

Joint BWP / QWP workshop with stakeholders in relation to
prior knowledge and its use in regulatory applications

Application of Prior Knowledge for Process Parameter Definition

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London, Nov. 23, 2017

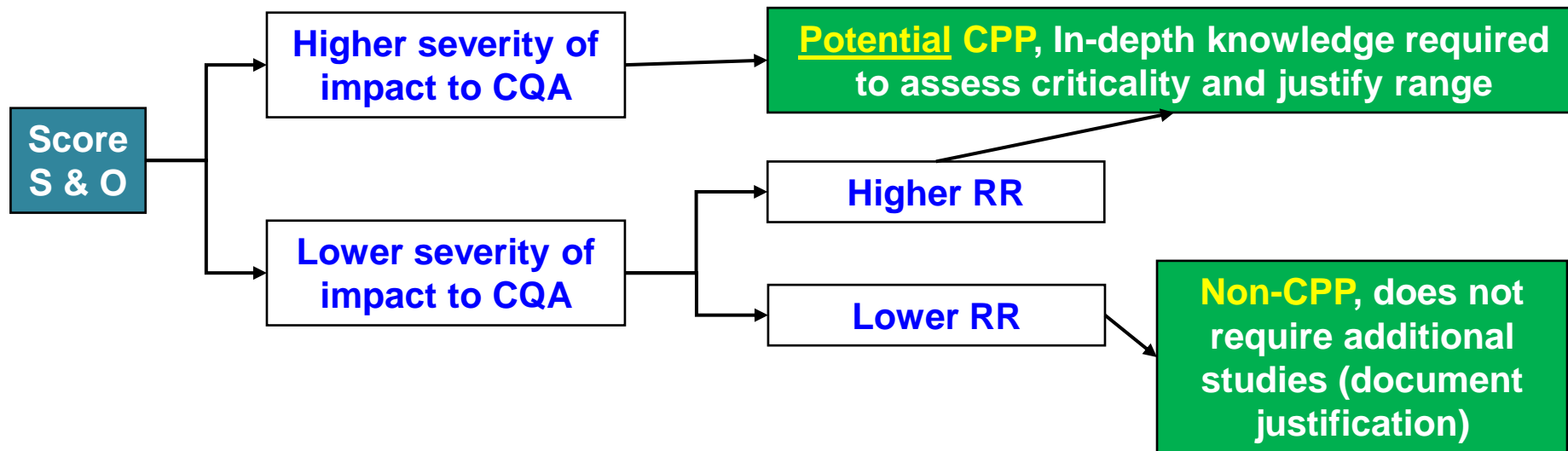


Process parameter (PP) definition

- PP definition requires
 - Establishment of acceptable **ranges** in which relevant quality criteria are met
 - Assignment of **criticality** based on potential to impact CQAs
- For platform processes and unit operations, there can be strong commonality between PPs and their impact
- Effective PP definition requires an effective risk and an inclusive knowledge based framework

Process parameter characterization sorting tool assesses potential criticality, risks and knowledge requirements

- Assess risk related to process excursions for each PP and CQA:
 - **Severity** (S) of the impact of a PP excursion
 - **Occurrence** (O) frequency of an excursion outside acceptable performance
 - $S \times O = \text{Relative Risk (RR)}$



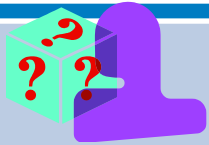
Prior knowledge is an essential input to enable focus on high risk parameters

Prior Knowledge Assessments (PKA's) can be applied to systematically analyze platform process data

*Can be view as “experiments”, addressing specific question(s)...
Except using historical data as the “laboratory”*



PKAs process borrows from the principles used for Systematic Reviews



Frame the Question:

(i.e. “Does unit process parameter X control product quality Y in step Z”?)



Materials:

Identification of prior knowledge sources

- *Relevance requirements are based on the question*
- *Reliability requirements are based on how the PKA is to be used*



Methods:

Develop processes for data consolidation and analysis.



Review:

Compile and consolidate and analyze information from sources.



Documentation:

Conclusions, recommendations. Does the data meet a burden of proof?

CQA	Effect Magnitude	Large Effect	4	4	4	3
		Small Effect	4	3	3	3
		No Effect	2	2	1	1
			$\leq 1X$ NOR	$>1X - <2X$ NOR	$2X - 3X$ NOR	$> 3X$ NOR
			Perturbation Magnitude			
Process Parameter						

James E. Seely, Roger A. Hart, Prior Knowledge Assessments, BioProcess International, 10, 9, 2012

Example - assessment of process parameter impact for chromatography step for one CQA

Report Number	Product	Operating parameter 1	Operating parameter 2	Operating parameter 3	Operating parameter 4	Operating parameter 5	Operating parameter 6	Operating parameter 7	Operating parameter 8	Operating parameter 8	Operating parameter 9	Operating parameter 10	Operating parameter 11	Operating parameter 12	Operating parameter 13	Operating parameter 14	Operating parameter 15	Operating parameter 16	Operating parameter 17
1	A	+	-									+							
2	B	+																+	
3	B												+						
4	C	+										+	+					+	
5	D																		
6	E	+	+																
7	E																		
8	F	+																	
9	G		-	-															
10	G	+																	
11	H												+						
12	I											+							
13	J												+						
14	K	+																	
15	L	+																	
16	M																		
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Higher risk operating parameters

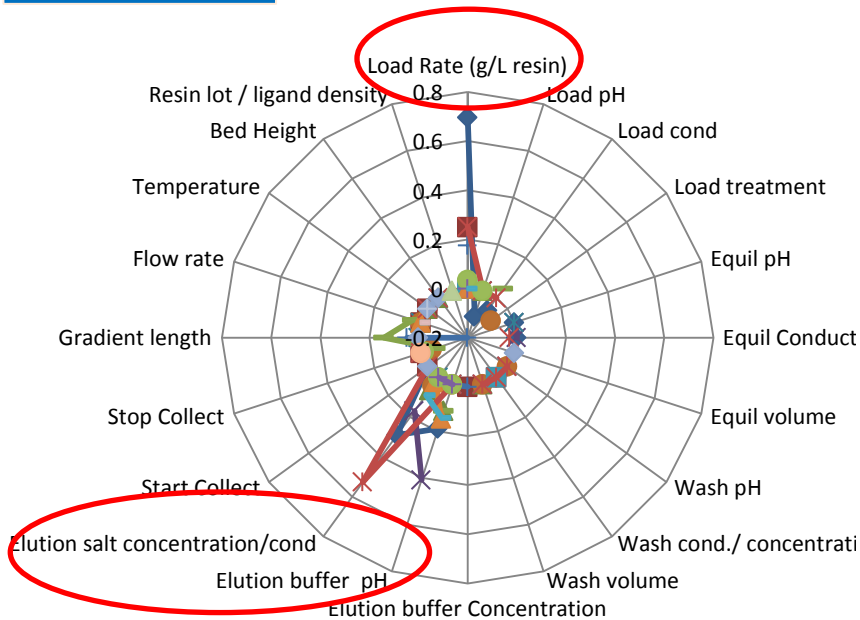
Case study – prior knowledge assessment for cation exchange chromatography for platform MAb process

- Chromatography step option for platform MAb processes
- Operated in bind and elute mode
- Primary purpose is clearance of impurities
- **Systematically evaluated process design and characterization data from 14 MAb products, as well as extensive manufacturing data.**

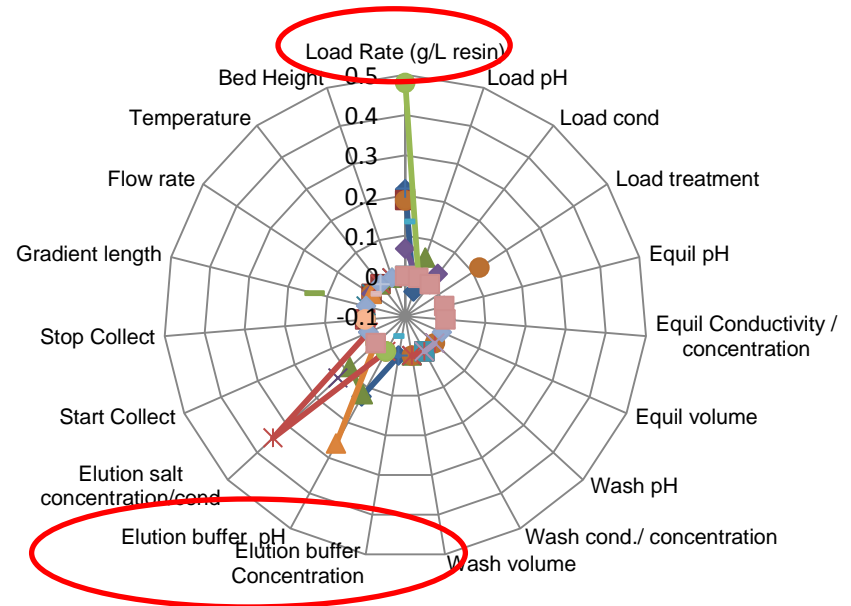
Methodology for this analysis described in *Seely and Hart, Prior knowledge Assessments - Leveraging Platform Process Experience to Develop Targeted Process Characterization Strategies, Bioprocess International, October 2012*

Extensive platform data clearly identify high risk parameters (radial plots of normalized impact)

Impurity 1

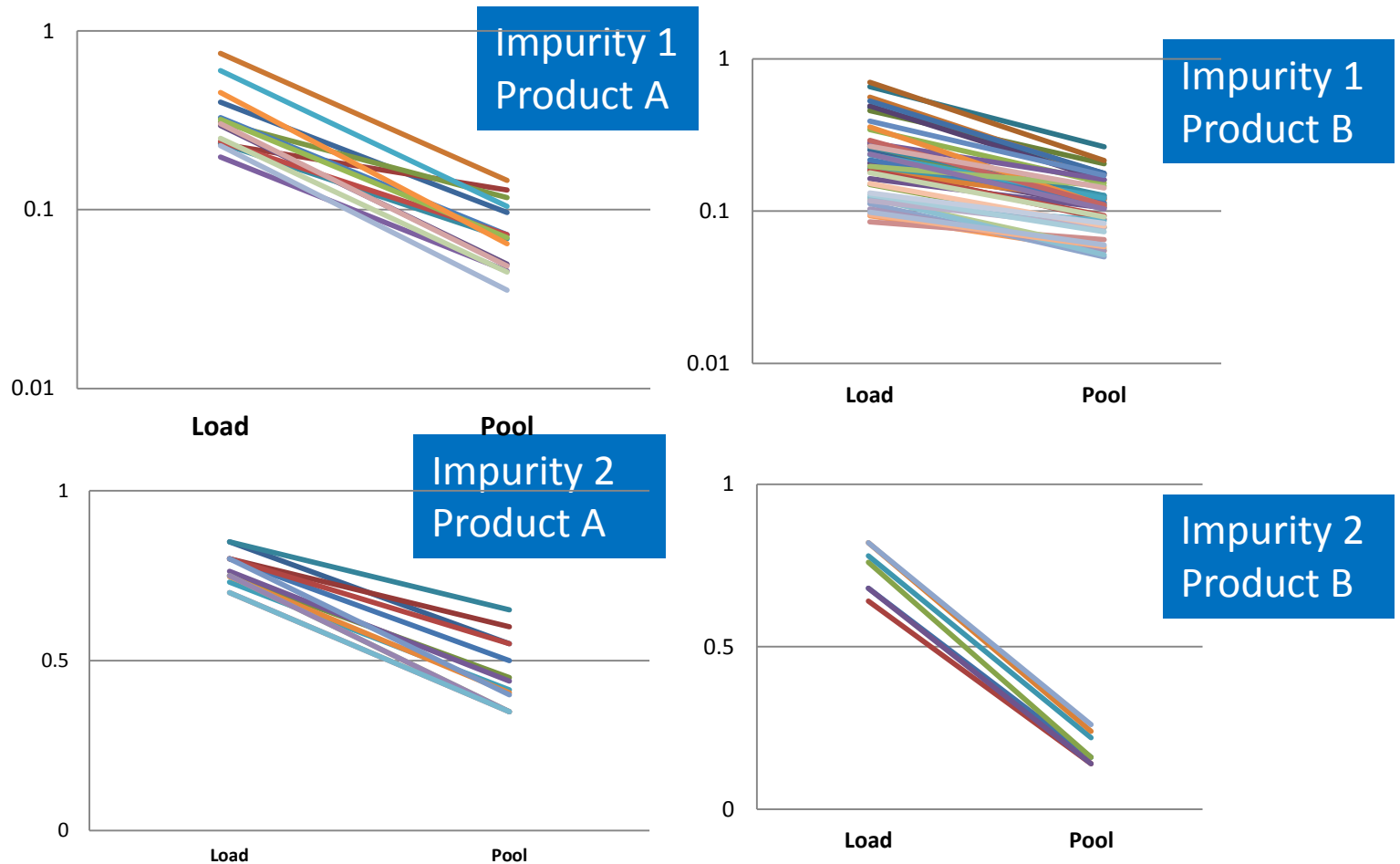


Impurity 2



Same process parameters impact impurities 1 and 2

Extensive manufacturing data across multiple processes indicate the load impurity levels markedly impact impurity 1 and 2 clearance



Example plots – observed for multiple products

Prior knowledge assessment resulted in informed, focused, and effective process characterization

PKA Findings

- High risk parameters clearly identified
- Parameter interactions not practically significant
- No impact of raw materials (including resin)
- Feed stream quality impacts step performance for impurities 1 and 2
- Significant excess clearance capacity for impurities 3 and 4



PC Strategy

- **Focus PC on small number of potential critical parameters**
- **Perform feed challenge/spiking studies to:**
 - **Assess clearance capability**
 - **Establish performance requirements for prior step(s)**
 - **Inform control strategy testing requirements**