



# FDA Reflections on Joint Regulators/Industry QbD Workshop

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## Overall Workshop Impressions

- Great format with regulators and industry
  - Good participation of all
  - Impressive transparency
  - Much progress with QbD implementation



# HOW Should I Do QbD?

## Main Themes and Concerns

- Classifying criticality
- Level of detail in process description
- Design space verification
- Level of detail in risk assessments
- How to change non-CPGs
- How to summarize control strategy

Discussed  
In EMA-FDA  
Q&As

Related to  
Post-Approval  
Change



## Unclear Definitions

- Non-CPP (not defined in ICH)  
No potential to affect CQAs:
  - for any range?
  - within ranges studied?
  - within statistical significance?
- Proven acceptable ranges (PARs)
  - Definitions other than ICH
  - How are they being used?
- Model maintenance
  - OOS vs OOT
- Regulatory commitments
  - What is filed?
  - How changes are reported?

Related to  
Post-Approval  
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## Thoughts on Post-Approval Change

- FDA is exploring “Regulatory Commitments”
- Comparability Protocol guidance is being revised
- EMA-FDA Pilot “Phase 2” being considered
- Proposed FDA reorganization of drug quality units (Office of Pharmaceutical Quality)

*What do regulators need?*

# EMA-FDA QbD pilot

## Aim

- Allow EU and US assessors exchange their views on the implementation of ICH Q8-10 using actual applications and **facilitate harmonisation**
- **Share knowledge** gained with the EU network and Industry through lessons learnt
- Japan joined as an observer

## Scope

- Submissions that include an enhanced approach to pharmaceutical development leading to the use of at least one of the following:
  - Design space,
  - PAT tools for control,
  - Continuous process verification,
  - Models to support real time release testing,
  - **continuous processes**
  - **post-approval regulatory flexibility**,

# EMA-FDA QbD pilot

## Two options:

Parallel assessment:  
**1 application complete**

- The application is submitted to both agencies at about the same time, for MAAs/NDAs for **parallel evaluation** by both agencies

Consultative advice:  
**Several ongoing**

- The application is submitted to either EMA or FDA and the agency doing the evaluation requests to obtain **consultative advice** from the other agency

Type of products:

- **Chemicals**
- There are some informal interactions on biologicals as well.

# EMA-FDA Pilot for QbD – Progress to Date

- Applications in program
  - 1 parallel assessment complete, another accepted
  - 5 consultative advice
  - 1 biotech product that followed the consultative advice pathway
- Meetings
  - Multiple teleconferences on applications and on general topics
  - 3 face-to-face meetings
- Communications
  - 2 sets of Q&As published, others being developed
  - Many conference presentations
- Japanese participation
  - Parallel assessment application and in multiple meetings
- Considering extension of pilot beyond March 2014

# EMA-FDA QbD Pilot Question & Answers

- Two sets of Q&As have been published jointly as a result of the pilot (8/20/13 and 11/4/13):

[http://www.ema.europa.eu/docs/en\\_GB/document\\_library/Other/2013/08/WC500148215.pdf](http://www.ema.europa.eu/docs/en_GB/document_library/Other/2013/08/WC500148215.pdf)

[http://www.ema.europa.eu/docs/en\\_GB/document\\_library/Other/2013/11/WC500153784.pdf](http://www.ema.europa.eu/docs/en_GB/document_library/Other/2013/11/WC500153784.pdf)

- Topics include:
  - Expectations for Quality Target Product Profile (QTPP)
  - Expectations for Critical Quality Attributes (CQAs)
  - Classification of criticality in 3 tiers (e.g., Key Process Parameters)
  - Expectations for the manufacturing process description
  - Use of QbD for analytical methods (e.g., Analytical Target Profile (ATP) and Method Operational Design Ranges (MODR))
  - Design space verification



# EMA-FDA Pilot Q&A - Design Space Verification

## Design Space Verification Definition

Demonstration that the proposed combination of input process parameters and material attributes are capable of manufacturing quality product at commercial scale

## Initial Design Space Verification

- Design space typically developed at laboratory or pilot scale
- Often initial commercial scale demonstration of design space solely at or near target/normal operating ranges (NORs)
- Not necessary to repeat all lab/pilot experiments at commercial scale

## Design Space Verification Protocol

- Definition of the potential scale-up risks
- List of unverified scale-dependent parameters
- Discussion of control strategy related to scale-up risks
- Description of any additional controls

## Differences:

- EMA recommends a design space verification protocol be submitted in Section 3.2.R
- FDA recommends a design space verification protocol be maintained at the manufacturing site, and that a high level description be provided in the application

[http://www.ema.europa.eu/docs/en\\_GB/document\\_library/Other/2013/11/WC500153784.pdf](http://www.ema.europa.eu/docs/en_GB/document_library/Other/2013/11/WC500153784.pdf)

## EMA-FDA Pilot – Next Steps

- We are considering extending the pilot to gain additional experience in harmonized approaches
- We expect to additionally consider:
  - Continuous manufacturing
  - Use of protocols for post-approval change flexibility



# Proposed CDER Quality Reorganization

- FDA-CDER is proposing a reorganization that will consolidate our quality functions into a single, focused office

**OPQ Mission:** The Office of Pharmaceutical Quality assures that quality medicines are available for the American Public

**OPQ Vision:** The Office of Pharmaceutical Quality will be a global benchmark for regulation of pharmaceutical quality

## The “Desired State”

*A maximally agile, flexible, pharmaceutical manufacturing sector that reliably produces high quality drug product without extensive regulatory oversight*

## OPQ Value Statements

- Put patients first by balancing risk and availability
- Have one quality voice by integrating review and inspection across product lifecycle
- Safeguard clinical performance by establishing scientifically-sound quality standards
- Maximize focus and efficiency by applying risk-based approaches
- Strengthen the effectiveness of lifecycle quality evaluations by using team-based processes

## OPQ Value Statements (cont.)

- Enhance quality regulation by developing and utilizing staff expertise
- Encourage innovation by advancing new technology and manufacturing science
- Provide effective leadership by emphasizing cross-disciplinary interaction, shared accountability and joint problem solving
- Build collaborative relationships by communicating openly, honestly and directly

## OPQ – Proposed Structure

- Office of Biotechnology Products
- Office of New Drug Products
- Office of Lifecycle Products
- Office of Process and Facilities
- Office of Surveillance
- Office of Operations
- Office of Policy
- Office of Testing and Research

## OPQ – Changing the Paradigm

- Greater utilization of staff expertise
- Full integration of process review and pre-approval inspection
- Integration of risk assessment into regulatory work products and decision making
- Surveillance function

*Goal – More efficient and effective organization*



*Thank you!*

Questions, comments, concerns:

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