Quick guide
Clinical Study Reports submission
CTIS Training Programme – Module 13
Version 1.2 – November 2023

Learning Objectives

• Remember what a Clinical Study Report (CSR) is
• Understand how to create and submit a CSR
• Understand how to view, download, update and withdraw a CSR
• Understand the roles and permissions involved in managing a CSR
• Understand how to request the MAH Admin role

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# Record of updated versions

The table below describes the updated versions after CTIS go-live (January 2022):

<table>
<thead>
<tr>
<th>Version</th>
<th>Version description</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.2</td>
<td>Update of references and links.</td>
<td>November 2023</td>
</tr>
<tr>
<td>1.1</td>
<td>Minor changes applied (e.g. mistyping errors). Process of requesting MAH Admin role has been added.</td>
<td>October 2022</td>
</tr>
<tr>
<td>1.0</td>
<td>First version (CTIS go-live version).</td>
<td>March 2021</td>
</tr>
</tbody>
</table>
Introduction

Where a clinical trial is intended to be used for obtaining a marketing authorisation for an investigational medicinal product, the sponsor must submit a Marketing Authorisation Application (MAA). The European Regulatory Authorities are responsible for evaluating those applications in the European Union (EU). Once granted, the authorisation allows sponsor to commercialise a medicine and make it available to patients and healthcare professionals across the European Economic Area. The process for evaluation of the MAA is not performed in CTIS and therefore it will not be the focus of this module.

As per Article 37 of the CT Regulation, the marketing authorisation applicants/holders must submit a ‘Clinical Study Report’ (CSR) to CTIS, within 30 days after the day the marketing authorisation has been granted, the procedure for granting the marketing authorisation has been completed, or the applicant for the marketing authorisation has withdrawn the application.

A CSR is a report of an individual study of an investigational medicinal product, in which the clinical and statistical description, presentations, and analyses are integrated\(^1\). The CSR includes a title page; a synopsis; a table of contents for the individual clinical study report; a list of abbreviations and definitions of terms; the ethics of the clinical study; the investigators and study administrative structure; the study objectives; the investigational plan; the study patients; the efficacy evaluation; and the safety evaluation. ***At the moment of the submission, the information contained in the CSR will become public.***

In CTIS, the users responsible for this action are the **Marketing Authorisation Holder users (MAH)** within the sponsor workspace.

Article 37(4) of the CT Regulation also describes that irrespective of the outcome of a clinical trial, the sponsor must submit to CTIS a summary of the results of the trial, within one year from the end of a clinical trial in all Member States concerned or within six months for a trial in paediatric population. The summary of results shall be accompanied by a summary written in a manner that is understandable to laypersons.

It should be noted that while the summary of results and the layperson summary are to be provided by the sponsor users after the end of each clinical trial in the EU, the CSRs are to be

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submitted by the MAH users only, in the case that a clinical trial is intended to be used for obtaining a marketing authorisation for the investigational medicinal product. As these processes are independent and performed by different users, they are explained in dedicated modules: the **focus of this module is the submission of the CSR**, while for more information regarding the summary of results, users can refer to Module 5: How to manage a CT (Notifications, Ad Hoc assessment, Corrective measures, and Trial results).

**Sections of this quick guide**

This quick guide is structured in four sections:

- **Create and submit a CSR**
  
  This section outlines the steps that users need to follow to create and submit new CSRs.

- **Search for a CSR**
  
  This section outlines the steps that users need to follow to search for a CSR (including an explanation of the different fields of search).

- **Update, download and withdraw a CSR**
  
  This section outlines the steps that users need to follow to update, download or withdraw a CSR.

- **Roles and permissions**
  
  This section provides an explanation of which roles can view, submit, update and withdraw a CSR and how to request the MAH Admin role.
Create and submit a CSR

**MAH users** can access the Clinical study reports tab from the sponsor workspace. Within this tab, users with specific roles (see section 4: Roles and permissions) can **create and submit** a new CSR.

1. To do so, they need to select the ‘New’ button displayed on the left of the screen.

2. After users have clicked on the ‘New’ button, a search interface is displayed where users can search for the **Clinical Trial** (CT) for which they intend to submit the CSR. This search functionality allows users to find the CT by populating one or more of the following fields:
   - **EU CT Number**: Number assigned by the system to a specific CT.
   - **Member State Concerned**: Member State of the European Union in which the CT was carried out.
   - **Title**: Title of the CT.
   - **Submission date**: Date of submission of the Initial application dossier.
   - **Sponsor**: Organisation submitting the Initial application dossier.
   - **Decision date**: Date in which the MSCs provided a decision on the authorisation of the CT in question.

3. After the search is launched, users are able to select one trial and then click on the ‘Confirm’ button at the end of the results page.

4. Once confirmed, a pop-up window is displayed in which users can populate the CSR form (including the fields MAA procedure outcome, procedure type, date of the outcome, and MAA reference ID), upload the appropriate document(s) and click on the ‘Submit’ button.

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**#CTIS insights**

Users can create a CSR for authorised Clinical Trials only.

A new CSR for an already existing Clinical Trial can be created and uploaded from the Clinical study reports tab using the ‘+ New’ button.

If users want to cancel the submission they need to select the button ‘Close’.
Search for a CSR

**MAH users** of the sponsor workspace have the **Clinical study reports tab available** in CTIS.

1. From the Clinical study reports tab, users can open the search functionality by clicking on the **drop-down** button displayed on the right side of the ‘Search’ field.

2. Once the search functionality is opened, users can search for a specific CSR that has been already submitted, withdrawn or that is in draft status, by populating one or more of the available search fields. The fields include:

   - **EU CT Number**: Unique identifier of the clinical trial to which the CSR corresponds to.
   - **Title**: Trial title.
   - **Procedure number (MAA reference ID)**: MAA procedure number.
   - **MAA procedure outcome**: Decision that the awarding body has provided to the applicant for the application. These can be: MA granted, Procedure for MA completed, and MA withdrawn by the applicant (**see section 3: Update and withdraw a CSR for more information**).
   - **Submission date**: Date when CSR was submitted, as applicable.
   - **Status**: This shows the status of a given CSR. These can be submitted, draft, or withdrawn.

3. Once the search is launched, a list of the CSRs matching the populated fields is displayed. Users can select a specific CSR from the results page by clicking on the checkbox located on the left of each CSR.

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**#CTIS insights**

The search interface of the CSR differs from that of other tabs as it only includes an Advanced search functionality.
Update a CSR

MAH users (with specific roles, more details on the last section) can also update an existing CSR.

1. To do so, they need to search for a CSR (see section 2: Search for a CSR). Once the search is launched, the CSR matching the data populated in the search fields is displayed.

2. Once users have identified the CSR to be updated, they can click on the 'Update' icon (pencil) on the right side of the CSR.

3. After users click on the update icon, a pop-up window is displayed where the fields that are required to complete are marked with an asterisk (*). These fields include:
   - Date of procedure outcome
   - Procedure number (MAA reference ID)
   - MAA procedure outcome
   - Procedure type
   - Related documents

4. After users have updated the necessary fields, they can click on the 'Update' button on the bottom left corner of the pop-up window.

5. The system will display a message requesting active confirmation of the update of the CSR.

Users can save drafts of uncompleted CSRs by selecting the ‘Save’ button. They can access those draft versions and complete them via the ‘Clinical study reports’ tab.
Download a CSR

Users have three options to download a CSR:

I. **From the overview page of the CSR:**

1. Search the CSR from the search functionality of the Clinical study reports tab.
2. Select the ‘View’ icon on the right side of the CSR.
3. After selecting the ‘View’ icon, a pop-up window is displayed with all the information of the CSR included the uploaded document(s).
4. Users can download the documents by selecting the ‘Download’ icon.

II. **From the Trial results sub-tab of the Clinical trial page:**

1. Search a specific CT from the Clinical trials tab and click on the EU CT number.
2. Select the Trial results sub-tab of the Clinical trial page.
3. Go to the section ‘Clinical Study Reports’.
4. Select the ‘View’ icon on the right side of the CSR.
5. After selecting the view icon, a pop-up window is displayed with all the information of the CSR, including the uploaded document(s).
6. Users can download the documents by selecting the ‘Download’ icon.

III. **Downloading the documentation from the CT:**

1. Search a specific CT from the Clinical trials Tab and click on the EU CT number.
2. Select the download button on the top-right corner of a Clinical trial page.
3. Select the ‘Clinical Study Reports’ section.
4. Click on the Start Download button.
Withdraw a CSR

MAH roles can also withdraw a CSR:

1. To do so, they need to search for a CSR (see section 1: Search for a CSR). Once the search is launched, the CSR matching the data populated in the search fields is displayed.

2. Once users have identified the CSR to be withdrawn, they can click on the ‘Update’ icon on the right side of the CSR.

3. After users click on the update button, a pop-up window is displayed. In order to withdraw a CSR, a justification for its withdrawal is required.

4. After providing the justification users can click on the ‘Withdraw’ button at the bottom right corner of the pop-up window.

5. After users have clicked on the ‘Withdraw’ button, a message requesting the active confirmation for the withdrawal will appear.

2 For more information on the implications of the withdrawal of a CSR, refer to the FAQs document of this module.
Roles and permissions

CTIS is a role-based system that enables users to perform different actions depending on the permissions attached to the roles assigned to them by a user from their organisation with administrator permissions.

In relation to the CSR process, three roles (from the MAH user group) are involved: Marketing Authorisation Holder Admin (MAH Admin), CSR Viewer, and CSR Submitter.

Users can request the MAH Admin role by raising a ticket to CTIS User Service Desk. In their tickets, they need to attach an affiliation letter (a template provided by EMA), signed by a representative of the MAH/MAA. Users need to fill in the affiliation letter details such as the clinical trial for which the MAH Admin role is requested, as well as personal data (full name, email) and sponsor organisation data.

EMA, after receiving the request, will validate it and will proceed with assigning the MAH Admin role for the trials, listed in the affiliation letter. The MAH Admin user is able to assign the roles of CSR Submitter or Viewer to other CTIS users. This can be done for one or several trials.

The MAH Admin or the CSR Submitter users can then submit the CSR for the trials for which the role have been granted, as well as update or withdraw CSR(s). The CSR Viewers can only view CSRs (including the drafts) but cannot submit, update or withdraw CSRs.

#CTIS insights

The permission levels are structured in a cascade system where the lowest level is viewing permissions, and the highest level is submitting permissions.
Clinical study reports submission

European Medicines Agency
Domenico Scarlattilaan 6
1083 HS Amsterdam
The Netherlands

Telephone +31 (0)88 781 6000
Send a question www.ema.europa.eu/contact
www.ema.europa.eu

Clinical Trials Information System (CTIS)
Quick guide: Clinical Study Report submission.