



1 1 June 2015  
2 EMA/HMPC/217753/2015  
3 Committee on Herbal Medicinal Products (HMPC)

4 **Concept paper on the revision of the guideline on**  
5 **specifications: test procedures and acceptance criteria for**  
6 **herbal substances, herbal preparations and herbal**  
7 **medicinal products/traditional herbal medicinal products**

8 (CPMP/QWP/2820/00 Rev. 2; EMEA/CVMP/815/00 Rev. 2, EMA/HMPC/162241/2005 Rev. 2)  
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Agreed by Quality Drafting Group	April 2015
Adopted by HMPC for release for consultation	5 May 2015
Start of consultation	1 June 2015
End of consultation (deadline for comments)	31 August 2015

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11 Comments should be provided using this [template](#) to [hmpc.secretariat@ema.europa.eu](mailto:hmpc.secretariat@ema.europa.eu)

Keywords	HMPC; herbal medicinal products; traditional herbal medicinal products; herbal substances; herbal preparations; constituents with known therapeutic activity; active markers; analytical markers; specifications, quality
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## 13 **1. Introduction (background)**

14 A simplified registration procedure was established for traditional herbal medicinal products (THMPs)  
15 for human use with Directive 2004/24/EC of the European Parliament and of the Council. Herbal  
16 medicinal products (HMPs) contain exclusively as active ingredients one or more herbal substances or  
17 herbal preparations or combinations thereof.

18 For human and veterinary HMPs (authorised in accordance with Directives 2001/83/EC and  
19 2001/82/EC, respectively) there are a number of specific herbal quality guidelines for example;  
20 'Guideline on quality of HMPs/THMPs' (1) and 'Guideline on specifications: test procedures and  
21 acceptance criteria for herbal substances, herbal preparations and HMPs/THMPs' (2), as well as,  
22 general quality guidance for medicinal products.

23 A new revision of the 'Guideline on specifications: test procedures and acceptance criteria for herbal  
24 substances, herbal preparations and HMPs/THMPs' (CPMP/QWP/2820/00 Rev.2; EMEA/CVMP/815/00  
25 Rev.2, EMA/HMPC/162241/2005 Rev.2) is proposed to take into account new and revised standards  
26 and guidance applicable for HMP/THMPs related to quality such as the European Pharmacopoeia  
27 revised general text on the Microbiological Quality of HMPs for Oral Use (5.1.8) (3), the general  
28 monograph 'Herbal Drug Extracts' (4) and the information chapter on this monograph (5). So far new  
29 developments have partially been addressed in 'Questions & Answers on quality of HMPs/THMPs' (6).

30 Given the nature of this revision, a concept paper and a public consultation are required.

## 31 **2. Scope**

32 The proposed revision of the 'Guideline on specifications: test procedures and acceptance criteria for  
33 herbal substances, herbal preparations and HMPs/THMPs' will be applicable to registration applications  
34 for THMPs for human use and will also be applicable to marketing authorisation applications for HMPs  
35 for human and veterinary use.

## 36 **3. Problem statement**

37 In the light of experience and with the publication of recent revisions to various guidance documents  
38 a need appeared to update the 'Guideline on specifications: test procedures and acceptance criteria for  
39 herbal substances, herbal preparations and HMPs/THMPs'. This includes the need to take account of  
40 the following: the updated 'Questions & Answers on quality of HMPs/THMPs' (6), the European  
41 Pharmacopoeia revised general text on the 'Microbiological Quality of HMPs for Oral Use and Extracts  
42 used in their preparation' (5.1.8) (3), the revised general monograph 'Herbal Drug Extracts' (4) and  
43 the new information chapter on this monograph (5), the 'Guideline on quality on combination  
44 HMPs/THMPs' (7) and the 'Reflection paper on markers used for quantitative and qualitative analysis of  
45 HMPs/THMPs' (8).

## 46 **4. Discussion (on the problem statement)**

47 The quality of human and veterinary HMPs should be guaranteed and demonstrated in accordance with  
48 the existing requirements as set out in Annex I of Directive 2001/83/EC, as amended, Annex I of  
49 Directive 2001/82/EC, as amended, with specific herbal quality guidelines such as 'Guideline on quality  
50 of HMPs/THMPs' (CPMP/QWP/2819/00 Rev. 2), 'Guideline on specifications: test procedures and

51 acceptance criteria for herbal substances, herbal preparations and HMPs/THMPs' and, in addition, with  
52 current EU/(V)ICH general quality guidelines for medicinal products that are applicable to  
53 HMPs/THMPs.

54 Following the revision of the European Pharmacopoeia general monograph 'Herbal Drug Extracts' and  
55 the publication of additional guidelines as well as the updated 'Questions & Answers on quality of  
56 HMPs/THMPs', the need for the revision of the 'Guideline on specifications: test procedures and  
57 acceptance criteria for herbal substances, herbal preparations and HMPs/THMPs' was identified.

## 58 **5. Recommendation**

59 With regard to the questions raised on the application of the existing 'Guideline on specifications: test  
60 procedures and acceptance criteria for herbal substances, herbal preparations and HMPs/THMPs'  
61 (CPMP/QWP/2820/00 Rev.2; EMEA/CVMP/815/00 Rev.2, EMA/HMPC/162241/2005 Rev.2), the  
62 Committee on Herbal Medicinal Products (HMPC) recommends revision of this guideline to address the  
63 matters described under section 3.

64 The revised guideline shall apply to HMPs both for human and veterinary use and to THMPs for human  
65 use.

## 66 **6. Timetable**

67 It is anticipated that a draft of the revised guideline could be available six months after adoption of the  
68 concept paper. The draft will be released for external consultation for three months. The revised  
69 guideline could be finalised six months after external consultation.

## 70 **7. Resource requirements for preparation**

71 The Rapporteur should prepare a draft of the revised guideline and mainly involve the Quality Drafting  
72 Group of the HMPC. Members States are invited to provide comments via their Committee and Working  
73 Party Members.

## 74 **8. Impact assessment (anticipated)**

75 The revised guideline is expected to provide a better understanding of the requirements for  
76 specifications for HMPs/THMPs. It will therefore provide benefits to applicants in the preparation of  
77 their submission and to competent authorities for the assessment of the applications.

78 The proposed third revision will replace 'Guideline on specifications: test procedures and acceptance  
79 criteria for herbal substances, herbal preparations and herbal medicinal products/traditional herbal  
80 medicinal products' (CPMP/QWP/2820/00 Rev.2; EMEA/CVMP/815/00 Rev.2).

## 81 **9. Interested parties**

82 During the consultation period on the revised guideline, comments from parties concerned with the use  
83 of THMPs and HMPs will be welcome.

## 84 **10. References to literature guidelines, etc**

- 85 1. 'Guideline on quality of herbal medicinal products/traditional herbal medicinal products'  
86 (CPMP/QWP/2819/00 Rev.2; EMEA/CVMP/814/00 Rev.2, EMA/HMPC/201116/2005 Rev.2).
- 87 2. 'Guideline on specifications: test procedures and acceptance criteria for herbal substances, herbal  
88 preparations and herbal medicinal products/traditional herbal medicinal products'.  
89 (CPMP/QWP/2820/00 Rev.2; EMEA/CVMP/815/00 Rev.2, EMA/HMPC/201116 Rev. 2).
- 90 3. European Pharmacopoeia General Chapter: 'Microbiological Quality of HMPs for Oral Use and  
91 Extracts used in their preparation' (5.1.8)
- 92 4. Monograph 'Herbal drug extracts' European Pharmacopoeia (0765).
- 93 5. Monographs on herbal drug extracts (Information chapter) European Pharmacopoeia (5.23).
- 94 6. 'Questions & Answers on quality of herbal medicinal products/traditional herbal medicinal products'  
95 (EMA/HMPC/41500/2010 Rev. 5).
- 96 7. Guideline on quality on combination herbal medicinal products/traditional herbal medicinal  
97 products' (EMA/HMPC/CHMP/CVMP/214869/2006)
- 98 8. Reflection paper on markers used for quantitative and qualitative analysis of herbal medicinal  
99 products/traditional herbal medicinal products' (EMA/HMPC/253629/2007).