



1 13 September 2018  
2 EMA/CVMP/EWP/310225/2014  
3 Committee for Medicinal Products for Veterinary Use (CVMP)

## 4 Reflection paper on resistance in ectoparasites

5 Draft

Draft agreed by Efficacy Working Party (EWP-V)	May 2018
Adopted by CVMP for release for consultation	September 2018
Start of public consultation	21 September 2018
End of consultation (deadline for comments)	31 August 2019

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Keywords	Ectoparasites, resistance to ectoparasiticides
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## 57 **1. Introduction**

58 A wide variety of ectoparasite species of importance to animal health is found in Europe. Ectoparasite  
59 infestation is seen in both food-producing and companion animals. It may be associated with a  
60 significant decline in animal health and may result in production losses within farming systems.  
61 Infested animals may act as a source of infection to both animals and, in the case of ectoparasites of  
62 zoonotic importance, humans. Furthermore, some ectoparasite species act as important vectors of  
63 bacterial, viral, helminth or protozoan pathogens, some of which pose a serious threat to animal and  
64 public health.

65 The scope of this reflection paper is to give an overview of the currently known resistance situation in  
66 ectoparasites to active substances used in both veterinary medicinal products (and also biocides) with  
67 a special focus on Europe, and to provide a review of the current knowledge on resistance  
68 mechanisms. This information might be useful for guidance on prudent use or for future applications.

## 69 **2. Definition of resistance**

70 Resistance to ectoparasiticides is the selection of a specific heritable trait (or traits) in an ectoparasite  
71 population as a result of exposure of that population to an active substance, resulting in a significant  
72 increase in the percentage of the population that will fail to respond to a standard dose of that  
73 chemical when used as recommended (slightly modified from Coles and Dryden, 2014 ; WHO, 2010).

74 Resistance to one ectoparasiticide may also confer resistance to another ectoparasiticide through side-  
75 or cross-resistance. Side-resistance is decreased susceptibility to more than one ectoparasiticide within  
76 the same chemical class, e.g. resistance to two synthetic pyrethroids. Cross-resistance is decreased  
77 susceptibility to more than one ectoparasiticide within different chemical classes with a similar mode of  
78 action (Abbas *et al.*, 2014). The WHO (2016) has defined thresholds when testing resistance in malaria  
79 vector mosquitoes: i.e. a mortality >98% is considered susceptible, <90% is considered resistant and  
80 between 97–90% mortality requires additional testing. For other ectoparasites, the mortality rate of  
81 the strain under investigation is usually compared with the mortality rate of a known susceptible strain  
82 (sometimes available from the WHO) under laboratory conditions using various concentrations of an  
83 ectoparasiticide (see methods) normally expressed either as resistance factor (RF) or resistance ratio  
84 (RR).

## 85 **3. Current state of ectoparasite resistance**

86 Reports of resistance or susceptibility status in a number of ectoparasite species of veterinary  
87 importance have been published worldwide. However, literature on ectoparasite resistance in Europe is  
88 currently not very comprehensive.

### 89 **3.1. Ticks**

90 Global: Most reports on resistance in ticks refer to *Rhipicephalus* (formerly *Boophilus*) *microplus*, also  
91 known as the southern or Australian cattle tick, which is a one-host tick preferring cattle and buffalo.  
92 All stages spend their life cycle on one animal species only, which makes them more sensitive to  
93 selection of resistance after treatment compared to multi-host ticks. At present, the tick is endemic in  
94 subtropical and tropical regions worldwide, but not in continental Europe. It is meanwhile eradicated in  
95 the USA. An overview on the global resistance situation of this tick is given by FAO (2004) and Abbas  
96 *et al.* (2014). Resistance of the cattle tick, *Rhipicephalus* (*R.*) *microplus*, against avermectins has been

97 reported from Brazil (Martins and Furlong, 2001, Klafke *et al.*, 2006) and from Mexico (Perez-Cogollo  
98 *et al.*, 2010). The first documentation of ivermectin resistance in 10 brown dog tick populations  
99 (*R. sanguineus*) has been described in Mexico by Rodriguez-Vivas *et al.* (2017).

100 Europe: There are currently no reports on tick resistance in cattle. In dogs, an *in vitro* study in Spain  
101 reported a high resistance rate in *R. sanguineus* ticks to deltamethrin and variable sensitivity to  
102 propoxur. However, all tested *R. sanguineus* strains still appeared to be sensitive to amitraz (Estrada-  
103 Pena, 2005). Currently, there is no documented evidence of resistance in *Ixodes ricinus* or  
104 *Dermacentor reticulatus* to ectoparasiticides.

### 105 **3.2. Mites**

#### 106 *Dermanyssus gallinae*

107 Europe: First evidence of tolerance of the red poultry mite *Dermanyssus (D.) gallinae* to synthetic  
108 pyrethroids and carbamates was reported from Italy in the 80s of the last century (Genchi *et al.*,  
109 1984). A survey in the former Czechoslovakia indicated resistance of *D. gallinae* to the synthetic  
110 pyrethroids permethrin and tetramethrin as well as to the organophosphate trichlorfon at few farms.  
111 Resistance to the banned DDT was, however, widespread (Zeman, 1987). Resistance to synthetic  
112 pyrethroids in *D. gallinae* has also been reported from France (Beugnet *et al.*, 1997), Sweden  
113 (Nordenfors *et al.*, 2001) and Italy (Marangi *et al.*, 2009).

114 In UK, comparisons with laboratory-reared susceptible mites suggested the presence of resistance to  
115 malathion, bendiocarb, cypermethrin and permethrin in field isolated mites (Fiddes *et al.*, 2005). A first  
116 comprehensive testing of *D. gallinae* from 10 big laying hen companies in Germany in 1999 to 2000  
117 ascertained partial or nearly complete resistance to organophosphates, synthetic pyrethroids and  
118 carbamates. The synthetic pyrethroid group revealed the highest degrees of resistance (Liebisch and  
119 Liebisch, 2003).

#### 120 *Varroa destructor*

121 Europe: Resistance of the *Varroa (V.) destructor* mite to synthetic pyrethroids, i.e. flumethrin and tau-  
122 fluvalinate, has been reported since the early 1990s in the Lombardy region in Italy, spreading quickly  
123 to Switzerland, Slovenia, France, Belgium, and Austria (Faucon *et al.* 1995, Lodesani *et al.*, 1995,  
124 Troullier, 1998). From there, it continued its spread throughout Europe following the established colony  
125 trade routes in France, reaching Germany in 1997 and Finland in 1998 via possible bee movement  
126 from Italy (Martin, 2004). In 2001, the resistance surveillance programme of the UK's National Bee  
127 Unit confirmed the first cases of pyrethroid resistance in the UK (Thompson *et al.*, 2002, 2003, Martin,  
128 2004, Lea, 2015). Pyrethroid resistance is now considered widespread in UK (Lea, 2015). More  
129 recently, resistance to the synthetic pyrethroids acrinathrin and tau-fluvalinate as well as to the  
130 formamidine amitraz was detected in *V. destructor* mites from hives in the Czech Republic, using *in*  
131 *vitro* test methods (Kamler *et al.*, 2016).

132 In 2001, the first laboratory and field detection of *V. destructor* resistance to the organophosphate  
133 coumaphos was reported in Italy (Spreafico *et al.*, 2001).

#### 134 *Psoroptes ovis*

135 Europe: Resistance in sheep scab to the organophosphate propetamphos and the synthetic pyrethroid  
136 flumethrin has been reported in the UK (Synge *et al.*, 1995; Clark *et al.*, 1996; Coles, 1998; Bates,  
137 1998). Populations of *Psoroptes spp.* that were resistant to flumethrin already showed side-resistance  
138 to high cis cypermethrin (HCC) (Bates, 1998). In addition, there is evidence of moxidectin resistance in  
139 *Psoroptes* mites in the UK (Doherty *et al.*, 2018).

#### 140 *Sarcoptes scabiei*

141 Global: Case reports on two dogs treated with 300 µg/kg bw ivermectin suggested that *S. scabiei* in  
142 these dogs was clinically refractory to the treatment (Terada *et al.*, 2010).

### 143 **3.3. Lice**

144 Biting/chewing lice:

145 Global: Most scientific reports on the development of resistance in the biting louse *Bovicola (B.) ovis* in  
146 the last decades stem from Australia and New Zealand. Reduced efficacy was first reported after only a  
147 few years following the introduction of synthetic pyrethroid formulations in 1981 in Australia (Boray *et al.*,  
148 1988). Resistance factors (RF) of 26 x to the synthetic pyrethroid cypermethrin were calculated  
149 being sufficient to prevent adequate efficacy (Levot *et al.*, 1995). At nearly the same time in New  
150 Zealand low to moderate cypermethrin-RF ranging up to 12 x in the *B. ovis* field populations were  
151 identified (Wilson *et al.*, 1997). In 1991, a population of *B. ovis* in New South Wales of Australia was  
152 found to have a high RF of 642 to cypermethrin, with side-resistance conferred to other synthetic  
153 pyrethroids (Levot *et al.*, 1995). It has also been observed that an Australian strain of *B. ovis* that had  
154 originally been highly resistant to pyrethroids appeared susceptible after having been left untreated for  
155 several years. When challenged by cypermethrin backline treatment (pour-on); however, high level  
156 resistance was again selected rapidly (Levot, 2012). Resistance against the more recently introduced  
157 insect growth regulators (IGR), the benzoyl urea derivatives triflumuron and diflubenzuron, was  
158 confirmed in lice populations using both a moulting inhibition test (James *et al.*, 2008) and a louse egg  
159 hatch test (Levot and Sales, 2008).

160 Europe: In northern England, resistance to γ-benzene hexachloride (γ-BHC), aldrin and dieldrin, used  
161 in plunge dips, developed in populations of sheep lice in the mid 1960s (Barr and Hamilton, 1965; Page  
162 *et al.*, 1965). In Scotland, a sheep flock was suspected of being infested with a synthetic pyrethroid  
163 resistant population of *B. ovis*. A bioassay demonstrated a deltamethrin RF of 14.1, which is greater  
164 than a resistant reference strain (Devon isolate) that showed a RF of 10.4. Laboratory data and reliable  
165 field data, thus, indicated possible resistance to deltamethrin (Bates, 2001). Recently, a population of  
166 *Bovicola ocellatus*, collected from donkeys in UK, displayed a high level of pyrethroid tolerance which is  
167 likely to reflect development of resistance (Ellse *et al.*, 2012). Based on data from a survey of OIE  
168 member countries and FAO questionnaires in Europe lice insecticide resistance has been mapped for  
169 UK and France (FAO, 2004).

170 Sucking lice:

171 Europe: Information on insecticide resistance in the sucking louse *Haematopinus suis* is rare. In  
172 Germany, a population resistant to the organophosphate insecticide dichlorvos was described by Müller  
173 and Bülow in 1988.

### 174 **3.4. Fleas**

175 *Ctenocephalides (Ct.) felis* and *Ct. canis*

176 Global: Against the banned synthetic organochlorine methoxychlor, only a single case of cat flea  
177 resistance was reported from Europe (Denmark) in 1986. Other cases were reported from outside  
178 Europe with a total of 28 and 12 documented cases for *Ct. felis* and *Ct. canis*, respectively (Mota-  
179 Sanchez and Wise, 2017).

180 There are also reports of *Ct. felis* resistances to carbamates, organochlorine, organophosphates,  
181 pyrethrins and pyrethroids. Resistance ratios (RR<sub>50</sub>), were typically less than 20 and some cross-  
182 resistance between carbaryl and organophosphate insecticides were observed (Coles and Dryden,

183 2014). A strain with resistance to the phenylpyrazole insecticide fipronil was found susceptible to the  
184 neonicotinoid nitenpyram owing to the different modes of action of both compounds (Schenker *et al.*,  
185 2001).

186 The susceptibility of 12 field isolates from cats and dogs and four laboratory reference strains of the  
187 cat flea *Ct. felis* collected throughout Australia, the United States and Europe was determined following  
188 the topical application of insecticides to adult fleas. In the field isolates, the LD<sub>50</sub> values in fleas  
189 following fipronil and imidacloprid administration (i.e. 0.09 to 0.35 ng/flea and 0.02 to 0.18 ng/flea,  
190 respectively) were consistent with published baseline figures. Results for the synthetic pyrethroids  
191 permethrin and deltamethrin, however, suggested a level of resistance in all isolates, whilst for  
192 tetrachlorvinphos only one field-collected isolate from Australia showed a 21-fold resistance at LD<sub>50</sub>  
193 compared to the reference strains (Rust *et al.*, 2015).

194 Large-scale monitoring of the imidacloprid resistance status in *Ct. felis* has been carried out in  
195 Australia, Germany, France, the UK and the USA. Between 2002 and 2012, 770 isolates from dogs and  
196 1516 isolates obtained from cats were collected. Results confirmed sustained susceptibility of *Ct. felis*  
197 to imidacloprid, despite its extensive use for almost 20 years (Rust *et al.*, 2011; Kopp *et al.*, 2013).

### 198 **3.5. Flies**

199 Insecticide resistance in the house fly *Musca (M.) domestica* is widespread with reports about  
200 resistance from a huge number of countries around the world. The following overview is limited to  
201 Europe.

202 Europe: *M. domestica* strains resistant to organophosphates and synthetic pyrethroids have been  
203 identified on German farms (Pospischil *et al.*, 1996). In a more recent study, 58 out of 60  
204 *M. domestica* field populations from dairy farms in Germany showed varying degree of resistance  
205 towards the pyrethroid deltamethrin using the "Fly Box" test method (Jandowsky *et al.*, 2010).  
206 Pyrethroid resistance could be confirmed in the laboratory by topical application of the discriminating  
207 dose of 2.5 ng cyhalothrin/fly to 15 isolates selected from these field populations.

208 In Denmark pyrethroid resistance in *M. domestica* has also been observed. Four out of 21 field  
209 populations showed more than 100-fold resistance at the LD<sub>95</sub> of bioresmethrin synergised by  
210 piperonyl butoxide. These farms had a history of heavy pyrethroid use. In addition, resistance to the  
211 organophosphate azamethiphos was found to be widespread (Kristensen *et al.*, 2001). Furthermore,  
212 neonicotinoid-resistant houseflies are present at a detectable level in Danish field populations from  
213 livestock farms. The field populations were 6–76-fold resistant to the neonicotinoid thiamethoxam. The  
214 cross-resistance seen between the neonicotinoids thiamethoxam and imidacloprid let the authors  
215 conclude that their use as replacements for each other should be avoided (Kristensen and Jespersen,  
216 2008).

217 In the UK, low-level resistance of fly eggs (RF 2.9) and larvae L1 (RF 2.4) to the insect growth  
218 regulator (IGR) cyromazine was reported in a field strain of house flies from a pig farm (Bell *et al.*,  
219 2010). In Denmark, resistance toward the benzoylurea IGR diflubenzuron was observed. Two out of 21  
220 populations had larvae surviving 6.1 times the LC<sub>95</sub> of diflubenzuron. They also found field populations  
221 with some resistance to the IGR cyromazine. Eight out of the 21 field populations had larvae surviving  
222 2.2 times the LC<sub>95</sub> of a susceptible strain, and one population had larvae surviving 4.4 times the LC<sub>95</sub>  
223 (Kristensen and Jespersen, 2003).

224 In another study, the susceptibility of 31 Danish field populations of *M. domestica* from live stock farms  
225 to spinosad, a compound of the spinosyn class, varied from RF (LC<sub>50</sub>) 2.2 to 7.5-fold compared to the  
226 susceptible WHO reference strain in a feeding assay at 72 h. Based on the steep slope determined and

227 the limited variation of spinosad activity against the field populations, it was considered that overall  
228 these field populations are still susceptible at the proposed discriminating dose of 12 µg spinosad/g  
229 sugar (Kristensen and Jespersen, 2004). In Turkey, field strains of *M. domestica* collected between  
230 2004 – 2006 from cow farms in the Antalya and Izmir area, revealed year to year variable resistance  
231 levels against synthetic pyrethroids. Very high resistance levels against cypermethrin were reported for  
232 the Antalya strain (Akiner and Çağlar, 2012).

233 In France, a *Stomoxys (S.) calcitrans* strain, collected from cattle commonly treated with synthetic  
234 pyrethroid, showed an LD<sub>90</sub> for blood-engorged flies that was 7.1 and 22.6 times over the  
235 recommended dose of both deltamethrin and fenvalerate, respectively (Salem *et al.*, 2012). In  
236 Germany, 95 % of *S. calcitrans* populations tested on 40 dairy farms were suspected to be resistant  
237 against deltamethrin when using the FlyBox test method. The on-farm observations were confirmed in  
238 the laboratory, demonstrating that 24 hrs after topical application of the LD<sub>95</sub> of deltamethrin (2.3  
239 ng/fly) the mortality rate was below 80 %. At the LD<sub>95</sub> of azamethiphos (4.9 ng/fly) all stable fly  
240 colonies also turned out to be resistant (Reissert *et al.*, 2017).

### 241 **3.6. Mosquitoes and sand flies (Nematocera)**

242 Studies regarding the examination of resistance or susceptibility of products with insecticidal efficacy  
243 focus on vector control programs. There are numerous reports from different areas of the world, that  
244 describe the occurrence of resistance in mosquitos and sandflies against commonly used chemical  
245 classes (Alexander and Maroli, 2003; Dhiman and Yadav, 2016; Fawaz *et al.*, 2016; Salim-Abadi *et al.*,  
246 2016). It can be assumed that such data also has relevance for the efficacy of veterinary medicinal  
247 products if these contain insecticidal substances of the same class as used for vector control programs  
248 or in agriculture. However, there is only limited information on the resistance situation in Europe (incl.  
249 the Mediterranean region).

#### 250 *Culex* spp.

251 Following a bioassay examination of the resistance status of 13 *Culex (C.) pipiens* populations from 5  
252 regions in Greece (Attika, Phthiotis, Thessaloniki, Serres, Evros) (according to the standard  
253 methodology of WHO) over a three year period, susceptibility to deltamethrin could be demonstrated in  
254 12 populations; one population in the Attika region was found to be resistant (Kioulos *et al.*, 2013). In  
255 another study conducted in Greece using the CDC bottle bioassay according to the guideline for  
256 evaluating insecticide resistance in vectors (CDC, 2012), resistance of *C. pipiens* to deltamethrin was  
257 shown for the Evros and the Thessaloniki region (Fotakis *et al.*, 2017).

#### 258 *Aedes albopictus*

259 In *Aedes (Ae.) albopictus* the level of resistance is assumed to be relatively low as reviewed by Vontas  
260 *et al.* (2012). Concerning pyrethroids, the data indicated that deltamethrin and permethrin seemed to  
261 be effective against *Ae. albopictus* adults as all populations that had been tested from a wide  
262 geographical area over a range of years remained susceptible. The data collection included bioassay  
263 results from *Ae. albopictus* populations from Greece and Italy of the year 2009, which showed clear  
264 susceptibility to deltamethrin (Vontas *et al.*, 2012).

#### 265 *Phlebotomus* spp.

266 In the eastern Mediterranean region, resistance against deltamethrin and permethrin was detected in  
267 the west of Turkey where both insecticides have been applied for a long time. However, no resistance  
268 was found in a neighbouring province without insecticide use. Susceptibility tests and determination of  
269 the resistance status were performed according to current WHO standards (Karakus *et al.*, 2017).



270 Likewise, two Italian sandfly populations (*Phlebotomus (P.) perniciosus* and *P. papatasi*) were found to  
271 be susceptible to 3 different insecticides including permethrin compared to a known susceptible  
272 laboratory reference strain, based on bioassay tests according to the WHO standard protocols (Maroli  
273 *et al.*, 2002).

### 274 **3.7. Sea lice (Copepods)**

275 Europe: Reduced sensitivity of *Lepeophtheirus salmonis* (the salmon louse) to organophosphates,  
276 pyrethroids and emamectin benzoate has been documented (Ljungfeld *et al.*, 2014, Sevatdal *et al.*,  
277 2005, Espedal *et al.*, 2013).

278 Surveillance in Norway also revealed reduced sensitivity of *L. salmonis* to azamethiphos and  
279 deltamethrin (Grontvedt *et al.*, 2014).

## 280 **4. Mechanisms of resistance**

281 Resistance can occur within the same chemical class due to a common mode of action (Stafford and  
282 Coles, 2009; IRAC). Different classes of ectoparasiticides might have a mutual target site, e.g. sodium  
283 channel gate for DDT and pyrethroids (Vijverberg *et al.*, 1982).

284 Two major resistance mechanisms have been identified:

- 285 1. Detoxification enzyme-based resistance occurs when enhanced activity levels of e.g. esterases,  
286 oxidases, or glutathione S-transferases (GST) prevent the ectoparasiticide from reaching their  
287 target site. This could be caused by a change in a single amino acid altering the catalytic centre  
288 activity of the enzyme, or by amplification of multiple gene copies in resistant ectoparasites.
- 289 2. Point mutations prevent the ectoparasiticide from acting at the target site. Jonsson and Hope  
290 (2007) concluded that the development of resistance will occur faster if resistance is dependent on  
291 only a single gene mutation, especially if this single gene mutation forms a dominant allele. If  
292 multiple genes play a role in causing resistance, the spread of resistance will be slower within the  
293 population

### 294 **4.1. Pyrethroids**

295 Resistance mechanisms to pyrethroids in many ectoparasites are extensively described in the literature  
296 and are generally based on point mutations at the target site. As an example, a specific sodium  
297 channel gene mutation has been shown to be associated with resistance to permethrin in *R. microplus*  
298 (Foil *et al.*, 2004). Also, point mutations in a sodium channel gene confer tau-fluvalinate (pyrethroid)  
299 resistance in *Varroa destructor* (Hubert *et al.*, 2014, Gonzales-Cabrera *et al.*, 2013). A molecular study  
300 identified sodium channel gene mutations that could lead to knock down resistance (kdr) phenotypes  
301 to pyrethroids in several insect species, including the housefly (Martinez-Torres *et al.*, 1997).

302 Resistance to both pyrethroids and DDT has been observed in *Aedes aegypti*, and was suggested to be  
303 caused by the (kdr)-type resistance mechanism (Bregues *et al.*, 2003). An overview of the position of  
304 resistance-associated point mutations in the sodium channel genes is given by Rinkevich *et al.* (2013).  
305 Detoxification enzyme based resistance to pyrethroids is also known. A specific metabolic esterase with  
306 permethrin-hydrolyzing activity, CzEst9, has been purified and its gene coding region cloned. This  
307 esterase has been associated with high resistance to permethrin in *R. microplus* (Foil *et al.*, 2004).

308 **4.2. Organophosphates**

309 Pruet (2002) showed that an insensitive acetylcholinesterase, i.e. target site, was involved in  
310 organophosphate resistance in two strains of *R. microplus*. It is suggested that point mutations within  
311 the AChE gene may be the molecular basis for target site insensitivity as shown by studies with  
312 *Drosophila melanogaster* (Mutero *et al.*, 1994).

313 In salmon lice across the North Atlantic a Phe362Tyr mutation was found to be strongly linked to lice  
314 survival following chemical treatment with azamethiphos, demonstrating that this mutation represents  
315 the primary mechanism for organophosphate resistance. It was observed that the Phe362Tyr mutation  
316 is not a *de novo* mutation but probably existed in salmon lice before the introduction of  
317 organophosphates in commercial aquaculture (Kaur *et al.*, 2017).

318 **4.3. Neonicotinoids**

319 Kavi *et al.* (2014) investigated the mechanisms underlying imidacloprid resistance in house flies. Their  
320 results suggested that resistance is not due to detoxification changes by cytochrome P<sub>450</sub>S, in contrast  
321 to earlier findings (Markussen and Kristensen, 2010) but results from a different resistance mechanism  
322 that could be linked to autosomes 3 and 4 of the house fly.

323 **4.4. Macrocyclic lactones**

324 There is evidence for the participation of ATP-binding cassette (ABC) transporters in ivermectin  
325 resistance in the cattle tick *R. microplus*. ABC transporters are known as efflux transporters, and found  
326 in all organisms reducing cellular concentrations of toxic compounds (Pohl *et al.*, 2011). However,  
327 presently, the exact mechanism of resistance is still unknown (Abbas *et al.*, 2014).

328 **4.5. IGRs**

329 Juvenile hormone analogues (JHA):

330 Microsomal cytochrome P450 monooxygenases were found to play an important role in the  
331 pyriproxyfen resistance of houseflies. Cytochrome (Cyt) P450 and Cyt b5 were investigated in  
332 microsomal enzymes of houseflies (*M. domestica*) from the gut and fat body of 3rd instar larvae of  
333 both pyriproxyfen susceptible (WHO) and resistant (established in Japan) strains. Microsomes of the  
334 pyriproxyfen-resistant housefly strain had higher levels of total Cyt P450s in both the gut and fat body  
335 in comparison to the susceptible strain. *In vitro* metabolism studies of pyriproxyfen indicated that the  
336 metabolic rates were much higher in both the gut and fat body of resistant compared to susceptible  
337 larvae (Zhang *et al.* 1998).

338 An *Ae. albopictus* population from Florida showed significant resistance against two juvenile hormone  
339 analogues methoprene and pyriproxyfen. The population presented over-expressed Cyt P450s,  
340 esterases (ESTs), and glutathione-S transferase (GSTs), suggesting that the global overexpression of  
341 the detoxification enzyme families may cause the reduced susceptibility towards IGRs (Marcombe *et*  
342 *al.*, 2014).

343 Reduced susceptibility of the JHA-carbamate fenoxycarb on diapausing and non diapausing 5th instar  
344 larvae of the codling moth *Cydia pomonella* in Greek orchards was correlated with elevated Cyt P450  
345 monooxygenases activity, followed by elevated glutathione-S-transferase activity and reduced  
346 carboxylesterases activity (Voudouris *et al.*, 2011). Although this effect was observed in the codling  
347 moth, the same mechanism could be expected in other insects of veterinary interest.

#### 348 Chitin Synthesis inhibitors:

349 A study from Douris *et al.* (2016) provided compelling evidence that benzoyl urea insecticides (BPUs),  
350 etoxazole and buprofezin share the same molecular mode of action by direct interaction with chitin  
351 synthase 1 (CHS1). They detected a mutation (I1042M) in the CHS1 gene of a BPU-resistant *Plutella*  
352 *xylostella* (diamondback moth) at the same position as the I1017F mutation reported in spider mites  
353 that confers etoxazole resistance. Using a genome-editing CRISPR/Cas9 approach, homozygous lines  
354 of *Drosophila melanogaster* bearing either of these mutations were highly resistant to etoxazole and all  
355 tested BPUs (diflubenzuron, lufenuron, triflumuron). These findings have immediate effects on  
356 resistance management strategies of major agricultural pests but also on mosquito vectors of serious  
357 human diseases (e.g. Dengue, Zika), as diflubenzuron, the standard BPU, is one of the few effective  
358 larvicides in use.

#### 359 **4.6. Carbamates**

360 Carbamate insecticide resistance in *Anopheles (An.) gambiae* s.l. was mainly considered due to target-  
361 site insensitivity arising from a single point mutation (Ace-1<sup>R</sup>) since the mean Ace-1<sup>R</sup> mutation  
362 frequency had increased significantly after a two years campaign of indoor residual spraying using the  
363 carbamate insecticide bendiocarb in Benin [Aikpon *et al.*, 2014 a, b]. However, a low Ace-1<sup>R</sup> mutation  
364 frequency in *An. gambiae* populations, associated with the resistance to carbamate and  
365 organophosphate detected in a further study (Aikpon *et al.*, 2014c), strongly supported the  
366 involvement of metabolic resistance based on the high activities of non-specific esterases, Glutathione-  
367 S-transferases and mixed function oxidases. Similar findings have been reported in *Culex (C.)*  
368 *quinquefasciatus* and *An. gambiae*, where greater oxidase and esterase activities were observed in  
369 resistant *C. quinquefasciatus* and *An. gambiae*, when Ace-1<sup>R</sup> was absent (Corbel *et al.*, 2007). The  
370 likely implication of metabolic mechanisms in bendiocarb resistance in *An. gambiae* populations from  
371 Cameroon was also stressed by Antonio-Nkondjio *et al.* (2016). Sanil and Shetty (2010) studied the  
372 genetic basis of propoxur resistance in *An. stephensi* and showed that the resistance gene *pr* is  
373 autosomal, monofactorial, and incompletely dominant. According to the authors information on the  
374 inheritance mode of the resistant gene is considered relevant for a better understanding of the rate of  
375 resistance development.

#### 376 **4.7. Amitraz**

377 The target of amitraz activity has been proposed to be one of the biogenic amine receptors, most likely  
378 the adrenergic or octopaminergic receptors. In resistant tick strains two nucleotide substitutions in the  
379 octopamine receptor sequence have been detected resulting in amino acids that differ from all the  
380 susceptible strains (Chen *et al.*, 2007; Corley *et al.*, 2013). These mutations provided the first  
381 evidence for an altered target site as a mechanism of amitraz resistance in ticks. However, since the  
382 target site of amitraz has not been definitively identified the exact mechanism of resistance to amitraz  
383 is still not completely understood (Leeuwen *et al.*, 2010; Guerrero *et al.*, 2012 a; Pohl *et al.*, 2012).

### 384 **5. Methods of detecting resistance**

385 *In vivo* trials are carried out directly on animals by means of administering the product according to  
386 the recommended dose-rate and application mode, and the number of arthropods pre- and post-  
387 treatment is subsequently compared.

388 *In vitro* trials are numerous and vary according to the specific chemical and arthropod being  
389 investigated. Some approved test methods are given by FAO (2004), CDC (2012), WHO (2005, 2016)

390 and IRAC (consulted 2017). Most but not all of the tests require laboratory conditions. Tests which can  
391 be performed under field conditions are e.g. the CDC bottle test (CDC, 2012) or the "Fly Box" mobile  
392 test kit (Jandowsky *et al.*, 2010). Threshold values (e.g. discriminating doses) vary among different  
393 arthropod species and different ectoparasiticides with various modes of action. The validity of any of  
394 these methods is evaluated by using defined reference strains of arthropods (either susceptible or  
395 resistant).

### 396 **5.1. Exposing adults or larvae to treated surfaces**

397 Adults: This approach usually requires the direct contact between a surface treated with the chemical  
398 under investigation and the arthropods. It involves exposing arthropods to surfaces treated with  
399 different dilutions of the chemical under investigation for a predetermined period of time. At defined  
400 diagnostic time points the mortality of the arthropods is evaluated. Materials used for these surfaces  
401 may vary, e.g. paper, fabric or glass, but the principle remains the same (Thompson *et al.*, 2002,  
402 Jandowsky *et al.*, 2010; Rust *et al.*, 2014; Sternberg *et al.*, 2014).

403 Larvae: A method for testing the susceptibility of tick larvae on treated surfaces is the larval packet  
404 test (LPT) promoted by the FAO (2004). It has been suggested that this assay when combined with the  
405 discriminating concentration concept may be used as an inexpensive and rapid resistance diagnostic  
406 technique (Eiden *et al.*, 2015). A discriminating concentration is a single concentration of an insecticide  
407 that will kill a large portion of the susceptible genotype while the resistant genotypes remain alive. The  
408 LPT is not suitable for acarine growth regulators.

409 These types of tests are not suitable for testing resistance of IGRs which act by disrupting the moulting  
410 process and/or inhibiting the hatching of eggs. For testing IGR resistance in temporary pests like flies,  
411 the fly eggs are usually incubated in rearing media with increasing concentrations of the IGR  
412 (Jandowsky *et al.*, 2010). For ectoparasites that remain on the host permanently, specific test  
413 conditions might be required, e.g. the use of wool or skin scrapings of the host are considered  
414 essential for egg hatching in lice (Levot and Sales, 2008; James *et al.*, 2008).

### 415 **5.2. Topical application to adults or larvae**

416 Adults: An often used method is the topical application at a chosen location on the body surface of the  
417 arthropod. Using different dilutions, small droplets of the chemical under investigation are applied by  
418 micro-syringe to the arthropods that are immobilised, for example by carbon dioxide or cooling. As  
419 before (see 5.1), at the end of the test, the mortality of the arthropods is evaluated (Pessoa *et al.*,  
420 2015).

421 Another type of topical application is the immersion test. During this test the arthropods are  
422 submerged in different dilutions of the chemical under investigation (Castro-Janer *et al.*, 2009).

423 Larvae: For larvae an analogous test is the Larval Immersion Test (LIT) (Shaw, 1966). This test is not  
424 so widely used and has not been promoted by the FAO.

### 425 **5.3. Feeding tests with treated rearing media**

426 The basic principle is that the tested chemical, at different concentrations, is added to the culture  
427 rearing media for the larval stages of the ectoparasite. The larvicidal efficacy can be tested with such  
428 bioassays (Kelly *et al.*, 1987, Rust *et al.*, 2014).

429 A bioassay to determine resistance in sea lice has been described by Sevatdal *et al.* (2005). Pre-adult  
430 II sea lice are put in boxes and placed in seawater. The sea lice are then exposed to different doses of

431 ectoparasiticides for 30 to 60 minutes. Twenty four hours after exposure survival rates of sea lice can  
432 be evaluated.

#### 433 **5.4. Biochemical and molecular assays**

434 These tests have the potential to investigate resistance mechanisms in an individual ectoparasite and  
435 thus confirm resistance. However, these tests are currently only used for research purposes. Several  
436 biochemical and immunological assays are described by the WHO (1998) to test elevation or alteration  
437 of ectoparasite enzymes involved in higher tolerance to ectoparasiticides. For example, the biochemical  
438 microtitre plate tests allow for the same ectoparasite to be used for all assays to test enzyme activity,  
439 e.g., for detecting altered acetylcholinesterase, elevated esterase, glutathione-S-transferase. The  
440 enzyme activities are quantified visually or with a spectrophotometer. It should be stressed that  
441 biochemical assays do not exist for all known resistance mechanisms and can, therefore, not  
442 completely substitute the standard susceptibility tests.

### 443 **6. Resistance monitoring programmes**

444 There are currently no systematic monitoring programmes for resistance in ectoparasites in Europe,  
445 except monitoring programmes for resistance occurrence in salmon lice in Norway and a monitoring  
446 programme currently starting for stable flies (*S. calcitrans* and *M. domestica*) in Germany.

447 Various projects monitor the environment and the health status of honey bee colonies including  
448 distribution of Varroa mite infestation at a national level in EU member states (e.g. Italy with BeeNet,  
449 i.e. an Italian beekeeping monitoring network, the German bee monitoring project, Spain etc).  
450 However, they do not specifically study levels of resistance and there is no EU-wide monitoring project  
451 that homogeneously collects data on Varroa resistance according to a standardized study protocol. In  
452 France, the field efficacy of products authorised against *V. destructor* in bees is monitored annually on  
453 a voluntary basis supervised by FNOSAD (Federation Nationale des Organisations Sanitaires Apicole  
454 Departementales) in order to detect any lack of expected efficacy. This is carried out using *in vivo*  
455 efficacy tests. The international honey bee research association COLOSS (prevention of honey bee  
456 COLony LOSSes) has a Varroa control task force; however, it does not primarily focus on resistance  
457 monitoring (<http://coloss.org/taskforces/varroacontrol>).

#### 458 **Pharmacovigilance system**

459 Lack of expected efficacy should be reported within the EU pharmacovigilance system. These reports  
460 could be supportive in providing evidence of potential development of resistance to a specific active  
461 substance.

462 However, the system has its limitations as resistance is difficult to recognise in the field, and lack of  
463 expected efficacy is generally underreported. Thus, the true incidence of lack of efficacy is likely to be  
464 underestimated. Consequently, the current pharmacovigilance system is of limited value to detect and  
465 monitor resistance.

### 466 **7. Management strategies to delay the development of** 467 **resistance**

468 According to the WHO (2014) the occurrence of resistance is of focal nature and requires local  
469 decisions. From a general perspective, however, the following measures for reducing the development  
470 of resistance are addressed in the related literature:

471 **7.1. Monitoring**

472 Regular resistance monitoring before choosing an appropriate ectoparasiticide for application has been  
473 recommended in the public literature (FAO, 2004; Abbas *et al.*, 2014; Karakus *et al.*, 2017).  
474 Monitoring requires a recognized laboratory responsible for resistance testing, a defined standard  
475 methodology including a susceptible reference strain and, if necessary, also a known resistant strain  
476 (FAO, 2004).

477 **7.2. Use of ectoparasiticides**

478 *7.2.1. Reduction of number of treatments*

479 There is general consensus that the reduction of the selection pressure for resistance in the field may  
480 delay the emergence of resistance (FAO, 2004; Thullner *et al.*, 2007), and it has been recommended  
481 reducing the use of ectoparasiticides (e.g. timing the treatments according to epidemiology) or  
482 avoiding the treatment of uninfested animals (FAO, 2004; Heath and Levot, 2015).

483 This was supported by a case control study performed in Australian dairy farms (Queensland), where  
484 regional differences were noted in the prevalence of acaricide resistance to the cattle tick  
485 *R. (Boophilus) microplus*. Certain regions and the frequency of acaricide application were consistently  
486 associated with resistance; it could e.g. be observed that the risk of resistance to synthetic pyrethroids  
487 and to amitraz increased when more than 5 applications of acaricide were made in the previous year  
488 (Jonsson *et al.*, 2000).

489 *7.2.2. Method of administration of a VMP*

490 The method of administration has also been taken into account (Jonsson *et al.*, 2000; FAO, 2004). For  
491 tick eradication programmes, topical application via plunge dips or spray races were considered  
492 superior with regard to efficacy compared to administration with an hand-held spray apparatus, since  
493 the latter method might provide insufficient distribution and/or wetting of the animals with the  
494 possibility of ticks being exposed to sublethal concentrations. This was supposed to be a possible factor  
495 that mediates the development of resistance (Jonsson *et al.*, 2000; WHO, 2014).

496 *7.2.3. Rotation of different classes of ectoparasitics*

497 Furthermore, the use of rotation or alternation of different groups of insecticides/acaricides, which  
498 have no cross-resistance has been discussed (Kunz and Kemp, 1994; Cloyd, 2010; Abbas *et al.*, 2014;  
499 WHO report, 2014). This approach assumes that within an ectoparasite population the frequency of  
500 resistant individuals to each chemical used before will decline during the application of the alternate  
501 substances (Kunz and Kemp, 1994). In this respect the means of maintaining refugia of susceptible  
502 ectoparasites to dilute resistance alleles has been considered (Kunz and Kemp, 1994; FAO, 2004; WHO  
503 report, 2014) although this appears to be difficult to apply in practice (Heath and Levot, 2015). The  
504 use of either strategy is considered controversial as it has not been adequately demonstrated that  
505 these strategies actually mitigate resistance (Cloyd, 2010).

506 With regard to acaricides there is evidence from a study performed under laboratory conditions with  
507 defined *R. microplus* tick strains that rotation of pyrethroid acaricides (deltamethrin) with  
508 organophosphate acaricides (coumaphos) could delay the development of pyrethroid resistance.  
509 However, field trials are considered necessary to confirm such strategy (Thullner *et al.*, 2007).



510 **7.2.4. "Multi-active products"**

511 A further strategy still under discussion to delay resistance is the use of products containing two or  
512 more ectoparasiticide substances with different modes of action against the same parasite (multi-  
513 active products). This approach is based on the assumption that an individual parasite is unlikely to  
514 carry resistant alleles for two or more acaricides or insecticides with different modes of action (Kunz  
515 and Kemp, 1994; Abbas *et al.*, 2014; WHO report, 2014). This strategy requires that the active  
516 substances in a multi-active product are compatible, of equal persistence (to prevent that sublethal  
517 concentrations of one component would select for resistant heterozygotes) and that they are used at  
518 recommended concentrations. However, the potential risk of the development of multiresistance  
519 cannot be fully excluded and further thorough clarification on this strategy appears necessary before  
520 firm conclusions on its usefulness can be drawn.

521 **7.3. Synergists**

522 *Piperonyl butoxide (PBO)*

523 PBO is widely used as a synergist to certain ectoparasiticides (e.g. pyrethroids, carbamates) for the  
524 control of arthropods. PBO has no intrinsic killing properties against arthropods and is practically non-  
525 toxic to birds and mammals (NPIC, 2017). PBO inhibits numerous enzymes in the arthropods that can  
526 break down the active substance before they can operate. Specifically, PBO inhibits the detoxification  
527 of ectoparasiticides by binding to the Cyt P450 dependent mixed function oxidases (MFOs), which are  
528 responsible for the degradation of active substances (Weber, 2005). Therefore, by adding PBO to a  
529 product, resistance based on increased activity of insect's MFOs might be overcome to some extent;  
530 thereby preserving the toxicity of carbamates and synthetic pyrethroids.

531 **7.4. Environmental control measures**

532 To delay the development of resistance, additional measures which may reduce the infestation  
533 pressure and thereby the frequency of ectoparasiticide application have been addressed in the relevant  
534 literature: Pasture management (e.g. pasture alternation and/or rotation, in combination with  
535 ectoparasiticides) and/or housing management (e.g. good ventilation, thorough manure removal,  
536 optimum animal density, low stress) (Jonsson *et al.*, 2000; Abbas *et al.*, 2014). Treatment of the  
537 surroundings to reduce or eliminate reinfestations is also a common strategy to reduce the infestation  
538 pressure, e.g. as practiced in the case of fleas. Management measures may include mosquito traps,  
539 horsefly traps and fly traps (lights, sticky strips) (Heath and Levot, 2015). Moreover, quarantine of  
540 bought-in livestock may be considered as a strategy to prevent possible infestation and the need for  
541 treatment of the whole flock at a later time (FAO, 2004). This has been recommended for one host  
542 ticks, lice and mites e.g. *Amblyomma* in Africa and South America, prevention of transmission of  
543 biting louse *Bovicola (B.) ovis* or chorioptes mites *Sarcoptes* (non flying obligatory ectoparasites).

544 **7.5. Alternative management strategies**

545 Alternative methods for controlling ectoparasiticide infestations are, for example, the use of natural  
546 enemies (e.g. predator mites) and vaccination:

547 **7.5.1. Natural enemies**

548 The black dump fly *Hydrotaea aenescens* (formerly *Ophyra*) has been used successfully for controlling  
549 house fly populations on swine and poultry farms in Europe and the United States (Betke *et al.*, 1989,  
550 Ruzsler, 1989; Turner and Carter, 1990; Jespersen, 1994; Hogsette and Jacobs, 1999).

551 Leclercq *et al.* (2014) studied the efficacy of cleaner fish (wrasse, Labridae), which feed on the skin of  
552 other fish, as a biological control against sea lice. The authors concluded that farmed Ballan wrasse  
553 (*Labrus bergylta*) are highly effective controls against sea lice.

554 In poultry production, the release of predator mites such as *Androlaelaps casalis* that consume the  
555 poultry red mite *D. gallinae* is used. However, although commercially available, the use of predator  
556 mites under field conditions needs further research (Sparagano *et al.*, 2014).

557 **7.5.2. Vaccination**

558 For few arthropod species the development of vaccines is considered a possible alternative approach  
559 for the control of ectoparasites. For many years research efforts focused on the development of a  
560 vaccine against the single host tropical cattle tick *R. microplus*, which has considerable negative impact  
561 on livestock production (de la Fuente *et al.*, 2007; Vargas *et al.*, 2010; Guerrero *et al.*, 2012 b;  
562 McNair, 2015, Schetters *et al.*, 2016). Presently, only one vaccine containing the gut antigen Bm86 of  
563 *R. microplus* is commercially available (Guerrero *et al.*, 2012 b). However, efficacy of this vaccine is  
564 said to be variable because of strain-to-strain variation, and acceptance is not widespread (Guerrero *et*  
565 *al.*, 2012 b). Cattle tick vaccine research is ongoing in order to develop improved vaccines.

566 Similar approaches for other veterinary infestations are also considered useful (e.g. sheep scab, sea  
567 lice) (McNair, 2015). However, the selection of suitable antigens as vaccine candidates is generally a  
568 major constraint (Smith *et al.*, 2001; Smith and Pettit, 2004), and so far no vaccine against  
569 ectoparasites is available in the EU.

570 **8. Discussion**

571 Worldwide expanding resistance against ectoparasitic substances contained in veterinary medicinal  
572 products and biocides is a major concern for animal welfare, for livestock production and partly also for  
573 human safety. Differences in the life cycle and prevalence of a parasite as well as in husbandry and  
574 environmental conditions, have resulted in region-specific reports about arthropod's resistance. For  
575 ectoparasite species with worldwide occurrence (e.g. fleas, mosquitoes, flies, mites) the resistance  
576 situation appears to have been more evenly investigated.

577 **8.1. Resistance mechanisms**

578 The development of resistance to antiparasitics is known to be influenced by the host, the parasite, the  
579 frequency of use of antiparasiticide products and the environment/husbandry system. Presently, in  
580 ectoparasites two major resistance mechanisms have been identified, which in general are i) point  
581 mutations and ii) enzyme-based detoxification mechanisms. For several ectoparasite species resistance  
582 mechanisms against some of the relevant substance classes have been determined. However, clinically  
583 relevant resistance has also been observed for which the underlying resistance mechanism is presently  
584 not exactly known, e.g. for amitraz or macrocyclic lactone compounds in ticks. The possibility that  
585 resistance against a substance or substance class is based on more than one mechanism needs to be  
586 considered. To summarize, more information in this area including the inheritance of resistance genes



587 is needed not least for the benefit of establishing resistance management programs. Therefore,  
588 continuation of research in the highly complex process of resistance development is needed.

## 589 **8.2. Detection of resistance**

590 Usually, resistance will be suspected through lack of efficacy during clinical use. However, lack of  
591 efficacy could also occur due to inadequate application of a product, e.g. underdosing, inappropriate  
592 dosing frequency or timing of treatment or poor application techniques. Such inappropriate practices  
593 could, however, also lead to the selection of resistant ectoparasite species. Dryden and Rust (1994)  
594 suspected that the reason for lack of efficacy of ectoparasiticides in fleas is most likely not linked to  
595 resistance development but rather to treatment deficiencies related to the absence of environmental  
596 control, i.e. poor penetration of insecticides into carpets with subsequent re-emergence of adult fleas.

597 Based on experiences in Australia, frequent use of ectoparasiticides of the same class over an  
598 extended period of time is considered a risk factor for the development of ectoparasiticide resistance  
599 (Levot *et al.*, 1995; Wilson *et al.*, 1997; Jonsson *et al.*, 2000). Furthermore, it may be a problem when  
600 the same products are used to control different species of parasites and where the epidemiology of the  
601 different species infestations also differ, e.g. the use of macrocyclic lactones as anthelmintics may also  
602 select for resistance in ectoparasites (FAO, 2004). Therefore, the knowledge of preceding treatment  
603 practices may help to find the reason for an observed lack of efficacy of an ectoparasiticide.

604 A complicating factor is that it is generally difficult to confirm that lack of efficacy observed in the field  
605 is due to resistance against the veterinary medicinal product. Currently, most of the methods available  
606 to verify suspected resistance require time-consuming laboratory conditions. In addition, special  
607 expertise is necessary to propagate an ectoparasite population in the laboratory before resistance  
608 testing. Thus, there is a need for the development of resistance detecting methods that can be  
609 routinely performed under field conditions, and which can provide results in a timely manner with  
610 regard to the resistance/susceptibility status of an ectoparasite population.

## 611 **8.3. Monitoring of resistance**

612 In Europe, published information on resistance in ectoparasites is rather sporadic, focusing  
613 predominantly on mites, sealice, lice and flies and to a lesser extent on ticks, fleas and mosquitoes.

614 Structured resistance surveillance programs are only available in very few EU Member States, and only  
615 for specific parasites. Apart from this, there is a huge absence of information of the resistance situation  
616 and possible trends over time in most ectoparasite species in European countries in relation to  
617 currently used ectoparasiticides. Thus, there is a need for systematic monitoring throughout the  
618 European region. Experience from countries outside Europe (e.g. Australia, New Zealand) show that  
619 such information is a prerequisite to manage ectoparasite resistance development. (Jonsson *et al.*,  
620 2000; FAO, 2004; Abbas *et al.*, 2014; Karakus *et al.*, 2017).

## 621 **8.4. Strategies to delay resistance development**

622 To reduce the risk of resistance development and to achieve the expected treatment benefits a  
623 sufficiently confirmed diagnosis as well as a correct application of the veterinary medicinal product are  
624 inherent measures.

625 In order to ensure that a suitable ectoparasiticide is selected regular regional monitoring for the  
626 development of resistance against different chemical classes would be useful. The present difficulties,  
627 however, connected to the establishing of a monitoring program have already been addressed above.

628 Based on the general notion that increased exposure will increase the risk for resistance development,  
629 it can be assumed that reducing unnecessary routine preventive use of chemical controls is essential.  
630 This would fit into the concept of targeted selective treatment such as the refugia concept, which has  
631 been shown to be beneficial for delaying resistance development against anthelmintics. According to  
632 the refugia concept, a certain proportion of the parasite population is left untreated to reduce the  
633 selection pressure for genes that confer resistance. At the moment the available information is  
634 insufficient to draw final conclusions on the usefulness of this concept for ectoparasites; however, the  
635 refugia concept could be considered applicable in delaying resistance against ectoparasites, e.g. in  
636 ticks, lice, mites (Kunz and Kemp, 1995; FAO, 2004; Cloyd, 2010; Abbas *et al.*, 2014; McNair, 2015).  
637 Further clinical investigation is deemed necessary though (FAO, 2004; Cloyd 2010).

638 Apart from targeted selective treatment there are further strategies proposed in the literature, which  
639 could delay resistance development: e.g. rotation in the use of ectoparasiticide classes or use of multi-  
640 active product combinations with different mode of action targeted against the same parasite species  
641 (Abbas *et al.*, 2014; Heath and Levot, 2015). These strategies are believed to be most successful if  
642 implemented before resistance develops to any of the active substances included. However, it is  
643 described that in practice such strategies are often implemented when resistance has already  
644 developed against one or more active substances (Heath and Levot, 2015), which is likely to make  
645 them less effective. Moreover, there is always the potential risk of selection of multiresistant parasite  
646 species. Thus, at present, there is insufficient information to conclude on the effectiveness of rotation  
647 or the use of multi-active products with regard to delaying resistance. This emphasises the need for  
648 further scientific evaluation.

649 Furthermore, there are treatment independent options to reduce the need for treatment and, thus,  
650 selection for resistance. These are environmental control measures like appropriate pasture and/or  
651 housing management, the use of specific insect traps or the use of natural enemies of particular  
652 ectoparasites. The latter strategy, however, is often negatively influenced by concomitant use of  
653 ectoparasiticides. Nevertheless, it is generally agreed that non-chemical strategies could contribute to  
654 a reduced use of ectoparasiticides and, thus, to the delay of development of resistance. Therefore, it  
655 would be beneficial to put further emphasis on the research and the integration of such sustainable  
656 strategies into management programs and on the education of responsible persons.

## 657 **8.5. Assessment of product applications for ectoparasiticides**

658 For marketing authorisation applications information on the potential emergence of resistant arthropod  
659 species of clinical relevance is required. Applicants are also requested to provide data on the resistance  
660 mechanism as far as known. Furthermore, it would be useful if scientifically supported risk mitigation  
661 measures aimed at reducing the risk for resistance development could be presented. It is  
662 acknowledged, however, that the possibility to provide information on resistance is restricted due to  
663 the current lack of both surveillance and useful methods for detecting resistance. Even though there is  
664 some information on resistance mechanisms available in the literature the data base is currently  
665 limited, particularly with regard to information on the mode of heredity. Nevertheless, applicants are  
666 encouraged to provide all available data in the field of resistance development for the active substance  
667 in the product to be authorised. Unlike anthelmintic products, only a few SPCs of ectoparasiticide  
668 products currently contain precautions aimed at mitigating the risks for resistance development. It  
669 could be useful to develop guidance information regarding scientifically supported risk mitigation  
670 measures to be included in the SPC of ectoparasiticides. Considering that information on the resistance  
671 situation in the EU is scarce, there is limited information to include in the product literature. A sufficient  
672 number of different pack sizes should be made available for the market to allow treatment of different  
673 numbers of animals without causing left-overs that could be used inappropriately.

## 674 **9. Conclusion**

675 There is limited knowledge on ectoparasiticide resistance in Europe, as documentation on the subject is  
676 scattered and incidental. For most ectoparasite species in European countries there is no  
677 comprehensive data base, which provides an overview regarding the resistance situation against  
678 commonly used ectoparasiticides and possible trends over time. However, such information is  
679 considered essential for managing resistance development. In order to establish a sound basis for  
680 action regarding resistance management it would not only be important to initiate systematic  
681 monitoring programmes but also to continue the research regarding resistance mechanisms.

682 Resistance to ectoparasiticides is difficult to determine in the field, and it can be assumed by lack of  
683 efficacy of an insecticidal/acaricidal product. However, other causes may also result in insufficient or  
684 lacking efficacy, e.g. inadequate application of a product. In addition, reinfestations from the  
685 surroundings or from untreated animals could simulate lack of efficacy. Therefore, suspected  
686 resistance should require verification by laboratory tests. Since most of the available tests are arduous  
687 and time-consuming, further research regarding the development of quick tests for diagnostic  
688 purposes, which can be routinely used, should be encouraged.

689 Even though there is a lack of knowledge on the current situation of ectoparasiticide resistance in  
690 Europe, it might be prudent to include warnings in the SPC of ectoparasiticide products to prevent  
691 inappropriate use. It should, however, be stressed that the knowledge on the prudent use of  
692 ectoparasiticides is by no means complete.

## 693 **10. Recommendations**

694 There are several issues related to the use of ectoparasiticides with the purpose of reducing the risk for  
695 resistance development that do not fall within the mandate of the CVMP/EWP. For many issues, action  
696 requiring professional expertise and input from other parties is needed to improve understanding,  
697 monitoring, management practices, and the prudent use of ectoparasiticides so as to reduce  
698 inappropriate use and consequently delay resistance development.

### 699 **10.1. CVMP recommendations**

- 700 • Use of an ectoparasitic product for therapeutic/treatment purposes should be based on the  
701 confirmation of ectoparasitic infestation, using appropriate diagnostic methods, if necessary,  
702 e.g. skin scraping investigations in the laboratory to verify mange mites.
- 703 • Improve pharmacovigilance reporting. Veterinarians and other qualified individuals, as well as  
704 farmers and animals keepers, should be encouraged to identify and report any lack of expected  
705 efficacy.
- 706 • Develop and harmonise prudent use warnings for similar products, as appropriate.
- 707 • Provide guidance on the resistance data that should be included in marketing authorisation  
708 applications for ectoparasiticides (e.g. published literature addressing the concerned regions in  
709 Europe) in line with the requirements of the new veterinary legislation.
- 710 • Promote increased availability of ectoparasiticides for minor species to reduce off-label use.  
711 Develop specific guidance for minor species in line with the requirements of the new veterinary  
712 legislation.

- 713 • Restrict the use of fixed combination products extending the parasite spectrum to situations  
714 where all active substances are necessary at the time of administration through appropriate  
715 statements in the product literature.
- 716 • A sufficient number of different pack sizes should be made available for the market to allow  
717 treatment of different numbers of animals without causing left-overs that could be used  
718 inappropriately.

## 719 **10.2. Responsibility of Member States**

- 720 • Decisions on prescription status are not within the responsibility of the CVMP for nationally  
721 authorised products. Nevertheless, the prescription-only status is recommended for  
722 ectoparasiticides for food-producing animals to avoid inappropriate use.
- 723 • A sufficient number of different pack sizes should be made available for the market to allow  
724 treatment of different numbers of animals without causing left-overs that could be used  
725 inappropriately.
- 726 • Encourage Member States to establish systematic monitoring systems at national level or EU-  
727 wide to detect resistance in ectoparasites, in particular parasites for which there is a particular  
728 concern.
- 729 • Encourage the NCAs to control advertising for ectoparasitic products which are available as  
730 non-POM to be sure that it is coherent with the summary of product characteristics and not  
731 include information which could be misleading or lead to overconsumption of the product.

## 732 **10.3. Research and education**

733 The following topics fall outside the mandate of the CVMP and national regulatory agencies. However,  
734 they are of importance for understanding and monitoring the development of ectoparasitic resistance.

- 735 • Continue research on resistance mechanisms. Develop suitable and practical tests for detection  
736 of resistance in different ectoparasite species, and markers that trace early stages of resistance  
737 development in an ectoparasitic population. A threshold to confirm resistance in different  
738 ectoparasite species needs to be established for each target animal species. Support  
739 development of better monitoring tools, e.g. user-friendly software/apps that could be  
740 routinely used (by farmers).
- 741 • Continuous validation of tests, e.g. by carrying out inter-laboratory ring tests.
- 742 • Continue research on management strategies that could reduce the need of ectoparasiticides.
- 743 • Continue research on biological alternatives that could reduce the need for ectoparasiticides.
- 744 • Educate and enhance awareness of ectoparasitic resistance amongst veterinarians and other  
745 persons qualified to prescribe veterinary medicinal products in accordance with applicable  
746 national law, as well as animal owners.
- 747 • Further explore through appropriate scientific evaluation the benefits and risks in relation to  
748 resistance development associated with the use of multi-active ectoparasiticides. More  
749 research / data are needed on the impact of all combination products on resistance  
750 development.

751 **Definitions**

752 **ABC transporters:** ATP binding cassette (ABC) transporter proteins which are expressed in all  
753 organisms and which are essential to several physiological processes (e.g. translocation of substances,  
754 cellular defense).

755 **Cross-resistance:** Decreased susceptibility to more than one active substance within different  
756 chemical classes.

757 **Discriminating dose/concentration:** The dose which kills 100% of susceptible test ectoparasites  
758 within a given population. Any individuals from field collected isolates which survive at this dose are by  
759 definition resistant.

760 **Multiactive product:** Products containing two or more substances with activity against the same  
761 target parasite but with a different mode of action.

762 **Refugia:** The theoretical basis behind the refugia concept is to leave a certain proportion of the  
763 parasite population untreated to reduce the selection pressure for genes that confer resistance.

764 **Resistance factor (RF):** The ratio of the LC 50 of a field population relative to the LC 50 of a  
765 susceptible reference strain. In addition to LC 50 also other LC values (e.g. LC 90, LC 95 or LC 99.9)  
766 are used.

767 **Resistance ratio (RR):** see RF

768 **Side-resistance:** Decreased susceptibility to more than one active substance within the same  
769 chemical class.

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