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4 **Information for the package leaflet regarding dextrans**
5 **used as excipients in medicinal products for human use**
6 **Draft**

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31 **Executive summary**

32 This document has been written in the context of the revision of the Annex of the European
33 Commission Guideline on 'Excipients in the labelling and package leaflet of medicinal products for
34 human use' [1, 3].

35 Dextran is a bacterial polysaccharide produced at the industrial level by the fermentation of sucrose-
36 rich media. Dextrans have found industrial applications in food, pharmaceutical and chemical industries
37 as adjuvant, emulsifier, carrier and stabiliser.

38 Dextrans as an active substance, including 40, 60, 70, and 75 dextrans, have been widely used for
39 postoperative thromboembolic prophylaxis and as plasma volume expanders. Recently, dextrans have
40 shown the potential to be used in several medicines and gene delivery systems to improve the stability
41 but they also have been extensively utilised as controlled release polymer excipients in the preparation
42 of oral hydrophilic matrix tablets.

43 The main adverse effects of dextrans are hypersensitivity reactions to intravenous dextran therapy and
44 to dextran used in vaccines, as well as increase blood sugar level.

45 Dextrans have been included in the Annex of the guideline on 'Excipients in the label and package
46 leaflet of medicinal products for human use' [1] because they are recently widely used as excipients in
47 many formulation of medicines and can lead to serious adverse effects in patients hypersensitive to
48 these.

49

50 **Proposal for new information in the package leaflet**

Name	Route of Administration	Threshold	Information for the Package Leaflet	Comments
Dextrans	Parenteral and inhalation	Zero	<p>This medicine contains x mg of dextran(s)* in each <dosage unit><unit volume> <which is equivalent to x mg/<weight><volume>>.</p> <p>Rarely, dextrans can cause severe allergic reactions. If you have breathing difficulty or swelling or you feel faint, get medical help at once.</p>	<p>Dextrans can cause anaphylactoid reactions in some patients.</p> <p><i>* The type of dextran(s) (e.g. dextran 70, dextran 40) in the medicinal product should be mentioned here.</i></p>

51 Scientific background

52 1. Characteristics

53 1.1. Category (function)

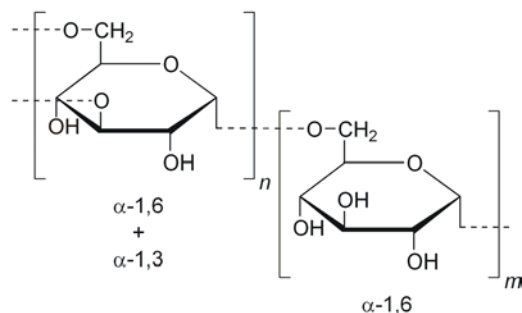
54 Dextrans are complex polysaccharides made of many glucose molecules composed of chains of varying
55 lengths (from 3 to 2000 kilodaltons). The straight chain consists of α -1,6 glycosidic linkages between
56 glucose molecules, while branches begin from α -1,3 linkages.

- 57 • **Class 1 dextrans** contain the α (1→6)-linked D-glucopyranosyl backbone modified with small
58 side chains of D-glucose branches with α (1→2), α (1→3), and α (1→4)-linkage. The class 1
59 dextrans vary in their molecular weight, spatial arrangement, type and degree of branching, and
60 length of branch chains, depending on the microbial producing strains and cultivation conditions.
- 61 • **Class 2 dextrans** (alternans) contain a backbone structure of alternating α (1→3) and α (1→6)-
62 linked D-glucopyranosyl units with α (1→3)-linked branches.
- 63 • **Class 3 dextrans** (mutans) have a backbone structure of consecutive α (1→3)-linked D-
64 glucopyranosyl units with α (1→6)-linked branches.

65 1.2. Physico-chemical Properties

66 The physical and chemical properties of purified dextrans vary depending on the microbial strains from
67 which they are produced and on the production method. Dextrans have high water solubility and the
68 solutions behave as Newtonian fluids. Solution viscosity depends on concentration, temperature, and
69 molecular weight, which have a characteristic distribution. The hydroxyl groups present in dextran
70 offer many sites for derivatisation, and the functionalised glycoconjugates represent a largely
71 unexplored class of biocompatible and environmentally safe compounds.

72



73

74 CAS: 90004-54-0

75 Molecular formula: $H(C_6H_{10}O_5)_xOH$

76 Mr: variable

77 1.3. Use in medicinal products

78 There are four "Dex-ingredients" derived from starch (dextrans, dextrose, dextrans, dextrans) used for
79 pharmaceutical purposes:

80 Dextrans sugar molecules

81 Dextrates mix of sugars resulting from the controlled enzymatic hydrolysis of starch

82 Dextrins result from the hydrolysis of starch (primarily corn or potato) by heat or hydrochloric
83 acid. It can also be obtained from wheat, rice or tapioca

84 Dextrose a sugar that is obtained from corn starch

85 Dextrans come from corn and potato starch; dextrose comes from corn. They are not a concern for
86 coeliac disease patients.

87 Dextrates and Dextrins can come from any starch source including wheat starch which can contain low
88 level of gluten (controlled by the manufacture). Therefore residual levels of gluten in dextrins are
89 expected to be very low and are not a concern for coeliac disease patients either.

90 Dextrans are used as an osmotic agent in vaccines such as BCG or measles-mumps-rubella (MMR)
91 vaccines. They are used as an antithrombotic (anti-platelet) agent – to decrease vascular thrombosis.
92 Dextrans also reduce factor VIII-Ag Von Willebrand factor, thereby decreasing platelet function. Larger
93 dextrans, which do not pass out of the vessels, are potent osmotic agents (volume expanders in
94 anaemia), and thus are used in emergency services to treat hypovolemia. The larger dextrans (>
95 60000 Da) are poorly excreted from the kidney and prolonged antithrombotic and colloidal effects.
96 Dextrans are also used in some eye drops as a lubricant and in certain intravenous fluids to solubilise
97 other factors, e.g. iron (= iron dextran).

98 2. Pharmacotoxicological data

99 2.1. Pharmacodynamic (if applicable)

100 2.2. Toxicology

101 Dextran sodium sulphate (DSS) toxicity after single administration

Product	Species	Route	LD ₅₀
DSS (7500 Da)	Mouse	I.V	2.12 g/kg
DSS (25 kDa)	Mouse	I.V	2.35 g/kg
DSS (25 kDa)	Mouse	oral	0.473 g/kg
DSS (25 kDa)	Rat	I.V	2.35 g/kg
DSS (25 kDa)	Rat	oral	20.6 g/kg
DSS (25 kDa)	Rabbit	I.V	19 g/kg
DSS (47 kDa)	Mouse	I.V	0.573 g/kg
DSS (458 kDa)	Mouse	I.V	0.154 g/kg

102

Species	Route	Duration	Dose	Observations	Reference
Rat	oral	30 days	2 g/kg/day	<ul style="list-style-type: none"> • Endocrine - other changes • Blood: changes in spleen • Enzyme inhibition, induction, or change in blood or tissue levels - phosphatases 	Kiso, 1979 [12]
Rat	oral	24 W	1.59 g/kg/day	<ul style="list-style-type: none"> • Endocrine changes in thymus weight • Blood: normocytic anemia • Death 	Oyo Yakuri, 1972 [21]
Rat	oral	4 W	3.2 g/kg/day	<ul style="list-style-type: none"> • Effects on liver, kidney, ureter, bladder • Death 	Oyo Yakuri, 1972 [21]
Rabbit	I.V	15 W	10–50 mg/kg/day 5 days /week	<ul style="list-style-type: none"> • Increasing weakness • Paresis of the hind legs • Spontaneous fractures 	Hint, 1958 [7]

104

105 DSS have been widely used for inducing colitis as inflammatory bowel diseases (IBD) model in various
 106 animal species. It has been shown that differential susceptibility to DSS-induced colitis happen
 107 between species and even inside strains of the same specie (Mähler, 1998 [16]; Stevceva, 1999 [29]).
 108 In rats, concentration of DSS required for inducing experimental colitis, are higher than in mice (5 %
 109 DSS solutions in drinking water vs 1–5%).

110 Mechanisms of colitis and effects have been studied in mice and rats (Trivedi, 2012 [32]; Tardieu,
 111 1998 [31]). Westbrook et al. (2009 [33, 34]) have reported that intestinal mucosal inflammation, in
 112 ulcerative colitis-induced mice, would lead to systemic genotoxicity due to oxidative stress. Ulcerative
 113 colitis leads to a rise of inflammatory markers (e.g. IL-6, TNF- α , NF κ B, and COX-2).

114 Mice treated (cycles of 7 days followed by 14 days of normal drinking water, 1 to 3 cycles) with 3%
 115 (w/v) DSS (Mw 36-40 kDa) dissolved in drinking water. Animal treated, have highlighted significant
 116 decreases in the body weight, colon length, GSH levels, and increases in malondialdehyde (MDA),
 117 myeloperoxidase (MPO) level, NF κ B, and COX-2 expression in the colon and IL-6, TNF- α and PG-E2
 118 levels in the plasma, compare to control group. Oxidative stress-induced DNA damage in colon was
 119 confirmed with modified comet assay using lesion specific enzymes (endonuclease III and FPG) and
 120 immunostaining of 8-oxo-DG. In addition, a significant increase in the micronuclei frequency in the
 121 peripheral blood has been reported.

122 Dextran (CAS number: 9004-54-0) toxicity after single administration

Species	Route	LD ₅₀	Reference
Mouse	I.V	12 g/kg	Oyo Yakuri, 1972 [21]
Mouse	Oral	> 12.1 g/kg	Oyo Yakuri, 1972 [21]
Mouse	subcutaneous	> 12.1 g/kg	Oyo Yakuri, 1972 [21]
Rat	IV	6.9 g/kg	Oyo Yakuri, 1972 [21]
Rat	oral	> 3 g/kg	Oyo Yakuri, 1972 [21]
Rat	subcutaneous	10.7 g/kg	Oyo Yakuri, 1972 [21]
Rabbit	I.V	208 g/kg	Yakuri to Chiryo, 1975 [35]
Rabbit	I.V	17.4 g/kg	Oyo Yakuri, 1972 [21]

123 Dextran 40 toxicity after repeated administration

Species	Route	Duration	Dose	Observations	Reference
Rabbit	I.V	30 days	900 ml/kg/30 d-C	<ul style="list-style-type: none"> • Liver: Changes in liver weight • Endocrine: changes in adrenal weight • Blood: normocytic anemia 	Oyo Yakuri, 1972 [23]
Rabbit	I.V	13 W-I	4680 ml/kg/13W-I	<ul style="list-style-type: none"> • Liver: Changes in liver weight • Blood: normocytic anemia • Death 	Oyo Yakuri, 1972 [24]
Rabbit	I.V	26 W-I	3210 ml/kg/26W-I	<ul style="list-style-type: none"> • Liver: Changes in liver weight • Blood: normocytic anemia, changes in serum composition (TP, bilirubin, cholesterol...) 	Yakuri to Chiryo, 1975 [35]

124 Genotoxicity dextran (CAS number: 9004-54-0)

125 Conventional genotoxic in vitro assays (such as Ames test and MLATK) have been performed and were
 126 negative.

127 Ames test

Strain	Dose range (µg/plate)	Metabolic activation	Result	Reference
TA98	100-10000	none	negative	National cancer institute, 1995 [15]
TA98	100-10000	rat liver S9	negative	National cancer institute, 1995 [15]
TA100	100-10000	none	negative	National cancer institute, 1995 [15]
TA100	100-10000	rat liver S9	negative	National cancer institute, 1995 [15]
TA100	100-10000	hamster liver S9	negative	National cancer institute, 1995 [15]
TA1535	100-10000	none	negative	National cancer institute, 1995 [15]
TA1535	100-10000	rat liver S9	negative	National cancer institute, 1995 [15]
TA1535	100-10000	hamster liver S9	negative	National cancer institute, 1995 [15]
TA1537	100-10000	none	negative	National cancer institute, 1995 [15]
TA1537	100-10000	rat liver S9	negative	National cancer institute, 1995 [15]
TA1537	100-10000	hamster liver S9	negative	National cancer institute, 1995 [15]
TA1538	100-10000	none	negative	National cancer institute, 1995 [15]
TA1538	100-10000	rat liver S9	negative	National cancer institute, 1995 [15]
TA1538	100-10000	hamster liver S9	negative	National cancer institute, 1995 [15]

128 Mouse lymphoma TK assay

Cell	Dose range (µg/plate)	Metabolic activation	Result	Reference
L5178Y (tk ⁺ /-)	1000-5000	none	negative	Seifried et al.,2006 [27]
L5178Y (tk ⁺ /-)	1000-5000	Rat liver S9	negative	Seifried et al.,2006 [27]

129 Dextran was reported not to induce chromosomal aberrations in cultured Chinese hamster fibroblasts
 130 (Ishidate et al, 1978 [11]).

131 Carcinogenicity

132 DSS induces intestinal tumours in rats when given orally (Hirono et al [8, 9]). Carcinogenic potential of
 133 DSS given orally (2.5% diet) to 6-week-old inbred ACI rats, did appear to be in relation to its
 134 molecular weight as described by Hirono et al (1983 [10]). DSS (520 kDa) and DSS (9.5 kDa) diet
 135 induced colorectal squamous metaplasia but few intestinal tumours. Lack of squamous metaplasia and
 136 intestinal tumours in rats fed with dextran (21.5 kDa) may be attributable to the sulphur content. It
 137 has to be noticed that supplements of 0.25–0.5% for 82 weeks in rats did not increase the incidence of
 138 infection or tumours.

Product	Species	Route	Duration	Dose	Observations	Reference
DSS	rat	oral	94 W	0.5 g/kg/day	<ul style="list-style-type: none"> Colon tumours 	Hirono et al., 1982 [9]
DSS	rat	oral	69 W	1.4 kg/kg/day	<ul style="list-style-type: none"> Colon tumours Endocrine tumours 	Hirono et al., 1982 [9]

139 Reproductive function toxicity

140 There is a lack of data regarding dextran's effects on the reproductive and development toxicity.

Product	Species	Route	Sex/Duration	Dose	Observations	Reference
Dextran 70	rabbit	I.V	female 8-16 day(s) after conception	675 g/kg/9 days LOAEL	<ul style="list-style-type: none"> Effects on extra-embryonic structures (e.g., placenta, umbilical cord) Foetotoxicity (except death, e.g., stunted foetus) Developmental abnormalities: musculoskeletal system 	Oyo Yakuri, 1972 [25]
Dextran 70	rabbit	I.V	male 91 day(s) pre-mating	3640 g/kg/91 days LOAEL	<ul style="list-style-type: none"> Effects on prostate, seminal vesicle, Cowper's gland, accessory glands 	Yakuri to Chiryo, 1975 [35]

141 Effects on immune response

142 Dextran and DSS are able to activate an immune response from the host after administration.

143 It has been reported that DSS administered (i.p 50 mg/kg) to mice led to an increase of the
 144 susceptibility of mice to bacterial infection (Hahn et al, 1974 [4]). This is in direct relation with the

145 toxic effects of sulphate on the mononuclear phagocytes since exposure of macrophages to DSS leads
146 to an accumulation within the secondary lysosomes and an inhibition of the phagosome-lysosome
147 interaction and might interfere with the enzymes in charge of killing bacteria.

148 Siebeck et al (1985 [28]) have reported that DSS (500 kDa) activates contact system and mediates
149 arterial hypotension via B2 kinin receptors, in minipigs after I.V administration (bolus 5 mg/kg for 1 h).
150 DSS infusion produces activation of the system of blood coagulation leading to high kinin levels in
151 blood, a decrease in uncleaved kinogen in plasma; a severe transient arterial hypotension (via
152 stimulation of the B2 kinin receptor) accompanied by vasodilatation and complement activation. These
153 effects were highlighted by co-administration of Bay (a plasma kallikrein inhibitor) and/or Hoe-104 (a
154 bradykinin B2-receptor antagonist) that were able to block DSS-induced hypotension.

155 Dextran induced anaphylactoid reactions (DIAR) in less than 1% of patients who received infusion of
156 clinical dextran (Hedin, 1997 [5]). Incidence of reactions appeared to be related to chemical structure,
157 the ones having higher molecular weights and/or a greater proportion of non-1.6-linkages causing a
158 greater incidence of untoward reactions. Hypersensitivity reactions observed in the initial development
159 of dextran as a blood extender are now reduced due to a modification of the dextran and by a pre-
160 treatment of the patients with a low molecular weight dextran as monovalent hapten (Hedin,
161 1997 [5]). Regarding DIAR, it is questionable how animal models can be used to extrapolate data to
162 human.

163 When dextrans are used as vector in drug delivery or as coating, physical interactions between dextran
164 and 'encapsulated' can enhance allergic reactions, therefore a case by case study should be
165 considered.

166 **2.3. Toxicokinetics**

167 As a blood extender dextran is not distributed in body tissues. Dextran is metabolised to
168 monosaccharides mainly glucose.

169 **3. Pharmacokinetics (in humans)**

170 Molecular-weight influence of fluorescein-labeled dextrans on the PK parameters in adult SD rats, have
171 been reported by Mehvar and Shepard (Mehvar, 1992 [17]). Effects of single I.V administration
172 (5 mg/kg) of dextrans (4 kDa, 20 kDa, 40 kDa, 70 kDa and 150 kDa) and single oral doses (50 mg/kg)
173 of dextrans (4 kDa, 20 kDa, and 40 kDa) were studied. After oral administration, all the tested items
174 were not detected in serum and negligible levels were absorbed on the systemic circulation (< 0.4% of
175 the dose). Lack of bioavailability after oral administration is due to the size (radius) of dextrans
176 preventing their passage through the epithelial junctions or aqueous pores of the gastrointestinal tract.
177 Koyama et al (1996, [13]) have demonstrated that dextran of high molecular weight (M_w) are
178 degraded into lower M_w derivatives during the permeation across the epithelial cells of GI tract.
179 Presence of receptors with specificity for dextrans involved for the transport through the mucous
180 membrane, have been shown. After I.V administration, concentrations of dextrans (40, 70 and 150
181 kDa) are detected in serum samples 12 h after the dosing whereas concentrations of dextran 4 kDa
182 and 20 kDa cannot be detected in serum samples beyond 3 and 1.5 h respectively. Therefore, kinetic
183 parameters exhibit M_w dependency. Nevertheless this dependency has to be connected with renal
184 clearance and volume of distribution.

185 Regarding the metabolism, dextrans are depolymerised by dextranases (α -1-glucosidases) present in
186 in various organs such as liver, spleen, kidney and the lower part of the gastrointestinal tract. Liver
187 and spleen have a highest concentration of dextranases. In the liver, elimination of dextrans can occur

188 through excretion into the bile (Lake, 1985 [14]) in addition to metabolism by dextranases but
189 depolymerisation process appears to be related with the Mw as expected.

190 Low Mw dextrans are excreted unchanged in urine whereas higher ones are substantially accumulated
191 in the liver and the spleen. Distribution to other tissues such as brain, lung and heart appears
192 negligible. Nevertheless, higher Mw dextrans can accumulate in the lymph nodes. Overall, a molecular
193 weight and dose dependency for tissue accumulation has been shown (Mehvar et al., 1994 [18]; 1995
194 [19]).

195 Takakura et al (1990, [30]) have demonstrated that the overall electric charge could have a significant impact
196 on the plasma and tissue disposition of dextrans. Negative charged dextrans have a prolonged
197 residence in the systemic circulation and minor uptake by the tissues. This higher residence time
198 compared with positively charged dextrans is related to negative charges on the biological membrane
199 surfaces.

200 Overall, when dextrans are used as a vector in drug delivery, pharmacokinetic of the whole system has
201 to be considered.

202 **4. Clinical safety data**

203 The dextrans can cause more severe anaphylactic reactions than the gelatines or the starches. The
204 reactions are due to dextran reactive antibodies which trigger the release of vasoactive mediators.
205 Incidence of reactions can be reduced by pre-treatment with a hapten (Dextran 1).

206 Side-effects can be very serious (anaphylaxis, volume overload, pulmonary oedema, cerebral oedema,
207 or platelet dysfunction). This has been serious enough for some parenteral iron preparations to be
208 withdrawn by the FDA, e.g. Imferon®, withdrawn in 1990.

209 Use of dextran medication to prevent hypotension risk during delivery should be considered with care.
210 There are some reports in the literature of maternal anaphylactoid reaction with apparent death in a
211 neonate after dextran administration to the mother (P. Babier, 1992 [2]).

212 Incidence of reactions appeared to be related to the chemical structure of the dextrans; the dextrans
213 having higher molecular weights and/or a greater proportion of non-1.6-linkages cause a greater
214 incidence of untoward reactions. Hypersensitivity reactions are observed in the initial development of
215 dextran as a blood extender. The occurrence of anaphylactoid/anaphylactic reactions in some patients
216 has been attributed to antibodies of the IgG class formed after ingestion or of immunologically cross
217 reacting polysaccharides in foods (Hedin, 1981 [6]).

218 Orally ingested dextrans are rapidly converted to glucose. Therefore diabetics could be considered as a
219 risk group for bakery products containing significant level of dextrans (SCCS 2000 [20]). This is not
220 considered to be a relevant concern for the use of dextrans as excipients in medicinal products.

221 **5. Safety information relevant for the package leaflet**

222 The main adverse effects of dextrans are hypersensitivity reactions to intravenous dextran therapy
223 which have been recognised since the 1960s. Also hypersensitivity reactions to dextran used in
224 vaccines as an excipient osmotic agent have been reported by various authors. Dextran-induced
225 anaphylactoid reaction (DIAR) is a rare but severe complication. Therefore a statement about the risk
226 of severe allergic reactions is proposed to be included in the package leaflet. Appropriate treatment
227 should be initiated rapidly when DIAR is suspected.

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