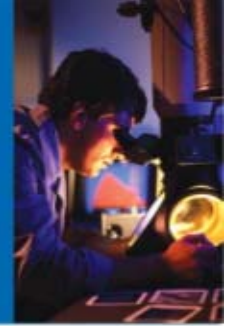




The Association of the
British Pharmaceutical Industry



*Calculation of the Minimum Anticipated
Biological Effect Level (MABEL)
and 1st dose in human*

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AstraZeneca

Member of ABPI / BIA Early Stage Clinical Trials Taskforce



Acknowledgements



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*** For input into MABEL and PK/PD modelling aspects in particular**

BIO's BioSafe Expert Nonclinical Safety Assessment Committee Members:

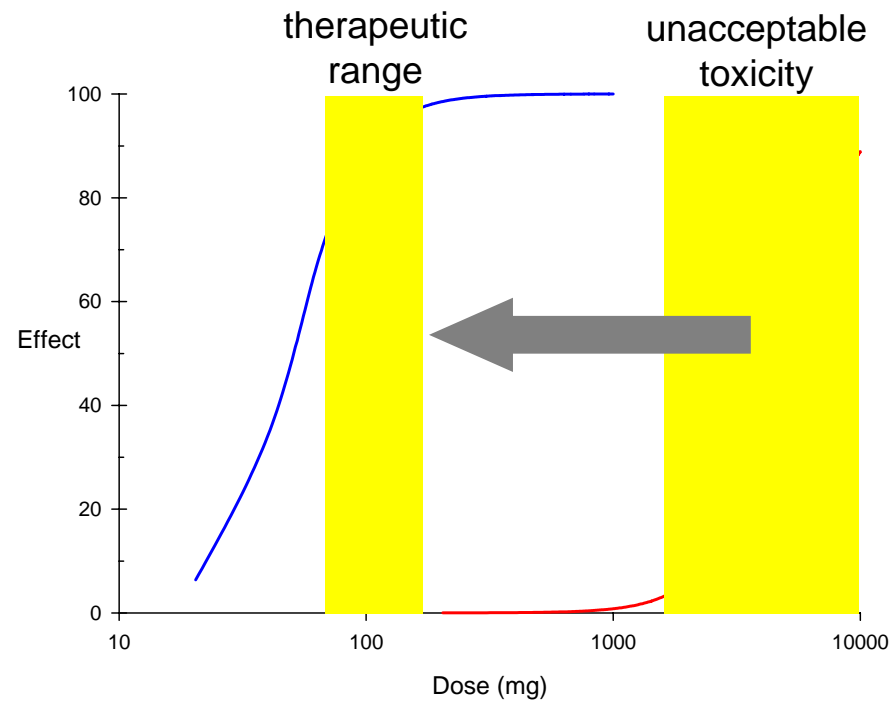
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Paracelsus 1493 – 1541

Alle Ding' sind Gift und nichts ohn' Gift; allein die Dosis macht, das ein Ding kein Gift ist.

"All things are poison and nothing is without poison, only the dose makes a thing be poison."





U.S. Food and Drug Administration

CENTER FOR DRUG EVALUATION AND RESEARCH



Guidance for Industry and Reviewers

Estimating the Maximum Recommended Starting Dose for Phase 1 Clinical Trials for Therapeutic Agents

- | | |
|--------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| Step 1 | Determine the "No Observed Adverse Effect Level" (NOAEL) |
| Step 2 | Convert NOAEL to "Human Equivalent Dose" (HED) - generally based on body surface area |
| Step 3 | Select HED for Phase 1 studies - additional considerations: species, pharmacokinetics, immunogens, antigens, epitopes, etc. (e.g., 1/10th of HED) |
| Step 4 | Apply a safety factor to the HED to determine the "Maximum Recommended Starting Dose" (MRSD) |
| Step 5 | Adjust the MRSD to a biologically active dose |

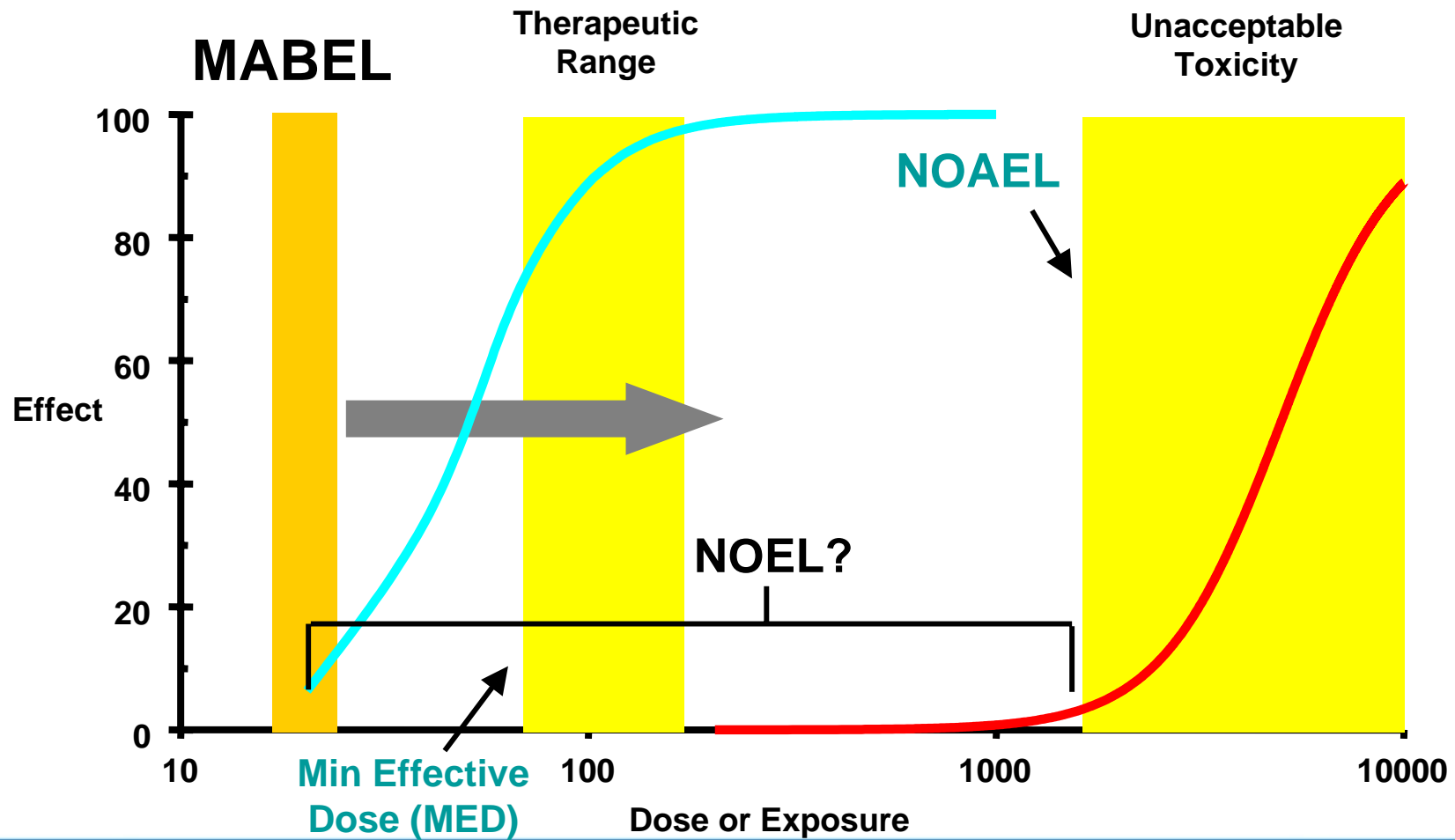
But...

Why start with the highest dose you think is safe?

Better to start with the lowest dose you think is active



A safe starting dose in man should be driven by pharmacology & toxicology





Summary: MABEL approach



Toxicology

Determine “No Observable Adverse Effect Level” (NOAEL)

Convert NOAEL to a “Human Equivalent Dose” (HED)

- adjust for anticipated **exposure** in man
- adjust for **inter-species differences in affinity / potency**

Apply ≥ 10 -fold safety factor

Pharmacology

Estimate human “Minimal Anticipated Biological Effect Level” (MABEL)

- **justify based on pharmacology**
- adjust for anticipated **exposure** in man
- include anticipated duration of effect
- adjust for **inter-species differences in affinity / potency**

↓

“Maximum Recommended Starting Dose”

- define anticipated safety window based on NOAEL and MABEL
- appropriate safety factor, if necessary, based on potential risk



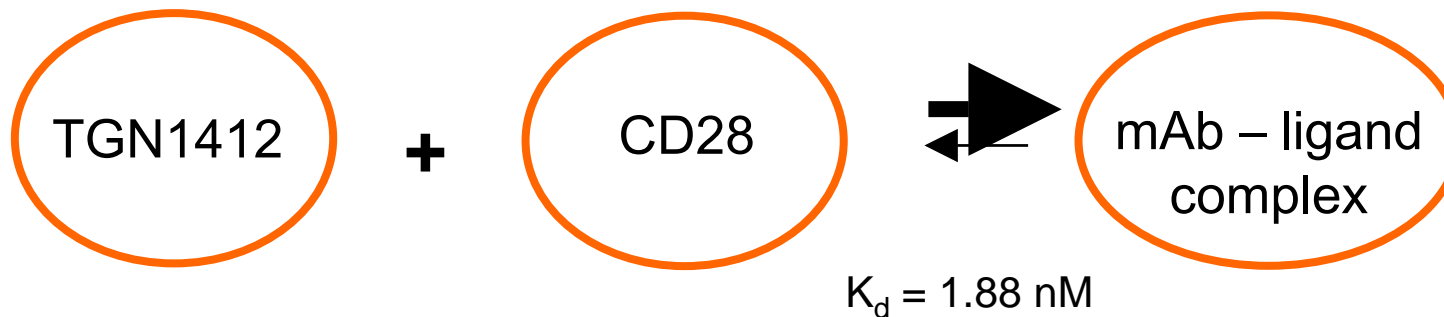
Pharmacology data



- **Understanding of mechanism of action**
- **Receptor occupancy estimates**
- **In vitro, ex vivo and/or in vivo concentration-response data**



Receptor occupancy



Dose 0.1mg/kg = 7 mg
MW 150,000
plasma volume 2.5L

Tcell $1.9 \times 10^6 \text{ mL}^{-1}$
CD28 / cell 150,000

TGN1412 = 18.7 nM
(immediately post-dose)

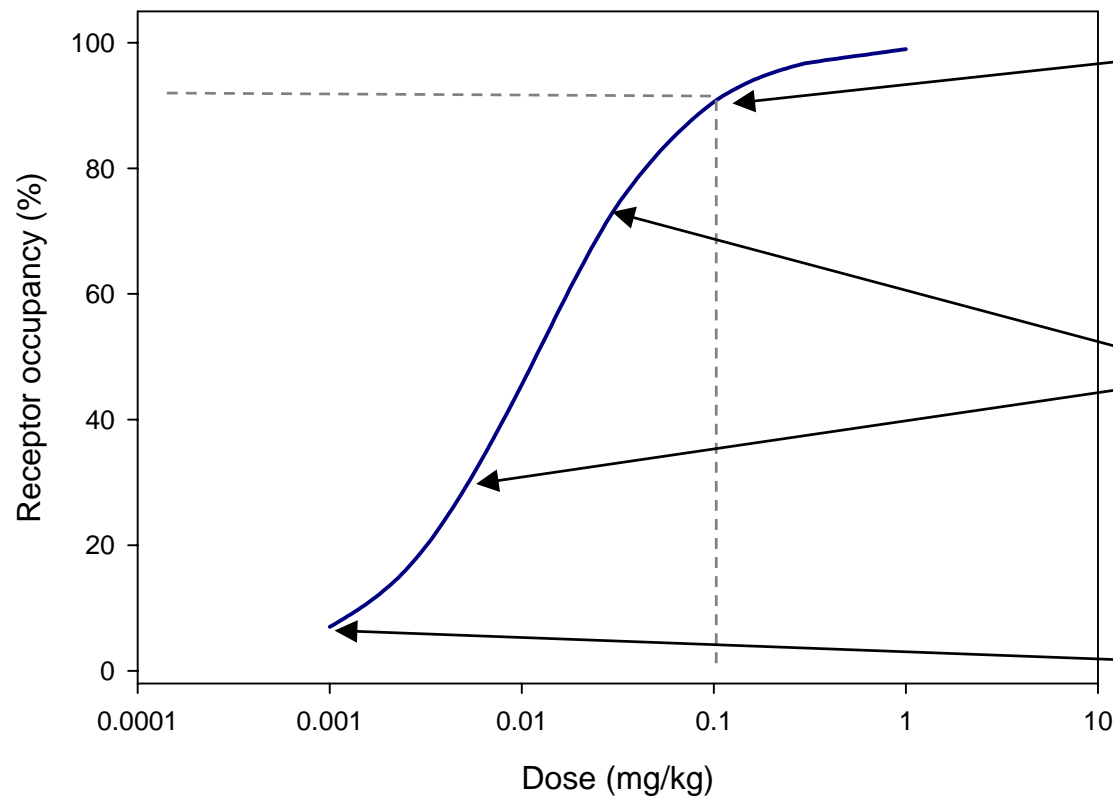
CD28 = 0.95 nM
at baseline

CD28-TGN1412 = 0.86 nM
at equilibrium

90% receptor occupancy



Receptor occupancy



90% receptor occupancy may be appropriate for an antagonist

**BUT, >10% may be acceptable even for an agonist:
Known pharmacology, human experience,
confidence in preclinical data**

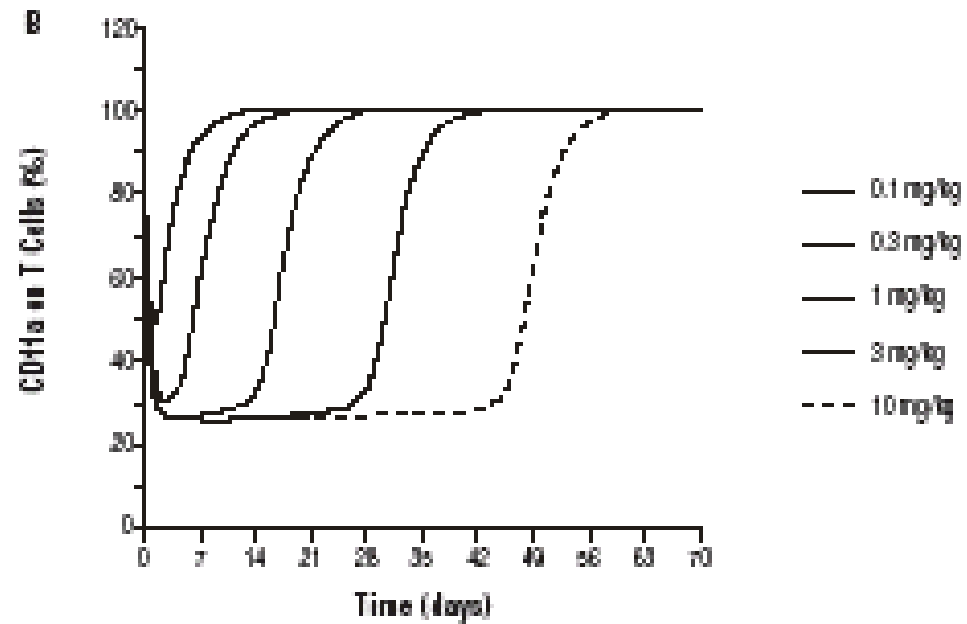
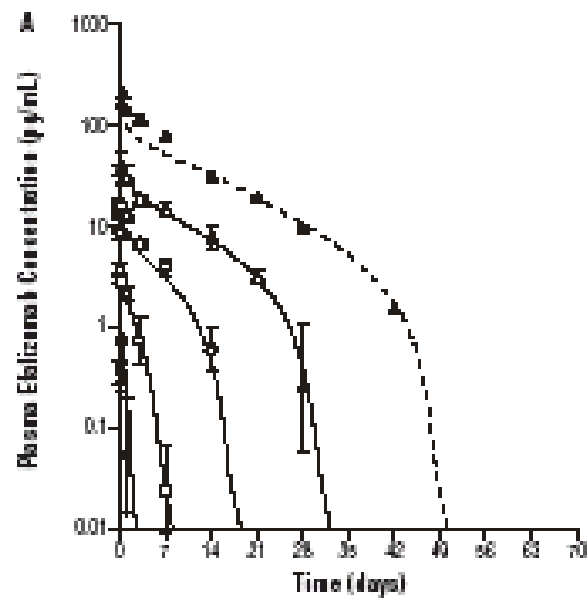
**<10% receptor occupancy may be more appropriate for an agonist at CD28:
-0.001mg/kg dose**



High receptor occupancy may be appropriate for antagonist effect



anti-CD11a mAb



Joshi et al An overview of the pharmacokinetics and pharmacodynamics of efalizumab: a monoclonal antibody approved for use in psoriasis
J Clin Pharmacol 2006; 46: 10-20



High receptor occupancy may be appropriate for antagonist effect



- **Initial dose may result in short duration of suppression of ligand**
- **Increasing doses have minimal impact on extent of suppression but increase the duration of suppression**

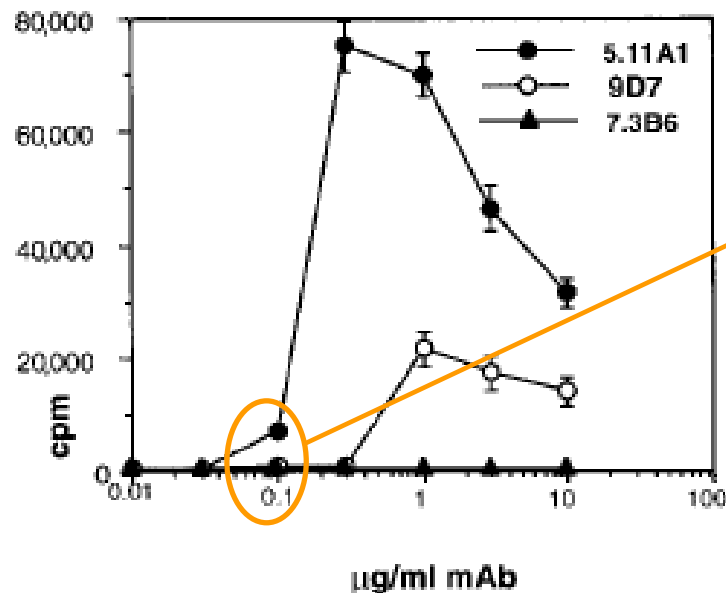
- **Duration of effect is governed by:**
 - **binding affinity to the target**
 - **ligand concentration and ligand turnover**
 - **and not only by the kinetics of mAb**



In-vitro concentration-response data



In vitro human T cell proliferation



5.11A1 – murine parent to TGN1412

minimally effective conc: 0.1 µg/mL

initial concentration (immediately post dose)

plasma volume (man) = 2.5 L

dose (man) = 0.25 mg

~0.003 mg/kg #

- 70 kg subject

NB difference in potency between 5.11A1 and TGN1412 not known

Figure 4. Stimulation of human T cells by superagonistic anti-CD28 mAb

Luhder F et al. Topological requirements and signalling properties of T cell-activating, anti-CD28 antibody superagonists
J. Exp. Med. 2003; 197(8): 955-966



TGN1412: MABEL dose calculation



Toxicology

NOAEL 50.0 mg/kg

HED 16.0 mg/kg

- adjust for anticipated **exposure** in man **(not done)**
- adjust for **inter-species differences in affinity / potency (not done)**

Apply ≥ 10 -fold safety factor 1.6 mg/kg

increased to 160-fold: 0.1 mg/kg

Pharmacology

MABEL

- **justify based on pharmacology**
- adjust for anticipated **exposure** in man
- include anticipated duration of effect
- adjust for **inter-species differences in affinity / potency**

in-vitro T-cell proliferation (0.1 $\mu\text{g/mL}$) murine parent to TGN1412 (5.11A1) ^{ref 3} = ~ 0.003 mg/Kg in man

initial 10% receptor occupancy ~ 0.001 mg/kg in man

“Maximum Recommended Starting Dose”

- define anticipated safety window based on NOAEL and MABEL
- appropriate safety factor based on potential risk

0.001 mg/kg



Why did pharmacology approach and MRSD approach give such different outcome?



Selection of relevant species for safety assessment



What are the criteria for the selection of a pharmacologically relevant species?

- **Target – sequence homology, expression of receptor or epitope**
- **In vitro binding affinity, receptor occupancy, on/off rate – compared to human**
- **In vitro bioactivity / potency – compared to human**
- **Pharmacologic activity (in vivo)**



Relative potency in humans and species used for safety assessment: Relevance of cynomolgus monkey?



Expert Scientific Group on Phase 1 Clinical Trials Final Report, November 2006

Summary of *in vitro* activation and proliferation responses of human and Cynomolgus macaque lymphocytes to immobilised TGN1412

	TGN1412 evoked activation	TGN1412 evoked proliferation	IL-2 evoked activation	IL-2 evoked proliferation	TGN1412+IL-2 evoked activation	TGN1412+IL-2 evoked proliferation
Human PBMC	++++	++++	-	-	Could not be tested*	Could not be tested*
Macaque PBMC	++	-	-	-	+++	+++

*: TGN1412 stimulates activation, IL-2 secretion and proliferation when given alone.

In initial *in vitro* assays, in which PBMC from Cynomolgus macaques were stimulated with immobilised TGN1412, cells did not undergo a proliferative response. Early indications are that Cynomolgus macaque PBMC are activated by TGN1412 but do not undergo proliferation. However, when exogenous human IL-2 was added to cultures of Cynomolgus macaque PBMC stimulated with immobilised TGN1412 then a strong proliferative response was observed. No proliferative response was observed following the addition of human IL-2 alone to Cynomolgus macaque PBMC cultures.



Consider all available preclinical data



- **In vitro data**
- **Effects of candidate drug in animal species / models**
 - Understand the limitations of animal species for predicting human safety
 - Information on relative potency in animal species versus humans
- **Effects of surrogate / related products in animals models**
 - Understand the limitations of animal species for predicting human safety
 - Information on relative potency in animal species versus humans



Peripheral T cell depletion observed with 5.11A1 (murine parent to TGN1412) in humanised mouse model

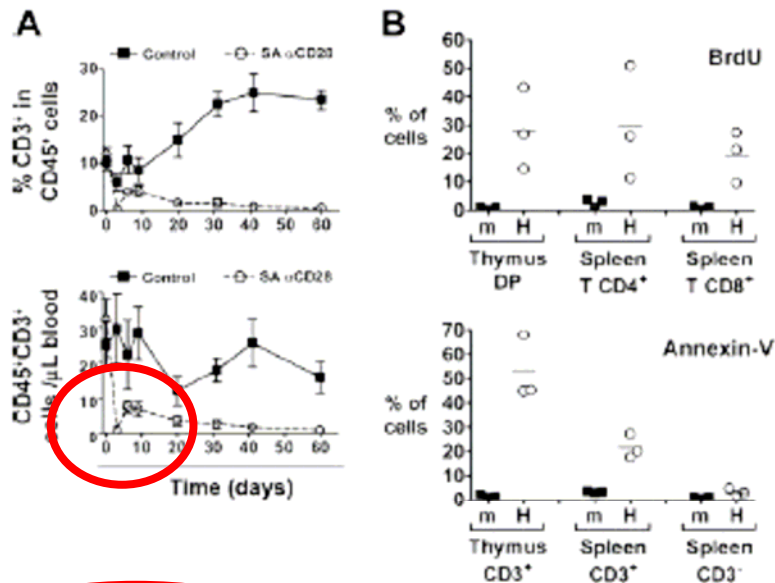


Figure 4. SA anti-CD28 treatment induces increased human thymic output despite T-cell depletion and high T-cell turnover in the periphery of HIS (BALB-Rag/γ) mice. (A) The percentage of CD3⁺ T cells among CD45⁺ cells (top) and the absolute number of human T cells (bottom) was monitored in the blood of IgG1 control (■) and SA anti-CD28-treated mice (○) over a 2-month period (mean ± SEM). Representative results from 1 experiment out of 3 are shown. (B) Control wild-type BALB/c mice (m) and HIS (BALB-Rag/γ) mice (H) were treated for 1 day with BrdU and incorporation by cells of the indicated subsets was analyzed (top panel). Proportion of Annexin-V⁺ cells was also determined in the indicated populations (bottom panel). Representative results from 1 experiment out of 2 are shown. Horizontal bars indicate mean values.

•Dose: 0.3 mg per mouse I.P

•Establish dose-response for T-cell depletion in this model?

•Account for relative potency of 5.11A1 and TGN1412

Ref: Legrand N et al.

Transient accumulation of human mature thymocytes and regulatory T cells with CD28 superagonist in “human immune system” Rag2^{-/-}γc^{-/-} mice
Blood 2006; 108: 238-245



Splenomegaly and lymphadenopathy observed In rats given JJ316 (mouse anti-rat CD28 antibody)



Figure 5. JJ316 treatment *in vivo* induces splenomegaly and lymphadenopathy. LEW rats received a single i.p. dose of 1 mg anti-CD28 mAb JJ319 (left), JJ316 (right) or PBS (as JJ319, not shown) and were killed 3 days later.

- Dose: 1mg per rat I.P
- Establish dose-response for lymphocytosis in this model?
- Account for relative potency of JJ316 and TGN1412

Eur. J. Immunol. 1997. 27: 239-247

Michael Tacke,
Gabriele Hanke,
Thomas Hanke and
Thomas Hünig

Institute for Virology and
Immunobiology, University of
Würzburg, Würzburg, Germany

T cell activation via CD28 without TCR engagement 239

CD28-mediated induction of proliferation in resting T cells *in vitro* and *in vivo* without engagement of the T cell receptor: evidence for functionally distinct forms of CD28



Consider all available preclinical data



- **In vitro data**

- **Effects of candidate drug in animal species / models**

- Understand the limitations of animal species for predicting human safety
- Information on relative potency in animal species versus humans



- **Effects of surrogate / related products in animals models**

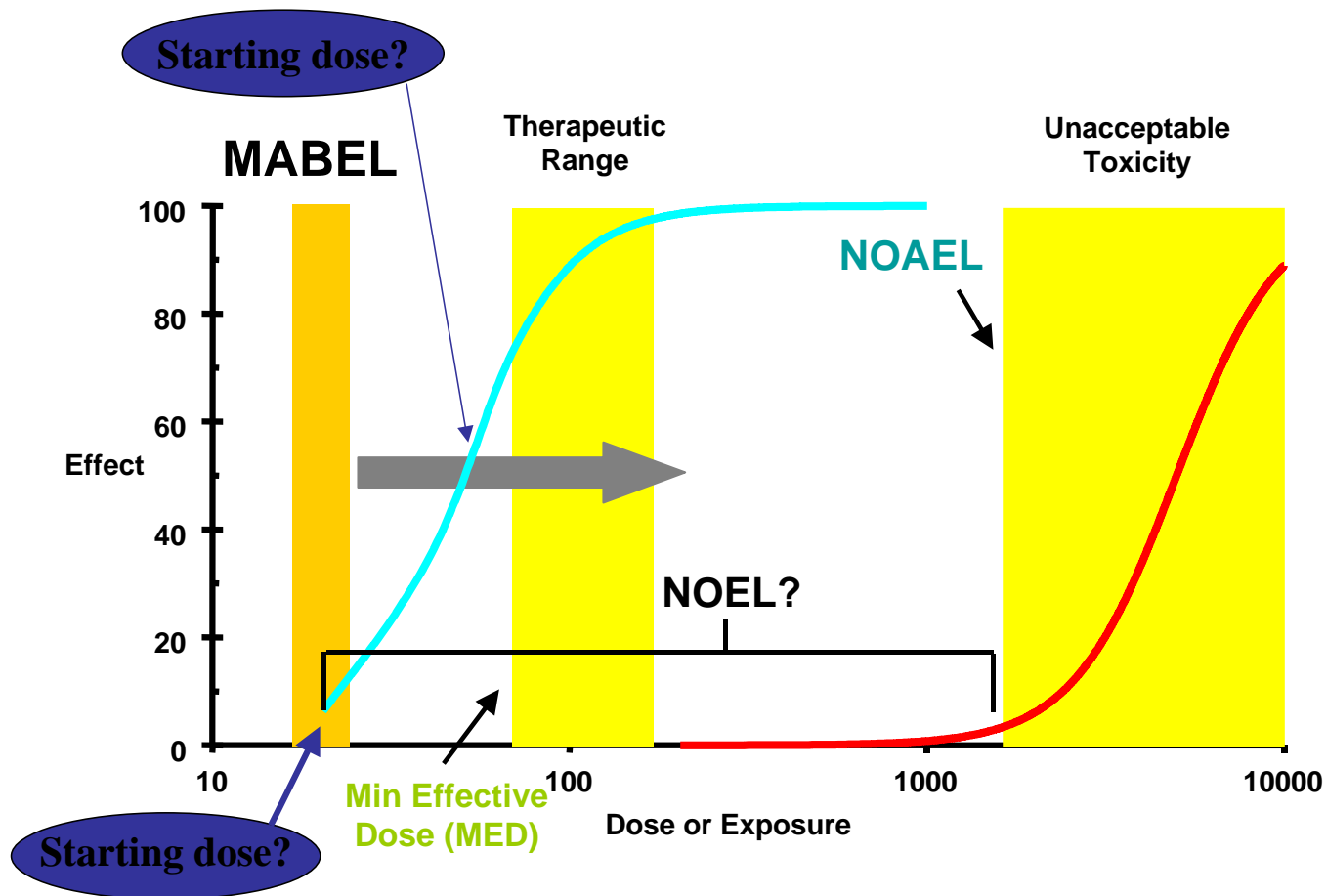
- Understand the limitations of surrogate products for predicting human safety
- Information on relative potency in animal species versus humans

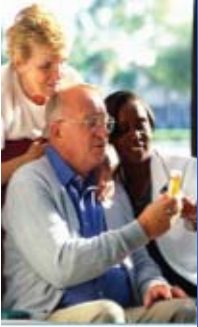
No dose-response data





Starting dose for FTIH study





Dose escalation



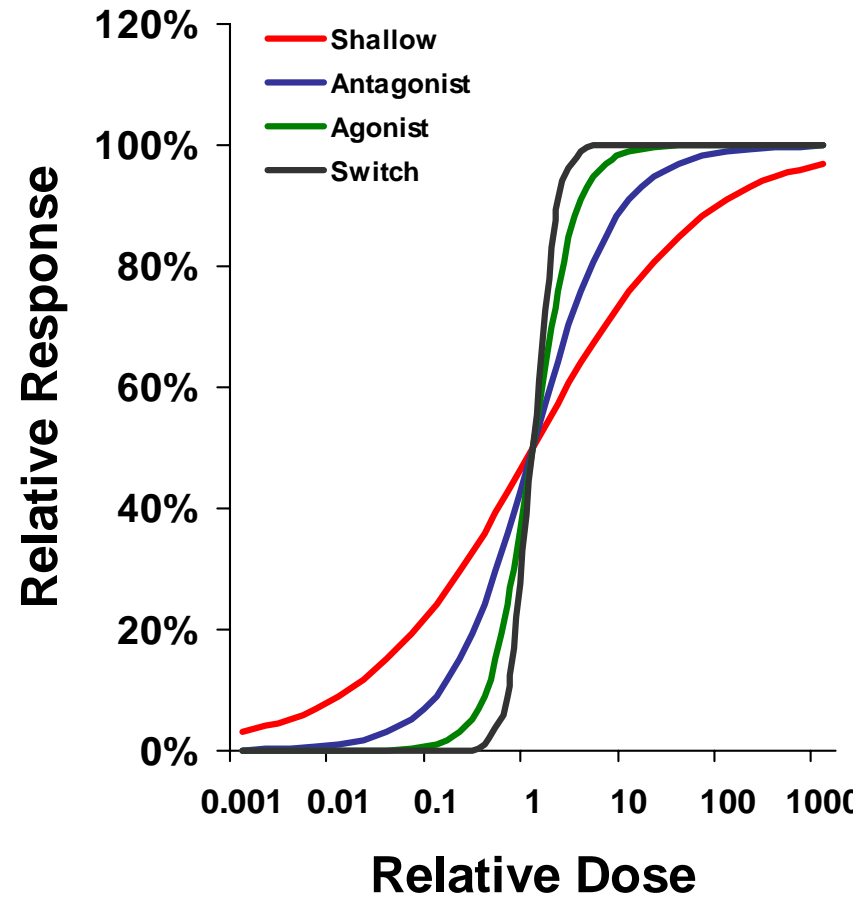
- **But, even if one is able to calculate MABEL and estimate a safe starting dose...**

...What next?

- **Even if the starting dose is safe and set at a fraction of the MABEL at some stage the dose escalations will enter the pharmacological dose range**



Remember the dose-response curve





Dose escalation



Make use of preclinical data & PK/PD models developed to identify starting doses

- Build preclinical dose/concentration/response into model
- Refine model with initial human PK and PD data
- Adapt subsequent doses appropriately

Consider “split” dose approach to dosing

- e.g. 10% on day 1, 30% on day 2 and 60% on day 3



Summary



- **Understand the target mechanism and pharmacology**
- **Understand the limitations of the preclinical data for predicting human safety**
- **Translate the science to humans and account for differences in relative potency**
- **Estimate the clinical starting dose for FTIH study using both toxicology AND pharmacology**
 - No simple algorithm for use of MABEL – case by case!
- **Use PK/PD data from initial and subsequent dose cohorts to aid dose escalation in FTIH study**
- **Consider stopping rules, exposure limitations based on the pharmacology and toxicology**
- **Design the right clinical study to mitigate risk**