



EUROPEAN MEDICINES AGENCY
SCIENCE MEDICINES HEALTH

Are regulators up to speed to address the challenges of biotechnological medicinal products?

The CAT Work programme 2010-2015

Regulatory Science: Are regulators leaders or followers?
European Medicines Agency 15 December 2010

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An agency of the European Union





Starting with thanks...

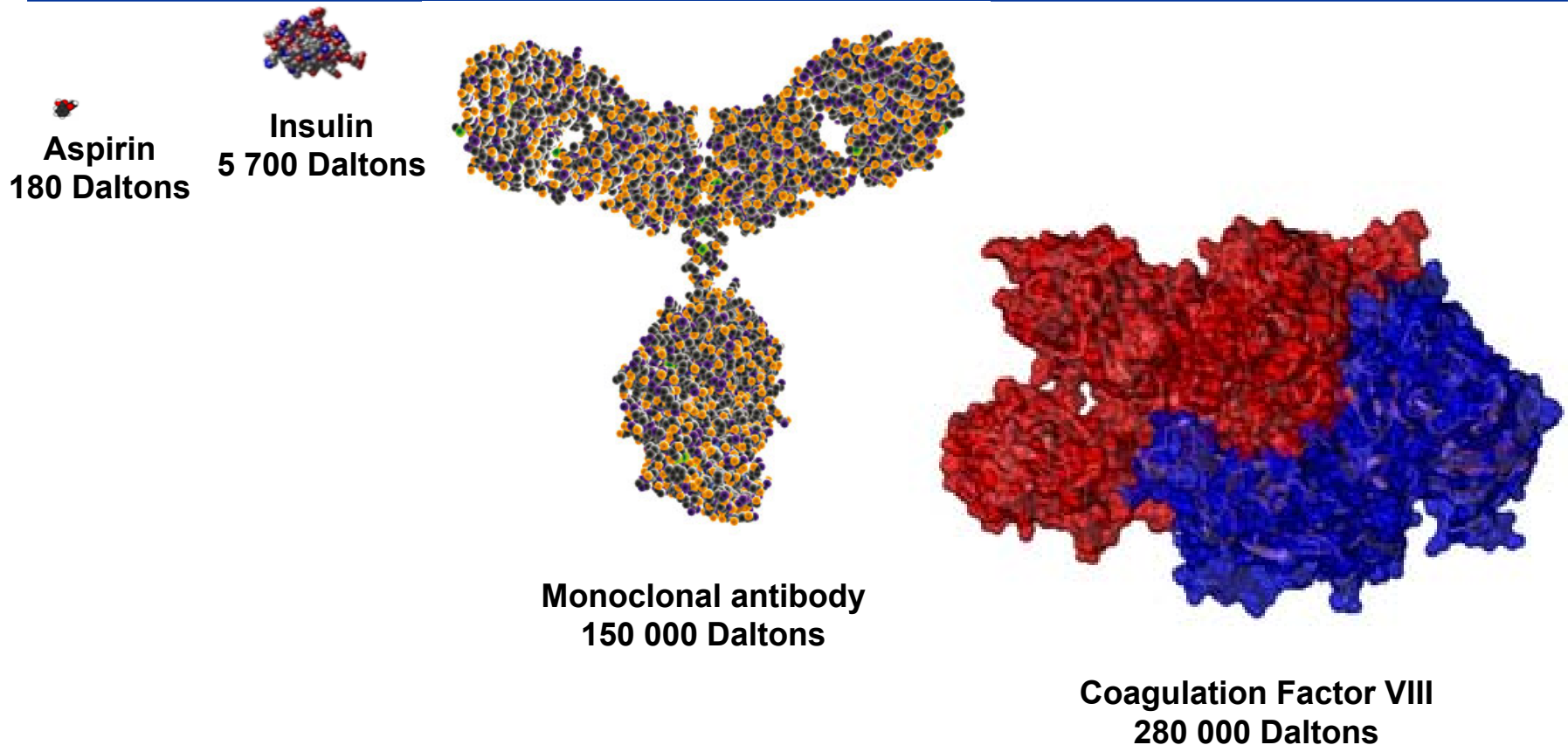
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Olga Oliver-Diaz (EMA)



Complexity of biotechnological products





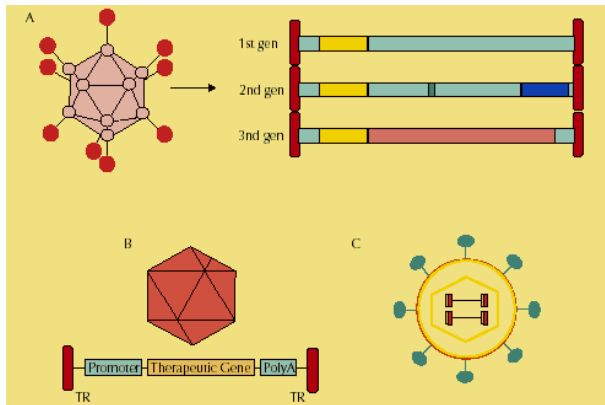
Advanced therapies and their challenges

Gene therapy
medicinal products

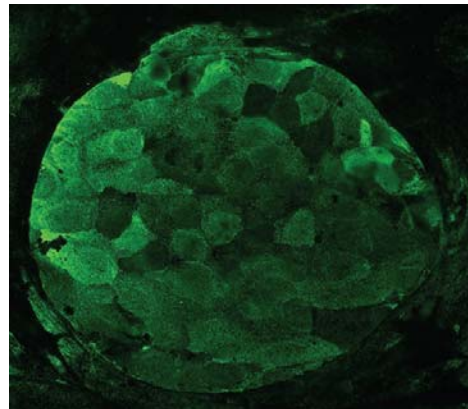
Somatic cell therapy
medicinal products

Tissue engineering
products

Genetically modified cells



www.heartandmetabolism.org



Nat Biotechnol 2005, 23(7)

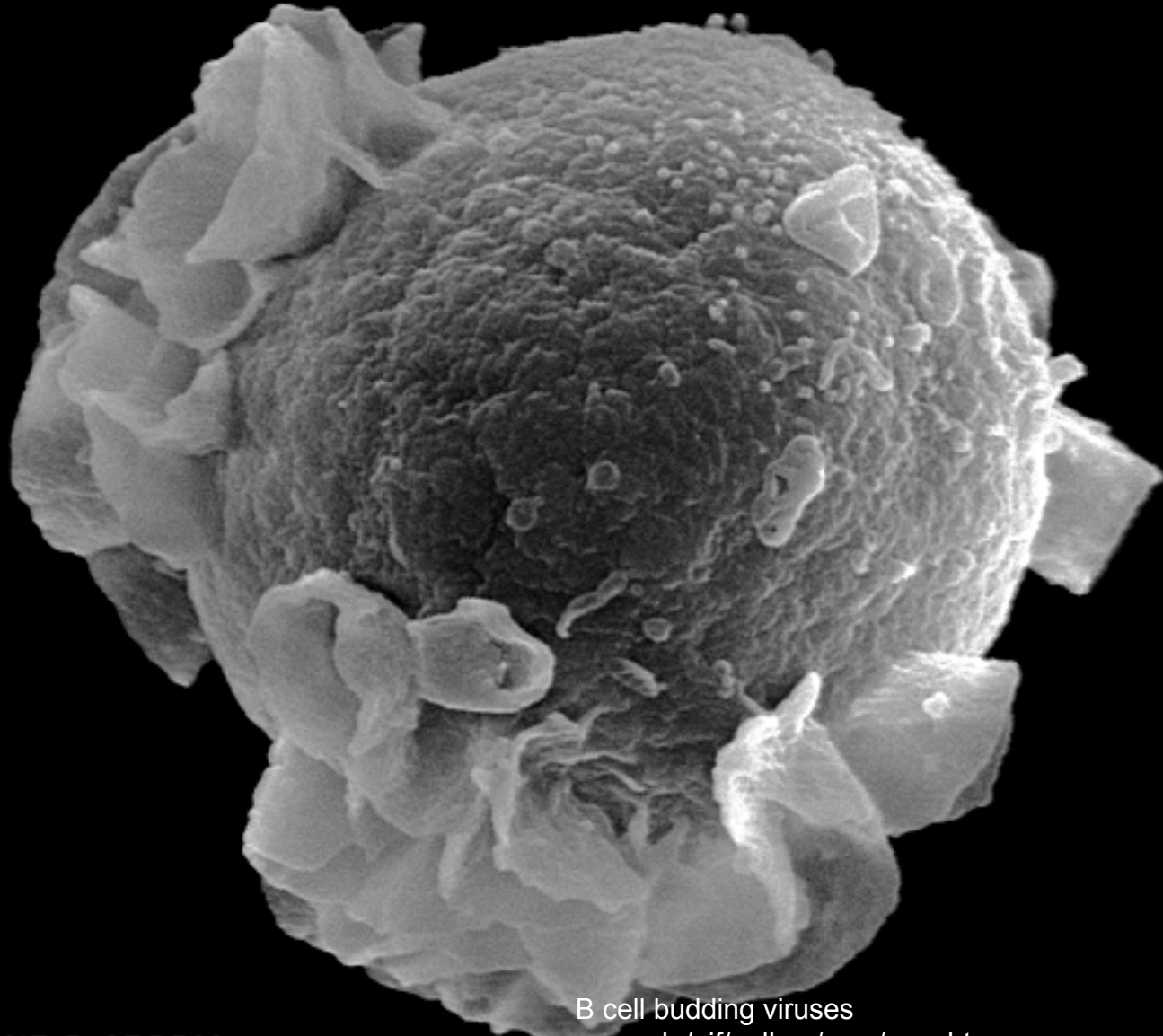


<http://www.cbte.group.shef.ac.uk/>

Complexity of Advanced Therapies



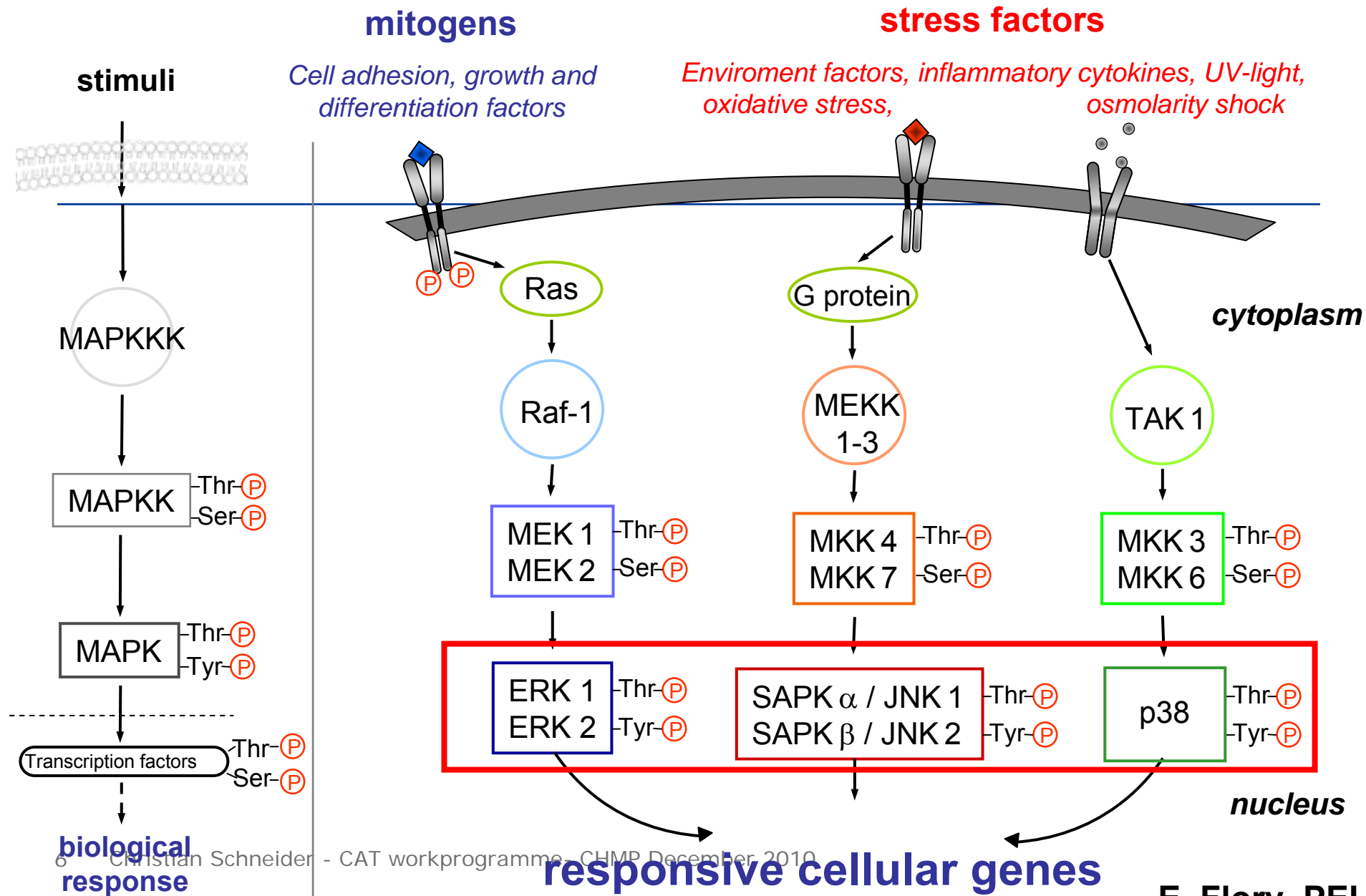
monoclonal antibody



B cell budding viruses

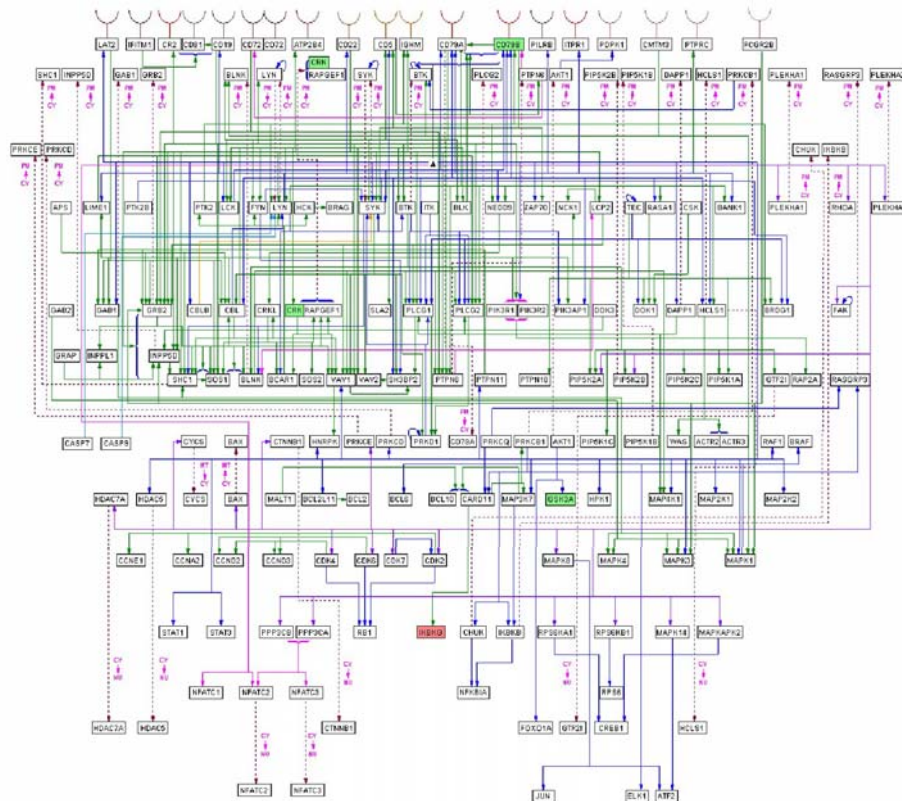
Intracellular MAPK signaling pathways

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Complexity of signalling

Overlap and location of positive and negative modulators of **NFκ-B** signalling identified in a cell-based screen within the T-cell receptor signaling pathway



Halsey et al, Genome Biology 2007

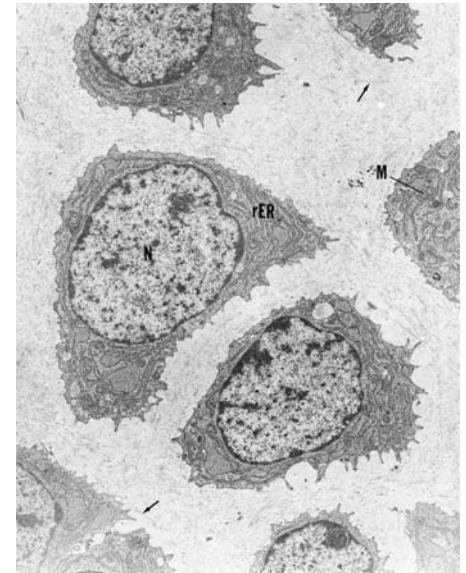
Challenges with cell-based products

Cells are complex systems

- Cells are dependent on their (micro-) environment
- Cells are reactive to their environment
- Cell cultures can become heterogeneous
- Cells might de-differentiate (e.g. during longer cell culture)
- Cells might migrate („biodistribution“)
- Cells are fragile and (sometimes) mortal

=> **Regulatory consequences:**

- ✓ **Need for adequate characterization**
- ✓ **but also necessity to accept limitations**



The ATMP Regulation

Committee for Advanced Therapies

- ✓ New Scientific Arena
 - ✓ Expertise
 - ✓ Beyond Traditional
 - ✓ Research
- ▶ Lack of funds and costly investments
 - ▶ Market (specific and small)
 - ▶ Regulatory barriers





Committee for Advanced Therapies

Why a work programme?

EMA is a key player in the successful implementation of ATMP legislation

- ▶ A shared vision to address challenges of ATMPs
- ▶ Being empowered to take decisions means taking responsibilities and learn balance



Committee for Advanced Therapies

Why a work programme?

- ▶ Understand the environment
 - ▶ Provide adequate tools to overcome barriers to translation
 - ▶ Guidelines in line with scientific progress
 - Is it the product that has to stretch to the guideline
or is it the guideline that has to be realistic for the product?



REGULATION (EC) No 1394/2007 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL
of 13 November 2007
on advanced therapy medicinal products and amending Directive 2001/83/EC
and Regulation (EC) No 726/2004
(Text with EEA relevance)

Article 29

Transitional period

1. Advanced therapy medicinal products, other than tissue engineered products, which were legally on the Community market in accordance with national or Community legislation on 30 December 2008, shall comply with this Regulation no later than 30 December 2011.
2. Tissue engineered products which were legally on the Community market in accordance with national or Community legislation on 30 December 2008 shall comply with this Regulation no later than 30 December 2012.
3. By way of derogation from Article 3(1) of Regulation (EC) No 297/95, no fee shall be payable to the Agency in respect of applications submitted for the authorisation of the advanced therapy medicinal products mentioned in paragraphs 1 and 2 of this Article.



„In God we trust, the rest bring data!“

W. Edwards Deming



Pioneer in Quality Philosophy, W. Edwards Deming is widely held to have been one of the leaders who helped create the **Total Quality Movement**. Deming's 14 points and his book "Out of the Crisis" are key documents in the development of Quality Systems for Business management. Dr. Deming is best known for his revolution in the quality and economic productions in Japan where from 1950 onward he taught top management and engineers, methods for management of quality. These teachings dramatically altered the economy of Japan. In recognition of his contributions the Union of Japanese Science and Engineering (JUSE) instituted the annual Deming prizes for achievement in quality and dependability of product.

<http://www.resourcesystemsconsulting.com/blog/reference/glossary>



Efficacy data

(Marketing \neq Efficacy!)

(„Experience“ \neq Proof of efficacy!)

Which data can be used?

How to deal with claims like

*„No reports on serious adverse events so far, so
a very well tolerated and safe product“?*



Objective 1

- ▶ **To successfully respond to implementation of the provisions of Article 29 of Regulation (EC) 1394/2007: assessment of products legally on the EU market**
-

Know the number and kind of products legally on EU market

Reflect on the criteria for MAA assessment

Proactive dialogue with potential applicants and MSs

Report on the experience to EC and MSs in 2010-2011



Objective 2

- ▶ To facilitate development of ATMP and access to registration procedure
-

B) Strengthen dialogue with stakeholders:

- Draft a structured work programme tailor-made for the **specific needs of different parties** (industry, SMEs, Academia, research groups, patients' groups).
- Increase the list of **CAT Interested Parties**
- Engage in dialogue with **charity foundations and trusts** concerning products they are developing .
- Organise a **joint conference** on ATMPs involving EMA/CAT, EFPIA, EBE, EUROPABIO, Learned Societies to share clinical, scientific and regulatory expertise in the field for the benefit of all stakeholders



Objective 3

- ▶ **Promote the use of available regulatory procedures and introduce potential improvements**
-

Provide regular tutorial training/workshop for all stakeholders (including assessors, inspectors)

Developing an European training and education platform for SMEs and Academia

Dedicated assistance for ATMP certification submissions



Objective 4

- ▶ **To explore possibilities offered by the regulatory procedures to the ATMP field (by improving existing procedures and reflecting on alternative procedures)**
-

Fast track evaluation?

Extend incentives for SMEs to academia, hospitals, trusts and small research groups?

Because the science is evolving fast, on regular basis to screen system to identify potential changes required (and then engage in dialogue with the European Commission)

Appropriate use of follow-up efficacy system



Objective 5

► Foster innovation



Dialogue with EC DG Research

**Promote allocation of funds
for ATMP research**

**Reinforce contact with
leaders of EU projects on
ATMP**



Objective 6

- ▶ **Promote access and availability to ATMP for EU patients**
-

Cooperation with CTFG

Dialogue with NCA on 'hospital exemption'

Encourage development of ATMPs for unmet medical needs without alternative treatments.

PERSPECTIVES

Challenges with advanced therapy medicinal products and how to meet them

The Committee for Advanced Therapies (CAT) and the CAT Scientific Secretariat
Abstract: Advanced therapy medicinal products (ATMPs), which include gene therapy medicinal products, somatic cell therapy medicinal products and tissue-engineered human products, are innovative medicinal products that offer the hope for various diseases for which there are limited or no therapeutic options. They have therefore been subject to considerable interest and debate. Following the European regulation on ATMPs, a consolidated regulatory framework for these innovative medicines has recently been established. Central to this framework is the Committee for Advanced Therapies (CAT) at the European Medicines Agency (EMA), comprising a multidisciplinary scientific expert committee, representing all EU member states and European Free Trade Association countries, as well as patient and medical associations. In this article, the CAT discusses some of the challenges it faces in the development of ATMPs, and the opportunities for such companies and research groups to approach the EMA and the CAT as a regulatory advisor during development.

Advanced therapy medicinal products (ATMPs) comprise gene therapy medicinal products (GTMPs), somatic cell therapy medicinal products and tissue-engineered products (for legal definitions see [BOX 1](#) and [REFS 1,2](#)). They are at the forefront of innovation, offering potential treatment opportunities for diseases that currently have limited or no effective therapeutic options. ATMPs have therefore been subject to considerable interest, but have generated both positive and negative outcomes.

For example, recent publications have suggested that gene therapy for monogenetic diseases could result in long-term beneficial results and may prove to be an effective treatment strategy²⁴. In addition, cell-based skin substitutes and cartilage products have already been used for more than a decade, and upcoming somatic cell therapy medicinal products and tissue-engineered products might also become efficacious treatment modalities. However, despite their

promise and the progress made, ATMPs have sometimes caused clinical problems, which have led to reports in the lay press. For example, although rare, fatalities following gene therapy have been reported, including a lethal systemic inflammatory immune reaction and leukaemia due to insertional oncogenesis⁴⁷. Recently, fetal stem cells were reported to cause a brain tumour, suggesting that cell-based medicinal products (CBMPs) also have intrinsic risks that need to be addressed⁴⁸.

With the new European regulation on ATMPs, a consolidated regulatory framework for these innovative medicines has recently been assembled. Central to this new legislation is the establishment of the Committee for Advanced Therapies (CAT) at the European Medicines Agency (EMA) in London, UK. The CAT is a multidisciplinary scientific committee of experts representing all member states of the European Union and countries from the European Economic Area.

and the European Free Trade Association (Iceland and Norway are currently represented in the CAT), as well as representatives from patient and medical associations (BOX 2). This independent committee, with a high degree of expertise in both the scientific and regulatory aspects of ATMPs, started its work in January 2009. The CAT gathers dedicated European experts to review the quality, safety and efficacy of ATMPs

according to standards established by regulatory authorities, and to debate scientific developments in the field. Information on the declared scientific expertise of the CAT members and alternates (reflecting the expertise required by the regulation on ATMDs) can be found in [ENC 1](#).

The CAT is responsible for the primary regulatory functions for the submission applications (EMAAs) for the EMA's Committee for Medicinal Products for Human Use (CHMP). The CAT operates two new regulatory procedures for companies developing ATPMs — the classification procedure and the certification procedure — which are both discussed further below.

The CAT aims to foster innovative medicinal products and to ensure the safety of regulatory responsibility. Guidance had already been developed by various EMA and CHMP regulatory groups (for example, the Biologics Working Party, the Gene Therapy Working Party or the Cell-based Products Working Party) before the establishment of the CAT, and through the Scientific Advisory Working Party. However, the CAT now coordinates the work of all these committees within a single committee to support the development of ATPMs in Europe.

Marketing authorization of ATMPs requires, as for all medicinal products, that the applicant demonstrates that the product is consistently manufactured to a predefined quality, and that it is safe and efficacious in patients. The CAT recognizes that some ATMPs will require new strategies for their development and scientific assessment. For example, the clinical performance of many types of CBMPs strongly depends on the final performance of the cell preparation administered. Success depends on the rigorous control of the manufacturing process and specifications, which has

Correspondence

Advanced-therapy medicinal products (ATMPs) include stem cells, gene therapy, or engineered tissues, and hold promise for a large number of currently incurable diseases. Yet no marketing authorisation has been granted for any stem-cell medicinal products in the

ATMPs are complex and their evaluation requires specific expertise. For this reason, the Committee for Advanced Therapies (CAT) was established in the European Medicines Agency. The CAT is responsible, among other tasks, for preparing a draft opinion on the quality, safety, and efficacy of ATMPs that follow the centralised marketing authorisation procedure.¹

The CAT is concerned about a phenomenon known as stem-cell tourism in which severely ill patients travel to clinics around the world where unauthorised stem-cell-based treatments are offered in the absence of rigorous scientific and ethical requirements. Some clinics offer these unauthorised therapies to desperate patients with incurable diseases at a high cost without ethics approval from independent bodies and potentially without documentation of adequate quality standards necessary for the protection of patients' safety.

There are serious concerns about the safety and efficacy of such experimental treatments that use poorly defined stem-cell preparations from a variety of sources. These preparations are often inadequately characterized

and they can lack pharmacological or toxicological data from non-clinical studies to establish reasonable evidence of safety and efficacy.⁴¹ Generally, there are no peer-reviewed publications to demonstrate their efficacy. The retrospective data analyses that are sometimes found on the clinics' websites lack transparency; hence, such data cannot be properly assessed. However, there are already well documented cases of where so-called stem-cell therapy has resulted in serious adverse effects, including brain tumours,⁴² meningitis or other life-threatening infections.⁴³

The CAT strongly encourages high-quality research leading to the development of stem-cell-based medicinal products in approved programmes of research and development. Before clinical use, rigorous non-clinical studies should be done to establish the safety and effectiveness.⁴

To ensure the safety of patients involved in clinical research, development of stem cells should comply with the highest standards as for any investigational medicinal product, under the supervision of statutory regulatory bodies. Those planning the development of such treatments are encouraged to engage in dialogue with the CAT at an early stage of this process.

Committee for Advanced Therapies and
CAT Scientific Secretariat

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European Medicines Agency, London, UK

1. European Union, Regulation (EC) No 13/24/2001 of the European Parliament and of the Council of 23 November 2001 on advanced therapy medicinal products and amending Directive 2002/63/EC and Regulation (EC) No 726/2004. <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=CELEX:32001R0013:20110127:EN:NOT>

2. Raganberg AC, Hutchison LA, Schanker R, Mathews CJ. Malignancy on the fringe: are cell-based interventions in advance of evidence.

3. Barclay E. Stem-cell experts take concerns about medical tourism. *Lancet* 2006; 373: 883-84.

4. Committee for Advanced Therapies. Reflection paper on stem cell-based medical products. http://www.ema.europa.eu/docs/en_GB/document_library/Scientific_guideline/2010/03/WC500279932.pdf (accessed July 20, 2016).

Service Users Research Endeavour (SURE) is a patients' representative group at the Liverpool Heart and Chest Hospital, UK. One of our main functions is to check the clarity of patients' information sheets. We would like to bring to your attention what we find to be a lack of consistency in the information sheets that accompany commercial multi-centre studies.

Patients' information sheets should give a fully comprehensive idea of what the study means and what is expected from participating individuals. If they should also be concise and in a language easily understood by patients and laypeople. In May, 2008, the UK's National Research Ethics Service introduced the requirement for a lay summary with each new clinical research application, but there does not seem to be any way of enforcing this requirement. In our experience, when dealing with commercial multicentre studies with a central site responsible for drafting and circulating patients' information sheets, the lay summary is often missing from the submitted documentation.

Our experience with one study led us to write directly to the sponsoring pharmaceutical company. In this case, the patients' information sheet was 18 pages long and written in complicated language that was not very meaningful to a layperson and even less so to a sick patient. The company then produced a one-page lay summary that was clear and informative and very

In general terms, lay groups might feel that there is a lack of cooperation from big pharmaceutical companies in the production of lay summaries, but our experience shows that direct communication can have the desired result. However, a direct approach should not be necessary if companies

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Holistic view: Step back and look at the entire picture



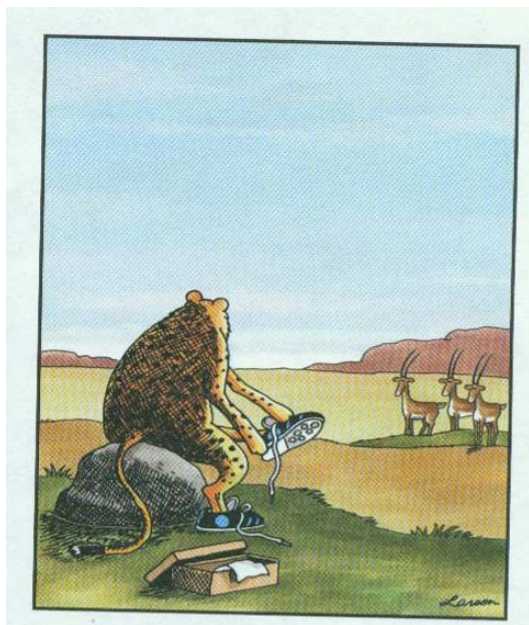
Francis Bacon
Self portrait
(1971)



Francis Bacon
Portrait
(1979)



...and closing with thanks



Yes, we are up to speed to address the challenges of Advanced therapies medicinal products – the EMA has created an innovative environment!