance criteria. Appropriate specifications have been adopted for the starting materials, taking into account their route of synthesis and impact on active substance quality. The applicant has discussed the formation and control of potential and actual impurities, including genotoxins, degradants, and residual solvents at each step of the synthesis. Critical process parameters were identified for each step and appropriate limits defined. All relevant impurities have been appropriately characterised and are well controlled by the process and intermediate specifications. Therefore, the manufacturer has good control over the manufacturing process and the described in-process controls and specifications are considered adequate to ensure the required quality of active substance.

Alogliptin benzoate is packaged in double low-density polyethylene (LDPE) bags closed by a plastic tie. The bags are then stored in a fiberboard drum for further protection. The information on the container closure system is considered acceptable and supports the stability of alogliptin benzoate. The plastic materials in direct contact with the substance are stated to be in compliance with the EU regulations.

## Specification

The active substance specification includes the following parameters: appearance (visual and XRD), identification (UV, IR, HPLC), heavy metals (USP method), content of (S)-enantiomer (chiral HPLC), related substances (HPLC), residual solvents (GC), water (Ph.Eur. 2.5.12), residue on ignition (Ph. Eur. 2.4.14), assay (HPLC) and particle size (laser diffraction). The specifications have been adequately justified and are in compliance with the ICH guidelines including ICH Q3A(R2) and ICH Q3C for residual solvents. The potential effect of particle size on the dissolution properties of alogliptin tablets was investigated, and it was found to be negligible within the range evaluated.

The analytical results of 46 batches of alogliptin (manufactured and used in development, preclinical, clinical, stability studies as well as used for the purpose of validation and registration) have been

provided. Results were found within the set specification. Analytical methods have been described and non-compendial methods validated in accordance with ICH guidelines.

### ***Stability***

Three pilot-scale batches of the active substance stored in the commercial packaging were put on stability studies under long-term (25 °C / 60% RH) for up to 60 months and accelerated (40 °C / 75% RH) for up to 6 months as per ICH guidelines. Additional stress studies (heat (50, 60 °C), humidity (93% RH) and photostability (white fluorescent and UV light) in line with ICH option 2) were performed on one batch for 3 months. The parameters tested in the stability studies were appearance, crystallinity, identification, (*S*)-enantiomer, related substances, (*R*)-3-aminopiperidine, water content, assay and microbiological limits. The analytical procedures were detailed and validated. No significant changes were observed to any of the monitored parameters under any of the tested conditions. Furthermore, stability of the polymorphic form was demonstrated.

Forced degradation studies were also carried out and identified several degradation products formed under acidic, basic, and oxidative aqueous conditions. The drug substance was shown to be stable in neutral aqueous solution, even on exposure to light.

The stability studies indicate that the drug substance manufactured by the proposed supplier is sufficiently stable. The stability results justify the proposed retest period in the proposed container.

### **2.2.3. Finished Medicinal Product**

#### ***Pharmaceutical Development***

Alogliptin benzoate is identified as a single stable crystal form, which is manufactured for use in the finished product. Although particle size has been shown not to influence exposure to alogliptin, specifications were in place to control the particle size distribution of the active substance to ensure content uniformity.

The solubility of alogliptin benzoate has been studied extensively in both routine characterization studies as well as more rigorous evaluations for the purposes of establishing the classification of the drug substance defined by the EMA [Guideline on the Investigation of Bioequivalence, January 2010] and FDA [Guidance for Industry; Waiver of In-Vivo Bioavailability and Bioequivalence Studies for Immediate-Release Solid Oral Dosage Forms Based on a Biopharmaceutics Classification System, August 2000] regulatory guidances. Alogliptin was found to be a highly soluble compound.

All excipients are conventional for their pharmaceutical function. The excipients for the core tablets are: mannitol, microcrystalline cellulose, hydroxypropylcellulose, croscarmellose sodium, magnesium stearate. For the film-coating the excipients are: hypromellose, titanium dioxide, iron oxide yellow and red.

For the polishing solution, macrogol is used and for the printing ink solution printing gray ink is used.

Alogliptin demonstrated good compatibility with all excipients used in their respective granulations and with the excipients used for film-coating. Each excipient was chosen based on its required function for the formulation and on successful demonstration of compatibility with the active substance.

The amounts of excipients were selected based on experiments performed to study excipient ranges and their effects on drug product performance and manufacturability. All excipients are compendial (Ph.Eur, Commission Directive 95/45/EC or the USP/NF) except the printing ink. The ink is prepared from components that meet compendial specifications on an individual basis or comply with relevant regulatory standards.

The goal of the development was an orally available formulation with good stability and dissolution characteristics, which would be adaptable for different strengths using only minor adjustments in composition. Tablets were chosen due to the bioavailability and good solubility of alogliptin. A film-coating was applied to mask the bitter taste of the active substance.

The dosage strength 6.25 mg, 12.5 mg and 25 mg have been applied for in this centralised procedure.

The formulations used in the clinical evaluation of alogliptin were manufactured with a different granulation process compared to the tablets that will be commercialised.

As pharmaceutical development resulted in substantial changes to the Phase III pivotal clinical formulation to create the proposed commercial tablets, in-vitro studies were conducted to confirm that the dissolution performance of the two formulations was essentially equivalent prior to evaluating them in clinical studies. Comparative dissolution profiles for the Phase III and commercial formulations under the specified dissolution conditions demonstrated that there was no significant difference in the dissolution performance for the two formulations.

A strength biowaiver was granted for the 6.25 mg strength based on dissolution studies that demonstrated that all strengths of alogliptin tablets rapidly dissolved according to ICH Q6A guidance and had similar dissolution profile.

The important aspects of the manufacturing process were evaluated both during development and pilot-scale studies. No critical operating parameters were identified and processes validated. Based on these results and applicant's experience on development and production of other tablet products, optimization and further validation studies were performed to establish the final operating conditions at commercial scale, leading to the final choice of acceptable process parameter ranges.

Additionally, general operating conditions were also selected based on previous experience of the applicant with conventional tablet manufacturing.

The proposed commercial packaging system for alogliptin tablets is PCTFE/PVC blisters with aluminium push-through lidding foil and is adequate to support stability and use of the product. The materials comply with EU regulation 10/2011 and Ph. Eur. 3.1.11 where applicable.

### ***Manufacture of the product***

The commercial process is a standard manufacturing process.

Based on validation data on three production batches of each strength and adequacy of in-process controls, it is considered that the manufacture is sufficiently robust to produce alogliptin film-coated tablets of consistent quality.

Adequate in-process controls have been put in place and included parameters such as control of blending, compression and film-coating steps.

### ***Product specification***

The proposed release and shelf-life specifications for the Alogliptin 6.25 mg 12.5 mg and 25 mg tablets include appropriate tests for: appearance (visual), identification (UV and HPLC), content uniformity (Ph. Eur. 2.9.40), dissolution, assay (HPLC), related substances (HPLC) and microbiological quality (Ph. Eur.) The specification and control tests applied for the drug product at time of release and throughout the shelf life of the drug substance, are in compliance with general pharmacopoeial standards (including Ph Eur) and ICH guidelines (Q3B and Q6A). The related substances do not raise any safety concern. The specifications for release and throughout shelf life are identical except identification and uniformity of content (only tested at release) and assay. The limits for each specification test are achievable by the

production process and are supported by stability study data. Analytical methods have been described and non-compendial methods have been validated in accordance with ICH guidelines Q2B, Validation of Analytical Procedures. The methods are suitable for their intended use.

Batch analysis data were provided for three pilot-scale batches of each strength 6.5 mg, 4 batches of strength 12.5 mg and 4 batches of strength 25 mg. The batch analysis results are within the proposed specification. The batch analysis data indicate that the manufacture is sufficiently robust to produce Alogliptin 6.5 mg, 12.5 mg and 25 mg tablets of consistent quality, complying with the designated specifications.

### ***Stability of the product***

Stability studies of three pilot batches of each strength, 6.25 mg, strength 12.5 mg and 25 mg stored under long term conditions for 48 months at 25 °C / 60% RH and accelerated conditions for 6 months at 40 °C / 75% RH according to ICH conditions were provided. The batches were kept in the commercial packaging. The parameters studied were appearance, assay, related substances, dissolution, loss on drying, and hardness at all-time points; microbiological quality at significant intervals (6-month intervals through 12 months storage and annually thereafter). The analytical methods were stability indicating.

Additional studies under both long-term and accelerated conditions in the bulk tablet shipping container were conducted. Photostability studies were also performed on one batch of each strength under ICH Q1B conditions. Tested parameters were appearance assay, related substances, dissolution, loss on drying, and hardness. No significant differences were observed between exposed and control tablets.

No significant change could be observed during the long term and accelerated stability studies. In addition, results of related substances were below the ICH identification threshold for all conditions and all strengths. All stability results have met the proposed specifications in the proposed commercial packaging configuration.

Results in bulk package stability studies carried out to 24 months of long-term storage and 3 months of accelerated storage were consistent and satisfactory. Photostability studies showed that alogliptin tablets are not sensitive to light exposure.

Based on stability data, the proposed shelf-life when the alogliptin tablets are kept in the commercial packaging and in line with the SmPC conditions is acceptable.

## **2.2.4. Discussion on chemical, pharmaceutical and biological aspects**

### **Quality Development**

Information on development, manufacture and controls applied to alogliptin and the finished product, along with the controls over the manufacturing process of the active substance and the drug product, support that the Alogliptin film-coated tablets can be routinely manufactured to conform to the current expectations for this type of dosage form. The drug product is a standard dosage form manufactured by a standard manufacturing process. No critical steps were identified in the manufacturing process. The results of the tests carried out indicate consistency and uniformity of important product quality characteristics, and these turn in lead to the conclusion that the product should have a satisfactory and uniform performance in the clinic.

## **2.2.5. Conclusions on the chemical, pharmaceutical and biological aspects**

The quality of Vipidia film-coated tablets is considered to be acceptable when used in the conditions defined in the SmPC. Physicochemical and biological aspects relevant to the uniform clinical performance of these tablets have been investigated and are controlled in a satisfactory way.

## **2.2.6. Recommendation for future quality development**

N/A

## **2.3. Non-clinical aspects**

### **2.3.1. Introduction**

Alogliptin is a potent and highly selective inhibitor of the dipeptidyl peptidase (DPP)-4 enzyme that is being developed as an antihyperglycemic agent.

Alogliptin has been characterized in a battery of in vitro and in vivo pharmacodynamic, pharmacokinetic, and toxicologic studies. Alogliptin, as synthesized, exists predominantly as the (R)-enantiomer (>99%). In vivo chiral conversion to (S)-alogliptin is minimal. Alogliptin is metabolized to 2 metabolites, an N-demethylated metabolite (M-I) and an N-acetylated metabolite (M-II). M-I has DPP-4 inhibitory activity that is similar to alogliptin, whereas the (S)-enantiomer has minimal DPP-4 inhibitory activity, and M-II does not inhibit DPP-4 in vitro.

Pivotal toxicity and safety pharmacology studies were conducted in compliance with the good laboratory practice (GLP).

The intended clinical route of administration is oral; therefore, with the exception of an IV single dose toxicity study in rats, IV and paravenous tolerance studies in rabbits, and an IP micronucleus study in mice, alogliptin was administered orally (gavage or capsule) in the in vivo toxicological evaluations.

Nonclinical studies assessing immunotoxicity, including in vitro assessments for immune function and immunophenotyping of leukocyte populations, were not conducted with alogliptin.

### **2.3.2. Pharmacology**

#### **2.3.2.1. Primary pharmacodynamic studies**

##### **In vitro Pharmacodynamic assays**

The primary pharmacological activity of alogliptin was determined in various enzyme assays. The target enzyme, dipeptidyl peptidase-4, was inhibited *in vitro* by alogliptin with an IC<sub>50</sub> (nM) ranging from 6 to 18 depending on source of enzyme. The assays demonstrated that alogliptin is a potent and specific inhibitor of rat, dog, and human DPP-4 activity. Similar to alogliptin, the M-I metabolite is equipotent and a selective inhibitor of DPP-4. No inhibitory activity was noted for M-II, while weak DPP-4 inhibition was noted for the (S)-enantiomer of alogliptin. The R-enantiomer is 1000-times more active than the (S)-enantiomer.

An assay comparing the potency and selectivity of alogliptin with other DPP-4 inhibitors (vildagliptin and sitagliptin) showed that alogliptin was more potent, and generally more selective; mean IC<sub>50</sub> values for DPP-4 inhibition for alogliptin, vildagliptin, and sitagliptin were 6.9 nmol/L, 23.8 nmol/L, and 12.1 nmol/L, respectively.

##### **In Vivo Primary Pharmacodynamic Assays**

The effects of alogliptin on DPP-4 activity were assessed in normal, euglycemic animals and in various animal models of T2DM. These in vivo studies evaluated the effects of alogliptin on diabetic parameters such as GHb, glucose tolerance, and plasma glucose and insulin levels, as well as effects on endocrine pancreatic function and morphology. In vivo, alogliptin was pharmacologically active in normoglycemic

mice, rats, dogs, and cynomolgus monkeys and in mouse and rat models of T2DM. Alogliptin improved glucose tolerance and increased plasma insulin levels in normal mice.

A single dose of alogliptin to wild-type C57BL/6 mice decreased the normalized plasma glucose area under the plasma concentration-time curve from time 0 to 90 minutes (AUC(0-90min)) to 75% of control values and increased plasma insulin levels to 146% of control values. When administered in the diet to diabetic *ob/ob* mice for 4 weeks, alogliptin decreased GHb and increased plasma insulin levels, plasma insulin/glucose ratio, and pancreatic insulin levels.

In established rat models of T2DM, female Wistar fatty rats and nonobese N-STZ-1.5 rats, alogliptin produced a dose-dependent improvement in glucose tolerance and a dose-dependent increase in plasma immunoreactive insulin (IRI) levels.

Oral administration of alogliptin to normal cynomolgus monkeys increased insulin and GLP-1 levels and decreased glucagon levels with no notable effect on plasma glucose.

Alogliptin increased pancreatic insulin content in *ob/ob* mice and male N-STZ-1.5 rats.

Immunohistochemical analyses of pancreatic  $\beta$ -cell and  $\alpha$ -cell morphology in the *ob/ob* mice following 4 weeks of daily exposure to alogliptin revealed increased staining of the  $\beta$ -cells for insulin-like immunoreactivity. Apparent changes in  $\beta$ -cell number and size in the islets could not be detected, suggestive of a lack of  $\beta$ -cell proliferation or hypertrophy. There were no apparent changes in  $\alpha$ -cell morphology.

#### **2.3.2.2. Secondary pharmacodynamic studies**

Secondary activity of alogliptin at concentrations of 1 and 10  $\mu\text{mol/L}$  was evaluated *in vitro* in receptor binding assays and enzyme activity screening. At the high concentration alogliptin caused a 50% inhibition of naloxane binding at the opioid receptor in the rat cerebral cortex. No activity equal to or exceeding 50% was evident on other receptors, ion channels or enzymes.

GLP-1 has been associated with decreased gastrointestinal (GI) motility and appetite. In vivo studies have shown that a single dose of alogliptin is effective in lowering plasma glucose levels, increasing plasma intact GLP-1 levels, and increasing plasma IRI levels in Wistar fatty rats. However, in this same strain (Wistar fatty rat), exposure to alogliptin for 8 consecutive weeks did not produce notable changes in body weight or in metabolic indices. Plasma total cholesterol (TC) was statistically decreased ( $p \leq 0.025$ ) at the highest dose evaluated (10 mg/kg/day). Unlike the DPP-4 inhibition that occurred in this model after a single dose of alogliptin, only minimal DPP-4 inhibition was observed after 8 consecutive weeks of treatment.

A study to investigate effect of alogliptin or metformin on xylose absorption in male Wistar fatty rats was conducted. Metformin or alogliptin (1 mg/kg) were administered 1 hour prior to xylose challenge. No effect of alogliptin on xylose absorption was noted while metformin dose-dependently inhibited xylose absorption.

#### **2.3.2.3. Safety pharmacology programme**

The potential of alogliptin to elicit unintended pharmacological activity in non-target systems has been investigated. With the exception of preliminary, investigative hERG assays with the HCl and TFA salts and the action potential duration assay; the core safety pharmacology studies were conducted in compliance with GLPs.

## Central Nervous System

Alogliptin is unlikely to have untoward pharmacologic activity in the central nervous system (CNS). Although alogliptin inhibited naloxone binding at nonselective opioid receptors in vitro in the rat cerebral cortex, it did not show any binding affinity for human receptors typically associated with abuse potential (human recombinant  $\mu$ ,  $\kappa$ ,  $\delta$  opiate receptors). In vivo, no noteworthy alogliptin-related effects on general behavior and activity were observed in rats at doses of up to 300 mg/kg/day for 4 consecutive weeks. The evaluations were performed at day -1, day 1 and day 25 and included open-field observations, forelimb and hindlimb grip strength, hindlimb splay and pain perception.

## Respiratory and Cardiovascular Systems

Alogliptin is not expected to interfere with respiratory or cardiovascular function at the proposed clinical dosage of 25 mg/day. The IC<sub>50</sub> value for the in vitro inhibition of human *ether a-go-go*-related gene (hERG) channel currents by alogliptin was  $>30 \mu\text{mol/L}$ . At concentrations up to  $30 \mu\text{mol/L}$ , alogliptin did not delay action potential repolarization in isolated canine Purkinje fibers, and no alogliptin-related effects on resting membrane potential, action potential amplitude, or the maximum rate of depolarization were noted. The sensitivity of these in vitro assays was confirmed by the appropriate positive controls.

Alogliptin had no effect on body temperature, heart rate, blood pressure (systolic, diastolic, and mean arterial pressure), or electrocardiogram (ECG) parameters (PR or RR intervals, QRS duration, QT interval or corrected QT interval [QTc] value) in telemetrized beagle dogs given oral gavage doses of up to 25 mg/kg. No alogliptin-related cardiovascular effects were noted in dogs in the repeat-dose toxicity studies at oral doses of up to 200 mg/kg/day for up to 39 weeks.

Alogliptin did not affect cardiac troponin (I or T isoform) concentrations in dogs. The 200 mg/kg/day dose to beagle dogs for 26 weeks provides an estimated exposure margin of alogliptin, based on area under the plasma concentration-time curve from time 0 to 24 hours (AUC(0-24)), of approximately 227-fold higher than the clinical dose of 25 mg/day.

Respiratory function of rats administered a single oral dose of 10 to 100 mg/kg alogliptin was unaffected.

### 2.3.2.4. Pharmacodynamic drug interactions

Because T2DM is a progressive disease, combination therapies are used to achieve better glycemic control. Combination treatment with alogliptin, which stimulates insulin secretion, and pioglitazone, which enhances insulin sensitivity or with alogliptin and glibenclamide, which enhances insulin secretion, could augment their effects on glycemic control. Similarly, combination treatment with alogliptin and metformin or alogliptin and voglibose, therapeutic agents that affect intestinal glucose absorption, may provide better efficacy than treatment with either agent alone.

Combined treatment with alogliptin and pioglitazone to db/db mice resulted in additive decreases in plasma GHb levels, plasma triglyceride (TG) levels, plasma nonesterified fatty acid (NEFA) levels, and plasma glucose area under the plasma concentration time curve (AUC) values, and an additive increase in the insulinogenic index. This treatment synergistically decreased plasma glucose and synergistically increased pancreatic insulin content and, immunohistochemical analyses of pancreatic tissues revealed intense expression of insulinlike immunoreactivity (IR), normal  $\beta$ -cell/ $\alpha$ -cell distributions, and overall expression of insulin promoter transcription factor (pdx-1)-like IR. Combined treatment with alogliptin and pioglitazone in ob/ob mice additively decreased GHb, fed and fasting plasma glucose levels, and plasma NEFA and additively increased plasma insulin, fed and fasting plasma/insulin glucose ratios, and pancreatic insulin content. Additionally, treatment with alogliptin alone or in combination with pioglitazone decreased plasma glucagon levels.



Combination treatment with alogliptin and glibenclamide to N-STZ-1.5 rats additively decreased plasma glucose levels and additively increased plasma insulin levels.

Combined treatment with alogliptin and voglibose to db/db mice additively decreased plasma DPP-4 activity, synergistically increased plasma intact GLP-1 levels and pancreatic insulin content, and additively prevented deterioration of glycemic control while additively preserving plasma insulin levels. Immunohistochemical analyses of the pancreatic tissue from these mice showed that combination treatment with alogliptin and voglibose effectively preserved islet architecture and islet cell composition in db/db mice.

### **2.3.3. Pharmacokinetics**

#### **2.3.3.1. Performed studies**

The pharmacokinetics of alogliptin were determined after oral or IV administration to rats, dogs and cynomolgus monkeys. The disposition of <sup>14</sup>C-alogliptin was studied in rats and dogs. Plasma protein binding in mouse, rat, dog and human plasma was determined in vitro, and tissue distribution (including distribution to the eyeball and the placenta) of <sup>14</sup>C-alogliptin was evaluated in rats. The absorption, distribution, metabolism, and excretion of alogliptin and its metabolites were studied in rats and dogs. The biotransformation of alogliptin was investigated extensively in vitro and in vivo in rats and dogs. A milk excretion study was also conducted in rats. Non-clinical pharmacokinetic and metabolism studies used formulations that were similar, or identical, to those used in toxicology and pharmacodynamic studies.

The kinetics of alogliptin were also investigated when co-administered with pioglitazone and metformin. The effect on the kinetics of the combination of alogliptin with sulphonylurea or triple therapies was not investigated in the pre-clinical species.

Validated LC-MS-MS methods having acceptable linear range, LLOQ, intra assay accuracy and precision were used to analyse Alogliptin, Alogliptin M-I and Alogliptin M-II in mouse plasma, rat plasma, rat fetal serum, rat milk, rabbit plasma, dog plasma or monkey plasma. Acceptable and validated methods were also developed for analysis of (S)-alogliptin in rat and dog plasma.

For LC/MS/MS assays, alogliptin-d4 TFA salt and M-I-d4 were used as the internal standards for quantitation of alogliptin and M-I.

For rat metabolism studies, a bioanalytical method based on HPLC with liquid scintillation detection and counting of radioactivity was used.

#### **2.3.3.2. Absorption**

##### **Caco-2 permeability**

Alogliptin has low permeability as the apparent permeability ( $P_{app}$ ) coefficients were comparable to those of mannitol, which is a reference compound for low permeable compounds. The  $P_{app}$  ratios were different at each time point (1 and 2 hours) and were relatively low compared with those of digoxin. Therefore, the involvement of P-glycoprotein in the transport of alogliptin was not clear in a Caco-2 assay but expected to be limited.

##### **Single-dose pharmacokinetics**

The single-dose pharmacokinetics of alogliptin was studied in rats, dogs, monkeys and humans via PO and IV routes of administration.



Alogliptin was absorbed in rats, dogs and monkeys following PO dose administration. The oral bioavailability of alogliptin in the non-clinical species evaluated differed across species 41-45% in rats, 69-85% in dogs and 72-88% in monkeys. Studies with radiolabeled alogliptin benzoate showed an oral absorption ratio of 61.1% in rats and 88.6% in dogs based on AUC<sub>0-24hr</sub> values. In rats, ~30% of the dose radioactivity was absorbed via the jejunal loop within 2 hours after administration of <sup>14</sup>C-alogliptin benzoate (3 mg freebase/kg) into the jejunal loop suggesting that the jejunum is one of the major absorption sites in rats.

Alogliptin was poorly absorbed (<0.1% at 24 hours post-dose) via the lymph after a single PO administration of 3 mg free base/kg radiolabeled alogliptin to rats.

The terminal elimination half-life (T<sub>1/2</sub>) of alogliptin after IV administration was a little bit shorter in rats and dogs (1.1-1.4 hours and 1.5-2.9 hours, respectively) when compared to monkeys (5.7 hours). In studies with PO (3 mg/kg) or IV (1 mg/kg) administered <sup>14</sup>C-alogliptin, the half-life of the measured radioactivity was found to be 4.9 and 3.4 hours after oral and IV dosing, respectively, in rats and 6.7 and 5.3 hours, respectively, in dogs. The volume of distribution of alogliptin after IV dosing was ~2.6 – 3.9 L/kg in all pre-clinical species used. Plasma clearance values were higher in rats (~3.0 – 3.3 L/kg/hr) and dogs (~1.3 – 2.4 L/kg/hr) than in monkeys (~0.5 L/kg/hr).

After a single PO administration of alogliptin benzoate in male rats and dogs, C<sub>max</sub> and AUC<sub>0-24hr</sub> values increased dose-proportional between 0.3 to 3 mg/kg in dogs, and more than dose-proportional between 3 to 30 mg/kg in dogs and between 3 to 100 mg/kg in rats. T<sub>max</sub> and T<sub>1/2</sub> values were generally constant over the tested dose range, but in dogs T<sub>1/2</sub> was lower (~2-fold) at 0.3 mg/kg and T<sub>max</sub> higher (~3-fold) at 30 mg/kg compared to the other doses tested.

Among the several salts of alogliptin that were evaluated, the benzoate salt showed the best bioavailability in rats and dogs. Therefore, it was selected for toxicity studies.

### **Repeated-dose pharmacokinetics of alogliptin and its metabolites (M-I & M-II)**

The repeated-dose pharmacokinetics and toxicokinetics of alogliptin were determined after repeated PO dosing in mice, rats, dogs and monkeys. Alogliptin was rapidly absorbed in all species studies.

In mice and monkeys, exposure to alogliptin was generally dose-proportional. For male mice, the exposure was higher than expected at the 200 mg/kg dose leading to dose non-proportionality on visual inspection, which was the result of the high, but largely variable plasma concentrations at 8 hours and 12 hours post-dose on Day 1 and Day 90, respectively. In rats and dogs, the increase in alogliptin exposure was more than dose-proportional. In addition, there was an increase in T<sub>1/2</sub> at increasing dose in rats.

In general, no significant accumulation of alogliptin was observed in mice and monkeys after repeated dosing with alogliptin. In rats, accumulation of alogliptin was observed with accumulation ratios mostly in the range of 1.7-2.8. In dogs, a slight accumulation was seen for alogliptin after repeated dosing with accumulation ratios ranging between 1.1 and 1.7.

As only up to 1% of alogliptin will be present in vivo as [S]-alogliptin, its pharmacokinetics and toxicokinetics will not influence the pharmacological effects of alogliptin.

Less than ~3.2% of alogliptin was converted to M-I in mice at all dose levels when the AUC values were compared and decreased with increasing dosages. On the other hand, in rats, the metabolite-to-parent ratio (in %) was maximally 33.8% with lower contribution of the metabolite to total exposure at increasing dosage. The elimination of M-I in rats seemed to be saturable since its T<sub>1/2</sub> increased with increasing dose. Following a low oral dose of 10 mg/kg alogliptin, the 24-hour total exposure to M-I was 76 and 85% of that to the parent drug in female and male dogs, respectively. With increasing dose, the contribution of the metabolite exposure decreased (to 20-40%). A saturable formation of the metabolite

may be responsible for the decrease of M-I contribution with increasing dose. The 24-hour total exposure to M-I in monkeys was 11 and 12.6% of that to the parent drug for females and males, respectively, at the low dose and decreased to 2.5 and 1.6%, respectively, at the high dose suggesting saturation of metabolism.

No significant accumulation of M-I was observed in mice, rats, dogs and monkeys after oral repeated dosing with alogliptin.

In all species for which data on M-II was present,  $AUC_{0-24hr}$  values showed that M-II was only formed to a small extent: 0.5% in monkeys and <3% in rats. In rats, slight accumulation occurred at all dose levels except at 400 mg/kg/day in male rats with accumulation ratios up to ~2.6. In monkey, no accumulation of M-II was observed.

### **Repeated-dose pharmacokinetics in pregnant animals**

Pregnancy had an impact on total exposure of alogliptin in pregnant rats and rabbits leading to differences in exposure to alogliptin and alogliptin metabolites most likely due to increases in distribution volume and differences in elimination.

After oral dosing with 250, 500 and 1000 mg/kg in pregnant rats,  $T_{max}$  and systemic exposure of alogliptin were generally higher on gestation day (GD) 17 compared to GD6. Plasma half-life was generally ~2.2 to 4 hours, but was ~49 hours at the highest dose on GD6 and not determinable on GD17.

In pregnant rabbits, exposures were slightly lower on GD6 than on GD18 at doses of 100 and 200 mg/kg but comparable at higher doses of 500 and 700 mg/kg which may indicate less absorption at the late stage of gestation for higher doses.

### **Repeated-dose pharmacokinetics in juveniles**

The toxicokinetic effects of alogliptin in juvenile rats were assessed in an oral 4-week and 8-week toxicity study with dose levels of 30, 100 and 300 mg/kg.  $AUC_{0-24hr}$  values for alogliptin and M-II increased more than dose-proportional with increases in dose and  $AUC_{0-24hr}$  values for M-I less than dose-proportional with dose, and tended to increase with repeated doses (up to max. ~3-fold).

### **Pharmacokinetics when concomitantly administered with metformin or pioglitazone**

The combination treatment of alogliptin and metformin was investigated in one single-dose study and in two repeated-dose toxicity studies of 4 and 13 weeks, respectively. No effects on the toxicokinetics of metformin were observed when co-administered with alogliptin. The effects of concomitant treatment with alogliptin and pioglitazone on the toxicokinetic parameters of both compounds were assessed in a single-dose and two repeated-dose studies for 4 weeks and 13 Weeks, respectively. These studies showed no toxicokinetic interactions regarding the kinetic parameters of alogliptin.

#### **2.3.3.3. Distribution**

##### **Protein binding**

In vitro plasma protein binding of alogliptin was studied in mice, rats, dogs and humans. The results indicate that alogliptin has low protein binding (<60% in all species) and was concentration dependent. Plasma protein binding of M-I was also low (<40% in all species).

## Red blood cell partitioning

Following PO administration of 3 mg free base/kg  $^{14}\text{C}$ -alogliptin benzoate to rats, concentrations of radioactivity in red blood cells were 35% to 41% and were almost constant from 1 to 24 hours post-dose. In dogs, the distribution ratio of radioactivity into blood cells constantly decreased from 1 to 8 hours post-dose from 38% to 23% when dosed with 3 mg free base/kg  $^{14}\text{C}$ -alogliptin.

## Tissue distribution

Distribution was studied in rats following PO administration of a single dose of  $^{14}\text{C}$ -alogliptin benzoate (3 mg freebase/kg) to male albino and male pigmented rats. Radioactivity was absorbed rapidly with most matrices reaching  $C_{\text{max}}$  at 4 hours post dose. In albino rats, the tissues with the highest mean  $C_{\text{max}}$  values at 4 hours, excluding the gastrointestinal (GI) tract tissues, were kidneys, liver, lungs, pituitary gland, and submaxillary glands. The tissues with the lowest  $C_{\text{max}}$  values were brain and spinal cord. By 72 hours post dose, concentrations of radioactivity were low in all tissues except the kidneys.

In pigmented rats, the concentrations of radioactivity in the plasma showed a similar profile to that in albino rats. The concentrations of radioactivity in the eyes of pigmented rats, however, were much higher than those in the eyes of albino rats. These results suggest that alogliptin-related materials have an affinity to melanin and Alogliptin accounted for most of the residual radioactivity in sclera of pigmented rats after a single PO administration of  $^{14}\text{C}$ -alogliptin benzoate.

## Placental transfer

On gestation day (GD) 18, pregnant rats were administered  $^{14}\text{C}$ -alogliptin benzoate (3 mg free base/kg) via PO (322-00246). Radioactivity was quickly absorbed and  $C_{\text{max}}$  was reached at 4 hours. The  $C_{\text{max}}$  of total radioactivity in fetal tissues (136 ng equiv/g) was lower than the corresponding value in maternal plasma (191 ng equiv/g). The  $C_{\text{max}}$  of total radioactivity in placenta was higher (639 ng equiv/g) than that in maternal plasma.

Elimination of total radioactivity in fetal plasma, amniotic fluid, and fetal tissues was rapid (0.004, 0.002, 0.003 ng equiv/g at 24 hours post-dose, respectively). The concentration-time profiles of radioactivity in the fetuses and fetal plasma were parallel to those in the maternal plasma. The radioactivity in the placenta was higher than that in maternal plasma or in amniotic fluid. However, elimination of total radioactivity in placenta was also rapid. The concentrations of radioactivity in the fetuses and fetal plasma were lower than those in the maternal plasma at all the time points examined, suggesting that the transfer of radioactive compounds from the maternal side to the fetal side was quantitatively restricted by placental passage. Based on these results, it can be concluded that  $^{14}\text{C}$ -alogliptin-derived radioactivity is able to cross the blood-placental barrier.

### 2.3.3.4. Metabolism

Alogliptin was stable in all metabolic systems investigated (human, rat, dog, and monkey cryopreserved hepatocytes and rat, dog, monkey, and human liver microsomes) with the exception of dog and rat hepatocytes (approximately 50% and 65% of the parent compound remained after 2-hour incubation with dog and rat hepatocytes, respectively).

Identification of the metabolites showed that alogliptin is considered to be biotransformed to M-I by N-demethylation, and to M-II by acetylation of the amino group. M-I is an N-demethylated metabolite and a pharmacologically active metabolite with a DPP-4 inhibitory activity similar to that of alogliptin ( $\text{IC}_{50}$ : 14 and 10 nmol/L, respectively in human plasma). M-II is an N-acetylated metabolite and has no DPP-4 inhibitory activity and thus a pharmacologically inactive metabolite.

Both M-I and M-II are minor human metabolites with an exposure to these 2 identified minor metabolites in plasma, relative to unchanged drug, of <1% and <6%, respectively. All metabolites found in humans were also found in rats and dogs and there are thus no unique human metabolites of alogliptin.

When the exposure to M-I was compared following oral (gavage) administration of alogliptin to Sprague Dawley rats, beagle dogs and monkeys during a 28-day toxicity study C<sub>max</sub> levels of M-I were found to be much higher in dogs (day 26) as compared to rats (day 28) and monkeys (day 1).

The *in vivo* chiral conversion of [R]-alogliptin to [S]-alogliptin was negligible (<1%) in rats and dogs in both plasma and urine samples.

#### **2.3.3.5. Excretion**

Following PO administration of <sup>14</sup>C-alogliptin benzoate to rats and dogs, the major route of elimination of total radioactivity was via the feces in both species.

In rat alogliptin and M-I were the major components in the urine and feces, M-II was a minor component in feces. A study to evaluate the potential enterohepatic recirculation of alogliptin indicated that alogliptin-related radioactivity undergoes some enterohepatic recirculation in rats. In dogs alogliptin and M-I were the major components in urine and feces and M-II was not detected.

After PO administration of <sup>14</sup>C-alogliptin benzoate (3 mg freebase/kg) to lactating rats on Lactation Day (LD) 14, the concentrations of radioactivity in the plasma reached a maximum of 0.170 µg equiv/mL at 0.5 hours post dose and rapidly decreased to 0.006 µg equiv/mL at 24 hours post dose, followed by a gradual decrease to 0.003 µg equiv/mL 48 hours postdose. The concentrations of radioactivity in the milk reached a maximum of 0.316 µg equiv/mL at 0.5 hours postdose and rapidly decreased to 0.012 µg equiv/mL at 24 hours postdose, followed by a gradual decrease to 0.003 µg equiv/mL at 48 hours postdose. These results indicate that alogliptin and its related compounds were secreted into the milk of lactating rats after a single PO administration of <sup>14</sup>C-alogliptin benzoate.

#### **2.3.3.6. Pharmacokinetic drug interactions**

*In vitro*, alogliptin is a weak direct CYP2D6 inhibitor at concentrations ≥40 µM (=~14 µg/mL). Metabolism-dependent inhibition of CYP3A4/5 was observed for alogliptin with an IC<sub>50</sub> value of 78 µM (=~26 µg/mL). These concentrations are however much higher than the human C<sub>max</sub> of 0.483 µg/mL reached after a 100 mg dose, which is four times higher than the clinical recommended dose of 25 mg. Therefore, alogliptin is not expected to be an inhibitor of CYP2D6 and CYP3A4/5 *in vivo* in humans as is underlined by the results of the clinical drug-drug interaction study with midazolam (CYP3A4) and dextromorphan (CYP2D6). CYPs 1A2, 2C8, 2C9, 2C19 were not inhibited *in vitro* by alogliptin as is supported by the observation that alogliptin does not interact with rosiglitazone, glyburide or glipizide.

Induction of CYP enzymes by alogliptin was only observed for CYP3A4/5 at a concentration of 100 µM based on testosterone 6β-hydroxylase activity, although this was not statistically significant. However, the induction potential was about a fourth of the effectiveness of the known inducer rifampin, and no induction was observed clinically. Therefore, no CYP induction is expected in humans.

The applicant investigated if alogliptin is an *in vitro* inhibitor of OAT1, OAT3 and OCT2. The study included both control cells and cells transfected with the specific transporter of interest. Further, the used probe substrates (PAH, E3S and metformin) and positive control inhibitors (probenecid, probenecid and quinidine) are appropriate. No clinically relevant inhibition by alogliptin (based on its C<sub>max</sub> of 0.3 µM) was seen for any of the investigated transporters.

The inhibitory effect of alogliptin on BCRP was examined using BCRP expressed cells. After incubation of [3H]prazosin (0.01 µmol/L), a substrate for BCRP, at 37°C with alogliptin at concentrations of 0, 0.3, 1,

3, 10, 30, and 100 µmol/L, the Papp ratios of [3H]prazosin (0.01 µmol/L) were 12.5, 12.6, 11.2, 12.0, 10.6, 12.8, and 11.9×10<sup>-6</sup> cm/sec across the BCRP-expressing cells, and were 1.3, 1.3, 1.2, 1.3, 1.2, 1.3, and 1.3×10<sup>-6</sup> cm/sec across the control cells, respectively. The corrected Papp ratios were 9.6, 9.7, 9.3, 9.2, 8.8, 9.8, and 9.2, respectively. These results suggest that alogliptin had no inhibitory effect on BCRP-mediated efflux activity. Therefore, alogliptin is not an inhibitor of BCRP.

No in vitro studies were performed with MATE and OATP. A clinical study was performed to study the interaction potential between alogliptin and cyclosporine (inhibitor of OATP1B1/OATP1B3, BCRP and P-glycoprotein). Whether alogliptin is a substrate and/or an inhibitor of MATE1 and MATE2 was investigated in a clinical study in healthy volunteers with cimetidine and metformin. (Please see clinical pharmacology section for further details)

#### **2.3.4. Toxicology**

The safety of alogliptin has been investigated in a battery of nonclinical toxicity studies including single- and repeat-dose toxicity studies in mice, rats, and dogs, reproductive toxicity studies in rats and rabbits, and in vitro and in vivo genotoxicity studies. Two-year carcinogenicity studies were conducted in mice and rats. Repeat-dose toxicity studies were also conducted in juvenile rats (4 weeks of age at dose initiation), including one study specifically aimed at evaluating the possible toxicity on male reproductive organs. Local tolerance studies assessing the hemocompatibility of a parenteral formulation of alogliptin in human blood/plasma and the IV and paravenous tolerance of alogliptin were performed in rabbits. Special toxicity studies (4- and 13-week) were conducted in monkeys to evaluate the potential dermal toxicity of alogliptin. The potential of alogliptin to induce phototoxicity was evaluated in a hairless mouse model.

In addition repeat-dose toxicity studies (4- and 13 week) in rats and an embryo-fetal development toxicity study in rats were conducted to assess the toxicity of combination treatments with alogliptin and pioglitazone and with alogliptin and metformin.

##### **2.3.4.1. Single dose toxicity**

The lethal single oral and IV doses of alogliptin in rats were greater than 1471 mg/kg and 25 mg/kg, respectively. The lethal single oral dose in dogs was greater than 368 mg/kg. There were no sex-related differences in the single-dose toxicity of alogliptin. Clinical signs were observed in dogs only. Reddened skin around the ears and face were observed in males following oral doses of ≥92 mg/kg and in females at ≥221 mg/kg. Warm to touch and/or decreased activity were observed at doses of ≥221 mg/kg. A female dosed with 368 mg/kg also exhibited swelling around the face, skin cold to touch, salivation, and emesis; this female also lost weight during the 2-week post dose observation period.

##### **2.3.4.2. Repeat dose toxicity**

Low toxicity was showed for mice, with a NOAEL of about 50 times the intended human exposure based on AUC. In mice, several deaths occurred in the repeat-dose toxicity studies. Although pathologic examinations could not confirm the exact cause of these deaths, the incidence increased dose dependently at doses of 400 mg/kg/day and higher. Alogliptin-related observations were noted in male mice and included yellow discoloured fur and unkempt appearance at 200 mg/kg/day and higher, and swelling in the anogenital area at 400 mg/kg/day and higher. Decreased RBC, HCT, and HGB were also noted at 600 mg/kg/day.

Most important alogliptin-related histopathologic findings in rats were noted in the liver, kidneys, and urinary bladder. Increased ALP, increased liver weights, and centrilobular hepatocellular hypertrophy were noted in rats administered doses of ≥900 mg/kg/day. With the exception of increased liver weights, liver-related findings were fully reversible. Mortality was observed in rats administered repeat doses of

≥1000 mg/kg/day. The clinical pathologic findings observed included increased WBC, LYM, RET, or MON and decreased RBC, HCT, and HGB at 900 mg/kg/day and higher, and increased phosphorus and cholesterol at 1000 mg/kg/day and higher. Decreased ALB and A/G (albumin/globulin) ratio were also observed at 1333 mg/kg/day and higher. NOAEL for 6 months exposure was 400 mg/kg/day, which is about 50 – 150 times the intended human exposure.

In the repeat-dose toxicity studies in dogs, occasional and transient occurrences of reddened ears and facial swelling without associated histopathologic changes were observed at doses of 30 mg/kg/day and higher. In the 39-week repeat-dose toxicity study, dogs administered 200 mg/kg/day (highest dose evaluated) lost weight during the first month of the treatment period; these losses resulted in a decrease in mean body weight during the treatment period. The overall NOAEL in dogs was 200 mg/kg/day; at this dose, the AUC(0-24) was 400 µg·hr/mL (combined sexes).

The effects of concomitant treatment with alogliptin and pioglitazone on the toxicokinetic parameters of both compounds were assessed in a single-dose and two repeated-dose studies for 4 weeks and 13 Weeks, respectively. These studies showed no toxicokinetic interactions regarding the kinetic parameters of alogliptin. In addition, the incidence and magnitude of the findings seen in rats administered alogliptin and pioglitazone in combination for 13 weeks were comparable to rats that received pioglitazone alone. Combination treatment with alogliptin and pioglitazone did not produce new toxicities, and did not exacerbate any pioglitazone-related findings.

#### **2.3.4.3. Genotoxicity**

Alogliptin was evaluated for its potential to induce reverse mutations in *S. typhimurium* and *E. coli*, its mutagenic potential in vitro in L5178Y/TK+/- mouse lymphoma cells, and its mutagenic potential in vivo in a mouse bone marrow micronucleus study. Where appropriate, positive controls were used to confirm the sensitivity of the assay. Based on the results of these studies, alogliptin does not pose a mutagenic or clastogenic risk to humans.

#### **2.3.4.4. Carcinogenicity**

Alogliptin was shown to be not oncogenic or carcinogenic in mice, and the NOAEL of the 2-year carcinogenicity study was 300 mg/kg/day. Slightly, statistically non-significant, increased incidence in malignant lymphoma in female mice was observed at doses of 150 mg/kg/day when compared with historical control data.

In rats, a slight, statistical non-significant increase in the incidence of thyroid C-cell tumours was noted in males at ≥400 mg/kg/day. This was weakly supported by increments of adenomas and hyperplasia. However, the incidence of these findings in this study was within the variability suggested by the historical control. Moreover, a rodent-specific mechanism through increased calcitonin release has been suggested for increases in C-cell tumours seen for GLP1 analogues (Knudsen et.al. *Endocrinology* 151:1473-86). Therefore, a weak increase in C-cell tumours after alogliptin treatment could be explained by the indirect impact on GLP1 levels following the administration of this DPP4 inhibitor.

Minimal to mild simple transitional cell hyperplasia in the urinary bladder was noted in 2, 6, 10, and 14 males at 0, 75, 400, and 800 mg/kg/day, respectively. In the male historical control series, simple transitional cell hyperplasia in the urinary bladder was reported for several studies and was seen in 6/60 males in one study. NOAEL for simple transitional cell hyperplasia in the urinary bladder was considered to be 75 mg (males) and 400 mg (females)/kg/day.

Also, alogliptin-related non-neoplastic histopathologic changes were seen in the liver, lung, and urinary bladder of males and females, and in the testes, epididymides, and prostate of males. The NOAEL for



nonneoplastic changes was 75 mg/kg/day for males and 400 mg/kg/day for females. The safety factors based on AUC are about 25 and >200 respectively.

#### **2.3.4.5. Reproduction Toxicity**

In a rat fertility study with dose levels of 0, 100, 500, 1000 mg/kg bw/day, maternal toxicity was observed at 500-1000 mg/kg/day, and paternal toxicity at 100 – 1000 mg/kg/day. In male rats, dose related increase of absolute and relative cauda epididymis weight, relative epididymis weight, relative weight of seminal vesicle with coagulating glands and relative testes weight and an increased % of abnormal sperm were observed, however, without any effect on fertility. At the highest dose of 1000 mg/kg increased post implantation loss and decreased number of viable foetuses occurred.

Two embryo-foetal developmental reproduction toxicity studies were done, one in rats and one in rabbits. In rats, doses 250, 500, and 1000 mg/kg/day induced maternal toxicity and foetal toxicity. It is likely that the foetal toxicity (bent ribs, decreased ossification) was secondary to the maternal effects (decreased food consumption and gravid uterine weight change). In rabbits, high doses resulted in maternal deaths (highest doses) and toxicity signs (lower food consumption and body weight and body weight and gravid uterine weight). The only observed foetal effect was decreased number of viable foetuses in the only surviving doe at the highest dose level, which can be considered a consequence of maternal toxicity.

An embryo-foetal developmental toxicity study in rats was also done with the combination of alogliptin with pioglitazone. The combination only showed a slight potentiation of foetal growth inhibition.

A pre/postnatal developmental study in rats revealed maternal toxicity in the form of decreased gestation body weights, gestation body weight changes, lactation body weight, food consumption during lactation at doses of 500 – 1000 mg/kg/day. At 1000 mg/kg, developmental toxicity was found, consisting of increased stillborn index, decreased pup viability and effects on motor activity, learning, memory in F1 males. At 500-1000 mg/kg/day, decreased pup body weight was observed up to PND28 and through pre/post mating of F1.

A dose range-finding embryo-fetal toxicity study was conducted in rats at doses of up to 100/2000 mg/kg/day (alogliptin/metformin). Based on the range-finding study, the highest dose evaluated in the definitive embryo-fetal developmental toxicity study in rats was 100/500 mg/kg/day. In this study, 5 abnormal fetuses were observed from dams administered 100/500 mg/kg/day (alogliptin/metformin). Four of the fetuses were from a single litter: 3 of the 4 fetuses had microphthalmia and the 4th fetus had a misshapen tail and absent sacral vertebra. The fifth fetus, from a second litter, had multiple abnormalities (microphthalmia, cleft palate, microglossia, and mandibular micrognathia). No treatment-related fetal abnormalities occurred following concomitant treatment with 100/150 mg/kg/day alogliptin/metformin or when either alogliptin or metformin was administered alone.

Two rat juvenile toxicity studies were performed, one with a treatment duration of 4 weeks and one with a treatment duration of 8 weeks, both with the same dose levels of 30, 100 and 300 mg/kg/day. In the 4-week study some slight effects were found on haematological and blood/urinary chemistry and slight hepatocyte hypertrophy, but these changes were not considered toxicologically significant and were not replicated in the second longer study.

#### **2.3.4.6. Toxicokinetic data**

Systemic exposure and maximum plasma concentrations increased generally more than dose-proportional in rats and dogs, except at low doses (0.3 to 3 mg/kg) in dogs over which dose range the kinetics were linear. This was observed both after single and repeated dosing to which saturation of metabolic pathways may be contributing in these species. An increase in elimination half-life and the less-than-dose-proportional increase in the exposure to M-I (and M-II) with increasing alogliptin doses

support the idea of saturable metabolism. In mice and monkeys, exposure to alogliptin was generally dose-proportional where exposure to M-I was less than dose-proportional.

The formation of the pharmacologically active metabolite M-I differed across the non-clinical species: total 24-hour exposure to M-I was <3.2%, <34%, <85% and 13% of respective of alogliptin exposure in mice, rats, dogs and monkeys, respectively, with decreasing M-I contribution to total exposure with increasing dose. The formation of M-I is thus saturable. However, as M-I is pharmacologically active with a similar mode of action as alogliptin, the systemic exposures of both compounds need to be added up in the pre-clinical species for determining the total exposure to active substance in vivo.

#### **2.3.4.7. Local Tolerance**

A parenteral formulation of alogliptin in physiological saline was not hemolytic in human blood and did not cause any macroscopic flocculation, precipitation, or coagulation in human plasma. A 2.5 mg/mL solution of alogliptin in physiological saline was well tolerated following IV or paravenous injection to rabbits.

#### **2.3.4.8. Other toxicity studies**

##### **2.3.4.8.1. Immunotoxicity**

Non-clinical studies assessing immunotoxicity, including in vitro assessments for immune function and immunophenotyping of leukocyte populations, were not conducted with alogliptin. No evidence of drug-induced immunosuppression or enhancement were seen in the nonclinical toxicity studies with alogliptin.

##### **2.3.4.8.2. Phototoxicity**

Although alogliptin has been shown to bind to melanin in the eyes of pigmented rats, it only has minor or negligibly low absorbance in the ultraviolet B (UVB) range of 290 to 320 nm and the ultraviolet A (UVA) range of 320 nm and longer, and single doses of up to 800 mg/kg (a dose that exceeded the maximum-tolerated dose [MTD]) did not produce cutaneous phototoxicity in hairless mice. The positive control (lomefloxacin HCl) produced the expected response (erythema, edema, and flaking).

##### **2.3.4.8.3. Dermal toxicity**

Repeated doses of up to 30 mg/kg/day administered to cynomolgus monkeys for 4 and 13 consecutive weeks did not produce alogliptin-related dermal toxicity. No alogliptin-related lesions were seen histopathologically in sections of skin obtained from the thoracic region, tail, left fore- and hindlimbs, left auricle, nasal area, and scrotum. The NOAEL was the highest dose evaluated (30 mg/kg/day). In the 13-week study, the mean AUC(0-24) at the NOAEL was 47 µg·hr/mL. This plasma concentration provides an exposure margin of approximately 27-fold higher than the clinical dose of 25 mg/day.

##### **2.3.4.8.4. Dependence**

Abuse liability studies were not conducted with alogliptin. Although alogliptin inhibited naloxone binding at nonselective opioid receptors in vitro in the rat cerebral cortex, it did not show any binding affinity for human receptors typically associated with abuse potential. Additionally, no noteworthy alogliptin-related effects on general behavior and activity were observed in rats at doses of up to 300 mg/kg/day for 4 consecutive weeks.



#### 2.3.4.8.5. Metabolites

When plasma profiles were evaluated, humans were primarily exposed to alogliptin and exposure to M-I was minimal. The plasma metabolic profiles of mice, rats, dogs, and monkeys were broadly similar to that of humans except that a very low level of M-II was found in dog plasma. Based on current guidelines, both M-I and M-II are classified as minor human metabolites, since they account for plasma levels of less than 10 percent of systemic exposure in humans. No extra toxicological studies on metabolites have been performed.

#### 2.3.4.8.6. Studies on impurities

Impurities measured in the alogliptin drug substance and drug product are below the Qualification Thresholds specified in ICH guidances Q3A and Q3B; therefore, toxicity studies with the individual impurities are not required. The impurity profiles of alogliptin drug substance used in the pivotal toxicity studies, and for alogliptin, pioglitazone, and metformin drug substances used in the pivotal combination toxicity studies were comparable to the impurity profiles for the drug substances used in the clinical formulations.

### 2.3.5. Ecotoxicity/environmental risk assessment

#### 2.3.5.1. Phase I

The applicant has submitted an ERA for Vipidia. Alogliptin is a dissociating molecule, the amine moiety is deprotonated at a pKa of 8.5. The molecule becomes predominantly neutral at pH values around 10 and higher. The pH metric method was used to determine the apparent log P vs. pH profile. Log P is 0.6 at pH 10, 11 and 12. Hence, log Kow of alogliptin is 0.6. This corresponds with a high water solubility (approx. 20 g/L) and a QSAR estimate for log Kow of 0.9 (Biobyte's ClogP).

Based on the above results alogliptin doesn't meet the screening criterion for bioaccumulation. It can be concluded that both alogliptin is not qualifying for PBT (persistence, bioaccumulation, and toxicity) assessment.

#### Calculation of $PEC_{\text{surface water}}$

$$PEC_{\text{SW}} = \frac{DOSE_{\text{ai}} \cdot F_{\text{pen}}}{WASTE_{\text{Winhab}} \cdot DILUTION}$$

$DOSE_{\text{ai}} =$	25	(mg alogliptin patient <sup>-1</sup> d <sup>-1</sup> )
$DOSE_{\text{ai}} =$	1560	(mg metformin patient <sup>-1</sup> d <sup>-1</sup> )
$F_{\text{pen}} =$	0.01	(patient inh <sup>-1</sup> )
$WASTE_{\text{Winhab}} =$	200	(L inh <sup>-1</sup> d <sup>-1</sup> )
$DILUTION =$	10	(-)

Vipidia is indicated to improve glycaemic control in adult patients ( $\geq 18$  years old) with type 2 diabetes mellitus. The recommended dose of is 25 mg alogliptin / 1700 mg metformin per patient, to be taken daily.

The applicant has used the default  $F_{\text{pen}}$  of 0.01. The resulting  $PEC_{\text{sw}}$  is 0.125 µg/L and 7.8 µg metformin/L. Based on these results a Phase II assessment was considered appropriate for alogliptin.

#### 2.3.5.2. Phase II, Tier A

The applicant performed a phase II Tier A ERA for alogliptin. The results of the phase II Tier A ERA for alogliptin are summarized in the below table.

## Summary of main study results

Substance (INN/Invented Name): alogliptin benzoate					
CAS-number (if available): 850649-62-6					
PBT screening		Result	Conclusion		
Bioaccumulation potential – log K <sub>ow</sub>	pH metric method	0.6	Potential PBT: N		
PBT-assessment					
Parameter	Result relevant for conclusion		Conclusion		
Bioaccumulation	log K <sub>ow</sub>	0.6	not B		
Persistence	ready biodegradability	not readily biodegradable			
	DT50 <sub>water</sub> DT50 <sub>sediment</sub> DT50 <sub>system</sub>	1.8 and 6.9 d at 20°C > 100 d at 20°C > 100 d at 20°C	P		
Toxicity	NOEC algae NOEC Daphnia NOEC fish	56 mg/L ≥ 10 mg/L ≥ 10 mg/L			
	CMR	not CMR	not T		
PBT-statement	The compound is considered not PBT, not vPvB				
Phase I					
Calculation	Value	Unit	Conclusion		
PEC <sub>surfacewater</sub> , default or refined (e.g. prevalence, literature)	0.125	µg/L	> 0.01 threshold		
Other concerns (e.g. chemical class)	not investigated		(Y/N)		
Phase II Physical-chemical properties and fate					
Study type	Test protocol	Results	Remarks		
Adsorption-Desorption	OECD 106	K <sub>oc</sub> = 25.2 and 18.7 L/kg	two sludges		
	OECD 106	PM			
Ready Biodegradability Test	OECD 301	not readily biodegradable			
Aerobic and Anaerobic Transformation in Aquatic Sediment systems	OECD 308	DT <sub>50, water</sub> = 1.8 and 6.9 d DT <sub>50, sediment</sub> = >100 d DT <sub>50, whole system</sub> = >100 d % shifting to sediment = 84 and 86%	all values determined at 20°C		
Phase IIa Effect studies					
Study type	Test protocol	Endpoint	value	Unit	Remarks
Algae, Growth Inhibition Test / <i>P. subcapitata</i>	OECD 201	NOEC EC10	56 67	mg/L mg/L	growth rate
<i>Daphnia</i> sp. Reproduction Test	OECD 211	NOEC	≥ 10	µg/L	survival, reproduction, growth
Fish, Early Life Stage Toxicity Test / <i>P. promelas</i>	OECD 210	NOEC	≥ 10	mg/L	egg survival, embryo development, hatching survival, growth
Activated Sludge, Respiration Inhibition Test	OECD 209	NOEC	≥ 73.5	mg/L	
Sediment dwelling organisms/ <i>Species</i>	OECD 218	PM	PM	PM	

Alogliptin has a Kow value below the trigger for an assessment of the potential for bioconcentration.

A risk assessment for the soil compartment was not triggered as Koc, sludge <10,000 L/kg.

Since >10% of alogliptin shifted to sediment in the water/sediment simulation study, a Phase IIB assessment was triggered. However, alogliptin is not very toxic to aquatic organisms and based on the PEC<sub>sediment</sub> and PNEC<sub>sediment</sub> values derived from the equilibrium partitioning method the PEC<sub>sediment</sub>/PNEC<sub>sediment</sub> ratio indicates alogliptin is unlikely to represent a risk to the sediment compartment.

In conclusion alogliptin poses an acceptable risk to sewage treatment facilities, all standard surface water species and groundwater.

### 2.3.6. Discussion on non-clinical aspects

#### 2.3.6.1. Pharmacology

The primary pharmacodynamics of alogliptin is well characterised. Alogliptin is shown to be a selective and potent DPP4-inhibitor, as compared to the first gliptins on the market, sitagliptin and vildagliptin. The R-isomer is the active one as the S-isomer is 1000-times less active. From the metabolites the M-I is also showing activity. From a pharmacodynamic point of view (DPP4 inhibition) the duration of action is relatively long, e.g. in monkeys is lasting at least 24 hours, which suggests that a once-day administration in humans might be sufficient.

Not only the primary effect DPP4 inhibition has been shown *in vivo*, but also the resulting physiological consequences such as enhancement of GLP-1, and increase of insulin, and the decrease of glucose after a glucose infusion, supporting the use of alogliptin as an antidiabetic drug. The nonclinical data do not suggest any clinically relevant effects of alogliptin on immunological parameters in healthy animals.

From a safety point of view there are no concerns about the secondary pharmacology or on the safety pharmacology. Over a wide range of receptors and enzymes alogliptin appears to be a specific DPP4 inhibitor.

Combination pharmacodynamic studies confirmed the additive and/or synergistic effects of concomitant treatment with alogliptin and pioglitazone, alogliptin and metformin, alogliptin and glibenclamide, and alogliptin and voglibose.

#### 2.3.6.2. Pharmacokinetics

Kinetics of alogliptin was well investigated by the applicant.

Alogliptin has two enantiomers of which the [R]-enantiomer is clinically relevant. Chiral conversion into the [S]-enantiomer hardly occurs.

Alogliptin was well absorbed, with the jejunal loop being one of the major absorption sites, in the non-clinical species following oral dosing. Absorption into the lymphatic circulation hardly occurs. Oral bioavailability was moderate to high and differed across species.

Kinetics of alogliptin was generally linear in mouse and monkeys and in dogs in the dose range 0.3 to 3 mg/kg. In rats and at higher doses in dogs, kinetics were more than dose-proportional caused by saturation of metabolic pathways. In line with this, exposure to M-I displayed less than dose-proportional kinetics and its formation decreased with increasing alogliptin doses in all species.

Alogliptin is moderately bound to plasma proteins (<60%) and widely distributed among tissues, including passage over the blood:testes barrier and placenta, as is expected by a high volume of distribution.

Metabolism: Identification of the metabolites showed that alogliptin is considered to be biotransformed to M-I by N-demethylation, and to M-II by acetylation of the amino group. Alogliptin and M-I are the major circulating components in dog plasma at dosages of 10 mg/kg and higher.

Alogliptin is excreted in milk from lactating rats and mainly present as unchanged parent and M-I. Elimination of alogliptin in rats and dogs is both by hepatic clearance and renal clearance. Enterohepatic circulation is also possible.

Interactions: CYPs 2D6 and 3A4/5 were inhibited *in vitro* by alogliptin via direct inhibition and metabolism-dependent inhibition, respectively, but at concentrations much higher than the clinical C<sub>max</sub>. CYP induction by alogliptin is not found *in vitro* or *in vivo*.

In humans, alogliptin is mainly eliminated by the kidneys with some evidence of active renal secretion. Therefore, the main focus of the in vitro transporter studies was in the transporters associated with renal clearance.

The applicant investigated if alogliptin is an in vitro inhibitor of OAT1, OAT3 and OCT2. The study included both control cells and cells transfected with the specific transporter of interest. Further, the used probe substrates (PAH, E3S and metformin) and positive control inhibitors (probenecid, probenecid and quinidine) are appropriate. No clinically relevant inhibition by alogliptin (based on its C<sub>max</sub> of 0.3 µM) was seen for any of the investigated transporters.

Alogliptin was not an in vitro inhibitor of BCRP at clinically relevant concentrations 12 µM (= 50 × C<sub>max,unbound</sub> = 50 × 0.24 µM = 12 µM) and 29.5 µM (= 0.1 × dose/250 mL = 0.1 × 25 mg/250 mL = 10 µg/mL = 29.5 µM) for liver and intestinal transporter concentrations, respectively. Therefore, clinically relevant interactions via BCRP inhibition by alogliptin are not expected.

No in vitro studies were performed with MATE and OATP. Additional clinical studies investigating the interaction potential of alogliptin have been performed and discussed in the clinical pharmacology section of this report.

Pregnancy may have an influence on alogliptin and M-I exposure as a result of saturated alogliptin and M-I absorption, an increase in distribution volume and/or differences in elimination. Toxicokinetics in juvenile rats were not different compared to kinetics in adult rats. However, using healthy juvenile rats may not be representative for the human situation as it may be expected that T2DM is mainly present in obese children.

Co-administration with pioglitazone or metformin did not result in significant or clinically relevant alterations in pharmacokinetics of alogliptin, pioglitazone or metformin. Combinations with sulphonylurea, insulin or triple therapies were not investigated in the non-clinical species.

### **2.3.6.3. Toxicology**

Acute and repeat-dose toxicity studies showed a very low toxicity of alogliptin in mice, rats, dogs and monkeys, with very high safety margins of 50-200 fold. Alogliptin-related toxicity occurred in rats at doses of ≥ 900 mg/kg/day and the findings were generally limited to the physical appearance of the animals and were frequently associated with decreases in body weight. Alogliptin-related histopathologic findings were noted in the liver, kidneys, and urinary bladder. In dogs, occasional and transient occurrences of reddened ears and facial swelling, without histopathologic changes, were observed at doses of 30 mg/kg/day and higher. Although these effects remain unexplained, and a treatment-related effect cannot be ruled out, the transient nature of these findings and the lack of adaptive changes in any organs, suggest this may be an allergic reaction. This is not likely to be relevant for humans. Decreased food consumption and body weight gain occurred at 200 mg/kg/day only in the early weeks of the 39-week study. However, these effects on body weight did not adversely affect clinical pathology, organ weights, or histopathologic results.

Combination treatment with alogliptin and pioglitazone for up to 13 consecutive weeks did not produce unanticipated toxicities, and did not exacerbate any pioglitazone-related findings. Repeat-dose toxicity studies with alogliptin and metformin in rats for up to 13-weeks slightly augmented metformin-related effects on plasma lactic acid levels and increased the incidence of metformin-related effects in the adrenal gland, liver, heart, and submandibular gland (males), although it did not affect the severity of the changes. Because these differences were shown only at the combination of alogliptin with the high dose of 1000 mg/kg metformin, this is probably not of clinical relevance.

Alogliptin is not genotoxic and not clearly carcinogenic in rodent models. The finding of a low magnitude of an increased incidence of malignant lymphoma in female mice, commonly found in mice, and the lack of a clear immunological effect at lower dose levels, is considered most likely not relevant for humans and the clinical situation. A low potency of alogliptin in inducing C-cell tumours seen in the rat carcinogenicity study is likely not clinically relevant. A minimal to mild simple transitional cell hyperplasia in the urinary bladder was noted in male rats at 27-fold higher than the intended human exposure. Since no threshold has been defined for the possible induction of cell hyperplasia in the urinary bladder by alogliptin and bladder cancer has been confirmed to be associated with pioglitazone, possibly via a similar non-genotoxic mechanism, an interaction between alogliptin and pioglitazone cannot be excluded.

In reproduction and developmental toxicity studies alogliptin showed at the highest tested dose an increase in abnormal sperm, but fertility was not affected. The major developmental toxicity seen was most likely secondary to maternal toxicity. In the pre-postnatal toxicity study, effects on body weight and neuro-behavioral development appeared to be long-lasting. Exposure at the NOAEL levels was sufficiently above the clinical exposure. No juvenile toxicity was seen in rats, however in these studies the highest dose was at the level of the NOEL in the other studies. Embryo-foetal developmental toxicity studies in rats were also done with the combination of alogliptin with pioglitazone and alogliptin with metformin. The combination with pioglitazone only showed a slight potentiation of foetal growth inhibition.

Repeat-dose toxicity studies with alogliptin combined with metformin in rats for up to 13-weeks slightly augmented metformin-related effects on plasma lactic acid levels and increased the incidence of metformin-related effects in the adrenal gland, liver, heart, and submandibular gland (males), although it did not affect the severity of the changes. Because these differences were shown only at the combination of alogliptin with the high dose of 1000 mg/kg metformin, this is probably not of clinical relevance. The combination with metformin revealed teratogenic potential in small numbers of fetuses (microphthalmia, small eye bulge and cleft palate) at high doses.

Based on the presented data the CHMP can conclude that alogliptin did not show any local tolerance effects, no phototoxicity, and in monkeys no dermal toxicity.

No dedicated studies to investigate the immunotoxicity or dependence of alogliptin have been performed. The CHMP considers that no such studies are warranted since no immunological signals have been revealed in the extended non-clinical program and alogliptin did not show any binding affinity for human receptors typically associated with abuse potential.

#### **2.3.6.4. Ecotoxicity/environmental risk assessment**

The alogliptin PEC<sub>sw</sub> value of 0.125 µg/L warranted a Phase II ERA assessment.

A risk assessment for the soil compartment was not triggered as K<sub>oc</sub>, sludge <10,000 L/kg. However, the EMA guideline requests determination of adsorption constants in three soils and two sludges. The applicant submitted a study with adsorption data for two sludges only. Since a Phase IIB assessment is to be performed, adsorption data determined in soil (or sediment) should be investigated.

Since >10% of alogliptin shifted to sediment in the water/sediment simulation study, a Phase IIB assessment was triggered. The applicant has performed a Phase IIB assessment using the PNEC<sub>sw</sub>. This is not in accordance with the EMA guidance. A toxicity study with a sediment dwelling organism should be performed.

In addition, the applicant only provided summarized log K<sub>ow</sub> data published in literature of low quality. The Q&A document (EMA/CHMP/SWP/44609/2010) states that the log K<sub>ow</sub> should be determined experimentally and that a calculated value is generally not acceptable. Therefore the applicant is recommended to perform and submit the results of a K<sub>ow</sub> study.

As a result of the above considerations, the available data do not allow to conclude definitively on the potential risk of alogliptin to the environment.

In the context of the obligation of the MAH to take due account of technical and scientific progress, the CHMP recommends the following studies to be performed:

- an OECD 106 study determining the adsorption constants in three soils (or sediments)
- a toxicity study with a sediment dwelling organism (OECD 218). Although alogliptin has a relatively high water solubility, the applicant is recommended to perform an OECD 218 (sediment spiked) study. This study results in mg/kg concentrations, which are needed in the sediment risk assessment and moreover, the OECD 308 study demonstrated that shifting of alogliptin to sediment occurred both rapidly and in substantial amounts. The results of the effect study with the sediment dwelling organism should be compared to the PEC<sub>sediment</sub>.
- a Kow study for alogliptin

### **2.3.7. Conclusion on the non-clinical aspects**

The applicant has investigated the non-clinical properties of alogliptin sufficiently to support the indication applied for.

The CHMP recommends the following studies to be performed in order to fully investigate potential risk of alogliptin to the environment:

- an OECD 106 study determining the adsorption constants in three soils (or sediments)
- a toxicity study with a sediment dwelling organism (OECD 218). Although alogliptin has a relatively high water solubility, the applicant is recommended to perform an OECD 218 (sediment spiked) study. This study results in mg/kg concentrations, which are needed in the sediment risk assessment and moreover, the OECD 308 study demonstrated that shifting of alogliptin to sediment occurred both rapidly and in substantial amounts. The results of the effect study with the sediment dwelling organism should be compared to the PEC<sub>sediment</sub>.
- a Kow study for alogliptin

## **2.4. Clinical aspects**

### **2.4.1. Introduction**

#### **GCP**

The Clinical trials were performed in accordance with GCP as claimed by the applicant

The applicant has provided a statement to the effect that clinical trials conducted outside the community were carried out in accordance with the ethical standards of Directive 2001/20/EC.

- Tabular overview of clinical studies

**Table 1 Overview of Alogliptin Phase 1 and 2 Clinical Pharmacology Studies**

Study Number (Country)	Description (a)
<b>Single-Dose Studies</b>	
014 (US)	ADME (mass balance)
103 (US)	Absolute bioavailability
027 (US)	Bioequivalence of Phase III clinical supply and proposed commercial formulations
001 and 001 Addendum (US)	Ascending dose: pharmacokinetics and pharmacodynamics
CPH-001 (Japan)	Ascending dose: pharmacokinetics and pharmacodynamics
026 (US)	Food effect on pharmacokinetics
CPH-006 (Japan)	Food effect on pharmacokinetics
CPH-007 (Japan)	Food effect on pharmacokinetics and pharmacodynamics
<b>Multiple-Dose Studies</b>	
CPH-002 (Japan)	Ascending dose: pharmacokinetics and pharmacodynamics
004 (US)	QTc
019 (US)	QTc
101 (US)	Pharmacokinetics and pharmacodynamics of once daily vs BID dosing
002 (US)	Ascending dose: pharmacokinetics and pharmacodynamics in subjects with T2DM
<b>Effects of Intrinsic Factors</b>	
022 (US)	Effect of age, race, and sex on pharmacokinetics and pharmacodynamics
CPH-003 (Japan)	Effect of age on pharmacokinetics and pharmacodynamics
006 (US)	Effect of renal impairment on pharmacokinetics
023 (US)	Effect of hepatic impairment on pharmacokinetics
<b>Effects of Extrinsic Factors (Drug-Interaction Studies)</b>	
Effect of Other Drugs on Alogliptin	
016 (US)	Fluconazole, ketoconazole, gemfibrozil
020 (US)	Cyclosporine
CPH-004 (Japan)	Voglibose
Effect of Alogliptin on Other Drugs	
015 (US)	Caffeine, tolbutamide, dextromethorphan, midazolam, fexofenadine (drug cocktail)
018 (US)	Glyburide
021 (US)	Warfarin
024 (US)	Ethinyl estradiol and norethindrone
Effect of Other Drugs on Alogliptin and Effect of Alogliptin on Other Drugs	
005 (US)	Cimetidine and metformin (and food effect)
017 (US)	Pioglitazone
025 (US)	Atorvastatin
029 (US)	Digoxin
<b>Population Pharmacokinetics</b>	
008 Population PK Report (multinational)	Population pharmacokinetic analysis in an efficacy and safety study of alogliptin in subjects with T2DM (Phase III)

All subjects were healthy unless otherwise stated.

**Table 2 Alogliptin Main and Supportive Phase III Studies by Indication**

<b>Indication</b>	<b>Main Studies</b>	<b>Supportive Studies</b>
Add-on to MET	008, 305(a), 010	302, 322OPI-001
Add-on to SU	007, 010	
Add-on to TZD	009, 010	322OPI-002
Add-on to MET and TZD	009, 322OPI-004, 010	322OPI-001
Add-on to insulin (with or without MET)	011, 010	

**Other supportive studies (eg, special populations)**

402, a CV outcomes study with high-risk CV subjects and varying degrees of renal impairment (a); 303, elderly subjects; 012, long-term OLE; and 301, postprandial lipids

- (a) Studies are ongoing at the time of the evaluation of this application; interim results are presented in this document.

**2.4.2. Pharmacokinetics**Introduction

With regard to the commercial tablets, four tablet strengths of alogliptin were developed: 3.125, 6.25, 12.5, and 25 mg. While the 3.125 mg dose strength was developed for the purpose of dose reduction in patients with severe renal impairment, the 6.25 mg dose is being proposed for use in patients with severe renal impairment/ESRD; the 12.5 mg dose strength is for patients with moderate renal impairment; the registration of the 3.125 mg tablet strength is not being sought.

**Absorption**

Alogliptin is absorbed rapidly with median time to reach C<sub>max</sub> (T<sub>max</sub>) occurring approximately 1-2 hours after single and multiple dosing. Food does not alter the pharmacokinetics of alogliptin. The absolute bioavailability of alogliptin is close to 100%. Therefore, alogliptin is considered to be highly permeable. This is confirmed by the mass balance study in which at least 76% of the (radioactivity) is recovered in urine.

**Bioequivalence**

Four formulations of alogliptin were used in the clinical program. The formulation of the Phase III tablet that was used in the main studies and the proposed commercial tablet differed substantially.

Bioequivalence between the alogliptin Phase III and proposed commercial tablets was established for both the 12.5 and 25 mg tablets (90% CI within the 80%-125% range). Additionally the lower commercial tablet strengths had the same dissolution profile as the 12.5 and 25 mg tablet strengths.

**Distribution**

Protein binding of alogliptin was approximately 20% and was unaffected by renal impairment. Protein binding of M-I ranges from 12-32%. The volume of distribution (V<sub>z</sub>) of alogliptin following a 12.5 mg IV dose was 417 L. The V<sub>z</sub> was greater than total body water (42 L), which indicates that alogliptin is well distributed into tissues. The apparent volume of distribution (V<sub>z</sub>/F) at steady state was 300 L at a dose of 25 mg alogliptin administered once daily for 14 days in patients with T2DM.



## **Metabolism**

Alogliptin is metabolized into 2 identified minor metabolites: M-I, an N-demethylated metabolite via CYP2D6, and M-II, an N-acetylated metabolite. CYP3A4 may also be involved in the formation of other unidentified minor metabolites. Exposure to these 2 metabolites in plasma, relative to unchanged drug, are <1% and <6%, respectively. M-I has DPP-4 inhibitory activity similar to that of alogliptin; M-II has no DPP-4 inhibitory activity.

Inter-conversion: Alogliptin exists predominantly as the (R)-enantiomer (>99%) and undergoes little or no enantiomeric conversion to the (S)-enantiomer in vivo. The (R)-enantiomer is the active moiety, and is >150-fold more active against DPP-4 than the (S) enantiomer. Therefore, inter-conversion has no clinical implications.

## **Elimination**

The overall mean recovery of radioactivity in urine + faeces was 88.5 %. Approximately 76% of orally administered radioactivity was excreted in urine. This confirms that the extent of oral absorption in humans is high (at least 76%), and that alogliptin is moderately to highly permeable. Metabolism represents only a small part of the elimination of alogliptin; 95% of the radioactivity recovered in urine and 88% of the radioactivity recovered in faeces was alogliptin. The clearance (CL) of alogliptin following the 12.5 mg IV dose was 14 L/hr. CL/F ranges between 15- 20 L/hr.

## **Dose proportionality and time dependencies**

Dose proportionality has been established across the dose range of 6.25 to 800 mg. Steady state is achieved after 7 days. Accumulation was ~1.4 fold.

Variability: The intersubject variability of alogliptin ranged for the C<sub>max</sub> and AUC between 17-31%. The intrasubject variability was (<23% for C<sub>max</sub> and AUC values).

Pharmacokinetics in target population: Exposure to alogliptin is similar in subjects with T2DM and healthy subjects.

## **Special populations**

Renal impairment: Exposure to alogliptin increased with increasing severity of renal impairment. Peak exposure (C<sub>max</sub>) to alogliptin was approximately 13%, 42%, 27%, and 32% greater in subjects with mild, moderate, and severe renal impairment, and subjects with ESRD, respectively, than in healthy subjects. Total exposure (AUC(0-inf)) to alogliptin in subjects with renal impairment increased with decreases in renal function, and was approximately 71%, 112%, 251%, and 377% greater in subjects with mild, moderate, and severe renal impairment, and ESRD, respectively, than in healthy subjects. No significant differences in T<sub>max</sub> for any of the renal impairment groups vs the healthy matched controls for each group were observed. Metabolic ratios of alogliptin to M-I in healthy subjects and in subjects with severe renal impairment or ESRD were similar.

Hepatic impairment: No clinical significant differences in AUC and peak C<sub>max</sub> exposure to alogliptin was observed in subjects with moderate hepatic impairment than in healthy subjects; therefore, no dose adjustment is necessary for patients with mild to moderate hepatic impairment (Classes A and B). Subjects with severe hepatic impairment were not evaluated.

Gender and weight: No clinically meaningful changes in exposure related to gender, and weight were observed. Therefore, no dose adjustment is required.

Age and race: Small increases in exposure related to age and race were observed, the AUC was about 30% increased after multiple doses.

### **Pharmacokinetic interaction studies**

In vitro results: Alogliptin did not induce CYP1A2, CYP2B6, CYP2C9, and CYP2C19 in vitro. Little or no direct inhibition was observed for CYP isoforms (CYP1A2, CYP2C8, CYP2C9, CYP2C19, CYP3A4/5) in vitro.

Alogliptin was not an in vitro inhibitor of BCRP, OAT1, OAT3 and OCT2 at clinically relevant concentrations. Therefore, clinically relevant interactions via BCRP, OAT1, OAT3 and OCT2 inhibition by alogliptin are not expected.

Clinical results: Clinical alogliptin drug-drug interaction studies of digoxin (a substrate of P-glycoprotein [P-gp]) and cyclosporine (an inhibitor of P-gp) confirmed that alogliptin is neither a substrate of P-gp, nor an inhibitor of P-gp.

It can be concluded that at clinically relevant concentrations ( $C_{max} = 0.3 \mu M$ ), alogliptin is not a substrate or inhibitor of P glycoprotein, OAT1, OAT3, and OCT2.

A clinical study was performed to study the interaction potential between alogliptin and cyclosporine (inhibitor of OATP1B1/OATP1B3, BCRP and P-glycoprotein). No clinically relevant interactions were observed. In addition, OATP is involved in the transport from the systemic circulation to the liver based on the in vivo excretion pattern most likely not relevant. However, alogliptin is mainly excreted as parent compound via urine and BCRP transporters are involved in the transport to urine. Based on the provided clinical data it cannot be concluded that alogliptin is not an inhibitor of BCRP. Since, the bioavailability of alogliptin is high, no clinically relevant changes in alogliptin exposure are expected if alogliptin was a substrate of BCRP and it was concomitantly administered with a drug that is an inhibitor of BCRP. In addition, since excretion via faeces is <15%, it will be unlikely that an inhibitor of BCRP could have an effect on the excretion of alogliptin if alogliptin would be a substrate of BCRP.

Whether alogliptin is a substrate and/or an inhibitor of OCT1, OCT2, MATE1 and MATE2 was investigated in a clinical study in healthy volunteers with cimetidine and metformin. Cimetidine is an inhibitor of OCT1, OCT2, MATE1 and MATE2. Metformin is a substrate of OCT1, OCT2, MATE1 and MATE2. No clinically relevant effects were observed on the exposure of alogliptin, cimetidine and metformin. Therefore, no clinically relevant drug-drug interactions are expected for alogliptin as either a substrate or as an inhibitor of OCT1, OCT2, MATE1 and MATE2 at current exposure levels (dose up to 100 mg once daily).

Alogliptin and co-administrated drugs were dosed together in the studies. Based on the data presented there is no obvious effect of alogliptin on the  $t_{max}$  and subsequently on the gastric emptying of the drugs coadministered with alogliptin.

No clinically meaningful changes in exposure to a number of drugs that are metabolized by CYP isozymes (pioglitazone [2C8]; glyburide, tolbutamide and (S)-warfarin [2C9]; midazolam, atorvastatin, ethinyl estradiol, and norethindrone [3A4]; caffeine and (R)-warfarin [1A2]; dextromethorphan [2D6]), transported by P-glycoprotein (Pgp) (fexofenadine and digoxin) or organic cation transporter 2 (OCT2) (MET), or drugs that are excreted unchanged in urine (MET, cimetidine [an OCT2 inhibitor], and digoxin) were observed when these drugs were administered with alogliptin.

In addition, no clinically meaningful changes in exposure to alogliptin were observed when it was administered with MET, cimetidine, or digoxin (drugs that are excreted renally), pioglitazone (a 2C8 substrate), or atorvastatin (a 3A4 substrate); with drugs that inhibit CYP isozymes (ketoconazole [3A4], fluconazole [2C9], and gemfibrozil [2C8/9]); with Pgp or OCT2 substrates (digoxin [Pgp], MET [OCT2]) or inhibitors (cyclosporine [Pgp], cimetidine [OCT2]); or with a drug that is excreted primarily in the feces (voglibose [an  $\alpha$ -glucosidase inhibitor]). In general, alogliptin seems to have a low potential for interactions with co-administered medicinal products.

### 2.4.3. Pharmacodynamics

Pharmacodynamics of alogliptin were investigated in 7 PK/PD studies, including healthy volunteers, Japanese healthy volunteers, and subjects with T2DM.

**Study 001** was an ascending single dose study in healthy subjects, using doses from 25 mg -800 mg.

**Study CHP-001** was a single dose study including lower dosages of alogliptin (6.25 mg – 200 mg) and was performed in healthy Japanese subjects.

**Study 002** was a multiple dose study (25, 100 or 400 mg or placebo) in subjects with T2DM. Subjects received alogliptin or placebo once daily for 14 days.

**Study CHP-002** was a multiple dose study in healthy male Japanese subjects, using doses of 25 or 50 mg alogliptin once daily for 7 days. After safety data were confirmed, subjects received alogliptin 100 mg once daily for 7 days.

**Study 022** investigated effects of age, race and sex on single and multiple-dose pharmacodynamics of alogliptin.

**Study 004** and **Study 019** were QT/QTc studies.

#### ***Mechanism of action***

Alogliptin inhibits DPP-4. DPP-4 is the primary enzyme involved in the rapid degradation of the incretin hormones GLP-1 and GIP. GLP-1 augments glucose-induced insulin secretion, inhibits glucagon secretion and hepatic glucose production, and increases glucose disposal. Based on the mechanism of action, DPP-4 inhibition is expected to increase active GLP-1 levels in patients with T2DM.

#### ***Primary and Secondary pharmacology***

DPP-4 inhibition: Based on current literature, DPP-4 inhibition of  $\geq 80\%$  is necessary to achieve optimal glucose reduction. Following single-dose administration in healthy subjects, maximum inhibition (Emax) was  $>93\%$  for all dose groups (25, 50, 100, 200, 400, and 800 mg), with median time to Emax (Tmax) of 2 to 3 hours (Study 001), and  $>88\%$  for all dose groups (6.25, 12.5, 25, 50, 100, and 200 mg), with median Tmax of 1.00 to 1.25 hours [CPH-001]. Emax and Tmax for the placebo group were 12.2% and 6 hours, respectively, in Study 001, and 16.0% and 12.5 hours, respectively, in Study CPH-001. Mean inhibition at 24 and 72 hours postdose (E24 and E72) ranged from 74.3% and 47.5%, respectively, for the 25 mg group, to 97.0% and 83.0%, respectively, for the 800 mg group in Study 001, and from 64.7% and 27.8%, respectively, for the 6.25 mg group, to 94.2% and 74.4%, respectively, for the 200 mg group in Study CPH-001.

Following multiple-dose administration in healthy Japanese subjects [CPH-002], Emax was  $>95\%$  for all dose groups (25, 50, and 100 mg), with Tmax of 1 hour on both Day 1 and after 7 days of once-daily dosing (Day 7). Emax and Tmax for the placebo group were 3.8% and 15 hours, respectively, on Day 1, and 4.6% and 15 hours, respectively, on Day 7. E24 ranged from 79.7% for the 25 mg group to 89.8% for the 100 mg group on Day 1 and from 83.5% for the 25 mg group to 92.0% for the 100 mg group on Day 7.

Following multiple-dose administration in subjects with T2DM (002), Emax was  $>93\%$  for all dose groups (25, 100, and 400 mg), with Tmax of approximately 1 hour on Day 1 and 1 to 2.5 hours after 14 days of once-daily dosing (Day 14). Emax and Tmax for the placebo group were 25.3% and 1.5 hours, respectively, on Day 1, and 20.8% and 6.5 hours, respectively, on Day 14. E24 ranged from 78.3% to 95.7% on Day 1 and from 81.8% to 96.7% on Day 14, and E72 ranged from 66.3% to 81.6% for the 3 alogliptin groups on Day 14.

NONMEM modeling that combined a 2-compartment, first order absorption pharmacokinetic model with an Emax pharmacodynamic model confirmed the potency of alogliptin as an inhibitor of DPP-4 activity with a predicted Emax value of 96.2% and a predicted EC50 value of 3.73 ng/mL in healthy subjects in *Study 001* and a predicted Emax of 98.9% and a predicted EC50 value of 6.55 ng/mL in subjects with T2DM in *Study 002*. The EC80 in *Study 002* was around 30 ng/mL in T2DM patients. This concentration is in line with the 25 mg alogliptin dose.

The effects of age, race, and sex on the single- and multiple-dose pharmacodynamics of alogliptin alone was investigated in a randomized, single-blind, placebo-controlled, parallel-group study in healthy male and female subjects [Study 022]. Peak levels of mean DPP-4 inhibition were at least 92% and were reached by 2 hours postdose. DPP-4 inhibition 24 hours after alogliptin administration was 76±4% vs 79±4% in young vs elderly, 77±4% vs 79±5% in men vs women, and 76±4% vs 80±4% in Black vs White. No relevant differences were observed between subgroups.

GLP-1 levels: The inhibition of DPP-4 activity by alogliptin elicited prominent increases in plasma active GLP-1 levels in healthy subjects (this parameter was not evaluated in subjects with T2DM in the phase 1 program), with mean changes from baseline in plasma active GLP-1 levels that were consistently greater in the alogliptin groups than in the placebo groups. Dose-related elevations in plasma levels of GLP-1 persisted through 72 hours after dosing, which is consistent with continuing DPP-4 inhibition. As expected, the effects of alogliptin were most evident after meals when GLP-1 levels increased.

Postprandial Glucose Concentrations: Following multiple-dose administration in subjects with T2DM (002), statistically significant decreases, compared with placebo, from baseline in 4-hour postprandial glucose concentrations were observed following each meal (breakfast, lunch, and dinner) as well as when averaged across all 3 meals.

Effects on QT- time: The MAH performed one QT-study with alogliptin doses 50 mg and 400 mg. This study did not reveal effects of alogliptin on cardiac repolarization. Although, in the highest dose (400 mg alogliptin, which is 16 times the proposed dosage), the 2-sided 90% CI of the difference from placebo in LS mean change from baseline in QTcI interval was >10 msec at two time points (0.5 hours and 1 hour postdose) on Day 7, the difference from placebo at these time points for alogliptin 400 mg was 5.84 msec (90% CI, 1.44-10.24 msec) at 0.5 hour; and 6.60 msec (90% CI, 2.50-10.70 msec) at 1 hour postdose. All other measurements were within the boundary and no other signals on cardiac repolarization in clinical or non-clinical studies have been found, therefore alogliptin is not considered to have effects on cardiac repolarization in the proposed posology (25 mg).

#### **2.4.4. Discussion on clinical pharmacology**

Several studies were performed to characterize the PK and PD of alogliptin.

The Pharmacokinetics of alogliptin is fairly uncomplicated. It is absorbed fast and almost completely, the maximum plasma concentration is found after 1-2 hours after administration. Bioequivalence between the alogliptin Phase III and proposed commercial tablets was established for both the 12.5 and 25 mg tablets (90% CI within the 80%-125% range). Additionally the lower commercial tablet strengths had the same dissolution profile as the 12.5 and 25 mg tablet strengths. As all tablet strengths including the lower strengths were used in the pharmacokinetics studies and dose proportionality was sufficiently shown, it is agreed that the conclusion on bioequivalence can be extended to the lower 6.25 and 3.125 mg tablet.

Alogliptin is mainly excreted unchanged via the urine (75%), two minor metabolites were identified: M-I, and M-II. The Exposure to these 2 metabolites are <1% and <6%. M-I has DPP-4 inhibitory activity similar to that of alogliptin; M-II has no DPP-4 inhibitory activity. Therefore, small to moderate changes in exposure to these metabolites are not considered to be clinically relevant. CYP2D6 is involved in the

formation of these two metabolites and CYP3A4 may also be involved in the formation of other unidentified minor metabolites.

In the PD-studies, alogliptin showed a dose-dependent reduction in DPP-4 levels in both healthy and T2DM patients. Multiple-dose of 25 mg alogliptin treatment caused a  $\geq 80\%$  reduction in DPP-4 levels, which is considered necessary to achieve optimal glucose reduction. However, it is not known if a lower dose of 12.5 mg could cause a comparable clinically effect. Therefore, both 12.5 mg and 25 mg dose have been used in the clinical trials.

The inhibition of DPP-4 activity by alogliptin elicited prominent increases in plasma active GLP-1 levels in healthy subjects, and significant decreases in 4-hour post prandial glucose concentrations in T2DM subjects.

Subjects with severe hepatic impairment were not evaluated; therefore alogliptin is not recommended for patients with severe hepatic impairment (Class C) as stated in sections 4.2 and 4.4 of the SmPC.

Increased exposure to alogliptin is observed in patients with renal impairment, and therefore the applicant proposed dose reduction in these patients. In order to assess whether the exposure to alogliptin in ESRD patients is acceptable, the applicant confirmed that all included patients were anuric (as a worst case scenario) and therefore the proposed dosing in this subgroup was acceptable for CHMP.

The PK-study 022 showed that gender did not influence the AUC or other PK-parameters. Small increases in exposure related to age and race were observed, the AUC was about 30% increased after multiple doses of alogliptin. These changes were not considered clinically relevant since age or race had no effect on alogliptin inhibition of DPP-4 activity.

However, the CHMP had concerns regarding the quality of the population PK analysis in order to be used for description of the effect of weight on alogliptin exposure, and requested during the procedure several updated data sets to assess the influence of body weight.

The applicant provided during the procedure an updated POP-PK analysis which included pooled data from studies 002, 006, and 008 for a detailed evaluation of the effects of renal function (measured by creatinine clearance [CRCL]) and weight in kilograms [WTKG]) on the PK and exposure of alogliptin.. The applicant provided numerical (Bootstrap) and visual (pcVPC) diagnostics thus allowing assessment of the updated model. The effect of body weight in the view of the CHMP was thus well estimated and the model now sufficiently robust with high convergence rate and precise parameter estimates. The conclusion regarding the clinically insignificant effect of body weight on exposure to alogliptin was therefore accepted and is reflected in the text regarding the influence of body weight in SmpC section 5.2.

The alogliptin potential for interactions appears to be low; it has been studied in vivo with all relevant antidiabetic drugs. Most possibly relevant CYP enzymes have been evaluated. The applicant investigated if alogliptin is an in vitro inhibitor of OAT1, OAT3 and OCT2. The study included both control cells and cells transfected with the specific transporter of interest. Further, the used probe substrates (PAH, E3S and metformin) and positive control inhibitors (probenecid, probenecid and quinidine) are appropriate. No clinically relevant inhibition by alogliptin (based on its C<sub>max</sub> of 0.3  $\mu$ M) was seen for any of the investigated transporters.. Alogliptin and co-administrated drugs were dosed together in the studies. Based on the data presented there is no obvious effect of alogliptin on the t<sub>max</sub> and subsequently on the gastric emptying of the drugs coadministrated with alogliptin.

The ability of alogliptin to inhibit CYP2B6 (as measured by efavirenz 8-hydroxylation rates) was investigated with a pool of 16 individual human liver microsomal samples at concentrations ranging from 0.1 to 100  $\mu$ mol/L. The study setup of the submitted study to investigate if alogliptin is an in vitro inhibitor of CYP2B6 is acceptable. The marker CYP2B6 reaction efavirenz 8-hydroxylation and the CYP2B6 positive control inhibitors orphenadrine (750  $\mu$ M) and phencyclidine (30  $\mu$ M) is appropriate. No inhibition of

CYP2B6 activity by alogliptin was seen up to 100 µM and subsequently the risk for alogliptin inhibition of CYP2B6 at clinically relevant concentrations is unlikely. Information that alogliptin is not an inhibitor of CYP2B6 in vitro is included in section 5.2 of the SmPC.

#### 2.4.5. Conclusions on clinical pharmacology

The applicant performed several clinical pharmacology studies to show the pharmacokinetics and pharmacodynamics of alogliptin. Pharmacokinetics and pharmacodynamics were sufficiently investigated in the view of the CHMP.

### 2.5. Clinical efficacy

#### 2.5.1. Dose response study

Results from the phase 1 studies suggested a dose range between 6.25 and 100 mg should be tested to determine optimal dosage in confirmatory clinical studies. Hence, that dose range was used in the phase 2 dose-ranging study (Study 003). Study 003 assessed the efficacy, safety, and tolerability of alogliptin 6.25, 12.5, 25, 50, and 100 mg over 12 weeks compared with placebo in 265 subjects with T2DM, 26 to 75 years of age, inclusive, who were either receiving no treatment (ie, either newly diagnosed or experiencing inadequate glycaemic control with diet and exercise alone) or were being treated with an SU, MET, or a combination of SU and MET, but were experiencing inadequate glycaemic control.

Statistically significant and clinically relevant reductions in HbA1c were observed at alogliptin doses of  $\geq 12.5$  mg and in fasting plasma glucose (FPG) at doses of  $\geq 25$  mg, with no additional HbA1c benefit seen at doses  $> 25$  mg (Table 3). HbA1c levels were not significantly reduced with alogliptin 6.25 mg, which is likely due to lack of optimal DPP-4 inhibition.

**Table 3 Change From baseline in HbA1c (%) and FPG (mmol/L) Levels on Day 85 (ITT, LOCF) (003)**

	<b>Placebo (N=41)</b>	<b>A6.25 (N=42)</b>	<b>A12.5 (N=42)</b>	<b>A25 (N=45)</b>	<b>A50 (N=43)</b>	<b>A100 (N=44)</b>
<b>baseline HbA1c</b>						
Mean (SD)	8.24 (1.034)	7.99 (1.006)	7.87 (0.905)	8.02 (0.978)	8.11 (1.037)	8.00 (0.988)
LS Mean Change from baseline at Day 85 (SE) (a)	-0.01 (0.123)	-0.19 (0.121)	-0.54* (0.122)	-0.56* (0.117)	-0.44* (0.124)	-0.51* (0.119)
<b>baseline FPG</b>						
Mean (SD)	10.5 (2.80)	10.6 (2.73)	9.6 (2.27)	10.6 (3.47)	10.1 (2.89)	10.5 (3.14)
LS Mean Change from baseline at Day 85 (SE) (a)	-1.3 (0.39)	-0.9 (0.50)	-0.8 (0.50)	-2.0* (0.49)	-1.4* (0.51)	-1.6* (0.49)

ITT=intent to treat.

\*p<0.05 vs placebo.

(a) LS mean from an analysis of covariance (ANCOVA) with effects for baseline value, treatment, BMI, T2DM duration (years), and prior antidiabetic treatment (yes/no) (Model 1).

These HbA1c and FPG results were the basis for selecting alogliptin 12.5 and 25 mg for evaluation in the Phase III clinical program. Both doses were chosen for further evaluation because, at that point in time, only limited comparative safety data were available.

Total exposure to alogliptin in subjects with moderate and severe renal impairment/ESRD increased approximately 2- and 4-fold, respectively, compared with healthy matched control subjects. Dose reductions proportional to the increases in exposure seen in Study 006 were used in Study 402, in which



a dose of alogliptin 25 mg was assigned to T2DM subjects with normal renal function and those with mild renal impairment, alogliptin 12.5 mg to T2DM subjects with moderate renal impairment, and alogliptin 6.25 mg to T2DM subjects with severe renal impairment/ESRD.

### 2.5.2. Main studies

The clinical development program for alogliptin examined the use of alogliptin in monotherapy and in combination use with 4 major classes of antidiabetic agents: (1) MET (2) SU, (3) TZD, and (4) insulin. The efficacy of alogliptin has been evaluated in 15 studies: 1 phase 2 dose ranging study, 7 main Phase III studies, and 7 supportive Phase III studies (Table 2).

The main studies in the clinical development program relevant to the evaluation of efficacy comprise 6 completed and 1 ongoing Phase III studies.

#### **Methods and Study design**

##### *Placebo controlled studies*

There were 5 main Phase III, 26-week, placebo-controlled studies that included adult subjects diagnosed with T2DM who failed to achieve adequate glycemic control with diet and exercise alone or on background antidiabetic medication (i.e. an SU, MET, TZD, or insulin). Additionally, there were 2 main long-term, active-comparator studies that included adult subjects diagnosed with T2DM with inadequate glycemic control on MET (Study 305) or MET and pioglitazone (Study 322OPI-004).

Change from baseline in HbA1c was the primary endpoint for the main Phase III studies. Secondary endpoints include changes in other measures of glycemic control, including clinical response rates, FPG, the incidence of marked hyperglycemia, and the incidence of hyperglycemic rescue.

Across these studies, subjects could have been naïve to treatment, previously treated with antidiabetic agents, or currently treated with antidiabetic agents at a stable dose, consistent with clinical practice and international treatment guidelines. In the add-on studies, subjects underwent a 4-week Run-in/Stabilization Phase during which they were stabilized on a dose of  $\geq 1500$  mg MET (or maximum tolerated dose [MTD]),  $\geq 10$  mg glyburide (or MTD), 30 or 45 mg pioglitazone (or MTD), or insulin ( $\geq 15$  and  $\leq 100$  units), according to protocol requirements.

In Studies 010 (monotherapy), 007 (add-on to SU), 008 (add-on to MET), and 009 (add-on to TZD), doses of 12.5 and 25 mg or alogliptin were administered once daily vs placebo in a randomization ratio of 2:2:1. An HbA1c concentration between 7.0% and 10.0%, inclusive, was used as an inclusion criterion. In Study 011 (add-on to insulin), doses of alogliptin 12.5 or 25 mg or placebo were administered once daily in a randomization ratio of 1:1:1, and a higher baseline minimum HbA1c concentration of 8.0% was used as an inclusion criterion.

Although Study 009 was designed to support several indications globally evaluating alogliptin add-on treatment to TZD with or without MET or SU, the specific indication of add-on treatment to TZD with SU is not being sought in Europe.

In the 5 main Phase III studies, the primary analysis was performed for the full analysis set (FAS) using an analysis of covariance (ANCOVA) model with last observation carried forward (LOCF) values. The primary model included in all studies, study treatment and geographic region as class variables and baseline HbA1c as covariate. Additional study-specific covariates or factors were included in the primary analysis model. For the primary analysis, the alogliptin 25 dose was compared with placebo at the 2-sided 0.05 significance level using a contrast derived from the primary model. Only if this test was statistically significant, the alogliptin 12.5 dose was to be evaluated in a similar fashion.

##### *Main Phase III, Long-Term, Active-Comparator Studies*

In addition to the five 26-week studies, 52-week treatment has been evaluated in the 2 main Phase III, long-term, active-comparator studies.

Study 305 was, at the time of evaluation of this application, an ongoing, 2-year, active-comparator study in which subjects are randomized to receive either alogliptin 12.5 or 25 mg or glipizide (5-20 mg) in a 1:1:1 ratio. For this study, a baseline HbA1c of between 7.0% and 9.0% was required for inclusion. All subjects are to be receiving MET at a dose of  $\geq 1500$  mg (or MTD). Subjects will be treated for a period of 2 years to assess maintenance of efficacy. An interim study report with data from a preplanned, 1-year interim analysis is provided. A final study report of the 104-week data for Study 305 is going to be available mid-2013. At the time of the CHMP opinion for this procedure, the applicant has already made available a summary of results and confirmed that the results are in line with the interim data formally assessed in this report. However, a full assessment is pending and will be carried out once a final study report is available.

In Study 322OPI-004, a 52-week, active-comparator study in subjects receiving MET ( $\geq 1500$  mg or MTD), a combination of alogliptin 25 mg and pioglitazone 30 mg once daily was compared with pioglitazone 45 mg once daily (randomization ratio 1:1). Subjects with an Hb1Ac of 7.0% to 10.0% were included in the study.

The primary analysis in the two main active-comparator studies was a non-inferiority assessment of change from baseline in HbA1c. In study 305, the primary efficacy endpoint evaluated glycaemic control through HbA1c changes from baseline to Week 52 or Week 104. The primary efficacy endpoint for the interim analysis was change from baseline in HbA1c at Week 52 (or at time of discontinuation of double-blind study medication or hyperglycaemic rescue) using the per protocol set (PPS) and ANCOVA models with change from baseline (LOCF) in HbA1c as the response variable, treatment, geographic region, and study schedule as fixed class effects and baseline MET dose and baseline HbA1c as continuous covariates. The A25 group was compared with the glipizide group at the 1-sided 0.0125 level using a non-inferiority margin of 0.3%. If A25 was non-inferior to glipizide, then the A12.5 group was compared with the glipizide group in a step-down fashion using the same significance level and non-inferiority margin. If both alogliptin groups were non-inferior to glipizide, then additional tests for statistical superiority of the alogliptin groups to the glipizide group were conducted in a step-down fashion in the same order at the 1-sided 0.0125 level.

In study 322OPI-004, the primary efficacy variable was change from baseline in HbA1c at Weeks 26 and 52 in the PPS using the LOCF method for subjects who were rescued or who prematurely discontinued from the study. The primary model included study treatment, study schedule, and geographic region as class variables, and baseline MET dose and baseline HbA1c as covariates. The primary analysis was a non-inferiority assessment (non-inferiority margin of 0.3%) at Week 26 followed by an assessment at Week 52. Both analyses (at Weeks 26 and 52) were performed at the 1-sided 0.025 significance level. The Week 26 analysis was a pre-planned interim analysis; the Week 52 analysis was considered the primary endpoint.

#### *Supportive studies*

In the supportive studies, like the main studies, change from baseline in HbA1c was used as the primary endpoint.

A 1-year study (Study 303) was performed in elderly subjects (age, 65 to 90 years) to compare alogliptin 25 mg vs glipizide 5 mg on diet and exercise alone (Hb1Ac 6.5% to 9.0%) or on oral monotherapy (HbA1c 6.5% to 8.0%). The glipizide dose could be up-titrated for inadequate glycemic control to 10 mg up to Week 12. Unlike the two main active-comparator studies, in which a non-inferiority assessment margin of 0.3% was set, a margin of 0.4% was set for the primary analysis in Study 303.



Supportive Studies 302 and 322OPI-001 were 26-week factorial studies that examined alogliptin alone or in combination with MET vs MET alone in subjects on diet and exercise alone (Study 302) or alogliptin alone or in combination with pioglitazone vs pioglitazone alone in subjects receiving MET monotherapy ( $\geq 1500$  mg or MTD) (Study 322OPI-001).

An initial combination of alogliptin (12.5 or 25 mg) and pioglitazone (30 mg) was compared with alogliptin 25 mg or pioglitazone 30 mg alone in Study 322OPI-002 over a 26-week period in subjects on diet and exercise alone.

Other supportive studies include Study 301, which evaluated the effects on postprandial triglycerides of alogliptin 25 mg alone vs alogliptin 25 mg and pioglitazone 30 mg in combination vs placebo.

Study 402 was, at the time of evaluation of this application, an ongoing, long-term CV outcome study in subjects with T2DM and recent (within 15 to 90 days) acute coronary syndrome (ACS). Subjects were included with varying degrees of renal impairment. The primary endpoint in this study is the major adverse CV event (MACE) composite of CV death, nonfatal myocardial infarction (MI), and nonfatal stroke. At the time of the CHMP opinion for this procedure, the clinical phase of this study has been already completed as the calculated number of events had been reached; a final study report is expected to be available in the first quarter of 2014.

Study 012 was a long-term (4 years), open-label extension study of alogliptin (12.5 or 25 mg) once daily in subjects enrolled in 7 of the controlled Phase III studies.

### **Study Participants**

#### *Main Phase III, 26-Week, Placebo-Controlled Studies*

A total of 2234 subjects were randomized into the 5 studies and received at least 1 dose of study drug (493 received placebo, 877 received A12.5, and 864 received A25). A total of 1627 subjects completed and 266 discontinued from the studies. A total of 345 subjects were rescued from the studies. In all 5 studies, a higher percentage of subjects in both the A12.5 and A25 dose groups completed the study compared with subjects who received placebo, which was primarily due to the greater need for (protocol-defined) hyperglycaemic rescue seen in placebo-treated subjects. Overall, fewer alogliptin-treated subjects in either dose group required hyperglycaemic rescue compared with the placebo group. In all 5 studies, the overall discontinuation rate for any reason was similar among both alogliptin doses and placebo. The percentage of subjects who withdrew voluntarily was higher in the alogliptin dose groups compared with the placebo group. The voluntary withdrawals in the alogliptin dose groups for the Phase III controlled studies were reviewed as well as the ongoing AEs for these subjects at the time of withdrawal. The reasons provided included moving, family illness, personal reasons, and conflicts with work schedules.

No meaningful differences across treatment groups were observed for any demographic or baseline characteristic with respect, specifically, to sex, age, race, and body mass index (BMI) (Table 4). Mean age across studies ranged from 53 to 57 years (min-max, 21-80 years). In these studies, 425 (19%) randomized subjects were elderly ( $\geq 65$  years), with 64 subjects (3%) at least 75 years. The majority of all randomized subjects were White (66.2 to 79.8%). Mean BMI for all randomized subjects ranged from 30 to 33. The duration of T2DM differed among studies and, as expected, subjects in Study 011 (insulin add-on) had a longer mean duration of T2DM compared with the other studies. Duration of T2DM ranged from a mean of 2.82 years in the monotherapy study (010) to 13.42 years in the insulin add-on study (011).

**Table 4 Subject Demographics and baseline Characteristics (010, 007, 008, 009, 011)**

Category	Study 010 Monotherapy			Study 007 Add-on to SU			Study 008 Add-on to MET			Study 009 Add-on to TZD, with or without MET or SU			Study 011 Add-on to insulin, with or without MET		
	Placebo N=65	A12.5 N=133	A25 N=131	Placebo N=99	A12.5 N=203	A25 N=198	Placebo N=104	A12.5 N=213	A25 N=210	Placebo N=97	A12.5 N=197	A25 N=199	Placebo N=130	A12.5 N=131	A25 N=129
<b>Sex, n (%)</b>															
Men	33 (50.8)	65 (48.9)	77 (58.8)	51 (51.5)	111 (54.7)	99 (50.0)	50 (48.1)	101 (47.4)	114 (54.3)	53 (54.6)	109 (55.3)	125 (62.8)	62 (47.7)	55 (42.0)	44 (34.1)
Women	32 (49.2)	68 (51.1)	54 (41.2)	48 (48.5)	92 (45.3)	99 (50.0)	54 (51.9)	112 (52.6)	96 (45.7)	44 (45.4)	88 (44.7)	74 (37.2)	68 (52.3)	76 (58.0)	85 (65.9)
<b>Age (years)</b>															
Mean (SD)	53.8 (10.99)	52.6 (12.01)	54.2 (10.16)	57.1 (10.05)	56.5 (11.10)	56.5 (11.67)	56.0 (10.58)	55.2 (10.58)	53.6 (10.45)	55.2 (10.82)	55.5 (9.37)	55.4 (10.16)	55.0 (10.57)	55.4 (9.79)	55.9 (10.18)
Min, Max	35, 80	24, 77	31, 80	32, 80	26, 80	21, 80	27, 78	26, 80	22, 77	24, 80	36, 78	25, 80	27, 80	24, 78	23, 79
<b>BMI</b>															
Mean (SD)	32.17 (5.748)	31.82 (5.166)	32.16 (5.915)	29.97 (5.265)	30.23 (4.809)	30.04 (4.837)	32.39 (5.763)	31.59 (5.208)	31.80 (5.302)	33.23 (6.192)	32.34 (5.698)	33.06 (5.379)	32.42 (5.621)	32.66 (5.546)	32.28 (5.594)
<b>HbA1c</b>															
Mean (SD)	8.03 (0.910)	7.91 (0.810)	7.91 (0.788)	8.15 (0.847)	8.08 (0.827)	8.09 (0.898)	8.01 (0.872)	7.89 (0.740)	7.93 (0.799)	7.97 (0.818)	8.08 (0.910)	8.01 (0.837)	9.28 (1.127)	9.29 (1.056)	9.27 (1.127)
<b>Duration of T2DM (years)</b>															
Mean (SD)	4.32 (5.286)	3.09 (3.825)	2.82 (3.016)	7.67 (5.345)	7.80 (6.097)	7.63 (6.044)	6.28 (5.405)	6.21 (5.091)	5.94 (4.306)	7.76 (6.667)	7.68 (5.585)	7.38 (5.350)	12.18 (7.067)	12.10 (7.161)	13.42 (6.308)
Median	2.67	1.92	1.67	6.25	6.33	6.21	4.67	5.25	5.00	6.50	6.33	6.17	10.96	11.17	13.08

### Main Phase III, Long-Term, Active-Comparator Studies

A total of 3441 subjects were randomized into the 2 studies. Of the 2638 subjects randomized into Study 305, a total of 1900 subjects were ongoing at the time of the interim data cut; and 526 subjects completed Study 322OPI-004. A total of 1015 subjects discontinued from the studies, including 413 subjects who were rescued. In Study 305, more subjects receiving MET+glipizide required hyperglycaemic rescue compared with those receiving MET+A12.5 or MET+A25. More subjects in the MET+A25+P30 group completed Study 322OPI-004, primarily due to more subjects requiring hyperglycaemic rescue on MET+P45. The primary causes of discontinuation excluding hyperglycaemic rescue were AEs, major protocol deviations and voluntary withdrawals. No meaningful differences across treatment groups were observed for any demographic or baseline characteristic with respect to sex, age, race, and BMI (Table 5). Mean age across the studies ranged from 54 to 56 years. In these 2 studies, 615 subjects (18%) were elderly ( $\geq 65$  years), with 57 subjects (2%) at least 75 years. The majority of all randomized subjects were White (59.9 to 64.2%). Mean BMI for all randomized subjects ranged from 31 to 32. Mean duration of T2DM was 5.51 years in Study 305 and 7.16 years in Study 322OPI-004.

**Table 5 Subject Demographics and baseline Characteristics (305 and 322OPI-004)**

Characteristic	Study 305 Add-on to MET (Ongoing)				Study 322OPI-004 Add-on to PIO/MET		
	MET+A12.5 N=880	MET+A25 N=885	MET+Glipi zide N=873	Total N=2638	MET+A25+ P30 N=404	MET+P45 N=399	Total N=803
<b>Sex, n (%)</b>							
Men	419 (47.6)	452 (51.1)	441 (50.5)	1312 (49.7)	210 (52.0)	204 (51.1)	414 (51.6)
Women	461 (52.4)	433 (48.9)	432 (49.5)	1326 (50.3)	194 (48.0)	195 (48.9)	389 (48.4)
<b>Age</b>							
Mean (SD), yr	55.2 (9.60)	55.5 (9.81)	55.4 (9.59)	55.4 (9.66)	54.3 (9.86)	55.9 (9.94)	55.1 (9.93)
<65 years, n (%)	734 (83.4)	710 (80.2)	723 (82.8)	2167 (82.1)	339 (83.9)	320 (80.2)	659 (82.1)
$\geq 65$ years, n (%)	146 (16.6)	175 (19.8)	150 (17.2)	471 (17.9)	65 (16.1)	79 (19.8)	144 (17.9)
$\geq 75$ years, n (%)	13 (1.5)	17 (1.9)	15 (1.7)	45 (1.7)	5 (1.2)	7 (1.8)	12 (1.5)
<b>BMI</b>	n=879	n=885	n=872	n=2636			
Mean (SD)	31.27 (5.417)	31.27 (5.341)	31.11 (5.320)	31.22 (5.358)	31.52 (5.243)	31.58 (5.177)	31.55 (5.210)
<b>HbA1c</b>	n=876	n=883	n=871	n=2630	n=303 (a)	n=306 (a)	-
Mean (SD)	7.59 (0.599)	7.61 (0.606)	7.60 (0.617)	7.60 (0.607)	8.25 (0.820)	8.13 (0.832)	-
<b>T2DM duration, yr</b>							
Mean (SD)	5.65 (5.323)	5.42 (4.729)	5.48 (4.887)	5.51 (4.985)	7.47 (5.248)	6.85 (4.611)	7.16 (4.946)
<b>MET dose (mg)</b>							
Mean (SD)	1824.7 (405.69)	1835.3 (373.76)	1823.5 (390.83)	1827.9 (390.17)	1867.9 (476.71)	1847.6 (494.12)	1857.8 (485.24)
Median (range)	-	-	-	-	1700 (500-3400)	1700 (500-3000)	1700 (500-3400)

-=Not applicable.

(a) PPS data are presented per the primary analysis.

Note: This table includes all randomized subjects.

### Outcomes and estimation

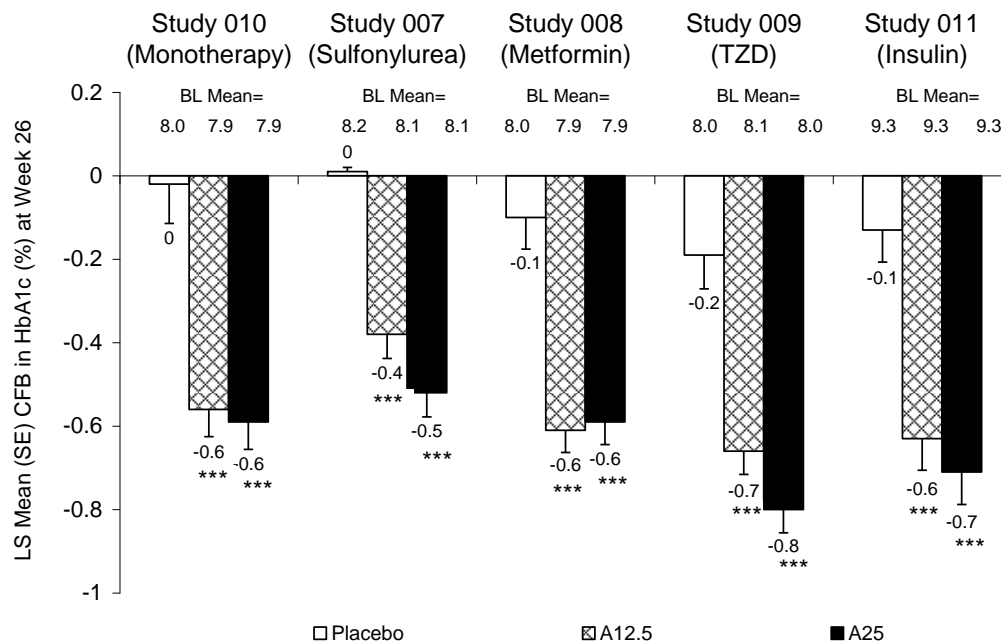
#### Primary outcome parameters:

#### Glycosylated Haemoglobin (HbA1c):

### Main Phase III, 26-Week, Placebo-Controlled Studies

Across the program, alogliptin efficacy results have shown reductions in HbA1c, as summarized in Figure 1 for the 5 main Phase III placebo-controlled studies.

**Figure 1 Change From baseline in HbA1c (%) (LOCF, FAS) at Week 26 (010, 007, 008, 009, and 011)**



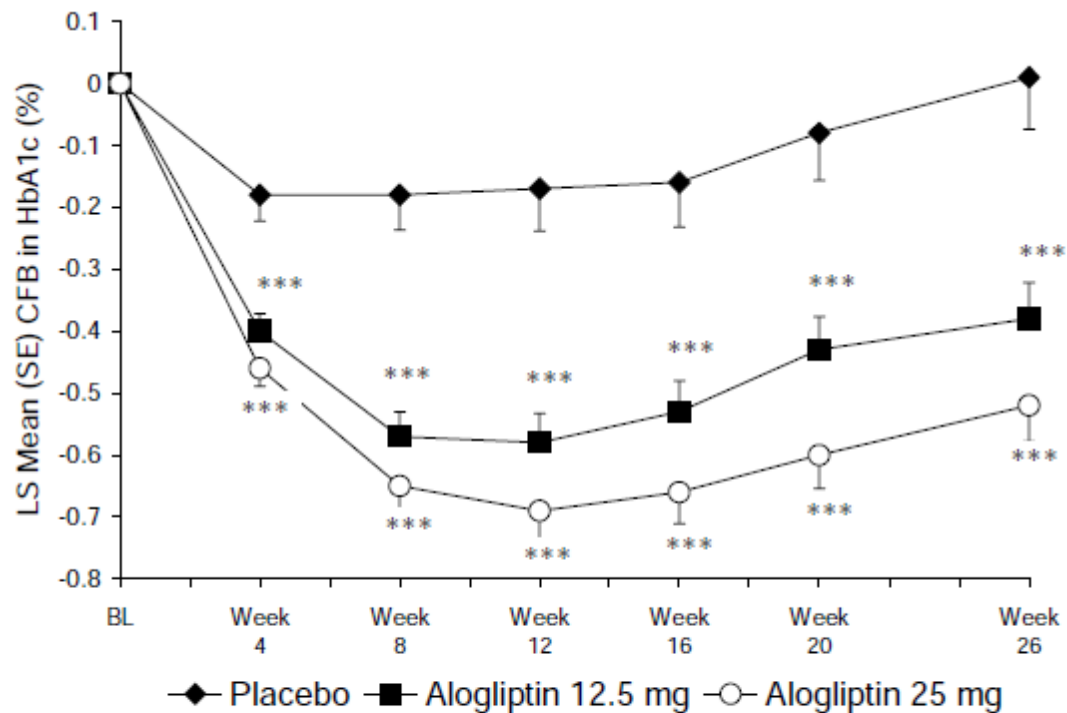
BL=baseline. CFB=change from baseline.

\*\*\*p<0.001 vs placebo.

In Study 010, which included subjects who were experiencing inadequate glycaemic control with diet and exercise alone, both doses of alogliptin (12.5 and 25 mg) resulted in reductions in HbA1c compared with placebo therapy. This effect was observed as early as Week 4 and continued throughout the 26-week treatment period. Although monotherapy treatment with alogliptin is not a proposed indication, this study supports the glucose-lowering effect of alogliptin in a population untreated with other antidiabetic medications.

Subjects received alogliptin or placebo as add-on therapy to SU (glyburide  $\geq 10$  mg or MTD) in Study 007. Compared with placebo, subjects in both the alogliptin 12.5 and 25 mg groups achieved statistically significant, placebo-corrected LS mean reductions in HbA1c at Week 26. This effect was observed as early as Week 4 and continued throughout the 26-week treatment period, with the greatest reductions consistently observed in the alogliptin 25 mg group (Figure 2).

**Figure 2 Study 007 (add-on to SU): Change from baseline in LS Mean of HbA1c (%) by Visit—Full Analysis Set**



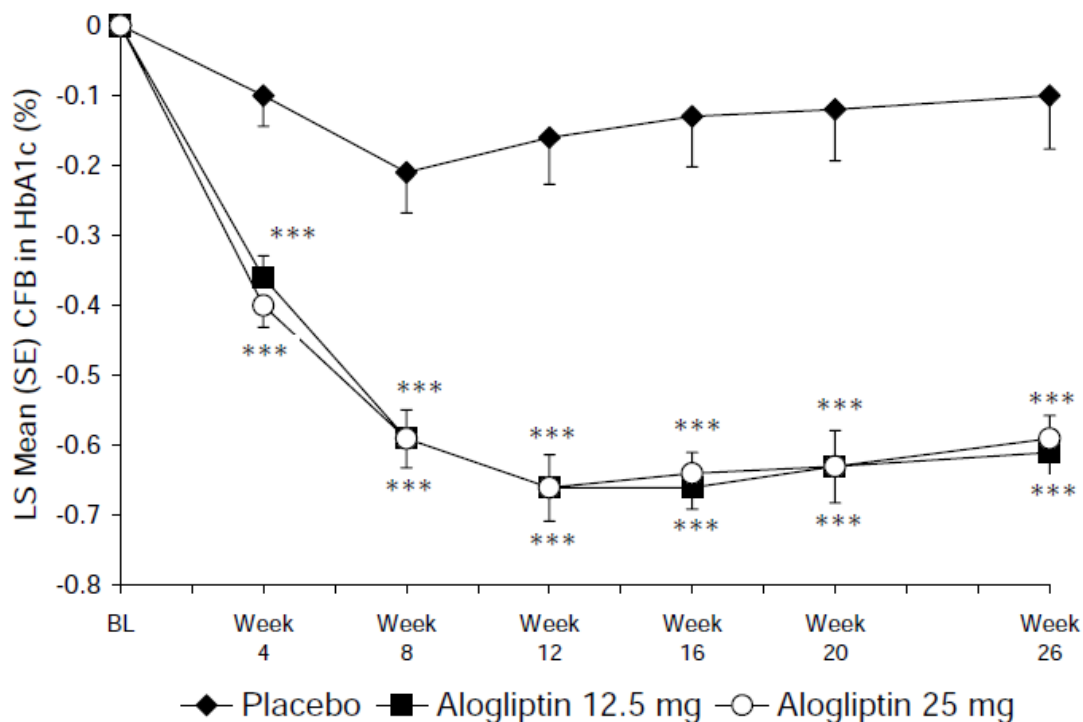
Source: Figure 15.2.1.12.

BL=Baseline; CFB=change from Baseline.

\*\*\*P<0.001

All treated subjects in Study 008 received MET at baseline (mean dose of 1846.7 mg). Compared with placebo, subjects in both the alogliptin 12.5 and 25 mg groups achieved statistically significant, placebo-corrected LS mean reductions in HbA1c at Week 26. As in Study 007, this effect was observed as early as Week 4 and continued throughout the 26-week treatment period. There was no differentiation in terms of HbA1c reduction between both alogliptin doses (Figure 3).

**Figure 3 Study 008 (add-on to MET): Change from baseline in LS Mean of HbA1c (%) by Visit—Full Analysis Set**



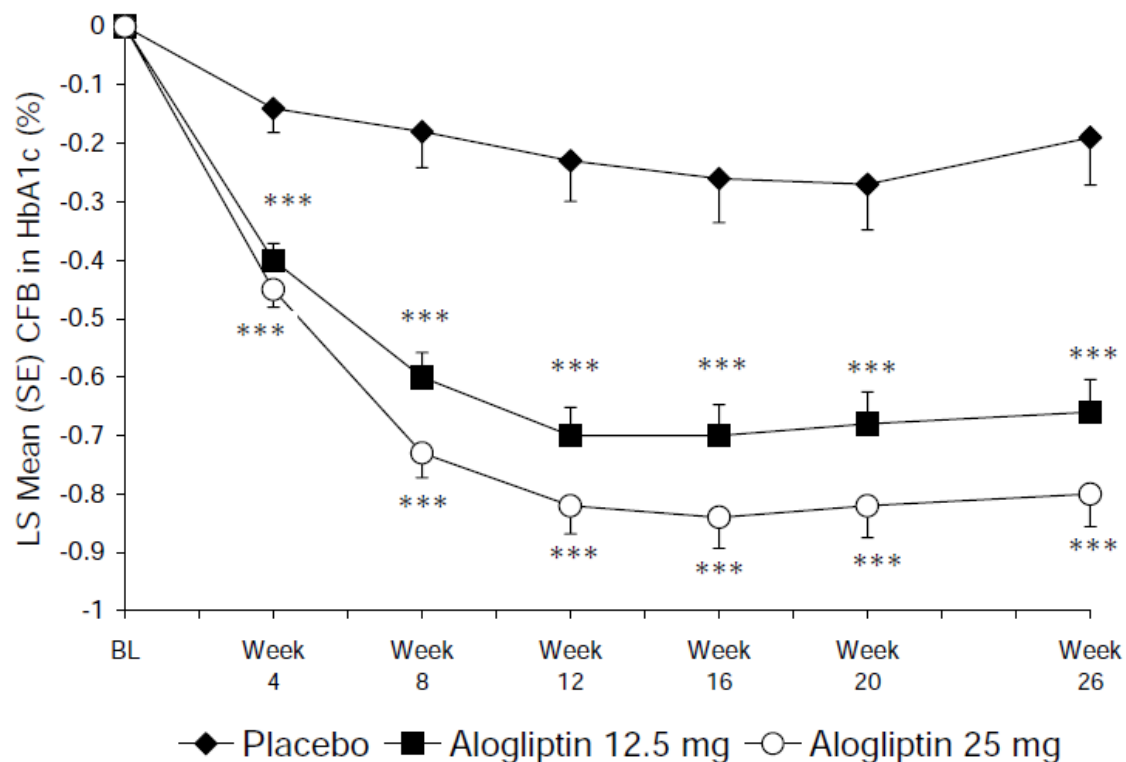
Source: Figure 15.2.1.13.

BL=Baseline

\*\*\*P<0.001

In Study 009, subjects received alogliptin or placebo as add-on therapy to TZD with or without MET or SU. Statistically significant LS mean differences from placebo were seen for both the alogliptin 12.5 mg and 25 mg groups (Figure 4). Reductions (compared with placebo) were seen regardless of pioglitazone dose or whether the subject was receiving pioglitazone with or without SU or MET. Of the 493 subjects randomized in the study, 112 (23%) received alogliptin (89 subjects) or placebo (23 subjects) as add-on therapy to pioglitazone alone. Although the number of subjects receiving add-on therapy to TZD alone is somewhat limited in this study, the overall response is clinically relevant. In the supportive initial combination Study 322OPI-002, the combination of alogliptin 25 mg+pioglitazone 30 mg showed a decrease in HbA1c of 1.71%. In Study 009, 277 subjects (56%) received alogliptin (221 subjects) or placebo (56 subjects) as add-on therapy to TZD plus MET. The positive clinical response of add-on therapy to TZD and MET is confirmed in Study 322OPI-004, as described below.

**Figure 4 Study 009 (add-on to TZD): Change from baseline in LS Mean of HbA1c (%) by Visit—Full Analysis Set**



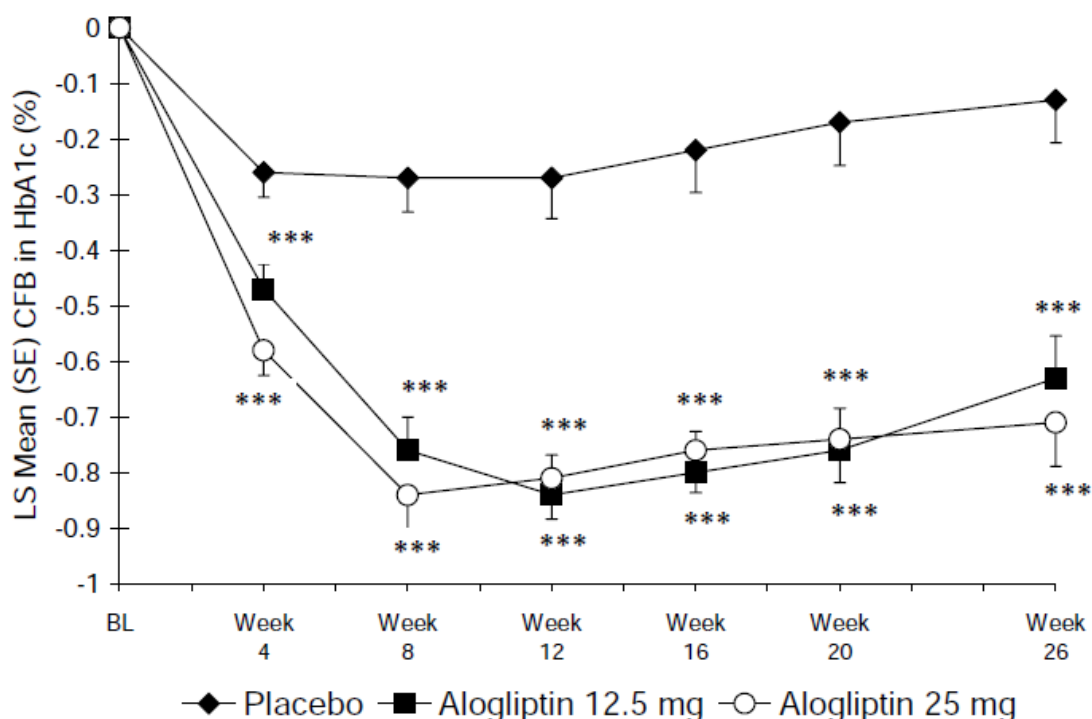
Source: [Figure 15.2.1.13](#).

BL=Baseline, CFB=change from Baseline.

\*\*\*P<0.001.

In Study 011, 390 subjects received alogliptin or placebo as add-on therapy to insulin with MET (228 subjects, 58%) or without MET (162 subjects, 42%). Over a 4-week Run-in Period, subjects were optimized (or stabilized) on insulin, but mean baseline HbA1c was higher in this study (9.27%-9.29% across treatment groups) compared with the other 4 studies. Mean baseline MET dose for subjects who received insulin with MET (58%) in this study was 1732.7 mg, a maximized dose. Mean insulin dose, which may have been adjusted for events of hypoglycaemia, was consistent throughout the study (approximately 56.5 and 56.7 IU at baseline and at Week 26, respectively). The observed reduction in HbA1c seen in the study overall was clinically and statistically significant (0.71% alogliptin 25 mg vs 0.13% placebo) (Figure 5). Because there was a higher baseline HbA1c in this study, a preplanned analysis with subcategories of baseline HbA1c ( $\leq 8.5\%$ ,  $\geq 8.5\%$ , and  $\geq 9.0\%$ , according to the broader inclusion criterion) confirmed that, irrespective of baseline HbA1c, subjects in the groups receiving alogliptin had clinically and statistically significant decreases from baseline in HbA1c levels at Week 26 (-0.62%, -0.72%, and -0.82% for alogliptin 25 mg, respectively) compared with subjects receiving placebo (0.06%, -0.22%, and -0.30%, respectively;  $p < 0.002$ ). In the subgroups of subjects taking insulin with or without MET, change from baseline HbA1c was clinically relevant (-0.77% and -0.66%, respectively, alogliptin 25 mg).

**Figure 5 Study 011 (add-on to insulin): Change from baseline in LS Mean of HbA1c (%) by Visit—Full Analysis Set**



Source: Figure 15.2.1.13.

BL=Baseline.

\*\*\*P<0.001.

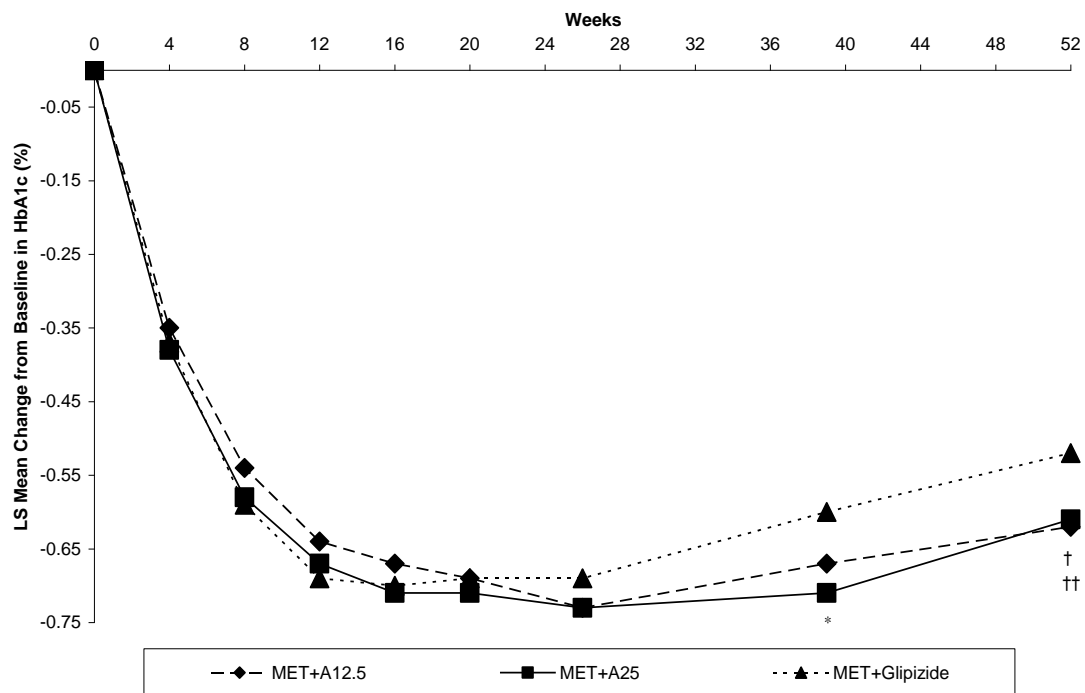
Reductions in HbA1c were seen regardless of sex, age, race, or baseline BMI. Subjects in the alogliptin 25 mg group achieved greater LS mean reductions in HbA1c than subjects in the alogliptin 12.5 mg group in 4 of the 5 studies. The difference in effect with alogliptin 25 mg group compared with the alogliptin 12.5 mg group is more apparent in subjects with higher baseline HbA1c levels.

#### *Main Phase III, Long-Term, Active-Comparator Studies*

In both long-term, active-controlled studies (305 and 322OPI-004), greater LS mean reductions from baseline in HbA1c were observed in the alogliptin groups than in the comparator groups at Weeks 26 and 52, and alogliptin efficacy was shown to be sustained for up to 52 weeks. In Study 305, statistical non-inferiority of MET+alogliptin 25 mg and MET+alogliptin 12.5 mg was demonstrated vs MET+glipizide (Figure 6). Mean final glipizide dose of 5.2 mg in the MET+glipizide group was lower than expected. The low mean glipizide dose may be a reflection of the relatively low baseline HbA1c (mean, 7.60%) and FPG (mean, 8.19 mmol/L). This resulted in a low incidence of hyperglycaemic rescue in all treatment groups (9.1% MET+alogliptin 25 mg vs 12.0% MET+glipizide) requiring conservative dose titration. Due to the low baseline HbA1c and the low glipizide dose in the comparator group, a formal claim of non-inferiority would not be acceptable. However, despite the low mean glipizide dose, the incidence of hypoglycaemic events was greater in the MET+glipizide group (23.8%) compared with the MET+alogliptin 12.5 mg and MET+alogliptin 25 mg groups (2.5% and 1.4%, respectively).



**Figure 6 LS Mean Changes From baseline in HbA1c (%) (LOCF, PPS)**



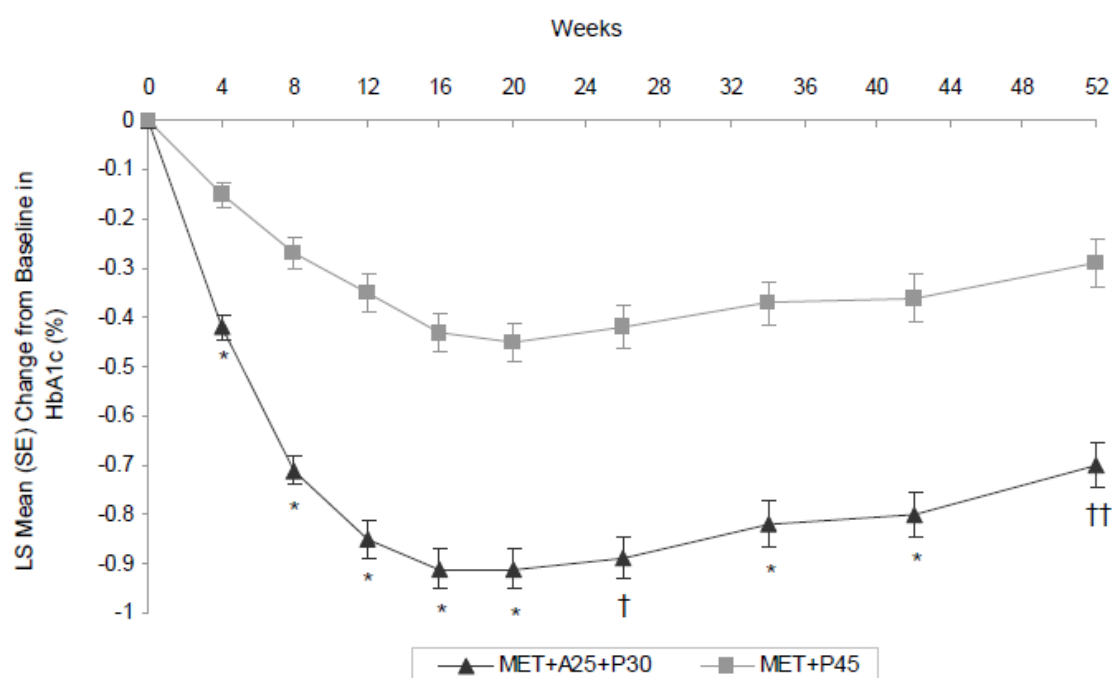
\* $p < 0.010$  vs MET+glipizide.

†LS mean difference (1-sided 98.75% CI) = -0.09 (-infinity, 0.004), indicating the average change from baseline in the MET+A25 group was non-inferior to that in the MET+glipizide group.

††LS mean difference (1-sided 98.75% CI) = -0.10 (-infinity, -0.002), indicating the average change from baseline in the MET+A12.5 group was non-inferior to that in the MET+glipizide group.

In Study 322OPI-004, non-inferiority and superiority of alogliptin 25 mg was demonstrated vs titration of pioglitazone from 30 to 45 mg in subjects on a background treatment of MET and pioglitazone 30 mg (Figure 7).

**Figure 7 LS Mean (SE) Changes from baseline in HbA1c (%) (LOCF, PPS) Secondary Efficacy Results**



Source: Table 15.2.1.1.1a and Figure 15.2.1.12.

\*P<0.001 versus MET+P45.

†LS mean difference (1-sided 97.5% CI) = -0.47 (-infinity, -0.35), indicating the average change from Baseline in the MET+A25+P30 group was non-inferior to that in the MET+P45 group.

††LS mean difference (1-sided 97.5% CI) = -0.42 (-infinity, -0.28), indicating the average change from Baseline in the MET+A25+P30 group was non-inferior and superior to that in the MET+P45 group.

### Secondary outcome parameters:

Secondary endpoints include changes in other measures of glycaemic control, including clinical response, FPG, the incidence of marked hyperglycaemias, and the incidence of hyperglycaemic rescue. In addition, changes in pancreatic function variables, body weight and lipid parameters were examined.

### Clinical Response

Clinical response was evaluated by assessing the percentage of subjects who achieved HbA1c levels of  $\leq 7.0\%$  at Week 26, following treatment in the respective study. In all 5 Phase III placebo-controlled studies, a higher percentage of subjects in both alogliptin groups achieved these clinical response endpoints at Week 26 than in the placebo group (Table 6). Except in isolated incidences, differences from the placebo group were statistically significant across studies. With the exception of Study 011, which had a higher baseline HbA1c, similar percentages of subjects in the alogliptin 12.5 and 25 mg groups achieved HbA1c levels of  $\leq 7.0\%$  at Week 26.

**Table 6 Percentage of Subjects Who Achieved a Clinical Response of HbA1c  $\leq 7.0\%$  (LOCF, FAS) (010, 007, 008, 009 and 011)**

Study	Placebo	A12.5	A25
Study 010 (monotherapy)	23.4%	47.4% **	44.3% **
Study 007 (add-on to SU)	18.2%	29.6%	34.8% **
Study 008 (add-on to MET)	18.3%	51.6% ***	44.4% ***
Study 009 (add-on to a TZD)	34.0%	44.2% *	49.2% **
Study 011 (add-on to insulin)	0.8%	8.4% *	7.8%

\*p<0.05, \*\*p<0.01, \*\*\*p<0.001 compared with placebo.

Overall, higher percentages of subjects in the alogliptin groups achieved the  $\leq 7.0\%$  clinical response endpoint at Week 52 than in the comparator groups in both Studies 305 and 322OPI-004. In Study 305, significantly higher percentages of subjects in the MET+alogliptin 25 mg group (55.3%) achieved the HbA1c clinical response endpoint at Week 52 compared with the MET+glipizide group (47.4%;  $p < 0.001$ ).

In Study 322OPI-004, significantly higher percentages of subjects in the MET+A25+P30 group (33.2%) achieved the HbA1c clinical response endpoint at Week 52 compared with the MET+P45 group (21.3%;  $p < 0.001$ ).

#### Change from baseline in FPG

Across the 5 main placebo-controlled studies, LS mean decreases in FPG observed in alogliptin-treated subjects were statistically significant compared with the placebo group for the alogliptin 25 mg group in all studies except Study 007 (add-on to SU) and for the alogliptin 12.5 mg group for all studies except Studies 007 and Study 011 (add-on to insulin) (Table 7). Additionally, in 4 of the 5 studies, subjects in the alogliptin 25 mg group achieved greater LS mean reductions in FPG than subjects in the alogliptin 12.5 mg group.

**Table 7 Change From baseline in FPG (mmol/L) (LOCF, FAS) (010, 007, 008, 009 and 011)**

	Placebo	A12.5	A25
<b>Study 010 (monotherapy)</b>	<b>N=64</b>	<b>N=133</b>	<b>N=131</b>
seline FPG (mmol/L)	9.62	9.63	9.55
Δ Mean Change at Week 26	0.63	-0.57***	-0.91***
<b>Study 007 (add-on to SU)</b>	<b>N=99</b>	<b>N=203</b>	<b>N=198</b>
seline FPG (mmol/L)	9.84	9.54	9.65
Δ Mean Change at Week 26	0.12	-0.26	-0.46
<b>Study 008 (add-on to MET)</b>	<b>N=104</b>	<b>N=213</b>	<b>N=207</b>
seline FPG (mmol/L)	9.97	9.34	9.54
Δ Mean Change at Week 26	0.00	-1.04***	-0.96***
<b>Study 009 (add-on to TZD)</b>	<b>N=97</b>	<b>N=197</b>	<b>N=199</b>
seline FPG (mmol/L)	9.53	9.63	9.41
Δ Mean Change at Week 26	-0.32	-1.09**	-1.10**
<b>Study 011 (add-on to Insulin)</b>	<b>N=129</b>	<b>N=131</b>	<b>N=129</b>
seline FPG (mmol/L)	10.88	10.54	10.34
Δ Mean Change at Week 26	0.32	0.13	-0.65*

\* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$  compared with placebo.

Note: Results from ANCOVA models with effects for treatment, geographic region, and baseline FPG. Additional factors and covariates are included as specified in the individual study tables.

In both long-term, active-comparator studies (305 and 322OPI-004), greater LS mean reductions from baseline in FPG were observed in the alogliptin groups than in the comparator groups at Weeks 26 and 52.

In Study 305, the LS mean changes from baseline in FPG at Week 52 were -0.40, -0.28, and 0.05 mmol/L for the MET+alogliptin 25 mg, MET+alogliptin 12.5 mg, and MET+glipizide groups, respectively ( $p < 0.001$ ). In general, greater reductions were observed in the MET+alogliptin 25 mg group than in the MET+alogliptin 12.5 mg group. Additionally, LS mean decreases from baseline in FPG were apparent from the first assessment (Week 2) and continued throughout the majority of time points during the study.

In Study 322OPI-004, the LS mean changes from baseline at Week 52 were -0.81 and -0.21 mmol/L in the MET+A25+P30 and MET+P45 groups, respectively ( $p < 0.001$ ). Additionally, LS mean decreases from baseline in FPG were statistically significant for the MET+A25+P30 group at all time points through Week 52 compared with the MET+P45 group ( $p < 0.01$ ).

### Body weight and serum lipids

In the 5 main Phase III placebo-controlled studies, differential effects of alogliptin on weight were observed. In Study 007 (combinations with SU), a statistically significant LS mean increase in weight was noted in both alogliptin groups compared with placebo (-0.20, 0.60, and 0.68 kg for the placebo, 12.5 mg alogliptin, and 25 mg alogliptin groups, respectively). In Study 305, statistically significant ( $p < 0.001$ ) LS mean decreases in body weight were observed at Week 52 in the MET+alogliptin 12.5 mg and MET+alogliptin 25 mg groups (-0.64 and -0.91 kg, respectively) compared with an increase in weight in the MET+glipizide group (0.89 kg). However, in Study 322OPI-004, mean changes in body weight were consistent with the concomitant medication (ie, pioglitazone) administered. At Week 52, LS mean increases in body weight were observed in both treatment groups (1.10 kg and 1.60 kg in the MET+A25+P30 and MET+P45 groups, respectively). These increases were not considered clinically meaningful and there was no statistically significant difference between treatment groups.

Overall, changes from baseline in lipid parameters were similar in the alogliptin and placebo groups suggesting that treatment with alogliptin has a neutral effect on lipid parameters, regardless of administration as a monotherapy or as an add-on to established concomitant antidiabetic medications.

Tabular summaries of efficacy for main clinical trials are shown in Table 8, Table 9, Table 10, Table 11, Table 12, Table 13, Table 14.

### **Summary of main studies**

The following tables summarise the efficacy results from the main studies supporting the present application. These summaries should be read in conjunction with the discussion on clinical efficacy as well as the benefit risk assessment (see later sections).

Tabular summaries of efficacy for main clinical trials are shown in Table 8, Table 9, Table 10, Table 11, Table 12, Table 13, Table 14.

**Table 8. Summary of Efficacy for Study 010**

Title: A Multicenter, Randomized, Double-Blind, Placebo-Controlled Study to Determine the Efficacy and Safety of SYR110322 (SYR-322) Compared with Placebo in Subjects with Type 2 Diabetes				
Study identifier	SYR-322-PLC-010 (also referred to as Study 010)			
Design	Phase III, randomized, double-blind, placebo-controlled, parallel-group			
	Duration of Main phase:		26 weeks	
	Duration of Run-in phase:		4 weeks (single-blind placebo)	
	Duration of Extension phase:		4 years via Study SYR-322-OLE-012 (eligible subjects only)	
Hypothesis	Superiority analysis of alogliptin treatment compared with placebo as measured by glycosylated hemoglobin (HbA1c) change from baseline (Day 1) to Week 26			
Treatment groups	Placebo		26-week treatment with placebo once daily (QD), 65 subjects randomized	
	Alogliptin 12.5 mg (A12.5)		26-week treatment with A12.5 QD, 133 subjects randomized	
	Alogliptin 25 mg (A25)		26-week treatment with A25 QD, 131 subjects randomized	
Endpoints and definitions	Primary endpoint	Confirmatory	HbA1c change from baseline to Week 26	
	Key secondary endpoint	Exploratory	Fasting plasma glucose (FPG) change from baseline to Week 26	
	Other endpoint	Exploratory	Body weight change from baseline to Week 26	
Database lock	26 July 2007			
Results and Analysis				
Analysis description	Primary Endpoint Analysis: An analysis of covariance (ANCOVA) model using last observation carried forward (LOCF) values was performed, with study treatment and geographic region as class variables and duration of T2DM and baseline HbA1c as continuous covariates. The A25 dose was compared with placebo at the 2-sided 0.05 significance level using a contrast derived from the primary model. If this test result was statistically significant, the A12.5 dose was evaluated in a similar fashion.			
Analysis population and time point description	Full analysis set (FAS), which was defined as all randomized subjects who received at least 1 dose of double-blind study drug, had a baseline value, and had at least one post baseline value.			
Descriptive statistics and estimate variability	Treatment group	Placebo	A12.5	A25
	Number of subjects	63	131	128
	LS mean change	-0.02	-0.56	-0.59
	SE	0.094	0.065	0.066
Effect estimate per comparison	Primary endpoint: HbA1c (%)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	-0.54	-0.57
		95% CI	-0.76, -0.31	-0.80, -0.35
		p-value	<0.001	<0.001
Notes	None.			

### Summary of Efficacy for Study 010 (continued)

<b>Title:</b> A Multicenter, Randomized, Double-Blind, Placebo-Controlled Study to Determine the Efficacy and Safety of SYR110322 (SYR-322) Compared with Placebo in Subjects with Type 2 Diabetes				
<b>Study identifier</b>	SYR-322-PLC-010 (also referred to as Study 010)			
<b>Analysis description</b>	<b>Key Secondary Endpoint Analysis:</b> Same as primary model except with baseline FPG value in place of HbA1c as covariate.			
<b>Analysis population and time point description</b>	FAS			
<b>Descriptive statistics and estimate variability</b>	Treatment group	Placebo	A12.5	A25
	Number of subjects	64	132	129
	LS mean change	0.628	-0.571	-0.913
	SE	0.2910	0.2010	0.2038
<b>Effect estimate per comparison</b>	Secondary endpoint: FPG (mmol/L)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	-1.199	-1.541
		95% CI	-1.896, -0.503	-2.243, -0.839
		p-value	<0.001	<0.001
<b>Notes</b>	None.			
<b>Analysis description</b>	<b>Other Endpoint Analysis:</b> Same as primary model except with baseline body weight value in place of HbA1c as covariate.			
<b>Analysis population and time point description</b>	FAS			
<b>Descriptive statistics and estimate variability</b>	Treatment group	Placebo	A12.5	A25
	Number of subjects	63	126	125
	LS mean change	0.18	-0.09	-0.22
	SE	0.368	0.258	0.259
<b>Effect estimate per comparison</b>	Other endpoint: body weight (kg)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	-0.28	-0.40
		95% CI	-1.16, 0.61	-1.29, 0.49
		p-value	0.539	0.379
<b>Notes</b>	None.			

**Table 9. Summary of Efficacy for Study 007**

<b>Title:</b> A Multicenter, Randomized, Double-Blind, Placebo-Controlled Study to Determine the Efficacy and Safety of SYR110322 (SYR-322) When Used in Combination with a Sulfonylurea in Subjects with Type 2 Diabetes				
<b>Study identifier</b>	SYR-322-SULF-007 (also referred to as Study 007)			
<b>Design</b>	Phase III, randomized, double-blind, placebo-controlled, parallel-group			
	Duration of Main phase:	26 weeks		
	Duration of Run-in phase:	4 weeks (single-blind placebo and open-label glyburide 10 mg or maximum tolerated dose [MTD])		
	Duration of Extension phase:	4 years via Study SYR-322-OLE-012 (eligible subjects only)		
<b>Hypothesis</b>	Superiority analysis of alogliptin combination treatment with a sulfonylurea compared with a sulfonylurea alone as measured by HbA1c change from baseline to Week 26			
<b>Treatment groups</b>	Placebo	26-week treatment with placebo QD as add-on to glyburide 10 mg or MTD, 99 subjects randomized		
	Alogliptin 12.5 mg (A12.5)	26-week treatment with A12.5 QD as add-on to glyburide 10 mg or MTD, 203 subjects randomized		
	Alogliptin 25 mg (A25)	26-week treatment with A25 QD as add-on to glyburide 10 mg or MTD, 198 subjects randomized		
<b>Endpoints and definitions</b>	Primary endpoint	Confirmatory	HbA1c change from baseline to Week 26	
	Key secondary endpoint	Exploratory	FPG change from baseline to Week 26	
	Other endpoint	Exploratory	Body weight change from baseline to Week 26	
<b>Database lock</b>	11 July 2007			
<b>Results and Analysis</b>				
<b>Analysis description</b>	<b>Primary Endpoint Analysis:</b> An ANCOVA model using LOCF values was performed, with study treatment and geographic region as class variables and baseline glyburide dose and baseline HbA1c as continuous covariates. The A25 dose was compared with placebo at the 2-sided 0.05 significance level using a contrast derived from the primary model. If this test result was statistically significant, the A12.5 dose was evaluated in a similar fashion.			
<b>Analysis population and time point description</b>	FAS, which was defined as all randomized subjects who received at least 1 dose of double-blind study drug, had a baseline value, and had at least one post baseline value.			
<b>Descriptive statistics and estimate variability</b>	Treatment group	Placebo	A12.5	A25
	Number of subjects	97	201	197
	LS mean change	0.01	-0.38	-0.52
	SE	0.084	0.058	0.058
<b>Effect estimate per comparison</b>	Primary endpoint: HbA1c (%)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	-0.39	-0.53
		95% CI	-0.59, -0.19	-0.73, -0.33
		p-value	<0.001	<0.001
<b>Notes</b>	None.			



### Summary of Efficacy for Study 007 (continued)

<b>Title:</b> A Multicenter, Randomized, Double-Blind, Placebo-Controlled Study to Determine the Efficacy and Safety of SYR110322 (SYR-322) When Used in Combination with a Sulfonyleurea in Subjects with Type 2 Diabetes				
<b>Study identifier</b>	SYR-322-SULF-007 (also referred to as Study 007)			
<b>Analysis description</b>	<b>Key Secondary Endpoint Analysis:</b> Same as primary model except with baseline FPG value in place of HbA1c as covariate.			
<b>Analysis population and time point description</b>	FAS			
<b>Descriptive statistics and estimate variability</b>	Treatment group	Placebo	A12.5	A25
	Number of subjects	99	201	198
	LS mean change	0.121	-0.259	-0.464
	SE	0.2649	0.1850	0.1865
<b>Effect estimate per comparison</b>	Secondary endpoint: FPG (mmol/L)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	-0.380	-0.585
		95% CI	-1.016, 0.256	-1.223, 0.053
		p-value	0.241	0.072
<b>Notes</b>	None.			
<b>Analysis description</b>	<b>Other Endpoint Analysis:</b> Same as primary model except with baseline body weight value in place of HbA1c as covariate.			
<b>Analysis population and time point description</b>	FAS			
<b>Descriptive statistics and estimate variability</b>	Treatment group	Placebo	A12.5	A25
	Number of subjects	96	197	195
	LS mean change	-0.20	0.60	0.68
	SE	0.277	0.193	0.194
<b>Effect estimate per comparison</b>	Other endpoint: body weight (kg)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	0.80	0.88
		95% CI	0.14, 1.46	0.21, 1.54
		p-value	0.018	0.010
<b>Notes</b>	None.			

**Table 10. Summary of Efficacy for Study 008**

<b>Title:</b> A Multicenter, Randomized, Double-Blind, Placebo-Controlled Study to Determine the Efficacy and Safety of SYR110322 (SYR-322) When Used in Combination with Metformin in Subjects with Type 2 Diabetes				
<b>Study identifier</b>	SYR-322-MET-008 (also referred to as Study 008)			
<b>Design</b>	Phase III, randomized, double-blind, placebo-controlled, parallel-group			
	Duration of Main phase:	26 weeks		
	Duration of Run-in phase:	4 weeks (single-blind placebo and open-label metformin 1500 mg or MTD)		
	Duration of Extension phase:	4 years via Study SYR-322-OLE-012 (eligible subjects only)		
<b>Hypothesis</b>	Superiority analysis of alogliptin combination treatment with metformin compared with metformin alone as measured by HbA1c change from baseline to Week 26			
<b>Treatment groups</b>	Placebo	26-week treatment with placebo QD as add-on to metformin 1500 mg or MTD, 104 subjects randomized		
	Alogliptin 12.5 mg (A12.5)	26-week treatment with A12.5 QD as add-on to metformin 1500 mg or MTD, 213 subjects randomized		
	Alogliptin 25 mg (A25)	26-week treatment with A25 QD as add-on to metformin 1500 mg or MTD, 210 subjects randomized		
<b>Endpoints and definitions</b>	Primary endpoint	Confirmatory	HbA1c change from baseline to Week 26	
	Key secondary endpoint	Exploratory	FPG change from baseline to Week 26	
	Other endpoint	Exploratory	Body weight change from baseline to Week 26	
<b>Database lock</b>	05 July 2007			
<b>Results and Analysis</b>				
<b>Analysis description</b>	<b>Primary Endpoint Analysis:</b> An ANCOVA model using LOCF values was performed, with study treatment and geographic region as class variables and baseline metformin dose and baseline HbA1c as continuous covariates. The A25 dose was compared with placebo at the 2-sided 0.05 significance level using a contrast derived from the primary model. If this test result was statistically significant, the A12.5 dose was evaluated in a similar fashion.			
<b>Analysis population and time point description</b>	FAS, which was defined as all randomized subjects who received at least 1 dose of double-blind study drug, had a baseline value, and had at least one post baseline value.			
<b>Descriptive statistics and estimate variability</b>	Treatment group	Placebo	A12.5	A25
	Number of subjects	103	210	203
	LS mean change	-0.10	-0.61	-0.59
	SE	0.076	0.053	0.054
<b>Effect estimate per comparison</b>	Primary endpoint: HbA1c (%)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	-0.50	-0.48
		95% CI	-0.68, -0.32	-0.67, -0.30
		p-value	<0.001	<0.001
<b>Notes</b>	None.			

### Summary of Efficacy for Study 008 (continued)

<b>Title:</b> A Multicenter, Randomized, Double-Blind, Placebo-Controlled Study to Determine the Efficacy and Safety of SYR110322 (SYR-322) When Used in Combination with Metformin in Subjects with Type 2 Diabetes				
<b>Study identifier</b>	SYR-322-MET-008 (also referred to as Study 008)			
<b>Analysis description</b>	<b>Key Secondary Endpoint Analysis:</b> Same as primary model except with baseline FPG value in place of HbA1c as covariate.			
<b>Analysis population and time point description</b>	FAS			
<b>Descriptive statistics and estimate variability</b>	Treatment group	Placebo	A12.5	A25
	Number of subjects	104	211	204
	LS mean change	0.001	-1.039	-0.963
	SE	0.1971	0.1382	0.1403
<b>Effect estimate per comparison</b>	Secondary endpoint: FPG (mmol/L)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	-1.040	-0.964
		95% CI	-1.514, -0.567	-1.439, -0.488
		p-value	<0.001	<0.001
<b>Notes</b>	None.			
<b>Analysis description</b>	<b>Other Endpoint Analysis:</b> Same as primary model except with baseline body weight value in place of HbA1c as covariate.			
<b>Analysis population and time point description</b>	FAS			
<b>Descriptive statistics and estimate variability</b>	Treatment group	Placebo	A12.5	A25
	Number of subjects	103	206	198
	LS mean change	-0.39	-0.39	-0.67
	SE	0.274	0.194	0.198
<b>Effect estimate per comparison</b>	Other endpoint: body weight (kg)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	0.00	-0.28
		95% CI	-0.66, 0.66	-0.94, 0.38
		p-value	0.996	0.407
<b>Notes</b>	None.			

**Table 11. Summary of Efficacy for Study 009**

Title: A Multicenter, Randomized, Double-Blind, Placebo-Controlled Study to Determine the Efficacy and Safety of SYR110322 (SYR-322) When Used in Combination with Pioglitazone in Subjects with Type 2 Diabetes				
Study identifier	SYR-322-TZD-009 (also referred to as Study 009)			
Design	Phase III, randomized, double-blind, placebo-controlled, parallel-group			
	Duration of Main phase:	26 weeks		
	Duration of Run-in phase:	4 weeks (single-blind placebo and open-label pioglitazone 30 mg or MTD [converted from comparable rosiglitazone dose, as applicable])		
	Duration of Extension phase:	4 years via Study SYR-322-OLE-012 (eligible subjects only)		
Hypothesis	Superiority analysis of alogliptin combination treatment with pioglitazone (with or without metformin or a sulfonylurea) compared with pioglitazone alone (with or without metformin or a sulfonylurea) as measured by HbA1c change from baseline to Week 26			
Treatment groups	Placebo	26-week treatment with placebo QD as add-on to pioglitazone 30 mg or MTD (with or without metformin or a sulfonylurea), 97 subjects randomized		
	Alogliptin 12.5 mg (A12.5)	26-week treatment with A12.5 QD as add-on to pioglitazone 30 mg or MTD (with or without metformin or a sulfonylurea), 197 subjects randomized		
	Alogliptin 25 mg (A25)	26-week treatment with A25 QD as add-on to pioglitazone 30 mg or MTD (with or without metformin or a sulfonylurea), 199 subjects randomized		
Endpoints and definitions	Primary endpoint	Confirmatory	HbA1c change from baseline to Week 26	
	Key secondary endpoint	Exploratory	FPG change from baseline to Week 26	
	Other endpoint	Exploratory	Body weight change from baseline to Week 26	
Database lock	17 August 2007			
Results and Analysis				
Analysis description	Primary Endpoint Analysis: An ANCOVA model using LOCF values was performed, with study treatment, geographic region, and baseline treatment regimen as class variables and baseline pioglitazone dose and baseline HbA1c as continuous covariates. The A25 dose was compared with placebo at the 2-sided 0.05 significance level using a contrast derived from the primary model. If this test result was statistically significant, the A12.5 dose was evaluated in a similar fashion.			
Analysis population and time point description	FAS, which was defined as all randomized subjects who received at least 1 dose of double-blind study drug, had a baseline value, and had at least one post baseline value.			
Descriptive statistics and estimate variability	Treatment group	Placebo	A12.5	A25
	Number of subjects	95	196	195
	LS mean change	-0.19	-0.66	-0.80
	SE	0.081	0.056	0.056
Effect estimate per comparison	Primary endpoint: HbA1c (%)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	-0.47	-0.61
		95% CI	-0.67, -0.28	-0.80, -0.41
		p-value	<0.001	<0.001
Notes	None.			

### Summary of Efficacy for Study 009 (continued)

<b>Title:</b> A Multicenter, Randomized, Double-Blind, Placebo-Controlled Study to Determine the Efficacy and Safety of SYR110322 (SYR-322) When Used in Combination with Pioglitazone in Subjects with Type 2 Diabetes				
<b>Study identifier</b>	SYR-322-TZD-009 (also referred to as Study 009)			
<b>Analysis description</b>	<b>Key Secondary Endpoint Analysis:</b> Same as primary model except with baseline FPG value in place of HbA1c as covariate.			
<b>Analysis population and time point description</b>	FAS			
<b>Descriptive statistics and estimate variability</b>	Treatment group	Placebo	A12.5	A25
	Number of subjects	97	196	197
	LS mean change	-0.318	-1.092	-1.103
	SE	0.2117	0.1490	0.1484
<b>Effect estimate per comparison</b>	Secondary endpoint: FPG (mmol/L)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	-0.775	-0.785
		95% CI	-1.285, -0.265	-1.293, -0.277
		p-value	0.003	0.003
<b>Notes</b>	None.			
<b>Analysis description</b>	<b>Other Endpoint Analysis:</b> Same as primary model except with baseline body weight value in place of HbA1c as covariate.			
<b>Analysis population and time point description</b>	FAS			
<b>Descriptive statistics and estimate variability</b>	Treatment group	Placebo	A12.5	A25
	Number of subjects	94	193	189
	LS mean change	1.04	1.46	1.09
	SE	0.329	0.230	0.232
<b>Effect estimate per comparison</b>	Other endpoint: body weight (kg)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	0.42	0.05
		95% CI	-0.37, 1.22	-0.74, 0.84
		p-value	0.294	0.900
<b>Notes</b>	None.			

**Table 12. Summary of Efficacy for Study 011**

Table 22: Summary of Primary Endpoints for Study 011				
Title: A Multicenter, Randomized, Double-Blind, Placebo-Controlled Study to Determine the Efficacy and Safety of SYR110322 (SYR-322) When Used in Combination with Insulin in Subjects with Type 2 Diabetes				
Study identifier	SYR-322-INS-011 (also referred to as Study 011)			
Design	Phase III, randomized, double-blind, placebo-controlled, parallel-group			
	Duration of Main phase:	26 weeks		
	Duration of Run-in phase:	4 weeks (single-blind placebo with subject’s usual insulin with or without metformin)		
	Duration of Extension phase:	4 years via Study SYR-322-OLE-012 (eligible subjects only)		
Hypothesis	Superiority analysis of alogliptin combination treatment with insulin (with or without metformin) compared with insulin alone (with or without metformin) as measured by HbA1c change from baseline to Week 26			
Treatment groups	Placebo	26-week treatment with placebo QD as add-on to insulin (with or without metformin), 130 subjects randomized		
	Alogliptin 12.5 mg (A12.5)	26-week treatment with placebo QD as add-on to insulin (with or without metformin), 131 subjects randomized		
	Alogliptin 25 mg (A25)	26-week treatment with placebo QD as add-on to insulin (with or without metformin), 129 subjects randomized		
Endpoints and definitions	Primary endpoint	Confirmatory	HbA1c change from baseline to Week 26	
	Key secondary endpoint	Exploratory	FPG change from baseline to Week 26	
	Other endpoint	Exploratory	Body weight change from baseline to Week 26	
Database lock	21 June 2007			
Results and Analysis				
Analysis description	Primary Endpoint Analysis: An ANCOVA model using LOCF values was performed, with study treatment, geographic region, and baseline treatment regimen as class variables and baseline daily insulin dose and baseline HbA1c as continuous covariates. The A25 dose was compared with placebo at the 2-sided 0.05 significance level using a contrast derived from the primary model. If this test result was statistically significant, the A12.5 dose was evaluated in a similar fashion.			
Analysis population and time point description	FAS, which was defined as all randomized subjects who received at least 1 dose of double-blind study drug, had a baseline value, and had at least one post baseline value.			
Descriptive statistics and estimate variability	Treatment group	Placebo	A12.5	A25
	Number of subjects	126	130	126
	LS mean change	-0.13	-0.63	-0.71
	SE	0.077	0.076	0.078
Effect estimate per comparison	Primary endpoint: HbA1c (%)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	-0.51	-0.59
		95% CI	-0.72, -0.30	-0.80, -0.37
		p-value	<0.001	<0.001
Notes	None.			

### Summary of Efficacy for Study 011 (continued)

<b>Title:</b> A Multicenter, Randomized, Double-Blind, Placebo-Controlled Study to Determine the Efficacy and Safety of SYR110322 (SYR-322) When Used in Combination with Insulin in Subjects with Type 2 Diabetes				
<b>Study identifier</b>	SYR-322-INS-011 (also referred to as Study 011)			
<b>Analysis description</b>	<b>Key Secondary Endpoint Analysis:</b> Same as primary model except with baseline FPG value in place of HbA1c as covariate.			
<b>Analysis population and time point description</b>	FAS			
<b>Descriptive statistics and estimate variability</b>	Treatment group	Placebo	A12.5	A25
	Number of subjects	127	131	128
	LS mean change	0.324	0.130	-0.651
	SE	0.3156	0.3103	0.3156
<b>Effect estimate per comparison</b>	Secondary endpoint: FPG (mmol/L)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	-0.194	-0.975
		95% CI	-1.064, 0.677	-1.854, -0.096
		p-value	0.662	0.030
<b>Notes</b>	None.			
<b>Analysis description</b>	<b>Other Endpoint Analysis:</b> Same as primary model except with baseline body weight value in place of HbA1c as covariate.			
<b>Analysis population and time point description</b>	FAS			
<b>Descriptive statistics and estimate variability</b>	Treatment group	Placebo	A12.5	A25
	Number of subjects	121	127	124
	LS mean change	0.63	0.68	0.60
	SE	0.244	0.237	0.241
<b>Effect estimate per comparison</b>	Other endpoint: body weight (kg)	Comparison group	A12.5 vs Placebo	A25 vs Placebo
		LS mean difference	0.05	-0.02
		95% CI	-0.62, 0.72	-0.70, 0.65
		p-value	0.874	0.948
<b>Notes</b>	None.			



**Table 13. Summary of Efficacy for Study 305 (ongoing study)**

Title: A Multicenter, Randomized, Double-Blind, Active-Controlled Study to Evaluate the Durability of the Efficacy and Safety of Alogliptin Compared to Glipizide When Used in Combination with Metformin in Subjects with T2DM				
Study identifier	SYR-322_305 (also referred to as Study 305)			
Design	Phase III, randomized, double-blind, active-controlled, parallel-group			
	Duration of Main phase:	2 years		
	Duration of Run-in phase:	4 weeks (single-blind placebo and open-label metformin 1500 mg or MTD)		
	Duration of Extension phase:	Not applicable		
Hypothesis	Noninferiority analysis of alogliptin combination treatment with metformin compared with glipizide combination treatment with metformin as measured by HbA1c change from baseline to Week 52 (at interim cut) and Week 104 (at study conclusion)			
Treatment groups	Alogliptin 12.5 mg (A12.5)	2-year treatment with A12.5 QD as add-on to metformin 1500 mg or MTD, 880 subjects randomized		
	Alogliptin 25 mg (A25)	2-year treatment with A25 QD as add-on to metformin 1500 mg or MTD, 885 subjects randomized		
	Glipizide 5-20 mg*	2-year treatment with glipizide 5-20 mg* QD as add-on to metformin 1500 mg or MTD, 873 subjects randomized		
	*titrated between Weeks 3 and 20, at 5-mg increments in 4-week intervals, for subjects with persistent hyperglycemia			
Endpoints and definitions	Primary endpoint	Noninferiority	HbA1c change from baseline to Weeks 52 and 104	
	Key secondary endpoint	Exploratory	FPG change from baseline to Week 52	
	Other endpoint	Exploratory	Body weight change from baseline to Week 52	
Database lock	10 November 2011 (52-week interim data cut date)			
Results and Analysis				
Analysis description	Primary Endpoint Analysis: An ANCOVA model using LOCF values was performed, with study treatment, geographic region, and study schedule (see notes below) as class variables and baseline metformin dose and baseline HbA1c as continuous covariates. The A25 dose was compared with placebo at the 1-sided 0.0125 significance level using a noninferiority margin of 0.3%. If this test result was statistically significant, the A12.5 dose was evaluated in a similar fashion.			
Analysis population and time point description	Per protocol set, which was defined as all FAS subjects (ie, those randomized who received at least 1 dose of double-blind study drug, had a baseline value, and had at least one post baseline value) who had no major protocol violations.			
Descriptive statistics and estimate variability	Treatment group	A12.5	A25	Glipizide
	Number of subjects	542	537	509
	LS mean change	-0.62	-0.61	-0.52
	SE	0.029	0.030	0.030
Effect estimate per comparison	Primary endpoint: HbA1c (%)	Comparison group	A12.5 vs Glipizide	A25 vs Glipizide
		LS mean difference	-0.10	-0.09
		98.75% CI	-infinity, -0.002	-infinity, 0.004
		p-value	N/A	N/A
Notes	Subjects entered the Screening Period via 1 of 2 study schedules: - Schedule A for subjects with HbA1c of 7.0% to 9.0% while on metformin ≥1500 mg or MTD. These subjects directly entered the run-in phase. - Schedule B for subjects with HbA1c of 7.5% to 10.0% while on metformin <1500 mg with no MTD documentation. These subjects had to achieve HbA1c of 7.0% to 9.0% while on metformin ≥1500 mg or MTD before entering the run-in phase.			

### Summary of Efficacy for Study 305 (ongoing study) (continued)

<b>Title:</b> A Multicenter, Randomized, Double-Blind, Active-Controlled Study to Evaluate the Durability of the Efficacy and Safety of Alogliptin Compared to Glipizide When Used in Combination with Metformin in Subjects with T2DM				
<b>Study identifier</b>	SYR-322_305 (also referred to as Study 305)			
<b>Analysis description</b>	<b>Key Secondary Endpoint Analysis:</b> Same as primary model except with baseline FPG value in place of HbA1c as covariate and at the 0.05 2-sided significance level for statistical difference rather than for non-inferiority.			
<b>Analysis population and time point description</b>	FAS			
<b>Descriptive statistics and estimate variability</b>	Treatment group	A12.5	A25	Glipizide
	Number of subjects	867	867	858
	LS mean change	-0.277	-0.399	0.049
	SE	0.0678	0.0678	0.0681
<b>Effect estimate per comparison</b>	Secondary endpoint: FPG (mmol/L)	Comparison group	A12.5 vs Glipizide	A25 vs Glipizide
		LS mean difference	-0.326	-0.448
		95% CI	-0.5147, -0.1378	-0.6368, -0.2597
		p-value	<0.001	<0.001
<b>Notes</b>	None.			
<b>Analysis description</b>	<b>Other Endpoint Analysis:</b> Same as primary model except with baseline body weight value in place of HbA1c as covariate and at the 0.05 2-sided significance level for statistical difference rather than for non-inferiority.			
<b>Analysis population and time point description</b>	FAS			
<b>Descriptive statistics and estimate variability</b>	Treatment group	A12.5	A25	Glipizide
	Number of subjects	867	868	862
	LS mean change	-0.64	-0.91	0.89
	SE	0.117	0.117	0.117
<b>Effect estimate per comparison</b>	Secondary endpoint: body weight (kg)	Comparison group	A12.5 vs Glipizide	A25 vs Glipizide
		LS mean difference	-1.52	-1.80
		95% CI	-1.846, -1.198	-2.122, -1.473
		p-value	<0.001	<0.001
<b>Notes</b>	None.			

**Table 14. Summary of Efficacy for Study 322OPI-004**

<b>Title:</b> A Multicenter, Randomized, Double-Blind Study to Determine the Efficacy and Safety of the Addition of SYR-322 25 mg versus Dose Titration from 30 mg to 45 mg of ACTOS® Pioglitazone HCl in Subjects with Type 2 Diabetes Mellitus Who Have Inadequate Control on a Combination of Metformin and 30 mg of Pioglitazone HCl Therapy				
<b>Study identifier</b>	01-06-TL-322OPI-004 (also referred to as Study 322OPI-004)			
<b>Design</b>	Phase III, randomized, double-blind, parallel-group			
	Duration of Main phase:	52 weeks		
	Duration of Run-in phase:	4 weeks (open-label pioglitazone 30 mg with metformin 1500 mg or MTD)		
	Duration of Extension phase:	Not applicable		
<b>Hypothesis</b>	Noninferiority analysis of alogliptin combination treatment with pioglitazone (plus background metformin) compared with pioglitazone titration (plus background metformin) as measured by HbA1c change from baseline to Weeks 26 and 52			
<b>Treatment groups</b>	Alogliptin 25 mg (A25)	52-week treatment with A25 QD as add-on to pioglitazone 30 mg (P30) and metformin 1500 mg or MTD, 404 subjects randomized		
	Pioglitazone 45 mg (P45)	52-week treatment with P45 QD as add-on to metformin 1500 mg or MTD, 399 subjects randomized		
<b>Endpoints and definitions</b>	Primary endpoint	Noninferiority	HbA1c change from baseline to Weeks 26 and 52	
	Key secondary endpoint	Exploratory	FPG change from baseline to Weeks 26 and 52	
	Other endpoint	Exploratory	Body weight change from baseline to Weeks 26 and 52	
<b>Database lock</b>	09 July 2009			
<b>Results and Analysis</b>				
<b>Analysis description</b>	<b>Primary Endpoint Analysis:</b> An ANCOVA model using LOCF values was performed, with study treatment, geographic region, and study schedule (see notes below) as class variables and baseline metformin dose and baseline HbA1c as continuous covariates. At Week 26, the A25+P30 dose was compared with P45 at the 1-sided 0.025 significance level using a noninferiority margin of 0.3%. If this test result was statistically significant, Week 52 was evaluated in a similar fashion.			
<b>Analysis population and time point description</b>	Per protocol set, which was defined as all FAS subjects (ie, those randomized who received at least 1 dose of double-blind study drug, had a baseline value, and had at least one post baseline value) who had no major protocol violations.			
<b>Descriptive statistics and estimate variability</b>	Week 52	Treatment group	A25+P30	P45
		Number of subjects	303	306
		LS mean change	-0.70	-0.29
		SE	0.048	0.048
<b>Effect estimate per comparison</b>	Week 52	Primary endpoint: HbA1c (%)	Comparison group	A25+P30 vs P45
			LS mean difference	-0.42
			97.5% CI	-infinity, -0.28
			p-value	N/A

### Summary of Efficacy for Study 322OPI-004 (continued)

<b>Title:</b> A Multicenter, Randomized, Double-Blind Study to Determine the Efficacy and Safety of the Addition of SYR-322 25 mg versus Dose Titration from 30 mg to 45 mg of ACTOS® Pioglitazone HCl in Subjects with Type 2 Diabetes Mellitus Who Have Inadequate Control on a Combination of Metformin and 30 mg of Pioglitazone HCl Therapy				
<b>Study identifier</b>	01-06-TL-322OPI-004 (also referred to as Study 322OPI-004)			
<b>Notes</b>	Subjects entered the Screening Period via 1 of 2 study schedules: - Schedule A for subjects with HbA1c of 7.0% to 10.0% while on a stable (2 months) regimen of pioglitazone 30 mg with metformin ≥1500 mg or MTD. These subjects directly entered the run-in phase. - Schedule B for subjects with HbA1c ≥7.5% while on metformin with other oral antidiabetic agent. These subjects entered a 12-week switching period, discontinued their antidiabetic treatment, were switched to pioglitazone 30 mg with metformin ≥1500 mg or MTD, and had to achieve HbA1c of 7.0% to 10.0% before entering the run-in phase.			
<b>Analysis description</b>	<b>Key Secondary Endpoint Analysis:</b> Same as primary model except with baseline FPG value in place of HbA1c as covariate and at the 0.05 2-sided significance level for statistical difference rather than for non-inferiority.			
<b>Analysis population and time point description</b>	FAS			
<b>Descriptive statistics and estimate variability</b>	Week 52	Treatment group	A25+P30	P45
		Number of subjects	399	396
		LS mean change	-0.813	-0.207
		SE	0.1048	0.1051
<b>Effect estimate per comparison</b>	Week 52	Secondary endpoint: FPG (mmol/L)	Comparison group	A25+P30 vs P45
			LS mean difference	-0.606
			95% CI	-0.897, -0.315
			p-value	<0.001
<b>Notes</b>	None.			
<b>Analysis description</b>	<b>Other Endpoint Analysis:</b> Same as primary model except with baseline body weight value in place of HbA1c as covariate and at the 0.05 2-sided significance level for statistical difference rather than for non-inferiority.			
<b>Analysis population and time point description</b>	FAS			
<b>Descriptive statistics and estimate variability</b>	Week 52	Treatment group	A25+P30	P45
		Number of subjects	395	394
		LS mean change	1.10	1.60
		SE	0.194	0.194
<b>Effect estimate per comparison</b>	Week 52	Other endpoint: body weight (kg)	Comparison group	A25+P30 vs P45
			LS mean difference	-0.50
			95% CI	-1.03, 0.04
			p-value	0.071
<b>Notes</b>	None.			

### Analysis performed across trials (pooled analyses and meta-analysis) and in special populations

To evaluate for HbA1c reduction consistency across multiple subpopulations, efficacy was assessed for subgroups of subjects defined by various baseline demographic factors. In consideration of limited sample sizes of some of these subgroups in individual studies, pooled analyses were conducted to supplement those completed in the individual studies for sex, age, race, BMI, baseline HbA1c, and renal function categories.

For the pooled analyses, data were integrated from 4 of the main studies (010, 007, 008, and 009). Study 011 was excluded from the pooled analysis because of differences in the study design (different

randomization ratio) and in the study population (mean baseline HbA1c, mean disease duration). A total of 1845 subjects are included in these post hoc pooled analyses.

Results indicate that the placebo-adjusted treatment difference in HbA1c is independent of sex and BMI. No clinically meaningful differences were observed between race categories. A tendency for greater efficacy in elderly subjects is observed.

### Elderly

A total of 1990 subjects  $\geq 65$  years (21%) were treated in the Controlled Phase 2 and 3 Study Group. Of these, 224 subjects (2%) were  $\geq 75$  years and 2 subjects were  $\geq 85$  years. A total of 1285 subjects  $\geq 65$  years (20%), 140 subjects  $\geq 75$  years (2%), and 1 subject  $\geq 85$  years were treated with alogliptin specifically.

In the pooled analysis clinically relevant placebo-adjusted HbA1c mean changes from baseline were observed for both alogliptin doses (-0.44% and -0.59% for 12.5 mg; -0.51% and -0.67% for 25 mg) in both age categories ( $< 65$  and  $\geq 65$  years, respectively), with no clinically meaningful differences observed. There was a relatively small number of patients aged  $\geq 75$  years. Nevertheless, in these patients, placebo-adjusted HbA1c changes were -0.418 % for alogliptin 12.5 mg (n=26) and -0.484% for alogliptin 25 mg (n=20). Overall, these results are supportive of the findings of the primary analyses from each of the individual main Phase III studies.

In the long-term Studies 305 and 322OPI-004, clinically relevant HbA1c reductions were observed at Week 52 for elderly subjects ( $\geq 65$  years) who received alogliptin 25 mg, in keeping with the results of the pooled analysis (-0.58% in Study 305 [n=173] and -0.97% in Study 322OPI-004 [n=50]). These reductions were greater than in the younger population ( $< 65$  years) (-0.44% in Study 305 [n=693] and -0.68% in Study 322OPI-004 [n=253]).

In Study 303, which specifically investigated the safety, tolerability, and efficacy of alogliptin (25 mg) vs glipizide (5-10 mg) in elderly subjects (65 to 90 years, inclusive, with a mean age of 69.9 years) over 52 weeks, non-inferiority of the alogliptin group to the glipizide group was established. Furthermore, application of the more stringent non-inferiority margin of 0.3% confirmed non-inferiority of alogliptin 25 mg to glipizide.

HbA1c reductions in Study 303 were lower than expected by Week 52 (-0.14% for alogliptin and -0.09% for glipizide). Although the initial reductions in HbA1c and FPG observed were consistent with what was expected of these medications, the fact that these reductions returned to near-baseline values by study end in both treatment groups was unanticipated. Study 303 enrolled both treatment naïve subjects (55.4%), who had failed HbA1c control on diet and exercise alone, and subjects with inadequate HbA1c control despite oral antidiabetic monotherapy (45.6%). For the latter group of subjects, their oral antidiabetic monotherapy (eg, MET) was discontinued for a 4-week washout period prior to study entry. The HbA1c change from baseline to Week 52 in subjects who had previously been treated with monotherapy was -0.08% (alogliptin) and -0.04% (glipizide), which was smaller than the HbA1c reductions observed in treatment naïve subjects: -0.32% (alogliptin) and -0.17% (glipizide). The fact that these results were observed in both treatment groups indicates that the observed efficacy response was largely related to the specific study design, for example, the inclusion of subjects on monotherapy (with a short period of background therapy washout). Although results from this study showed similar glycaemic control efficacy between alogliptin and glipizide, a substantial difference between the 2 treatments was observed with regard to hypoglycaemia. These results are discussed in greater detail in the safety section. Treatment with alogliptin vs glipizide also conferred benefits with regard to weight maintenance and triglyceride levels.

### Subjects with Impaired Renal Function

Pharmacokinetic data generated in subjects with T2DM demonstrated increased systemic exposure with decreasing renal function (see pharmacokinetic section). A dose reduction is therefore recommended for patients with moderate to severe renal impairment or ESRD so that exposure to alogliptin in these patients is similar to that of patients with normal renal function. These results confirm the pharmacokinetic profile observed in phase 1 study subjects with mild or moderate renal impairment.

Clinical data are presented according to the definition of the modification of diet in renal disease (MDRD) formula.

In Phase III studies, most subjects had either normal renal function or mild renal impairment. Of those subjects who had renal impairment, in the majority of subjects it was mild and a small proportion had moderate impairment. This was, in part, due to the concomitant use of MET, which is contraindicated in patients with renal impairment. For the 4 main Phase III placebo-controlled studies (010, 007, 008, and 009), data were integrated to conduct a pooled analysis for the subgroup of subjects stratified by renal function, according to the MDRD formula.

In the pooled analysis, based on the recommended doses of alogliptin in accordance with baseline renal function, alogliptin 25 mg produced clinically relevant HbA1c reduction at Week 26 in subjects with normal renal function (120 subjects) and mild renal impairment (493 subjects) (LS mean difference of -0.69% and -0.49%) and alogliptin 12.5 mg produced clinically relevant HbA1c reduction in subjects with moderate renal impairment (97 subjects) (LS mean difference of -0.70%). These findings support the results of the pharmacokinetic study (006) in subjects with renal impairment.

Study 402, an ongoing, double-blind, placebo-controlled, 2-arm study, designed to evaluate the CV safety of alogliptin compared with placebo in addition to standard of care specifically enrolled subjects with T2DM, a recent ACS event, and varying degrees of renal function (from normal to severely impaired). Results to date from this study indicated that the efficacy of the adjusted dose of alogliptin for subjects with moderate renal impairment is similar to that observed in subjects with normal renal function or mild renal impairment. In an interim analysis, 77 patients with severe renal insufficiency were treated for 6 months (44 with alogliptin and 43 with placebo). After these 6 months of treatment, the mean change from baseline in HbA1c was -0.70% in the alogliptin group compared with -0.04% in the placebo group, with a between-group treatment difference of -0.66%.

### Longer term studies

The persistence of efficacy of combination treatment including alogliptin has been demonstrated for up to 52 weeks in Studies 305 and 322OPI-004, showing the durability of the glucose-lowering effect as assessed by HbA1c reduction (see above).

In Study 305, at each of the post-baseline study visits, decreases in HbA1c were generally similar among the treatment groups, and MET+alogliptin 25 mg was found to be non-inferior to MET+glipizide.

In Study 322OPI-004, significantly greater decreases in HbA1c were observed in the MET+A25+P30 treatment group vs the MET+P45 treatment group ( $p < 0.001$  at all time points). At Week 52, the LS mean difference between treatment groups indicated non-inferiority of MET+A25+P30 to MET+P45. Furthermore, results at Week 52 also indicated statistical superiority of the MET+A25+P30 group to the MET+P45 group.

This persistence of efficacy was not as apparent in Study 303 in elderly subjects, as described above.

In the open-label safety extension study (012), with a treatment duration of up to 4 years, a mean decrease from baseline to Endpoint in HbA1c of -0.42% was observed for the subgroup of subjects on alogliptin 25 mg who had been rescued from a feed-in study. Meanwhile, for subjects on alogliptin 12.5



and 25 mg who previously completed their feed-in study, mean increases from baseline to Endpoint of 0.63% and 0.61%, respectively, were observed.

### Dose Response

In pharmacodynamic studies, the alogliptin 25 mg dose achieved optimal DPP-4 inhibition and increases in active GLP-1 compared with the 12.5 mg dose. For doses greater than 25 mg, no additional benefit in DPP-4 inhibition or GLP-1 levels was observed, indicating that the 25 mg dose is the optimal dose to achieve therapeutic effect. In the phase 2 dose-ranging study (003), LS mean differences from placebo at Day 85 were statistically significant for alogliptin doses  $\geq 12.5$  mg for HbA1c and  $\geq 25$  mg for FPG, with no additional HbA1c reduction seen at doses greater than 25 mg.

Across the 5 main Phase III, 26-week placebo-controlled studies, alogliptin 25 mg generally provided more substantial HbA1c reductions compared with alogliptin 12.5 mg. The differences in efficacy were consistently more apparent in the 3 studies with the relatively higher baseline HbA1c (ie, Studies 007, 009, and 011).

In the 2 main studies evaluating alogliptin add-on to MET (008 and 305), differentiation in terms of HbA1c reduction between both alogliptin doses was less apparent, likely related to the lower mean baseline HbA1c (7.9% and 7.6%, respectively). In contrast, a good dose response for alogliptin add-on to MET was evident in 2 relevant treatment arms in supportive Study 322OPI-001, in which alogliptin 25 mg showed greater reductions in HbA1c compared with alogliptin 12.5 mg (-0.90% vs -0.64%), in a setting with a higher mean baseline HbA1c (8.5%).

Across the clinical program, alogliptin 25 mg generally showed a greater response in secondary endpoints (analysis of HbA1c by baseline HbA1c values, clinical response, change from baseline in FPG, and hyperglycaemia) compared with alogliptin 12.5 mg.

These results of individual studies were substantiated using a pooled analysis of 4 of the main Phase III studies (010, 007, 008, and 009) with greater efficacy observed in the alogliptin 25 mg group.

### **Supportive studies**

The efficacy of alogliptin is further supported by 3 Phase III studies.

Study 302 was conducted with a focus on confirming that the efficacy and safety of once-daily and BID dosing of alogliptin were comparable. Phase 1 Study 322-101 had shown that DPP-4 inhibition was similar ( $>80\%$ ) for both dosing schedules. In Study 302, subjects received alogliptin 25 mg once daily, alogliptin 12.5 mg BID, MET 500 mg BID, MET 1000 mg BID, alogliptin 12.5 mg+MET 500 mg BID, alogliptin 12.5 mg +MET 1000 mg BID, or placebo over a 26-week period. A total of 784 subjects were randomized to receive treatment.

At Week 26, LS mean changes from baseline in HbA1c were significantly greater ( $p<0.001$ ) with both coadministration therapy regimens (-1.22% and -1.55% with alogliptin 12.5 mg+MET 500 mg BID and alogliptin 12.5 mg+MET 1000 mg BID, respectively) when compared with either of their individual component regimens, alogliptin alone (-0.56% with alogliptin 12.5 mg BID) or MET alone (-0.65% and -1.11% with MET 500 mg and MET 1000 mg BID, respectively). In addition, both alogliptin dosing regimens (25 mg once daily vs 12.5 mg BID) resulted in similar HbA1c reduction (-0.52% and 0.56%, respectively), thereby supporting that alogliptin can be administered in a BID FDC tablet with MET.

Study 322OPI-001 evaluated 12 treatment groups (in addition to background MET) over a 26-week period: placebo+placebo, or pioglitazone 15 mg, 30 mg, or 45 mg once daily; alogliptin 12.5 mg+placebo or pioglitazone 15 mg, 30 mg, or 45 mg once daily; alogliptin 25 mg+placebo or pioglitazone 15 mg, 30 mg, or 45 mg once daily. A total of 1554 subjects were randomized to receive treatment.



In subjects who were experiencing inadequate glycaemic control with MET alone (mean baseline HbA1c values of approximately 8.5%), there were statistically significant ( $p < 0.001$ ) decreases from baseline in the LS mean HbA1c levels at Week 26 in subjects treated in the alogliptin 12.5 mg+pioglitazone and alogliptin 25 mg+pioglitazone groups compared with pioglitazone alone (LS mean changes from baseline in HbA1c were -0.89%, -1.43%, and -1.42% in the pioglitazone alone, alogliptin 12.5 mg+pioglitazone, and alogliptin 25 mg+pioglitazone groups, respectively).

A dose response for alogliptin add-on to MET was evident, in which alogliptin 25 mg showed a greater reduction in HbA1c compared with alogliptin 12.5 mg (-0.90% vs -0.64%), in a setting with a higher mean baseline HbA1c.

Study 322OPI-002 was a 26-week initial combination (alogliptin+pioglitazone) study. Subjects were randomized to receive alogliptin 12.5 mg+pioglitazone 30 mg once daily, alogliptin 25 mg+pioglitazone 30 mg once daily, alogliptin 25 mg+placebo once daily, or pioglitazone 30 mg+placebo once daily. A total of 655 subjects were randomized to receive treatment.

Both of the alogliptin 12.5 mg+pioglitazone 30 mg and alogliptin 25 mg+pioglitazone 30 mg groups demonstrated better efficacy with respect to HbA1c reductions vs pioglitazone 30 mg alone.

Overall, the data collected in these supporting studies reflect the conclusions made from the results seen in the main placebo- and active-controlled studies.

### 2.5.3. Discussion on clinical efficacy

An extensive number of randomized trials has been performed, including trials with placebo and active comparators, and in combination with several other antidiabetic agents.

#### *Dose selection*

In the initial dose finding studies, no additional efficacy was observed at doses greater than 12.5 mg. However, the inclusion of alogliptin 12.5 mg and 25 mg in the Phase III trials was reasonable. In most pivotal studies, the difference between alogliptin 12.5 mg and 25 mg was not large, but the efficacy for alogliptin 25 mg was somewhat more pronounced. Therefore, the choice for alogliptin 25 mg was acceptable.

#### *Pivotal trials*

In each of the studies, no meaningful differences across treatment groups were observed for any demographic or baseline characteristic. Change from baseline in HbA1c was the primary endpoint.

For the **combination with metformin**, two pivotal studies are submitted (studies 008 and 305). The first study is a 26-week, placebo-controlled study, while the second is a 2-year active controlled study, with interim 52 week data presented, in which glipizide was used as the active comparator. In combination with metformin, the treatment effect of alogliptin 25 mg was -0.48% (95% CI -0.67 to -0.30) in comparison to placebo after 26 weeks. According to the diabetes guideline (CPMP/EWP/1080/00) non-inferiority of the new agent to an established active comparator as add-on to monotherapy (representing standard of care) should be demonstrated. In this case, standard of care would be metformin + SU. In the non-inferiority trial 305, both alogliptin 25 mg and glipizide were associated with a clinically relevant reduction in HbA1c. However, baseline HbA1c was relatively low in these patients (7.6%). This decreases the power to detect any differences between treatments. In addition, the glipizide dose in the comparator group was relatively low (mean dose 5.2 mg). This is probably due to the dose titration algorithm. Following any dose-titration, a subject who experienced hypoglycaemia was allowed to reduce the dose to as low as 5 mg glipizide (or matching placebo) and continue the study on that dose. Following down titration, subjects were not allowed to increase the dose

again. With such a low dose of glipizide, the CHMP concluded that non-inferiority of alogliptin when compared to SU as add-on therapy to metformin has not been established.

For the **combination with SU**, pivotal study 007 is submitted. In this study, alogliptin is compared to placebo in patients treated with SU. For the combination with SU, the treatment effect of alogliptin 25 mg was -0.53% (95% CI -0.73 to -0.33) after 26 weeks in comparison to placebo.

For the **combination with TZD (with or without metformin)**, pivotal study 009 is submitted. In this study, alogliptin is compared to placebo in patients treated with TZD (with or without metformin). In addition, supportive Study 322OPI-004 is submitted. This is a 52 week active controlled study designed to evaluate the efficacy of alogliptin as triple therapy (add-on to pioglitazone 30 mg and MET), in which efficacy was compared with uptitration of pioglitazone, in subjects on pioglitazone 30 mg and MET. The combination with TZD and SU is not requested. Nevertheless, a small number of patients treated with alogliptin in combination with TZD and SU was investigated in pivotal study 009. For the combination with TZD (with or without metformin), alogliptin 25 mg was associated with a reduction in HbA1c of -0.61% (95% CI -0.80 to -0.41) after 26 weeks in comparison to placebo. Treatment effects were clinically relevant for alogliptin 25 mg in combination with TZD only (-0.49%) and in combination with TZD and metformin (-0.72%). In addition, in study 322OPI-004, the effects of adding alogliptin 25 mg were non-inferior compared with increasing the dose of pioglitazone from 30 to 45 mg.

For the **combination with insulin**, study 011 was submitted. The objective of this study was to evaluate the efficacy of alogliptin administered in combination with insulin as compared with insulin alone. For the combination with insulin, treatment effect of alogliptin 25 mg was modest, but clinically relevant (-0.59%; 95% CI -0.80 to -0.37) after 26 weeks. There were no meaningful differences in the treatment groups in daily insulin dose before and after treatment with alogliptin. There were no important differences in the treatment effects of alogliptin 25 mg between patients with and without metformin. In this study, however, baseline HbA1c values were relatively high (9.3%). This may have resulted in an overestimation of the treatment effects of alogliptin on HbA1c. Nevertheless, in the individuals with HbA1c below 8.5%, the effect of alogliptin 25mg on HbA1c was also clinically relevant (-0.68%). Combinations of alogliptin and insulin with other oral antidiabetic drugs were not investigated.

A **monotherapy** indication is not requested. However, a monotherapy study (010) comparing alogliptin with placebo is submitted. Compared to placebo, alogliptin 25 mg was associated with a reduction in HbA1c of -0.57% (-0.80 to -0.35).

#### *Renal impairment*

Renal dose adjustment recommendations of alogliptin 12.5 mg and alogliptin 6.25 mg, respectively, for patients with moderate and severe renal impairment/ESRD are based on PK data. In the pivotal trials, efficacy was not importantly influenced by mild or moderate renal impairment, but patients with severe renal impairment were not included in these pivotal trials. During the procedure, the CHMP therefore requested more data to support the use of the suggested dose adjustments in renal impairment. The applicant provided data from a supportive ongoing trial (study 402) with patients with a recent acute coronary syndrome; a satisfactory treatment effect was shown for alogliptin 6.25 mg in patients with severe renal insufficiency after 6 months of treatment with a mean change from baseline in HbA1c of -0.70% in the alogliptin group (n=44) compared with -0.04% in the placebo group (n=43), with a between-group treatment difference of -0.66%.

#### *Elderly individuals*

Diabetes is a disease that is especially prevalent in elderly individuals. In the pivotal trials, the treatment effect of alogliptin was not lower in patients >65 years compared to patients <65 years. However, only 2% of the patients treated with alogliptin were >75 years of age (n=124). Therefore, a study in elderly individuals was performed (study 303). Alogliptin 25 mg was statistically non-inferior to glipizide.

However, baseline mean HbA1c values were relatively low (approximately 7.5%). This decreases the power to detect any differences between treatments. The overall results from supportive Study 303 showed minimal glycaemic improvements in both the alogliptin and glipizide treatment arms after 52 weeks of treatment in an elderly T2DM population. The fact that these results were observed in both treatment groups indicates that the observed efficacy response was largely related to the specific study design, for example, the low baseline HbA1c and the inclusion of subjects on monotherapy (with a short period of background therapy washout). Importantly, results of the large pooled analysis of 2234 subjects from the 5 main Phase III, 26-week, placebo-controlled studies, demonstrate relevant efficacy in the elderly. In patients aged  $\geq 75$  years alogliptin was associated with a treatment effect of -0.49% (95% CI -1.03, 0.06). Furthermore, efficacy results from the 2 main Phase III, active-controlled studies (total of 237 elderly subjects) demonstrated that HbA1c reductions at Week 52 were greater in subjects  $\geq 65$  years compared with subjects  $< 65$  years. In these two studies data interpretation in subjects  $\geq 75$  years who received alogliptin 25 mg is limited by the small numbers of subjects. The HbA1c reductions at Week 52 for these subjects were -0.29% in Study 305 (n=17) and -1.45% in Study 322OPI-004 (n=4).

These results, taken together, suggest that alogliptin is a useful treatment option for elderly patients.

#### *Long term effects*

Although the extension trial was primarily a safety trial (study 012), after 4 years the increase in HbA1c with alogliptin 25 mg was clinically relevant (+0.61%). In addition, in the study 303 in elderly individuals, efficacy after 1 year became negligible. However, in the two main non-inferiority trials (study 004 and 305) after 1 year, treatment effects of alogliptin were relatively stable compared to glipizide (study 004) and compared to increasing the dose of pioglitazone (study 305).

#### *Statistical considerations*

Analysis of change from baseline in HbA1c (primary endpoint) was based on FAS (placebo-controlled studies) or PPS (active-comparator studies) with the LOCF. This is accepted. However, more subjects in the placebo group than in the treatment group discontinued the study, particularly due to hyperglycaemic rescue. This was according to protocol, and was thus not considered a major protocol deviation (i.e. these patients would still be included in the PPS). This could lead to an overestimation of the treatment effect. As expected, subjects in the placebo group who completed the studies had a larger reduction in HbA1c compared to placebo subjects in the FAS. Therefore, the differences between alogliptin and placebo were less pronounced in the completer population. However, the differences were still statistically significant.

#### *Secondary endpoints*

The results of the analysis of the effects of alogliptin on fasting plasma glucose and the need for rescue therapy were in line with the effects on HbA1c. Alogliptin was not associated with weight gain in most studies. However, in combination with SU, alogliptin was associated with an LS mean difference from placebo in weight of +0.8 and +0.9 kg for the Alogliptin 12.5 mg and Alogliptin 25 mg dose levels. However, the differences were small and are not likely to be clinically meaningful. There were no important effects on serum lipids. There tended to be effects on estimates of endocrine pancreatic function. However, these effects were not statistically significant in the majority of the studies. In addition, these serum measures (such as fasting proinsulin, fasting insulin, proinsulin/insulin ratio, C peptide and HOMA) are only surrogate estimates of pancreatic function. Compared with placebo, alogliptin 25 mg was associated with statistically significant reductions from baseline in HbA1c and postprandial total triglycerides levels.

#### *Effect of race*

The majority of the patients were White. In the pooled data, the clinical relevance of the treatment effect of alogliptin in Whites is of borderline significance (-0.44% and -0.50%) but still clinically relevant. In addition, subgroup analyses in the individual main studies demonstrate that the effect is of borderline relevance for some of the requested indications. Specifically, for alogliptin add-on to SU (study 007), the treatment effect of alogliptin 25 mg is -0.38%. For alogliptin add-on to metformin (study 008), the treatment effect of alogliptin 25 mg is -0.36%. However, in these studies the differences between the races were small. In addition, the differences between the races were even less pronounced in the other studies.

#### *Initial combination studies*

In an initial combination study, both coadministration therapy regimens of alogliptin plus metformin (Alogliptin 12.5 mg + Met 500 mg BID and Alogliptin 12.5 mg + Met 1000 mg BID) resulted in larger reductions in HbA1c compared to their individual component regimens of alogliptin alone or metformin alone. Alogliptin 12.5 mg BID provided similar glycaemic control compared with alogliptin 25 mg once daily. In patients inadequately controlled with metformin, each individual combination of Alogliptin+Pioglitazone achieved larger reductions in HbA1c at Week 26 compared with the corresponding alogliptin and pioglitazone doses given alone. These differences were clinically relevant. The initial combination of alogliptin and pioglitazone was associated with a reduction in HbA1c that was larger than that with alogliptin and pioglitazone monotherapy. These data provide further support for the use of alogliptin in combination with metformin and/or pioglitazone, but initial combination therapy is not an indication requested by the applicant.

#### **2.5.4. Conclusions on the clinical efficacy**

Overall, efficacy was found to be modest with an effect size with regard to lowering of HbA1C of about 0.5% - 0.6% as add-on therapy, but still being statistically significant and clinically relevant.

Efficacy was further investigated specifically in subgroups, and found to be satisfactory, in caucasian patients, in elderly patients and in patients with renal impairment including severe insufficiency (with an adjusted dose of alogliptin).

Due to the low dose of glipizide and the low baseline HbA1c (study 305), non-inferiority of alogliptin compared to glipizide as add-on therapy to metformin has not been established.

#### **2.6. Clinical safety**

Data from all 55 clinical studies that comprise this MAA submission were used in the overall evaluation of safety. However, the focus of the safety assessment involves the Controlled Phase 2 and 3 Study Group and the main Phase III studies (Table 2).

Safety data from the 12 completed phase 2 and 3 studies (003, 007, 008, 009, 010, 011, 301, 302, 303, 322OPI-004, 322OPI-001, and 322OPI-002) and 1 ongoing Phase III study (305), at the time of evaluation of this application, were pooled into the Controlled Phase 2 and 3 Study Group. As the patient populations enrolled into these studies best represent the intended use of alogliptin, results from this Controlled Phase 2 and 3 Study Group are the primary focus of the evaluation of clinical safety. These data were pooled to allow for an opportunity to detect rare events and potential safety signals. Studies are also assessed individually for specific indications, as appropriate. In addition, data from 4 of the main Phase III placebo-controlled studies (007, 008, 009, 010) were pooled to evaluate the safety data from a pool of main studies relevant to the proposed indications.

Study 012 is an uncontrolled safety extension study and the CV outcome study (study 402, ongoing at the time of evaluation of this application) is evaluating a specific subpopulation of patients with T2DM and

recent ACS; therefore, these studies are excluded from the pooled data but are discussed separately, as appropriate.

### Patient exposure

The number of subjects exposed to study drug, the duration of exposure, categorized duration of exposure, and cumulative exposure (subject-years) for subjects who participated in the phase 2 and 3 studies (the Controlled Phase 2 and 3 Study Group and Studies 012 and 402) are summarized in Table 15. The cutoff date of the database is not clearly specified. In Study 402, all subjects are counted within the alogliptin 25 mg group, although different doses were assigned according to renal function, such that all subjects had equivalent exposure. Furthermore, higher numbers of subjects in the overall program were exposed to alogliptin 25 mg compared with 12.5 mg. Additionally, asymmetrical randomization schedules in the Phase III studies resulted in a proportionately smaller number of subjects in the placebo group compared with active comparator and the alogliptin groups. For these reasons, exposure-corrected rates for adverse events are included in key tables.

**Table 15 Exposure by Dose and Duration – All Alogliptin Phase III Studies**

Exposure	Placebo	Active Comparator	A12.5 mg	A25 mg	All Alogliptin (a)
<b>Controlled Phase 2 and 3 Study Group</b>					
	<b>N=793</b>	<b>N=2257</b>	<b>N=2476</b>	<b>N=3749</b>	<b>N=6354</b>
Cumulative exposure (subjects-years) (b)	307.76	1528.22	1453.25	2249.74	3725.98
Number (%) of subjects exposed for (c)					
<6 months	338 (42.6)	471 (20.9)	468 (18.9)	761 (20.3)	1358 (21.4)
≥6 months - <12 months	455 (57.4)	791 (35.0)	1355 (54.7)	1889 (50.4)	3244 (51.1)
≥12 months - <18 months	0	995 (44.1)	653 (26.4)	1099 (29.3)	1752 (27.6)
≥18 months	0	0	0	0	0
<b>Study 402</b>					
	<b>N=1079</b>	<b>N/A</b>	<b>N/A</b>	<b>N=1070</b>	<b>N/A</b>
Number (%) of subjects exposed for (c)					
<6 months	625 (57.9)	--	--	611 (57.1)	--
≥6 months - <12 months	358 (33.2)	--	--	360 (33.6)	--
≥12 months - <18 months	93 (8.6)	--	--	95 (8.9)	--
≥18 months	3 (0.3)	--	--	4 (0.4)	--
<b>Study 012</b>					
	<b>N/A</b>	<b>N/A</b>	<b>N=1394</b>	<b>N=1926</b>	<b>N/A</b>
Number (%) of subjects exposed for (c)(d)					
<6 months	--	--	47 (3.4)	109 (5.7)	--
≥6 months - <12 months	--	--	92 (6.6)	117 (6.1)	--
≥12 months - <18 months	--	--	112 (8.0)	168 (8.7)	--
≥18 months	--	--	1143 (82.0)	1532 (79.5)	--

(a) Combines the 12.5 and 25 mg groups (already shown in the table) with the 6.25, 50, and 100 mg groups (which are not shown in the table).

(b) Cumulative exposure in subject-years is defined as the sum of days for all subjects within a grouping divided by 365.25.

(c) Duration of exposure in days is calculated as date of last dose - date of first dose+1. Last dose date is estimated from data available for subjects continuing study drug dosing in Study 305. Estimated dates are no later than the interim data cutoff date.

(d) Cumulative exposure from the double-blind feeder studies (and therefore also counted in the Controlled Phase 2 and 3 Study Group) and the open-label extension.

All subjects in the Controlled Phase 2 and 3 Study Group had a diagnosis of T2DM with inadequate glycaemic control. At the discretion of the investigator, subjects with a major illness or debility were excluded. Specific prohibited prior and concurrent conditions included New York Heart Association [NYHA] Class III or IV heart failure (Classes I-IV in Study 322OPI-004); angioedema associated with angiotensin-converting enzyme inhibitors or angiotensin-II receptor blockers (except 301); treated diabetic gastroparesis, laser-treated proliferative diabetic retinopathy (except 301), haemoglobinopathy (due to potential effect on HbA1c determination); history within 6 months (3 months for Studies 302 and 305) prior to Screening of coronary angioplasty, coronary stent placement, coronary bypass surgery, or MI; and history within 5 years prior to Screening of cancers other than squamous cell or basal cell carcinoma of the skin.

Demographic and other baseline characteristics were comparable among the treatment groups. The majority (79%) of subjects were less than 65 years, with a mean age ranging from 54.9 to 56.3 years, although there was an adequate representation of elderly subjects in the program. A total of 1990 subjects were at least 65 years, 224 were  $\geq 75$  years, and 2 subjects were  $\geq 85$  years. Most (69%) subjects were White. Slightly more than half (54%) of the subjects had a BMI greater than 30. At baseline, mean HbA1c ranged from 8.00% to 8.39% across treatment groups.

Across the main safety pool, approximately 20% of subjects were from Europe, 33% were from the US or Canada, 23% were from Latin/South America, and 23% were from other regions, mainly Asia/Pacific countries.

### Adverse events

An overview of treatment-emergent adverse events (TEAEs), TEAEs that led to discontinuation of study drug, serious adverse events (SAEs), and deaths for subjects in the Controlled Phase 2 and 3 Study Group is summarized by treatment group in Table 16.

**Table 16 Overview of TEAEs and SAEs - Controlled Phase 2 and 3 Study Group**

Event Type	Number (%) of Subjects [Events per 100 Subject-Years]				
	Placebo N=793	Active Comparator N=2257	A12.5 N=2476	A25 N=3749	All Alogliptin (a) N=6354
Any TEAE	514 (64.8) [438.0]	1548 (68.6) [330.1]	1672 (67.5) [333.2]	2497 (66.6) [342.1]	4234 (66.6) [340.5]
Leading to discontinuation of study drug	18 (2.3) [5.8]	132 (5.8) [8.7]	88 (3.6) [6.5]	155 (4.1) [7.1]	248 (3.9) [7.0]
SAEs	25 (3.2) [9.4]	117 (5.2) [9.9]	100 (4.0) [8.5]	175 (4.7) [9.9]	277 (4.4) [9.3]
Deaths	0	4 (0.2) [0.3]	5 (0.2) [0.3]	4 (0.1) [0.2]	9 (0.1) [0.2]

(a) Combines the 12.5 and 25 mg groups (already shown in the table) with the 6.25, 50, and 100 mg groups (which are not shown in the table).

The incidence of TEAEs was comparable across treatment groups (68.6% active comparator vs 66.6% alogliptin), although slightly lower in subjects receiving placebo (64.8%). However, in terms of events per 100 subject-years, the numbers were higher in the placebo group (438.0) than in the other groups (330.1 active comparator vs 340.5 alogliptin). The incidence of SAEs was slightly higher in the active comparator group (5.2%) than in the alogliptin 25 mg group (4.7%), the alogliptin 12.5 mg group (4.0%) or the placebo group (3.2%). For TEAEs leading to discontinuation of study drug, more subjects were withdrawn in the active comparator group (5.8%) than in the alogliptin group (3.9%) or the placebo group (2.3%). The incidence of deaths within the study period was low, with no deaths reported in the placebo group, 4 deaths in the active comparator group (0.2%), and 9 deaths (0.1%) in the alogliptin group.



TEAEs reported by  $\geq 3\%$  of subjects in the Controlled Phase 2 and 3 Study Group are summarized in Table 17.

**Table 17 Common TEAEs ( $\geq 3\%$  of Subjects in any Presented Group) – Controlled Phase 2 and 3 Study Group**

IC Preferred Term	Number (%) of Subjects				
	Placebo N=793	Active Comparator N=2257	A12.5 N=2476	A25 N=3749	All Alogliptin (a) N=6354
Any TEAE (b)	514 (64.8)	1548 (68.6)	1672 (67.5)	2497 (66.6)	4234 (66.6)
Headache	30 (3.8)	113 (5.0)	110 (4.4)	203 (5.4)	321 (5.1)
Upper respiratory tract infection	36 (4.5)	95 (4.2)	121 (4.9)	196 (5.2)	320 (5.0)
Nasopharyngitis	35 (4.4)	99 (4.4)	141 (5.7)	192 (5.1)	334 (5.3)
Urinary tract infection	35 (4.4)	93 (4.1)	102 (4.1)	157 (4.2)	268 (4.2)
Hypertension	26 (3.3)	102 (4.5)	88 (3.6)	147 (3.9)	236 (3.7)
Diarrhea	32 (4.0)	121 (5.4)	91 (3.7)	143 (3.8)	237 (3.7)
Back pain	19 (2.4)	86 (3.8)	86 (3.5)	125 (3.3)	214 (3.4)
Influenza	17 (2.1)	86 (3.8)	67 (2.7)	105 (2.8)	173 (2.7)
Arthralgia	20 (2.5)	72 (3.2)	69 (2.8)	102 (2.7)	171 (2.7)
Dyslipidemia	12 (1.5)	87 (3.9)	35 (1.4)	94 (2.5)	129 (2.0)
Dizziness	19 (2.4)	68 (3.0)	63 (2.5)	84 (2.2)	151 (2.4)
Hyperglycemia	32 (4.0)	43 (1.9)	10 (0.4)	53 (1.4)	63 (1.0)
Hypoglycaemia	0	80 (3.5)	13 (0.5)	11 (0.3)	24 (0.4)

(a) Combines the 12.5 and 25 mg groups (already shown in the table) with the 6.25, 50, and 100 mg groups (which are not shown in the table).

(b) Ordered by descending frequency in the alogliptin 25 mg group.

Percentages of subjects who experienced at least 1 TEAE were comparable among treatment groups. The most common TEAEs reported in  $\geq 5\%$  of subjects treated with alogliptin 25 mg and more frequently than in subjects who received placebo or active comparators were headache, nasopharyngitis, and upper respiratory tract infection.

The majority of the TEAEs experienced were considered by the investigator as either mild or moderate in intensity. No specific TEAE of severe intensity occurred in  $>1.0\%$  of subjects in any group.

TEAEs reported in  $\geq 1\%$  of subjects treated with alogliptin 25 mg and occurring with a frequency twice the rate of placebo or active comparator (with at least 2 subjects if zero in the comparator group) were identified for consideration as possible adverse drug reactions. Compared with placebo, events meeting the criteria were upper respiratory tract infection, nasopharyngitis, influenza, headache, abdominal pain, diarrhoea, nausea, pruritus, rash, back pain, musculoskeletal pain, and myalgia. Compared with active comparator, events meeting the criteria were nasopharyngitis, insomnia, abdominal pain, dyspepsia, gastroesophageal reflux disease, nausea, muscle spasms, musculoskeletal pain, hypersensitivity, headache, and rash.

#### **Serious adverse event/deaths/other significant events**

Fifteen deaths were reported in the Controlled Phase 2 and 3 Study Group (11/6354 in the alogliptin group [0.17%]; 4/2257 in the active comparator group [0.18%]; and none in the placebo group). Most deaths were CV in nature. Only 2 of the 15 deaths (both in the alogliptin group) were considered by the investigator to have a possible relationship to study drug.

In the CV outcomes Study 402, deaths were reported for 26 subjects who received placebo (26/1079; 2.4%), 17 subjects who received alogliptin (17/1070; 1.6%), and 1 subject whose treatment assignment



is unknown at this time (occurred after the clinical database cut for the interim analysis). None of these deaths was considered to be related to administration of study drug.

A total of 44 deaths occurred in the open-label safety extension Study 012 (44/3320; 1.3%). Ten of the deaths were considered to have a possible relationship to study drug by the investigator.

An additional 5 deaths occurred in the Japanese studies (5/1649; 0.3%), all considered unrelated to study drug.

Overall, a low and similar percentage of subjects across treatment groups experienced at least 1 SAE (placebo 3.2%; active comparator 5.2%; alogliptin 12.5 mg 4.0%, alogliptin 25 mg 4.7%; **Table 16**). SAEs were reported most frequently in the cardiac disorder SOC, followed by the infections and infestations SOC. The incidence of SAEs associated with cardiac disorders was comparable between the alogliptin 25 mg and active comparator groups (1.0% and 1.2%, respectively) and greater compared with placebo (0.4%).

A slightly higher percentage of subjects discontinued due to a TEAE in the alogliptin 25 mg group (4.1%) than the alogliptin 12.5 mg (3.6%) group. There was no discernible pattern of discontinuations with respect to type of TEAE. Notably, the percentage of subjects in the alogliptin groups (3.9%) that discontinued due to a TEAE was lower than for subjects who received active comparator (5.8%).

### **Adverse Events of Special Interest**

Special-interest TEAEs were predefined based on observations made during the clinical program, conditions in the T2DM patient population, and known or suspected effects of the drug class.

#### CV Safety

In the Controlled Phase 2 and 3 Study Group, the percentages of subjects who experienced a TEAE from the SOC of cardiac disorders were comparable between the alogliptin 25 mg and active comparator groups (4.5% and 4.9%, respectively) and greater compared with placebo (2.5%). The most frequently reported cardiac disorder TEAEs in the alogliptin 25 mg group were angina pectoris and palpitations. The incidence of SAEs associated with cardiac disorders was comparable between the alogliptin 25 mg and active comparator groups (1.0% and 1.2%, respectively) and greater compared with placebo (0.4%). The most frequently reported cardiac disorder SAE in subjects receiving alogliptin 25 mg was angina pectoris. The incidence of events of hypertension was slightly higher in the active comparator group (4.5%) than for subjects receiving alogliptin 12.5 mg (3.6%) and 25 mg (3.9%), but slightly lower in the placebo group (3.3%).

In the adjudicated MACE analysis for the Controlled Phase 2 and 3 Study Group, the incidence of CV death and nonfatal MI was similar and low in the alogliptin (0.1% and 0.2%, respectively) and active comparator groups (0.1% and 0.3%, respectively), while no subject receiving placebo reported CV death or nonfatal MI. The incidence of nonfatal stroke was lower for alogliptin-treated (<0.1%) subjects than for active comparator-treated (0.2%) and placebo (0.3%) subjects.

Using a Cox Proportional Hazards (CPH) model for adjudicated MACE for the Controlled Phase 2 and 3 Study Group, a hazard ratio of alogliptin against all comparators (placebo and active) was 0.806.

During the procedure, the CHMP did seek clarification on the cases of cardiac failure and myocardial infarction designated as nonserious. The applicant stated that there were 20 subjects in total in the alogliptin clinical studies who experienced adverse events (AEs) of cardiac failure/cardiac failure congestive (14 subjects) or myocardial infarction (6 subjects) in which the event had been classified by the investigator as nonserious. The applicant did provide details of the definition of SAEs provided to the investigators, which was applied consistently for all studies and also provided detailed case narratives for

these 20 subjects; a clinical review of the available data was performed and a rationale for the nonserious designation has been determined based on that data. The review of the 6 subjects with nonserious AEs of myocardial infarction indicated that these were reported by investigators on the basis of ECG findings, suggestive of myocardial ischaemia rather than hospital admissions with typical chest pain (and confirmatory cardiac enzyme rise). The majority of these AEs were supported with sufficient clinical information indicating that the nonserious classification was appropriate. Similarly, reassuring descriptions were provided by the applicant for the cases of heart failure, and therefore the CHMP considered this concern as being resolved.

The CV risk of alogliptin is also being assessed in the CV outcomes Study 402. In that study, potential CV events are being collected and independently and prospectively adjudicated (by a blinded cardiovascular endpoint committee [CEC]). The incidence of CV death (1.0%) and nonfatal stroke (0.5%) in the interim analysis were the same for alogliptin and placebo in this study, with the incidence of nonfatal MI higher in the placebo group (2.8%) than in the alogliptin group (2.0%). MACE results from the interim analysis of Study 402 were consistent (hazard ratio alogliptin vs placebo, 0.814) with the MACE analysis done for the Controlled Phase 2 and 3 Group. When urgent revascularization due to unstable angina is added to adjudicated events, the hazard ratio is lower at 0.750. The proportion of subjects requiring urgent revascularization was lower in the alogliptin group (0.4%) than in the placebo group (0.8%).

Based on results showing no increase in MACE with alogliptin, no special warning/precaution regarding CV events is included but a warning concerning limited experience with alogliptin in patients with class III/IV congestive heart failure is included in section 4.4 of the SmPC.

#### Hypersensitivity Reactions

Hypersensitivity reactions are of special interest as they have been associated with the use of other DPP-4 inhibitors. Administration of some DPP-4 inhibitors has been associated with dose- and duration-dependent necrotic peripheral skin lesions in monkeys. Such lesions have not been observed in alogliptin nonclinical studies nor have they in humans.

Preferred terms were identified by severe cutaneous adverse reactions Standardized Medical Query (SMQ) (narrow-scope terms only), angioedema SMQ (narrow-scope terms only), and anaphylactic reaction SMQ (narrow-scope terms only).

Overall, the frequency of hypersensitivity reactions was low ( $\leq 0.8\%$ ) and balanced across the treatment groups. There were no serious hypersensitivity reactions in subjects receiving alogliptin 12.5 mg or 25 mg. 13 patients (0.2%) developed an anaphylactic reaction during alogliptin, whereas no patient developed an anaphylactic reaction during treatment with placebo. Although not part of the hypersensitivity reaction event search by SMQ, it is noted that a subject in the Phase III program (on alogliptin 25 mg) had an SAE of serum sickness that resulted in discontinuation of study drug.

While safety results for alogliptin indicate a low incidence of hypersensitivity reactions, such reactions are included as an undesirable effect in section 4.8 of the SmPC, which is consistent with labeling for other DPP-4 inhibitors, and listed as a potential risk in the RMP. As additional pharmacovigilance activity the cardiovascular outcome study 402 is further investigating hypersensitivity reactions. The final study report is expected to be in the first quarter of 2014.

#### Acute Pancreatitis

No toxicological effects in the pancreas or pancreatic cells were observed in nonclinical studies of alogliptin. No evidence of pancreatitis was noted in the chronic toxicity studies in rats and dogs or in a 2-year carcinogenicity studies in mice and rats.

In the Controlled Phase 2 and 3 Study Group, the percentage of subjects reporting at least 1 acute pancreatitis TEAE was low in all groups, reported in 5 subjects (0.1%) treated with alogliptin 25 mg and

2 subjects (<0.1%) with alogliptin 12.5 mg compared with 1 subject (<0.1%) treated with an active comparator. Among the 7 alogliptin-treated subjects reporting at least 1 acute pancreatitis TEAE, 3 subjects had SAEs and 2 subjects had TEAEs (pancreatitis acute and pancreatitis) that led to study drug discontinuation.

In addition to the 8 subjects in the Controlled Phase 2 and 3 Study Group with pancreatitis TEAEs, as of 23 August 2011, pancreatitis TEAEs were reported for 6 subjects in Study 402 (3 and 3 subjects, respectively, in the alogliptin 25 mg and placebo groups), 13 subjects in Study 012 (9 and 4 subjects, respectively, in the alogliptin 25 and 12.5 mg groups), and 2 subjects in the regional studies (1 subject on placebo in Study 308 [China] and 1 subject on alogliptin 25 mg in OCT-001 [Japan]).

After adjusting for exposure, rates of pancreatitis adverse events were 0, 0.1, 0.1, and 0.3 events per 100 subject-years, respectively, for the placebo, active comparator, and alogliptin 12.5 and 25 mg groups in the Controlled Phase 2 and 3 Study Group. These rates are comparable to epidemiological studies that have shown that diabetic subjects have an increased incidence of 0.05 to 0.4 events per 100 patient-years vs 0.02 to 0.15 events per 100 patient-years in non-diabetic subjects [48-51].

The frequency of pancreatitis events is low but there is an increased risk with alogliptin treatment.

The risk of pancreatitis is included as Warning and Precautions in the SmPC, Section 4.4, and acute pancreatitis is listed as an adverse reaction in Post-marketing Reports in the SmPC, Section 4.8. Moreover, new pancreatitis data have been integrated during the procedure and hence pancreatitis is now included as an identified risk in the Risk Management Plan. As additional pharmacovigilance activity the cardiovascular outcome study 402 is further investigating pancreatitis. The final study report is expected to be in the first quarter of 2014.

### Malignancies

Malignancies are considered special-interest TEAEs for long-term use of DPP-4 or GLP-1 therapies. Alogliptin was not genotoxic in nonclinical in vitro and in vivo genotoxic studies, and no evidence of carcinogenicity occurred in the nonclinical studies with alogliptin. In preclinical studies a minimal to mild simple transitional cell hyperplasia in the urinary bladder was noted in male rats at 27-fold higher than the intended human exposure. Pioglitazone has been associated with bladder cancer, and therefore an interaction with pioglitazone can not be excluded. However, no cases of bladder cancer were reported in the clinical trials.

The percentage of subjects reporting at least 1 malignancy TEAE was low in all groups (0.9% placebo, 0.4% active comparator, 0.8% alogliptin 12.5 mg, 0.5% alogliptin 25 mg) with no imbalance in individual cancers.

Based on these results showing low overall incidence, no special warning/precaution is included for malignancies (SmPC).

### *Pancreatic Cancer*

Uncertainties remained during the procedure regarding effects of alogliptin on the pancreas, as long term safety data are limited. Besides, during the procedure data had been published that gave rise to additional concerns on inflammatory and proliferative pancreatic effects of the therapy with another DPP-4 inhibitor, sitagliptin, (Butler et al. Diabetes, March 2013). Therefore the applicant was asked during the assessment procedure to provide further analyses with regard to pancreatic risk.

In the controlled clinical studies, including the long-term studies OPI-004 (52 weeks) and 305 (104 weeks), there were no TEAEs of pancreatic cancer in alogliptin treatment groups. A PV database search found that 5 subjects had pancreatic cancer events that occurred outside of the study treatment period: 4 subjects had events that occurred during run-in before randomization (prior to study drug

exposure) and 1 subject who received placebo and pioglitazone had an event spontaneously reported 1 year after study completion.

As of November 2012, in Study 402, there were no TEAEs of pancreatic cancer.

A total of 5 subjects with events were reported with alogliptin in uncontrolled studies, and the incidence rates of pancreatic cancer for the alogliptin uncontrolled studies were considered to be consistent with the incidence expected in the T2DM population.

Most postmarketing cases reported a time to onset less than 2 months from starting alogliptin or had pre-existing pancreatic cancer before receiving alogliptin.

Based on these additional data the CHMP considered that there was no clear evidence for an association of pancreatic cancer and alogliptin treatment. Nevertheless, CHMP considered that a targeted follow-up is needed. This has now been reflected in the RMP as 'Pancreatic cancer' has been included as an important potential risk (in line with the recommendation given by CHMP at the July 2013 meeting for this class of products in the conclusions of the Art. 5(3) referral for GLP 1 based therapies).

### Hypoglycaemia

Investigators were asked to record episodes of hypoglycaemia on a dedicated case report form (CRF). Three criteria were identified:

1. Symptomatic hypoglycaemic episode and blood glucose <3.33 mmol/L (Mild to Moderate).
2. Symptomatic or asymptomatic hypoglycaemic episode and blood glucose <2.78 mmol/L (Mild to Moderate).
3. Any hypoglycaemic episode that required assistance, associated with a documented blood glucose <3.33 mmol/L (Severe).

The incidence of hypoglycaemic episodes in the Controlled Phase 2 and 3 Study Group (excluding Study 301 as detailed information regarding hypoglycaemic episodes was not collected in this study) was 12.9% in the active comparator group, 3.6% in the alogliptin 25 mg group, and 6.2% in the placebo group. Within each treatment group, the highest numbers of hypoglycaemic episodes were classified as symptomatic hypoglycaemic episodes with a blood glucose <3.33 mmol/L. Although the incidence of severe hypoglycaemic episodes was low overall, the percentages in the placebo and active comparator groups (both 0.4%) were higher than for subjects treated with alogliptin (0.1%). From this pooled analysis, across the alogliptin clinical development program, alogliptin treatment does not lead to an increased risk of hypoglycaemia when compared with placebo or active comparator.

From the main individual placebo-controlled studies covering use as add-on to MET (008) and add-on to SU (007), there was no consistent indication of an increase in hypoglycaemia risk or severity by the addition of alogliptin 25 mg. The level of HbA1c on entry, being at the lower end of the diabetic range, did not appear to unduly influence hypoglycaemia rates or severity.

In Study 007 (add-on to SU), fewer subjects in the alogliptin 25 mg group (9.6%) experienced a hypoglycaemic event compared with placebo (11.1%). The noticeably higher rates in the placebo and alogliptin 12.5 mg (15.8%) arms were likely driven by the SU component.

In the case of alogliptin 25 mg used to form triple therapy with MET and pioglitazone in Study 322OPI-004, there was an approximate tripling of rate of hypoglycaemic episodes (4.5%) vs dual therapy with MET and a higher dose of pioglitazone (1.5%). A similar trend was also seen in Study 322OPI-001, which compared pioglitazone and alogliptin alone and in combination as add-on therapy to MET, but with lower incidence rates.

In Study 009 (add-on to TZD), accurate interpretation of hypoglycaemic episode rates is complicated by the permitted variations in background therapy with respect to MET and SU. There were more hypoglycaemic episodes in the alogliptin 25 mg group (7.0%) compared to the placebo group (5.2%).

In Study 011 (add-on to insulin, with or without MET), episodes of hypoglycaemia were anticipated due to the insulin background therapy in this study population. The incidence of hypoglycaemic episodes was higher in the alogliptin 25 mg (27.1%) and 12.5 mg (26.7%) groups vs placebo (24.0%), but the incidence was similar for severe cases.

In Study 305 (alogliptin vs SU in a general adult T2DM population, on MET monotherapy), hypoglycaemia rates with alogliptin 25 mg vs MET+glipizide were >10-fold lower (1.4% vs 23.8%, respectively). Similarly, the incidence of severe hypoglycaemic episodes was greater in the MET+glipizide group (0.5%) compared with the MET+alogliptin 12.5 mg and MET+alogliptin 25 mg groups (0.1% and 0, respectively). The higher incidence of hypoglycaemia in the MET+glipizide group is consistent with the glipizide label, which states that hypoglycaemia is likely to occur when more than one glucose-lowering drug is used.

In elderly subjects  $\geq 65$  years in Study 303, hypoglycaemia rates were approximately 5-fold lower for alogliptin 25 mg vs glipizide (5.4% vs 26.0%). There were no severe episodes of hypoglycaemia in the alogliptin 25 mg group, and the rate of hypoglycaemia was in line with the hypoglycaemia rates in the placebo and alogliptin groups reported in the Controlled Phase 2 and 3 Study Group, predominantly in subjects <65 years. As elderly patients with T2DM are considered more susceptible to episodes of hypoglycaemia than younger patients, a pooled analysis of the data from 12 studies was performed comparing these age groups. The overall incidence of any episode of hypoglycaemia was similar between subjects  $\geq 65$  years and <65 years (3.8% and 3.6%, respectively) treated with alogliptin 25 mg.

### **Comparative Safety by Dose**

In most of the Phase III studies, both alogliptin 12.5 mg and 25 mg were evaluated; however, in some studies, particularly the longer duration studies, only 25 mg was evaluated. Therefore, for the alogliptin 25 mg group, there were more subjects exposed overall and for longer durations compared with the alogliptin 12.5 mg group.

Incidence of TEAEs was similar between the alogliptin 12.5 and 25 mg dose groups. In the Controlled Phase 2 and 3 Study Group, the incidence of TEAEs was 67.5% in the alogliptin 12.5 mg group (333.2 events per 100 subject-years) and 66.6% in the alogliptin 25 mg group (342.1 events per 100 subject-years). For SAEs, the incidence was 4.0% in the alogliptin 12.5 mg group (8.5 events per 100 subject-years) vs 4.7% in the alogliptin 25 mg group (9.9 events per 100 subject-years). For TEAEs leading to discontinuation of the study drug, the incidence was 3.6% in the alogliptin 12.5 mg group (6.5 events per 100 subject-years) vs 4.1% in the alogliptin 25 mg group (7.1 events per 100 subject-years).

Common TEAEs (experienced by  $\geq 3\%$  of subjects in either dose group) were experienced by similar proportions of subjects in the 12.5 and 25 mg dose groups and included nasopharyngitis (5.7% vs 5.1%, alogliptin 12.5 mg vs 25 mg), upper respiratory tract infection (4.9% vs 5.2%), headache (4.4% vs 5.4%), urinary tract infection (4.1% vs 4.2%), hypertension (3.6% vs 3.9%), diarrhoea (3.7% vs 3.8%), and back pain (3.5% vs 3.3%). No meaningful differences were observed between the dose groups in the analysis of common TEAEs by time to onset or by duration of exposure to treatment. In addition, no single type of event emerged in 1 of the 2 dose categories and not in the other.

Similarly, the incidences of TEAEs of special interest, including hypersensitivity, acute pancreatitis, malignancies, and CV events were comparable between exposure-corrected dose groups. Overall, the safety and tolerability profile of alogliptin was similar between the 12.5 and 25 mg groups.

## Laboratory findings

For laboratory evaluations of haematology, clinical chemistry, and urinalysis, mean changes from baseline to Endpoint were generally small and consistent across the treatment groups. This was also the case for renal and hepatic function parameters.

The incidence of markedly abnormal values for renal function parameters during treatment was low overall and similar across treatment groups.

During treatment, the incidence of alanine aminotransferase (ALT)  $>3\times$  upper limit of normal (ULN) was higher in the active comparator group (2.2%) than in alogliptin or placebo groups (1.3% and 0.9%, respectively). The incidence of ALT  $>5\times$ ULN in subjects receiving active comparator, alogliptin or placebo was 0.5%, 0.3%, and 0.1%, respectively. ALT  $>10\times$ ULN only occurred in subjects receiving active comparator or alogliptin (0.2% and 0.1%, respectively).

The incidence of total bilirubin  $>34.2\text{ }\mu\text{mol/L}$  was low and similar across groups (active comparator 0.5%, alogliptin 0.4%). The incidence of ALT  $>3\times$ ULN concurrent with total bilirubin  $>34.2\text{ }\mu\text{mol/L}$  was 0.1% in the active comparator group and  $<0.1\%$  in subjects receiving alogliptin.

For the alogliptin-treated subjects with an ALT  $>10\times$ ULN, all had an alternative (non-study drug) aetiology. Minor, transient and isolated elevations in hepatic parameters were observed in other subjects but most were not considered clinically meaningful in terms of observed absolute values within expected physiological fluctuation of these enzymes in the context of underlying liver comorbidity.

Overall, the data indicate alogliptin is associated with a low risk of hepatic toxicity.

## Vital Signs and Electrocardiogram Evaluations

No clinically meaningful trends were observed in vital sign measures (pulse, blood pressure, respiratory rate, and temperature). In addition, alogliptin was found to be weight neutral.

Nonclinical electrophysiological studies did not raise any safety concerns. Study 019 investigated the effects of alogliptin on cardiac repolarization (QT/QTc) and concluded that alogliptin had no clinically meaningful effect on cardiac repolarization. Electrocardiogram (ECG) parameters showed no clinically meaningful trends.

## Safety in special populations

To determine whether certain factors predispose subgroups of individuals to experience specific TEAEs, analyses were performed using the Controlled Phase 2 and 3 Study Group for a number of intrinsic (sex, age, race, BMI, and renal function) factors. No important differences were noted.

### Elderly

TEAEs in the Controlled Phase 2 and 3 Study Group were reviewed by age group ( $<65$ , 65-74, 75-84, and  $\geq 85$  years). Dizziness, headache, urinary tract infection, diarrhoea, and dyslipidaemia were consistently reported by a greater percentage of subjects 75-84 years compared with subjects  $<65$  years and subjects 65-74 years in the alogliptin 25 mg group. This trend was also evident in the active comparator group for dizziness. This finding is consistent with the known propensity for these conditions observed in the general population of elderly patients and is not attributable per se to alogliptin treatment.

In addition, creatinine renal clearance decreased was reported by a greater percentage of subjects 75-84 years of age compared with subjects  $<65$  years and subjects 65-74 years in the alogliptin 25 mg group. This trend was also evident in the active comparator group. This subgroup difference is not unexpected and is unlikely to be attributable to alogliptin treatment.



In the Controlled Phase 2 and 3 Study Group, no safety signals were observed in subgroup populations stratified by age, but exposure in subjects older than 85 years of age is very limited.

Study 303 was a randomized, double-blind, active-controlled study designed to further explore the efficacy and safety of alogliptin compared with glipizide over a longer period of time (up to 52 weeks) in an older T2DM subject population (age, 65 to 90 years). Overall, compared with glipizide, alogliptin was well tolerated, showed less hypoglycaemia, and no body weight increases. The safety and tolerability results evaluated in this study were consistent with the safety profile established for alogliptin in previous studies within its clinical development program. The most frequently reported TEAEs included urinary tract infection, dizziness, and headache, all of which are similar to glipizide and consistent with what has been reported in previous studies. Most other TEAEs occurred in less than 1% of subjects, were considered by the investigator not drug related, and were mild or moderate in intensity.

#### Subjects with Impaired Renal Function

In the phase 1 Study 006 (renal pharmacokinetic study), compared with healthy subjects, systemic exposure to alogliptin was 71%, 112%, 251% and 377% higher in subjects with mild, moderate, or severe renal impairment, and with ESRD, respectively, following administration of a single alogliptin 50 mg dose. While no change in dose is anticipated for patients with mild renal impairment, dose reductions proportional to the increases in exposure in subjects with moderate or severe renal impairment or ESRD are recommended (SmPC). The majority of TEAEs reported in this study were judged to be mild in intensity and unrelated to study drug. The percentage of TEAEs was similar between each renal impairment group and their respective healthy matched controls. As expected, several subjects with renal impairment exhibited serum chemistry and urinalysis abnormalities consistent with their underlying condition; however, no clinically meaningful changes in any of these values were observed.

The majority of subjects in the Controlled Phase 2 and 3 Study Group had mild or moderate renal impairment based on estimated glomerular filtration rate (eGFR) using the MDRD calculation. The relatively small number of subjects with severe baseline renal impairment limits the ability to make meaningful comparisons in this subgroup (no subjects receiving placebo or active comparator, 1 subject in the alogliptin 12.5 mg group, and 3 subjects in the alogliptin 25 mg group when defined by MDRD formula).

In the Controlled Phase 2 and 3 Study group, urinary tract infection was the only common TEAE reported by  $\geq 1\%$  of subjects in the alogliptin 25 mg group for which the incidence in subjects with moderate renal impairment at baseline was higher than that in subjects with normal renal function or mild renal impairment at baseline. A similar trend was evident for subjects who received active comparator, indicating that this difference is not necessarily attributable to treatment with alogliptin. Similarly, pruritus was the only TEAE of interest reported by  $\geq 1\%$  of subjects overall in the alogliptin 25 mg group for which the incidence in subjects with either mild or moderate renal impairment at baseline was at least twice that in subjects with normal renal function at baseline.

Of the TEAEs reported by  $\geq 1\%$  of subjects with severe renal impairment in Study 402, compared to placebo, alogliptin was associated with a similar percentage TEAEs (87.9 % vs. 87.9%). As expected with multiple comparisons, some numerical imbalances remain with the updated data set, including events in which incidence was lower for alogliptin compared with placebo and those with an incidence higher for alogliptin compared with placebo. Among the most common TEAEs ( $\geq 5\%$  incidence), a 2-fold difference between treatment groups was observed for anemia, urinary tract infection, and angina pectoris (higher for alogliptin) and diarrhea, edema peripheral, and blood creatine phosphokinase increased (higher for placebo). As additional pharmacovigilance activity the cardiovascular outcome study 402 is further investigating effects in patients with renal impairment. The final study report is expected to be in the first quarter of 2014.



### Subjects with Impaired Hepatic Function

Results from phase 1 Study 023 demonstrated that mild or moderate hepatic impairment did not affect exposure to alogliptin; therefore, subgroup analyses were not performed for hepatic function. The effect of severe hepatic impairment on the pharmacokinetics of alogliptin was not studied. As a result, use in patients with severe hepatic impairment is not recommended (SmPC). As additional pharmacovigilance activity the cardiovascular outcome study 402 is further investigating hepatotoxicity. The final study report is expected to be in the first quarter of 2014.

### Interactions

Alogliptin was devoid of any clinically meaningful drug or food interactions, which suggests a favourable safety profile in patients with T2DM who are likely to be receiving multiple concomitant medications.

### **Post marketing experience**

Alogliptin was approved for use in the treatment of T2DM in Japan in April 2010 and commercially launched (6.25, 12.5, and 25 mg) in June 2010.

As of 15 October 2011, cumulative exposure for alogliptin is estimated to be 117,359 patient-years. A total of 271 postmarketing cases were included in the 3 PSURs, 37 of which were serious. The most common events reported postmarketing were in the skin and subcutaneous disorders SOC (18 serious and 124 nonserious cases) and included 1 case of Stevens-Johnson syndrome.

Hepatotoxicity was reported postmarketing in 5 cases. An independent committee concluded that the relationship between alogliptin and hepatotoxicity in three of the five cases was deemed “probable” (50-74% probability) and in the remaining two was deemed “possible” (25-49% probability).

There were 6 serious postmarketing cases of acute pancreatitis (as of 27 October 2011). All except 1 serious postmarketing case had a possible alternative aetiology that likely precipitated the event. One fatal case of necrotizing pancreatitis was reported, which occurred in a patient with multiple gallbladder stones as evidenced by dilation of the extrahepatic common bile duct on autopsy.

No new information affecting the safety profile of alogliptin has been identified postmarketing and no changes have been made to the Company Core Safety Information (CCSI). To date, no regulatory action has been taken by the Japanese regulatory authority with respect to safety labeling, which is based on the clinical trial program.

PSURs have been produced every 6 months since approval in Japan. Categories of medically significant adverse reactions reviewed within each PSUR include those relating to skin and subcutaneous tissue disorders, hypoglycaemia, pancreatitis, and hepatotoxicity.

#### **2.6.1. Discussion on clinical safety**

Overall, a comprehensive clinical program was submitted comprising 55 clinical studies involving approximately 1000 healthy adult subjects and more than 11,000 adult subjects with T2DM. The patient population seems representative of the European population of diabetes patients.

The most common TEAEs reported in  $\geq 5\%$  of subjects treated with alogliptin 25 mg and more frequently than in subjects who received placebo or active comparators were headache, nasopharyngitis, and upper respiratory tract infection. In comparison to other DPP-4 inhibitors, no potential new adverse events emerged. In order to increase the precision of adverse event rates and to achieve a higher validity, the applicant was requested during the procedure to generate a safety data pool containing all 7 pivotal phase III studies (5 placebo-controlled and 2 active-comparator studies) and to present a table of adverse events to be reflected in the tabulated list of adverse reactions for section 4.8 for the proposed SmPC. The

applicant has provided the requested safety data pool containing all pivotal phase III studies. It is agreed that the pattern of TEAEs in the pool 'Pivotal Phase III Controlled Studies' was similar to the pool 'Controlled Phase 2 and 3 Study Group'. The tabulated list of ADRs in SmPC section 4.8 was updated accordingly to reflect data from pooled phase III studies instead of individual studies in accordance with the SmPC guideline.

Serious adverse events were higher with alogliptin compared to placebo, but lower compared to active comparators. There was no discernible pattern in the type of adverse events. The applicant was requested to a more in depth discussion regarding the following 7 fatal cases, considered to be related to alogliptin treatment: 1 acute pancreatitis, 1 sudden death and 1 acute pulmonary oedema in the Controlled Phase 2/3 Group and 4 fatal cases with CV outcome in the study 012. After review of the cases, it is considered that these individual cases (seven classified as possibly related and one as not related) do not strongly reflect an association with alogliptin. Such events are expected in a population with T2DM and occurred at rates consistent with other studies. No apparent patterns, trends, were observed and it is considered that they do not indicate a new safety concern. Moreover, further results from the CV outcome study 402, for which a final study report is expected to be available during the first quarter of 2014, should allow a further in-depth characterisation of the CV profile of alogliptin-containing products.

Pre-defined special-interest AEs for alogliptin were CV (MACE), hypersensitivity reactions (severe cutaneous adverse reactions, angioedema, and anaphylaxis reactions), acute pancreatitis, and malignancies.

#### *Cardiovascular safety*

In the Controlled Phase 2 and 3 Study Group, when compared to placebo, alogliptin was associated with a higher cardiovascular event rate (Hazard ratio 1.33). However, in the Controlled Phase 2 and 3 Study Group, cardiovascular event rate was lower compared to active comparators (Hazard ratio 0.66). In addition, interim analyses of the cardiovascular outcome study (study 402) demonstrated that alogliptin was associated with a lower cardiovascular risk (Hazard ratio 0.81).

Owing to the differences in the number of events for MI (10 vs. 6), cardiac failure (7 vs. 1) and cardiac failure congestive (14 vs. 7) in the table presenting TAES vs. the table presenting serious TEAEs) in the SOC cardiac disorders, the CHMP did seek clarification during the procedure on the cases of cardiac failure and myocardial infarction designated as non serious. The applicant stated that there were 20 subjects in total in the alogliptin clinical studies who experienced adverse events (AEs) of cardiac failure/cardiac failure congestive (14 subjects) or myocardial infarction (6 subjects) in which the event had been classified by the investigator as non serious. The applicant did provide satisfactory details of the definition of SAEs, a clinical review of the available data that was performed and a rationale for the non serious designation. Similarly, reassuring descriptions were provided by the applicant for the cases of heart failure, and therefore the CHMP considered this concern as being resolved.

#### *Hypersensitivity reactions*

Safety results for alogliptin indicate a low incidence of hypersensitivity reactions. Nevertheless, 13 patients (0.2%) developed an anaphylactic reaction during alogliptin, whereas no patient developed an anaphylactic reaction during treatment with placebo. During postmarketing surveillance in Japan, skin disorders, including Stevens Johnson, were reported. Consistent with labeling for other DPP-4 inhibitors such reactions have been mentioned in the SmPC (4.4 Special warnings and precautions for use).

#### *Pancreatitis*

The frequency of pancreatitis events is low, but alogliptin was associated with a higher risk for pancreatitis in comparison to comparators. Several cases of pancreatitis were reported post-marketing of which one was fatal. Given the increased risk of pancreatitis reported with other DPP-4 inhibitors, the risk of

pancreatitis is included as Warning and Precautions in the SmPC, Section 4.4, and acute pancreatitis is listed as an adverse reaction in Post-marketing Reports in the SmPC, Section 4.8. Moreover, new pancreatitis data have been integrated during the procedure and hence pancreatitis is now included as an identified risk in the Risk Management Plan.

#### *Malignancies*

There is no safety signal for malignancies with alogliptin. Therefore, no special warning/precaution is necessary for malignancies.

#### *Pancreatic Cancer*

Uncertainties remained during the procedure regarding effects of alogliptin on the pancreas, as long term safety data are limited. Besides, during the procedure data had been published that gave rise to additional concerns on inflammatory and proliferative pancreatic effects of the therapy with another DPP-4 inhibitor, sitagliptin, (Butler et al. Diabetes, March 2013). Therefore the applicant was asked during the assessment procedure to provide further analyses with regard to pancreatic risk.

In the controlled clinical studies, including the long-term studies OPI-004 (52 weeks) and 305 (104 weeks), there were no TEAEs of pancreatic cancer in alogliptin treatment groups. A PV database search found that 5 subjects had pancreatic cancer events that occurred outside of the study treatment period. As of November 2012, in Study 402, there were no TEAEs of pancreatic cancer. In uncontrolled studies, the incidence rates of pancreatic cancer associated with the use of alogliptin were low and considered to be consistent with the incidence expected in the T2DM population.

Based on these additional data the CHMP considered that there was no clear evidence for an association of pancreatic cancer and alogliptin treatment. Nevertheless, CHMP considered that a targeted follow-up is needed. This has now been reflected in the RMP as 'Pancreatic cancer' has been included as an important potential risk (in line with the recommendation given by CHMP at the July 2013 meeting for this class of products in the conclusions of the Art. 5(3) referral for GLP 1 based therapies).

#### *Hypoglycaemia*

There was no increase in hypoglycaemia rate vs placebo when alogliptin 25 mg was administered alone, added on to SU, or added on to metformin. In the case of alogliptin 25 mg used to form triple therapy with metformin and pioglitazone in Study 322OPI-004, there was an increased rate of hypoglycaemic episodes. In Study 009 (add-on to TZD), there was a small increase in the rate of hypoglycaemic episodes in the alogliptin 25 mg group. In Study 011 (add-on to insulin, with or without metformin), the incidence of hypoglycaemic episodes was higher with alogliptin 25 mg vs placebo. This increased rate of hypoglycaemia in combination with metformin/TZD and insulin is mentioned in the SmPC.

#### *Vital signs and ECG*

There were no relevant changes in vital signs and ECG. There were no relevant changes in laboratory findings.

#### *Subgroups*

In patients with mild to moderate renal insufficiency, no safety signals were observed with alogliptin. The number of patients with severe renal insufficiency in the pivotal studies was negligible. In the cardiovascular outcome study 402, a number of patients with severe renal insufficiency were included. Of the TEAEs reported by  $\geq 1\%$  of subjects with severe renal impairment, compared to placebo, alogliptin was associated with a similar percentage TEA's (87.9 % vs. 87.9%).

No safety signals for alogliptin were observed in subgroup populations stratified by age. Some adverse events were more common with alogliptin in elderly individuals. However, the number of patients was

limited, and the differences between alogliptin and placebo were small. Overall, no safety signals were observed with alogliptin in subgroup populations stratified by race. In addition, no safety signals were observed with alogliptin in subgroup populations stratified by BMI.

Patients with hepatic disease were excluded in the phase 2 and 3 studies. In a pharmacokinetic study in patients with moderate hepatic impairment, there were no adverse events and no clinically meaningful changes in laboratory tests were reported. However, the use of alogliptin in patients with severe hepatic impairment can not be recommended. In addition, five cases of hepatotoxicity, including one case of hepatic failure were reported postmarketing. An independent committee concluded that the relationship between alogliptin and hepatotoxicity in three of the five cases was deemed “probable” (50-74% probability) and in the remaining two was deemed “possible” (25-49% probability). Although no causal relationship between alogliptin and hepatic dysfunction has been established, these 5 cases provide important knowledge about the risks of alogliptin in clinical practice. Therefore, hepatic dysfunction has been included in the SmPC in section 4.4 (warnings and precautions) and 4.8 (undesirable effects). Furthermore, hepatotoxicity is included in the RMP as important potential risk.

#### *Drug interactions*

No dose adjustment is required due to drug interactions.

### **2.6.2. Conclusions on the clinical safety**

The safety profile of alogliptin was similar to other DPP-4 inhibitors with no potential new safety adverse events identified.

The cases of hepatotoxicity, observed post-marketing in Japan, are relevant. Therefore, hepatic dysfunction has been included in the SmPC in section 4.4 (warnings and precautions) and 4.8 (undesirable effects). Furthermore, hepatotoxicity is included in the RMP as important potential risk.

## **2.7. Pharmacovigilance**

### **Detailed description of the pharmacovigilance system**

The CHMP considered that the Pharmacovigilance system as described by the applicant fulfils the legislative requirements.

### **2.8. Risk Management Plan**

The CHMP received the following PRAC Advice on the submitted Risk Management Plan:

#### **PRAC Advice**

Based on the PRAC review of the Risk Management Plan version 4.0, the PRAC considers by consensus that the risk management system for alogliptin (Vipidia) indicated in adults aged 18 years and older with type 2 diabetes mellitus to improve glycaemic control in combination with other glucose lowering medicinal products including insulin, when these, together with diet and exercise, do not provide adequate glycaemic control is acceptable.

This advice is based on the following content of the Risk Management Plan:

- **Safety concerns**

The applicant identified the following safety concerns in the RMP:

Summary of the Safety Concerns

Summary of safety concerns	
Important identified risks	<ul style="list-style-type: none"> <li>- Hypersensitivity reactions</li> <li>- Pancreatitis</li> </ul>
Important potential risks	<ul style="list-style-type: none"> <li>- Hepatotoxicity</li> <li>- Peripheral necrotic skin lesions</li> <li>- Gastrointestinal disorders</li> <li>- Infections</li> </ul>
Missing information	<ul style="list-style-type: none"> <li>- Patients with concurrent CV disease</li> <li>- Patients with severe renal impairment or End-Stage Renal disease (ESRD) requiring dialysis</li> <li>- Patients with severe hepatic impairment</li> <li>- Pregnant and/or breastfeeding women</li> <li>- Children and adolescents</li> <li>- Malignancies</li> </ul>

The PRAC agreed.

#### • Pharmacovigilance plans

Ongoing and planned studies in the PhV development plan

Activity/Study title	Objectives	Safety concerns addressed	Status Planned, started,	Date for submission of final reports
<i>CV outcome study 402 - A multicenter, randomized, doubleblind, placebo-controlled study</i>	<i>Evaluate CV outcomes following treatment with alogliptin in addition to standard of care in subjects with type 2 diabetes and ACS</i>	Investigate hypersensitivity reactions, pancreatitis, skin lesions, hepatotoxicity, GI disorders and infections, effects in patients with concurrent CV disease and effects in patients with renal impairment.	<i>Ongoing</i>	<i>January 2014</i>

The PRAC, having considered the data submitted, was of the opinion that the proposed post-authorisation PhV development plan is sufficient to identify and characterise the risks of the product.

#### • Risk minimisation measures

Summary table of Risk Minimisation Measures

Safety concern	Routine risk minimisation measures	Additional risk minimisation measures
Hypersensitivity Reactions	SmPC Sections 4.3, 4.4 and 4.8 provide data and recommendations	None
Pancreatitis	SmPC Sections 4.4 and 4.8 provide data and recommendations	None
Hepatotoxicity	SmPC Section 4.4 and 4.8 provides	None

<b>Safety concern</b>	<b>Routine risk minimisation measures</b>	<b>Additional risk minimisation measures</b>
	data.	
Peripheral necrotic skin lesions	None required	None
Gastrointestinal disorders	SmPC Section 4.8 provides data	None
Infections	SmPC Section 4.8 provides data	None
Patients with concurrent cardiovascular disease	SmPC Section 4.4 provides a warning concerning limited experience with alogliptin in patients with class III/IV congestive heart failure	None
Patients with severe renal impairment or End-Stage Renal disease (ESRD) requiring dialysis	SmPC Section 4.2 provides information on the need for dose adjustment of alogliptin in patients with moderate to severe renal impairment and Section 4.4 provides a warning concerning limited experience with alogliptin in patients with severe renal impairment or ESRD requiring dialysis.	None
Patients with severe hepatic impairment	SmPC Sections 4.2 and 4.4 provide warnings on the absence of data concerning use of alogliptin in patients with severe hepatic impairment.	None
Pregnant and/or breastfeeding women	SmPC Section 4.6 provides information on the absence of data.	None
Children and adolescents	SmPC Section 4.2 provides information on the absence of paediatric data.	None
Malignancies	None required	None

The PRAC, having considered the data submitted, was of the opinion that the proposed risk minimisation measures are sufficient to minimise the risks of the product in the proposed indication(s).

In addition the PRAC considered that the applicant should address the following points

- Pancreatic cancer should be included in the RMP as missing information
- Pancreatic cancer should be added as an adverse event of special interest in the CV outcome study 402.

The CHMP endorsed this advice with changes.

These changes concerned the following elements of the Risk Management Plan:

- Pancreatic cancer should be included in the RMP as an important potential risk

The CHMP justified these changes as follows:

The Article 5 (3) referral procedure assessing the available data concerning the potential relationship between pancreatic cancer and GLP-1 agonists and DPP-4 inhibitors treatment, was concluded during July 2013 CHMP meeting. In line with the recommendation given by CHMP in the conclusion of the above mentioned Art. 5(3) referral procedure "pancreatic cancer" should be seen as a potential risk associated with alogliptin treatment and reflected as such in all alogliptin containing products' RMPs.

All issues identified by the PRAC and the CHMP were properly addressed by the applicant and an updated RMP version 5 was submitted.

The CHMP endorsed the updated RMP without changes.

## **2.9. User consultation**

The results of the user consultation with target patient groups on the package leaflet submitted by the applicant show that the package leaflet meets the criteria for readability as set out in the *Guideline on the readability of the label and package leaflet of medicinal products for human use*.

## **3. Benefit-Risk Balance**

Alogliptin is a selective, orally administered, xanthine-based DPP-4 inhibitor that lowers blood glucose levels by augmenting the glucose-stimulated insulin release through increased levels of endogenous GLP-1.

### **Benefits**

#### **Beneficial effects**

Efficacy and safety of alogliptin were studied in an extensive number of double blind randomized trials, including trials with placebo and active comparators, and in combination with several other antidiabetic agents. HbA1c was used as the primary endpoint. In the placebo controlled studies, the treatment effect of alogliptin was modest (0.5-0.6%), but clinically relevant.

A **monotherapy** indication was not requested. However, a monotherapy study (010) comparing alogliptin with placebo is submitted. Compared to placebo, alogliptin 25 mg was associated with a reduction in HbA1c of -0.57% (-0.80 to -0.35) after 26 weeks.

For the **combination with metformin**, study 008 demonstrated that the treatment effect of alogliptin 25 mg was -0.48% (95% CI -0.67 to -0.30) in comparison to placebo after 26 weeks.

For the **combination with SU**, pivotal study 007 is submitted. In this study, alogliptin is compared to placebo in patients treated with SU. For the combination with SU, the treatment effect of alogliptin 25 mg was -0.53% (95% CI -0.73 to -0.33) after 26 weeks in comparison to placebo.

For the **combination with TZD (with or without metformin)**, pivotal study 009 is submitted. Alogliptin 25 mg was associated with a reduction in HbA1c of -0.61% (95% CI -0.80 to -0.41) after 26 weeks in comparison to placebo. Treatment effects were clinically relevant for alogliptin 25 mg in combination with TZD only (-0.49%) and in combination with TZD and metformin (-0.72%). In addition, in study 322OPI-004, the effects of adding alogliptin 25 mg were non-inferior compared with increasing the dose of pioglitazone from 30 to 45 mg.

For the **combination with insulin**, study 011 was submitted. Treatment effect of alogliptin 25 mg was modest, but clinically relevant (-0.59%; 95% CI -0.80 to -0.37) after 26 weeks. Baseline HbA1c values were relatively high (9.3%). This may have resulted in an overestimation of the treatment effects of alogliptin on HbA1c. Nevertheless, in the individuals with HbA1c below 8.5%, the effect of alogliptin 25 mg on HbA1c was also clinically relevant (-0.68%).

#### **Secondary endpoints**

The results of the analysis of the effects of alogliptin on fasting plasma glucose and the need for rescue therapy were in line with the effects on HbA1c. Alogliptin was not associated with weight gain in most



studies. However, in combination with SU, alogliptin was associated with an LS mean difference from placebo in weight of +0.8 and +0.9 kg for the alogliptin 12.5 and 25 mg dose levels. There were no important effects on serum lipids. Compared with placebo, alogliptin 25 mg was associated with statistically significant reductions from baseline in HbA1c and postprandial total triglycerides levels.

#### *Initial combination studies*

In an initial combination study, both coadministration therapy regimens of alogliptin plus metformin (Alogliptin 12.5 mg + Met 500 mg BID and Alogliptin 12.5 mg + Met 1000 mg BID) resulted in larger reductions in HbA1c compared to their individual component regimens of alogliptin alone or metformin alone. Alogliptin 12.5 mg BID provided similar glycaemic control compared with alogliptin 25 mg once daily. In patients inadequately controlled with metformin, each individual combination of Alogliptin+Pioglitazone achieved larger reductions in HbA1c at Week 26 compared with the corresponding alogliptin and pioglitazone doses given alone. These differences were clinically relevant. The initial combination of alogliptin and pioglitazone was associated with a reduction in HbA1c that was larger than that with alogliptin and pioglitazone monotherapy. These data provide further support for the use of alogliptin in combination with metformin and/or pioglitazone, but initial combination therapy was not an indication requested by the applicant and is not in line with current diabetes treatment guidelines.

### ***Uncertainty in the knowledge about the beneficial effects***

#### *Cardiovascular beneficial effects*

In the Controlled Phase 2 and 3 Study Group, when compared to placebo, alogliptin was associated with a higher cardiovascular event rate (Hazard ratio 1.33). However, in the Controlled Phase 2 and 3 Study Group, cardiovascular event rate was lower compared to active comparators (Hazard ratio 0.8). In addition, interim analyses of the cardiovascular outcome study demonstrated that alogliptin was associated with a lower cardiovascular risk (Hazard ratio 0.81).

#### *Non-inferiority compared to glipizide*

According to the diabetes guideline (CPMP/EWP/1080/00) non-inferiority of the new agent to an established active comparator as add-on to monotherapy (representing standard of care) should be demonstrated. In this case, standard of care would be metformin + SU. For the combination with metformin, in the on-going non-inferiority trial, both alogliptin 25 mg and glipizide were associated with a clinically relevant reduction in HbA1c (-0.61% and -0.52%, respectively) after 52 weeks. However, baseline HbA1c was relatively low in these patients (7.6%). This decreases the power to detect any differences between treatments. In addition, the glipizide dose in the comparator group was relatively low (mean dose 5.2 mg). This is probably due to the dose titration algorithm. Following any dose-titration, a subject who experienced hypoglycaemia was allowed to reduce the dose to as low as 5 mg glipizide (or matching placebo) and continue the study on that dose. Following down titration, subjects were not allowed to increase the dose again. With such a low HbA1c and low dose of glipizide, the CHMP concluded that non-inferiority of alogliptin when compared to SU as add-on therapy to metformin has not been established.

#### *Renal impairment*

Renal dose adjustment recommendations of alogliptin 12.5 mg and alogliptin 6.25 mg, respectively, for patients with moderate and severe renal impairment/ESRD are based on PK data. In the pivotal trials, efficacy was not importantly influenced by mild or moderate renal impairment, but patients with severe renal impairment were not included in these pivotal trials. In a supportive ongoing trial with patients with a recent acute coronary syndrome, the treatment effect of alogliptin 6.25 mg was shown to be relevant in patients with severe renal insufficiency.

### *Elderly individuals*

Diabetes mellitus type 2 is a disease that is especially prevalent in elderly individuals. In the pivotal trials, the treatment effect of alogliptin was not lower in patients >65 years compared to patients <65 years. However, only 2% of the patients treated with alogliptin were >75 years of age (n=124). Therefore, a study in elderly individuals was performed (study 303). Although alogliptin 25 mg and glipizide were statistically non-inferior, the absolute changes in HbA1c after 1 year were clinically not relevant. The fact that these results were observed in both treatment groups indicates that the observed efficacy response might be related to the specific study design, for example, the low baseline HbA1c and the inclusion of subjects on monotherapy (with a short period of background therapy washout). Importantly, however, results of the large pooled analysis of the 5 main Phase III, 26-week, placebo-controlled studies, demonstrate relevant efficacy in the elderly, also in patients aged  $\geq 75$  years.

### *Statistical considerations*

Analysis of change from baseline in HbA1c (primary endpoint) was based on FAS (placebo-controlled studies) or PPS (active-comparator studies) with the LOCF. This is accepted. However, more subjects in the placebo group than in the treatment group discontinued the study, particularly due to hyperglycaemic rescue. This was according to protocol, and was thus not considered a major protocol deviation (i.e. these patients would still be included in the PPS) but could have led to an overestimation of the treatment effect.

### *Effect of race*

The majority of the patients were White. In the pooled data, the treatment effect of alogliptin 25 mg in Whites is clinically relevant (-0.50%). Subgroup analyses in the individual main studies demonstrate that the effect is of borderline relevance for some of the requested indications. Specifically, for alogliptin add-on to SU (study 007), the treatment effect of alogliptin 25 mg is -0.38%. For alogliptin add-on to metformin (study 008), the treatment effect of alogliptin 25 mg is -0.36%.

### *Long term effects*

Although the extension study (study 012) was not intended for efficacy evaluation, after 4 years, the increase in HbA1c with alogliptin 25 mg over time was clinically relevant (+0.61%). In addition, in study 303 in elderly individuals, efficacy after 1 year was small, but stable. In the two main non-inferiority trials (study 004 and 305) after 1 year, treatment effects of alogliptin were relatively stable compared to glipizide (study 305) and compared to increasing the dose of pioglitazone (study 004).

### *Effects on beta cell function*

There tended to be effects on estimates of endocrine pancreatic function. However, these effects were not statistically significant in the majority of the studies. In addition, these serum measures (such as fasting proinsulin, fasting insulin, proinsulin/insulin ratio, C peptide and HOMA) are only surrogate estimates of pancreatic function.

## **Risks**

### **Unfavourable effects**

Overall, a comprehensive clinical program was submitted comprising 55 clinical studies involving approximately 1000 healthy adult subjects and more than 11,000 adult subjects with T2DM. The patient population seems representative of the European population of diabetes patients.

The most common TEAEs reported in  $\geq 5\%$  of subjects treated with alogliptin 25 mg and more frequently than in subjects who received placebo or active comparators were headache, nasopharyngitis, and upper

respiratory tract infection. In comparison to other DPP-4 inhibitors, no potential new adverse events emerged in the phase 2 and 3 studies.

Serious adverse events were higher with alogliptin compared to placebo, but lower compared to active comparators. There was no discernible pattern in the type of adverse events.

#### *Hypersensitivity reactions*

Safety results for alogliptin indicate a low incidence of hypersensitivity reactions. Nevertheless, 13 patients (0.2%) developed an anaphylactic reaction during alogliptin, whereas no patient developed an anaphylactic reaction during treatment with placebo. During postmarketing surveillance in Japan, skin disorders, including Stevens Johnson, were reported. Consistent with labeling for other DPP-4 inhibitors such reactions are now mentioned in the SmPC.

#### *Pancreatitis*

The frequency of pancreatitis events is low, but alogliptin was associated with a higher risk for pancreatitis in comparison to comparators. Several cases of pancreatitis were reported postmarketing of which one was fatal. Given the increased risk of pancreatitis reported with other DPP-4 inhibitors, the risk of pancreatitis is included as Warning and Precautions in the SmPC, Section 4.4, and acute pancreatitis is listed as an adverse reaction in Postmarketing Reports in the SmPC, Section 4.8. Moreover, pancreatitis is now included as an identified risk in the Risk Management Plan.

#### *Malignancies*

There is no safety signal for malignancies with alogliptin. Therefore, no special warning/precaution is necessary for malignancies.

Based on all available data the CHMP considered that there was no clear evidence for an association of pancreatic cancer and alogliptin treatment. Nevertheless, 'Pancreatic cancer' has been included in the Risk Management Plan as an important potential risk (in line with the recommendation given by CHMP at the July 2013 meeting for this class of products in the conclusions of the Art. 5(3) referral for GLP 1 based therapies).

#### *Hypoglycaemia*

There was no increase in hypoglycaemia rate vs placebo when alogliptin 25 mg was administered alone, added on to SU, or added on to metformin. In the case of alogliptin 25 mg used for triple therapy with metformin and pioglitazone in Study 322OPI-004, there was an increased rate of hypoglycaemic episodes. In Study 009 (add-on to TZD), there was a small increase in the rate of hypoglycaemic episodes in the alogliptin 25 mg group. In Study 011 (add-on to insulin, with or without metformin), the incidence of hypoglycaemic episodes was higher with alogliptin 25 mg vs placebo.

#### *Subgroups*

No safety signals for alogliptin were observed in subgroup populations stratified by age. Some adverse events were more common with alogliptin in elderly individuals. However, the number of patients was limited, and the differences between alogliptin and placebo were small. Overall, no safety signals were observed with alogliptin in subgroup populations stratified by race. In addition, no safety signals were observed with alogliptin in subgroup populations stratified by BMI.

## ***Uncertainty in the knowledge about the unfavourable effects***

### ***Patients with renal insufficiency***

In patients with mild to moderate renal insufficiency, no safety signals were observed with alogliptin. The number of patients with severe renal insufficiency in the pivotal studies was negligible. In the cardiovascular outcome study, 87 patients with severe renal insufficiency were studied for 6 months (43 treated with alogliptin and 44 treated with placebo). Of the TEAEs reported by  $\geq 1\%$  of subjects with severe renal impairment, compared to placebo, alogliptin was associated with a similar percentage TEA's (87.9 % vs. 87.9%).

### ***Patients with hepatic disease***

Patients with hepatic disease were excluded in the phase 2 and 3 studies. In a pharmacokinetic study in patients with moderate hepatic impairment, there were no adverse events and no clinically meaningful changes in laboratory tests were reported. Patients with severe hepatic impairment were not investigated. In addition, five cases of hepatotoxicity, including one case of hepatic failure, were reported postmarketing in Japan. An independent committee concluded that the relationship between alogliptin and hepatotoxicity in three of the five cases was deemed "probable" (50-74% probability) and in the remaining two were deemed "possible" (25-49% probability). Within the context of the reassuring hepatic safety database for the controlled clinical trials, and the lack of a "signature" presentation among alogliptin associated liver events according to the committee treatment does not reach a "threshold of concern regarding black box warnings, restrictions on usage, or monitoring requirements". Although no causal relationship between alogliptin and hepatic dysfunction has been established, these 5 cases provide important knowledge about the risks of alogliptin in clinical practice. Therefore, hepatic dysfunction has been included in the SmPC in section 4.4 (warnings and precautions) and 4.8 (undesirable effects). Furthermore, hepatotoxicity is included in the RMP as important potential risk.

## ***Balance***

### ***Importance of favourable and unfavourable effects***

The efficacy of alogliptin with respect to HbA1c appears modest, but similar to that of other DPP-4 inhibitors and of clinical relevance. In addition, similar to other DPP-4 inhibitors, alogliptin is not associated with weight gain, and there were no detrimental effects on blood pressure and serum lipids. The treatment effect on HbA1c in Whites was relatively weak for the combination of alogliptin with SU or Metformin, but the differences compared to other ethnic groups were small, and even less pronounced in other studies.

The negligible efficiency after 1 year in elderly individuals in study 305 was unexpected, but results of the large pooled analysis of the 5 main Phase III, 26-week, placebo-controlled studies, demonstrate sufficiently relevant efficacy in the elderly, also in patients aged  $\geq 75$  years.

The main goal of treatment of diabetes is the prevention of cardiovascular events. HbA1c is only a surrogate endpoint. A beneficial effect of alogliptin on cardiovascular events has not been shown. Nevertheless, interim analyses of the cardiovascular outcome study demonstrated that alogliptin was associated with a lower cardiovascular risk (Hazard ratio 0.81). A final study report is expected to be available during the first quarter of 2014.

In the relatively small number of patients with severe renal insufficiency, efficacy was acceptable and adverse events were similar between alogliptin and placebo.

Alogliptin was associated with several relatively minor adverse events, such as headache, nasopharyngitis, and upper respiratory tract infection. In comparison to other DPP-4 inhibitors, no potential new adverse events emerged.

DPP-4 inhibitors in general have been associated with a potential risk of developing acute pancreatitis. Similar to other DPP-4 inhibitors, alogliptin is associated with pancreatitis. In addition, there have been spontaneously reported adverse reactions of acute pancreatitis with alogliptin in the postmarketing setting in Japan. However, these events were rare, and consistent with labeling for other DPP-4 inhibitors the risk of pancreatitis is included as Warning and Precautions in the SmPC, Section 4.4, and acute pancreatitis is listed as an adverse reaction in Postmarketing Reports in SmPC, Section 4.8. Pancreatitis is included as an identified risk in the Risk Management Plan, into which new pancreatitis data has been integrated during the procedure.

The risk of hypoglycaemia for alogliptin in combination with metformin and SU is only slightly increased.

Alogliptin was not associated with weight gain in most studies. However, in the placebo-controlled studies, alogliptin administered as add-on therapy with SU was associated with weight gain. However, the size of this effect is relatively small.

There is insufficient knowledge about efficacy and safety in patients with severe hepatic disease. The use of alogliptin in patients with severe hepatic impairment can not be recommended. This is stated in the SmPC. In addition, hepatotoxicity was reported postmarketing in Japan. A relation with treatment with alogliptin cannot be ruled out but the hepatic safety database for the controlled clinical trials is considered reassuring.

### ***Benefit-risk balance***

Overall, the benefit-risk balance is considered positive.

## **4. Recommendations**

### ***Outcome***

Based on the CHMP review of data on quality, safety and efficacy, the CHMP considers by consensus that the risk-benefit balance of Vipidia in the treatment of adults aged 18 years and older with type 2 diabetes mellitus to improve glycaemic control in combination with other glucose lowering medicinal products including insulin, when these, together with diet and exercise, do not provide adequate glycaemic control (see sections 4.4, 4.5 and 5.1 for available data on different combinations) is favourable and therefore recommends the granting of the marketing authorisation subject to the following conditions:

### ***Conditions or restrictions regarding supply and use***

Medicinal products subject to medical prescription.

### ***Conditions and requirements of the Marketing Authorisation***

- **Periodic Safety Update Reports**

The marketing authorisation holder shall submit the first periodic safety update report for this product within 6 months following authorisation. Subsequently, the marketing authorisation holder shall submit periodic safety update reports for this product in accordance with the requirements set out in the list of Union reference dates (EURD list) provided for under Article 107c(7) of Directive 2001/83/EC and published on the European medicines web-portal.

***Conditions or restrictions with regard to the safe and effective use of the medicinal product***

- **Risk Management Plan (RMP)**

The MAH shall perform the required pharmacovigilance activities and interventions detailed in the agreed RMP presented in Module 1.8.2 of the Marketing Authorisation and any agreed subsequent updates of the RMP.

In addition, an updated RMP should be submitted:

At the request of the European Medicines Agency;

Whenever the risk management system is modified, especially as the result of new information being received that may lead to a significant change to the benefit/risk profile or as the result of an important (pharmacovigilance or risk minimisation) milestone being reached.

When the submission of a PSUR and the update of a RMP coincide, they should be submitted at the same time.

***New Active Substance Status***

Based on the CHMP review of data on the quality properties of the active substance, the CHMP considers that alogliptin is qualified as a new active substance.