



EUROPEAN MEDICINES AGENCY  
SCIENCE MEDICINES HEALTH

*C B G*  
*M E B*

# ICH Q3D elemental impurities & ICH M7 mutagenic impurities recent considerations

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EMA SME workshop: Focus on quality for medicines containing chemical substances  
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An agency of the European Union





## Aim pharmaceutical development

- to design a quality product and its manufacturing process to consistently deliver the intended performance of the product
- studies can be a basis for quality risk management. It is important to recognize that quality cannot be tested into products; i.e. **quality should be built in by design**
- information and knowledge gained from these studies and manufacturing experience provide scientific understanding to support the establishment of the design space, **specifications, and manufacturing controls**



## In other words..

- to turn active substance into a medicine that is “fit for continuous & adequate use”
- implies e.g.
  - positive benefit to risk evaluation (B/R+) at time MA
  - commercial batches have same efficacy & safety profile as batches that were considered during MA application
  - medicine is suitable for use in daily practice





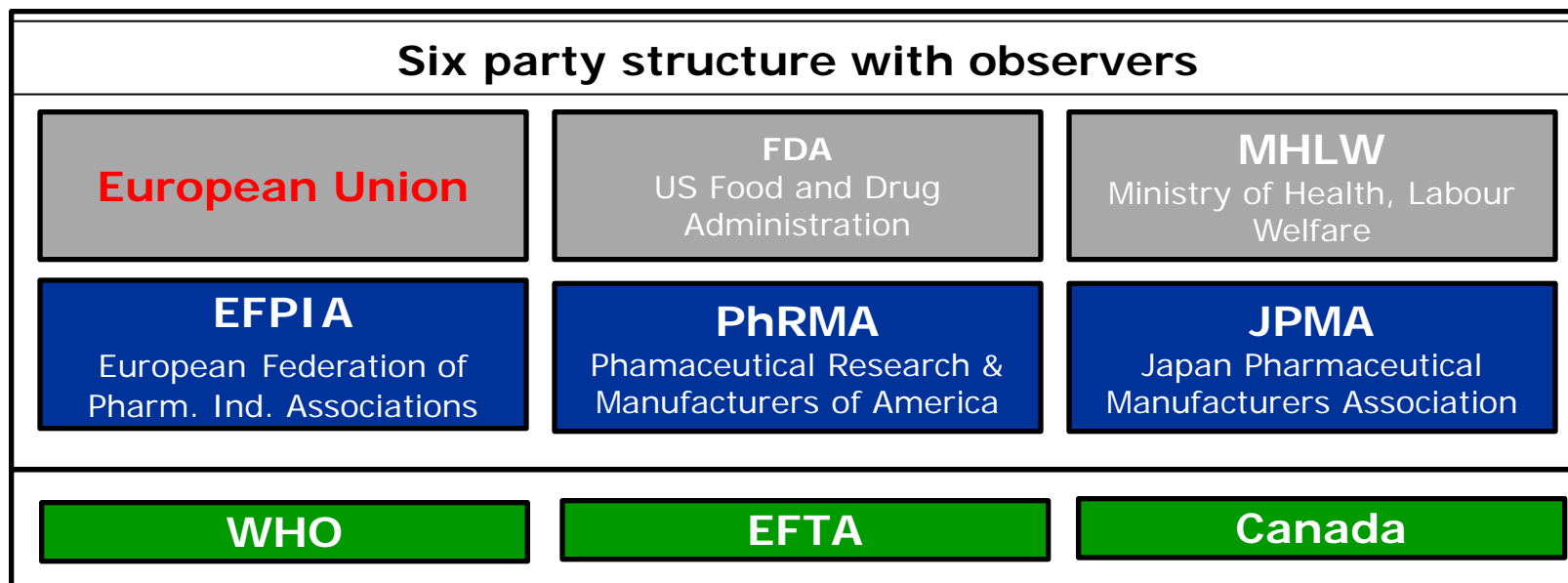
## How to assure?

- directives & regulations
- European pharmacopoeia & pharmacopoeia member states
- regulatory jurisprudence & **guidelines** & Q&As
- reflection papers & regulatory/scientific knowledge assessor
  
- quality guidelines
  - developed by QWP or multidisciplinary groups
  - developed by **ICH** and adopted by CHMP



# ICH

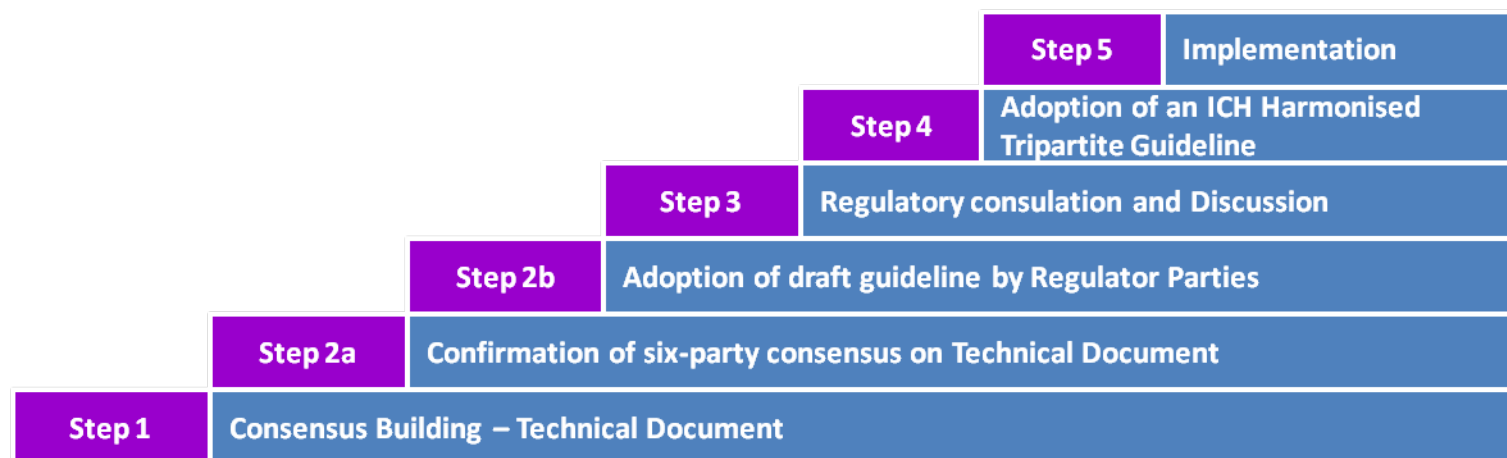
- International Conference of Harmonization of **Technical Requirements** for the Registration of Pharmaceuticals for Human Use





# Steps in drafting ICH guidelines

From June 2012





# Quality guidance

1996  
approach to guideline development gradually evolved to more conceptual way of thinking  
2012

Stability Q1A - Q1F	
Analytical Validation Q2	
Impurities Q3A - Q3D <b>★ STEP 3</b>	
Pharmacopoeias Q4 - Q4B	
Quality of Biotechnological Products Q5A - Q5E	
Specifications Q6A- Q6B	
Good Manufacturing Practice Q7	
Pharmaceutical Development Q8	Q8R(2) Guideline Q8 concept paper Q8/9/10 training material Q&As Q8/9/10 (R4) Points to consider Q8/9/10 Concept Paper
Quality Risk Management Q9	
Pharmaceutical Quality System Q10	
Development and Manufacture of Drug Substances Q11	
Cross-cutting Topics	MedDRA Terminology M1 Electronic Standards M2 Nonclinical Safety Studies M3 Common Technical Document M4 Data Elements and Standards for Drug Dictionaries M5 Gene Therapy M6 Genotoxic Impurities M7 <b>★ STEP 3</b> Electronic Common Technical Document (eCTD) M8



# ICH focus on impurities

“the synthesis of drug substances involves the use of reactive chemicals, reagents, solvents, catalysts, and other processing aids. As a result of chemical synthesis or subsequent degradation, impurities 3 reside in all drug substances and associated drug products”

main guidance: ICH Q3A/B

supplemented by ICH Q3C on residual solvents

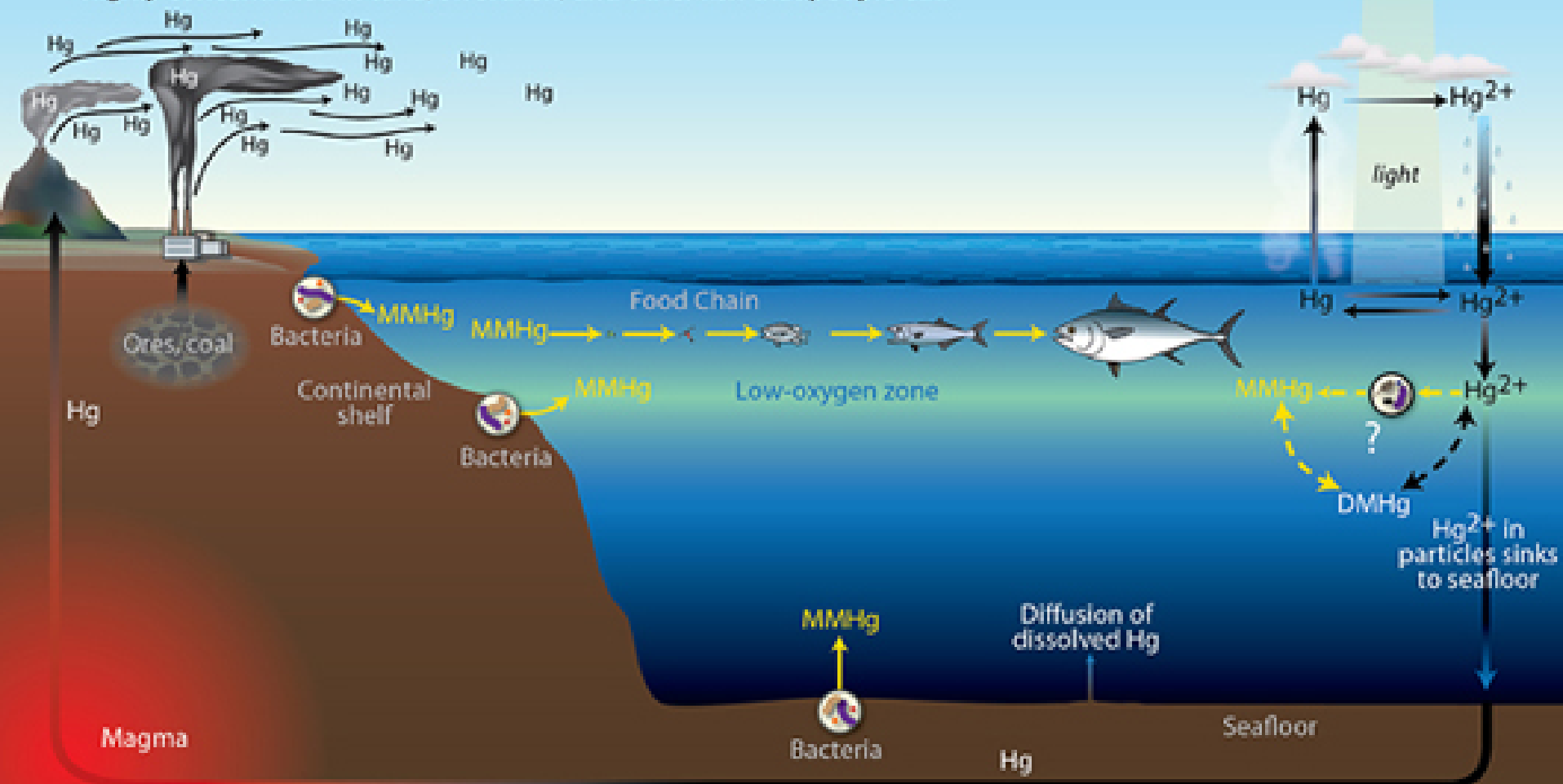
all incoming materials may contain sources of others...





# The Mercury Cycle

Mercury (Hg) cycles from Earth to atmosphere to oceans and back to Earth. In the ocean, mercury is converted to monomethyl mercury (MMHg), a neurotoxin that moves up the food chain and becomes highly concentrated in tuna, swordfish, and other fish that people eat.





## Q3D Elemental impurities

current EU Guideline CHMP/SWP/4446/2000

- 14 metals used in synthesis
- limits for metals in drug substance
- extraneous sources not covered (GMP)

### Q3D scope

- permitted daily exposure (PDE) for 24 elements, additional 10 assessed
- not limited to reagents and catalysts
- emphasises risk assessment



## Permitted Daily Exposure

- in EU currently given for the oral, the parenteral and the inhalation routes of administration
- protective of all patient groups
  - even if 50 kg body weight is used at one point in the calculation, the safety factors used ensure suitability for medicines for e.g. premature children
- principles of ICH Q3C residual solvents used for safety assessment



## Drug product

- drug product should comply with PDE
- all contributions should be taken into account
  - e.g. drug substance, excipients, process equipment, container-closure system, environment
  - a risk assessment show which elements may be present and from what source
  - a control strategy is to be set up accordingly



# Risk assessment

identify

- known and potential sources

analyze

- determine probability of observance

evaluate

- compare predicted levels with PDE

control

- implement control strategy where needed



## Control Threshold

- if total contribution from all sources to the levels in the drug product is consistently below 30% of the PDE
  - no additional controls necessary
  - showing consistency includes full understanding of all variability



# Control options

For each element to be controlled

- Option 1
  - all components of DP comply with listed concentration limit based on max. 10 g daily intake of entire DP. Components may be used in any proportion in DP
- Option 2a
  - all components of DP comply with a DP specific calculated concentration limit based on actual max. daily intake of entire DP. Components may be used in any proportion in DP



## Options continued

- Option 2b
  - Allows individual components of DP have higher concentration limits than in Option 1 & 2a. This is to be compensated by other components having lower limits. The total amount of an element from the DP does therefore not exceed the PDE
- Option 3
  - The concentration limit is set in the DP specification to ensure compliance with the PDE





## ICH M7

### M7 guideline control of DNA reactive mutagenic impurities in pharmaceuticals to limit potential carcinogenic risk

- focus principles quality and risk management
- scope different from EU and much more detailed..
  - new drug substances and new drug products during their clinical development and subsequent applications for marketing
  - also applies to new marketing applications and post approval submissions for marketed products, but only in certain cases



## Scope....

- not covered (with exceptions), but principles may apply
  - biological/biotechnological, peptide, oligonucleotide, radiopharmaceutical, fermentation products, herbal products, and crude products of animal or plant origin
- not applicable
  - drug substances and products for advanced cancer indications
- excluded
  - excipients used in existing marketed products and flavoring agents

on purpose: little clarity to applicability new excipients



## Guideline outline

- introduction, scope, **general principles**
- considerations for marketed products
- drug substance & drug product impurity assesment
- hazard assessment elements
- risk characterisation
- control
- **documentation**
- notes, glossary, references



## General concept

- impurities bearing potential to directly cause DNA damage at low levels and therewith potential causing cancer
- mutagen usually detected in bacterial reverse mutation test e.g. Ames
- threshold of toxicological concern (TTC) developed to define acceptable intake for unstudied chemicals that will not pose a risk of carcinogenicity > 10<sup>-5</sup> excess lifetime risk
- high potency carcinogens (cohort of concern) identified



# New concept: less than lifetime approach

Table 2: Acceptable intakes for an individual impurity

Duration of treatment	< 1 month	>1 - 12 months	>1 - 10 years	>10 years to lifetime
Daily intake [ $\mu\text{g}/\text{day}$ ]	120	20	10	1.5

Table 3: Acceptable intakes for total impurities

Duration of treatment	< 1 month	>1 - 12 months	>1 - 10 years	>10 years to lifetime
Daily intake [ $\mu\text{g}/\text{day}$ ]	120	60	10 (30*)	5

\*For clinical development up to 3 years. Similar principles could be applied to marketed products with justification.

Table 1: Impurities Classification with Respect to Mutagenic and Carcinogenic Potential and Resulting Control Actions (according to Ref. 17 with modifications)

Class	Definition	Proposed action for control
1	Known mutagenic carcinogens	Control at or below compound-specific acceptable limit
2	Known mutagens with unknown carcinogenic potential (bacterial mutagenicity positive*, no rodent carcinogenicity data)	Control at or below acceptable limits (generic or adjusted TTC)
3	Alerting structure, unrelated to the structure of the drug substance; no mutagenicity data	Control at or below acceptable limits (generic or adjusted TTC) or do bacterial mutagenicity assay;  If non-mutagenic = Class 5  If mutagenic = Class 2
4	Alerting structure, same alert in drug substance which has been tested and is non-mutagenic	Treat as non-mutagenic impurity
5	No structural alerts, or alerting structure with sufficient data to demonstrate lack of mutagenicity	Treat as non-mutagenic impurity

\*Or other relevant positive mutagenicity data indicative of DNA-reactivity related induction of gene mutations (e.g. positive findings in *in vivo* gene mutation studies)



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# Thank you for your attention

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