



# Current Issues in Biosimilar Regulatory Submission in US

*Shein-Chung Chow, PhD*

Associate Director for Biosimilar Review  
Office of Biostatistics, OTS/CDER  
US Food and Drug Administration

May 3<sup>rd</sup>, 2018

# Disclaimer

*This presentation reflects the views of the author and should not be construed to represent FDA's views or policies.*

# Outline

- Development of regulatory approval pathway in US
  - US FDA guidance on biosimilar product development
- Current issues
  - Totality-of-the-evidence
  - Similarity (non-inferiority) margin selection
  - Analytical similarity assessment
  - Interchangeability
  - Switching design
  - Extrapolation

# US FDA guidance on biosimilar product development

- FDA has developed several guidance to assist sponsors in biosimilar drug product development in the past few years, e.g.,
  - Guidance on scientific considerations (2015)
  - Guidance on quality considerations (2015)
  - Guidance on Q&A (2015)
  - Guidance on pharmacology (2016)
  - Guidance on interchangeability (draft, 2017)
  - Guidance on analytical similarity assessment (draft, 2017)

# Scientific Considerations in Demonstrating Biosimilarity to a Reference Product

## Guidance for Industry

*Additional copies are available from:*

*Office of Communications, Division of Drug Information  
Center for Drug Evaluation and Research  
Food and Drug Administration  
10001 New Hampshire Ave., Hillandale Bldg., 4<sup>th</sup> Floor  
Silver Spring, MD 20993-0002*

*Phone: 855-543-3784 or 301-796-3400; Fax: 301-431-6353*

*Email: [druginfo@fda.hhs.gov](mailto:druginfo@fda.hhs.gov)*

*<http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>*

*and/or*

*Office of Communication, Outreach and Development  
Center for Biologics Evaluation and Research  
Food and Drug Administration  
10903 New Hampshire Ave., Bldg. 71, Room 3128  
Silver Spring, MD 20993-0002*

*Phone: 800-835-4709 or 240-402-7800*

*Email: [ocod@fda.hhs.gov](mailto:ocod@fda.hhs.gov)*

*<http://www.fda.gov/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>*

# Stepwise approach

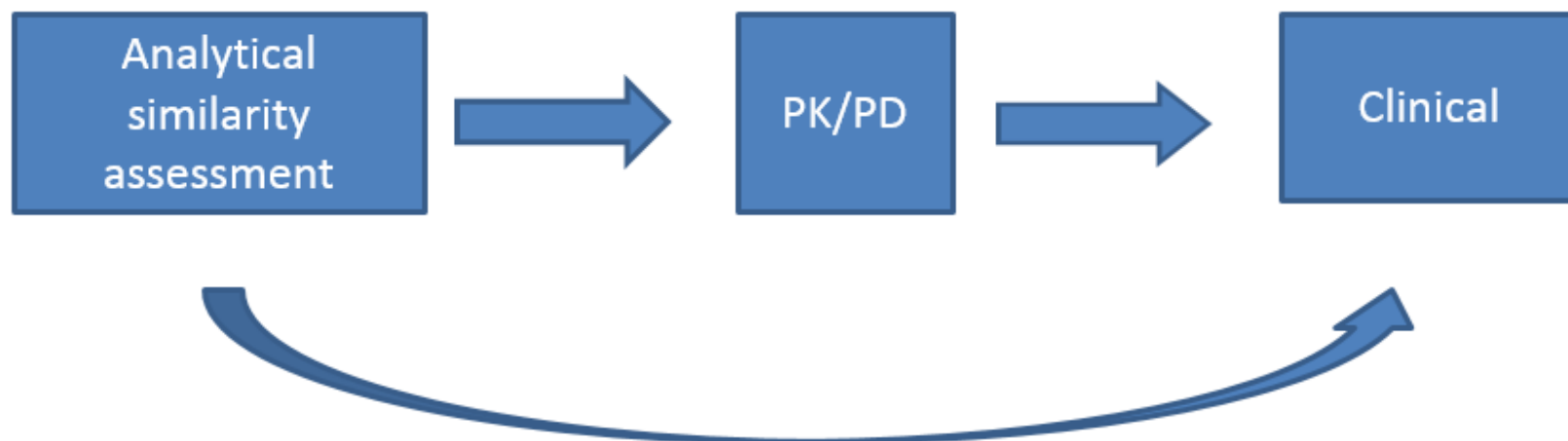
- Analytical studies
  - Critical quality attributes at various stages of manufacturing process
- Animal studies
  - Include the assessment of toxicity
- Clinical pharmacology
  - Include pharmacokinetics (PK) or pharmacodynamics (PD)
- Additional clinical studies
  - The assessment of immunogenicity
  - Safety/tolerability
  - Efficacy

# **Totality-of-the-evidence**

- Approach in evaluating biosimilarity
- No one-size fits all assessment
- Analytical, PK/PD, and clinical similarity
  - Analytical data
  - PK/PD data
  - Clinical outcomes

# Totality-of-the-evidence

Fundamental Biosimilarity  
Assumption?



# Similarity (non-inferiority) margin selection

- US FDA 2016 revised guidance on non-inferiority clinical trials
- Major concerns
  - False positive
  - Sample size
- Strategy for similarity margin selection
  - Flexibility
  - Scientific validity

# **Non-Inferiority Clinical Trials to Establish Effectiveness Guidance for Industry**

*Additional copies are available from:  
Office of Communications, Division of Drug Information  
Center for Drug Evaluation and Research  
Food and Drug Administration  
10001 New Hampshire Ave., Hillandale Bldg., 4<sup>th</sup> Floor  
Silver Spring, MD 20993-0002  
Phone: 855-543-3784 or 301-796-3400; Fax: 301-431-6353  
Email: [druginfo@fda.hhs.gov](mailto:druginfo@fda.hhs.gov)*

*<http://www.fda.gov/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>  
and/or*

*Office of Communication, Outreach and Development  
Center for Biologics Evaluation and Research  
Food and Drug Administration  
10903 New Hampshire Ave., Bldg. 71, Room 3128  
Silver Spring, MD 20993-0002  
Phone: 800-835-4709 or 240-402-8010  
Email: [ocod@fda.hhs.gov](mailto:ocod@fda.hhs.gov)*

*<http://www.fda.gov/BiologicsBloodVaccines/GuidanceComplianceRegulatoryInformation/Guidances/default.htm>*

**U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)**

**November 2016  
Clinical/Medical**

# Similarity (non-inferiority) margin selection

- US FDA 2016 revised guidance for selection of non-inferiority margin
- Current issues
  - Often, different margins are proposed by the sponsor and the US FDA
  - Current practice for margin selection is data-driven.

---

# Statistical Approaches to Evaluate Analytical Similarity Guidance for Industry

## ***DRAFT GUIDANCE***

**This guidance document is being distributed for comment purposes only.**

Comments and suggestions regarding this draft document should be submitted within 60 days of publication in the *Federal Register* of the notice announcing the availability of the draft guidance. Submit electronic comments to <https://www.regulations.gov>. Submit comments to the Dockets Management Staff (HFA-305), Food and Drug Administration, 5630 Fishers Lane, Rm. 1061, Rockville, MD 20852. All comments should be identified with the docket number listed in the notice of availability that publishes in the *Federal Register*.

For questions regarding this draft document, contact (CDER) Scott N. Goldie at 301-796-2055 or (CBER) Office of Communication, Outreach and Development at 800-835-4709 or 240-402-8010.

**U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)**

**September 2017  
Biosimilars**

# Analytical similarity assessment

- Scientific justification for selection of  $1.5\sigma_R$ 
  - Fixed margin versus random margin
- A mean shift of  $\frac{1}{8}\sigma_R$  is considered of clinically importance
- Concern of Tier 2 quality range approach
- Potential issue of tolerable interval approach
- Sample size recommendation of a minimum of 10 lots per product

# Interchangeable or interchangeability

- The term interchangeable or interchangeability means that the biological product may be substituted for the reference product without the intervention of the healthcare provider who prescribed the reference product

Section 351 (i)(3) of PHS Act

# Interchangeability

- An interchangeable product is **biosimilar** to the reference product
- It can be **expected** to produce the **same clinical result** as the reference **in any given patient**
- For a product that is administered more than once to an individual, the risk in terms of **safety** or diminished **efficacy** of **alternating or switching** between the use of the product and the reference product is not greater than the risk of using the reference product without such alternation or switch

Section 351 (k)(4) of the PHS Act

# Switching designs

- FDA recommended switching design
  - (RTRT, RRRR) with three switches
- Criteria
  - Interchangeability assessment
- Sample size
  - Under the FDA recommended switching design

# Extrapolation

- Scientific justification for extrapolation across indications should be provided
  - PK/PD profile
  - Mechanism of action (MOA)