



EUROPEAN MEDICINES AGENCY  
SCIENCE MEDICINES HEALTH

# Non-clinical Assessment Requirements

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An agency of the European Union





# Non-clinical Assessment Requirements

## **Contents:**

- Relevance of non-clinical studies in drug development
- Non-clinical requirements in the EU pharmaceutical legislation
- Non-clinical guidelines
- Non-clinical assessment within the centralised procedure
- Support on non-clinical matters - Safety Working Party



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# Relevance of Non-clinical Studies in Drug Development

## Basic Goals:

- Identify the pharmacological properties

*PD (mode of action)*

*PK (metabolism)*

*Comparative physiology (extrapolation of animal data to humans)*

- Understand the toxicological profile

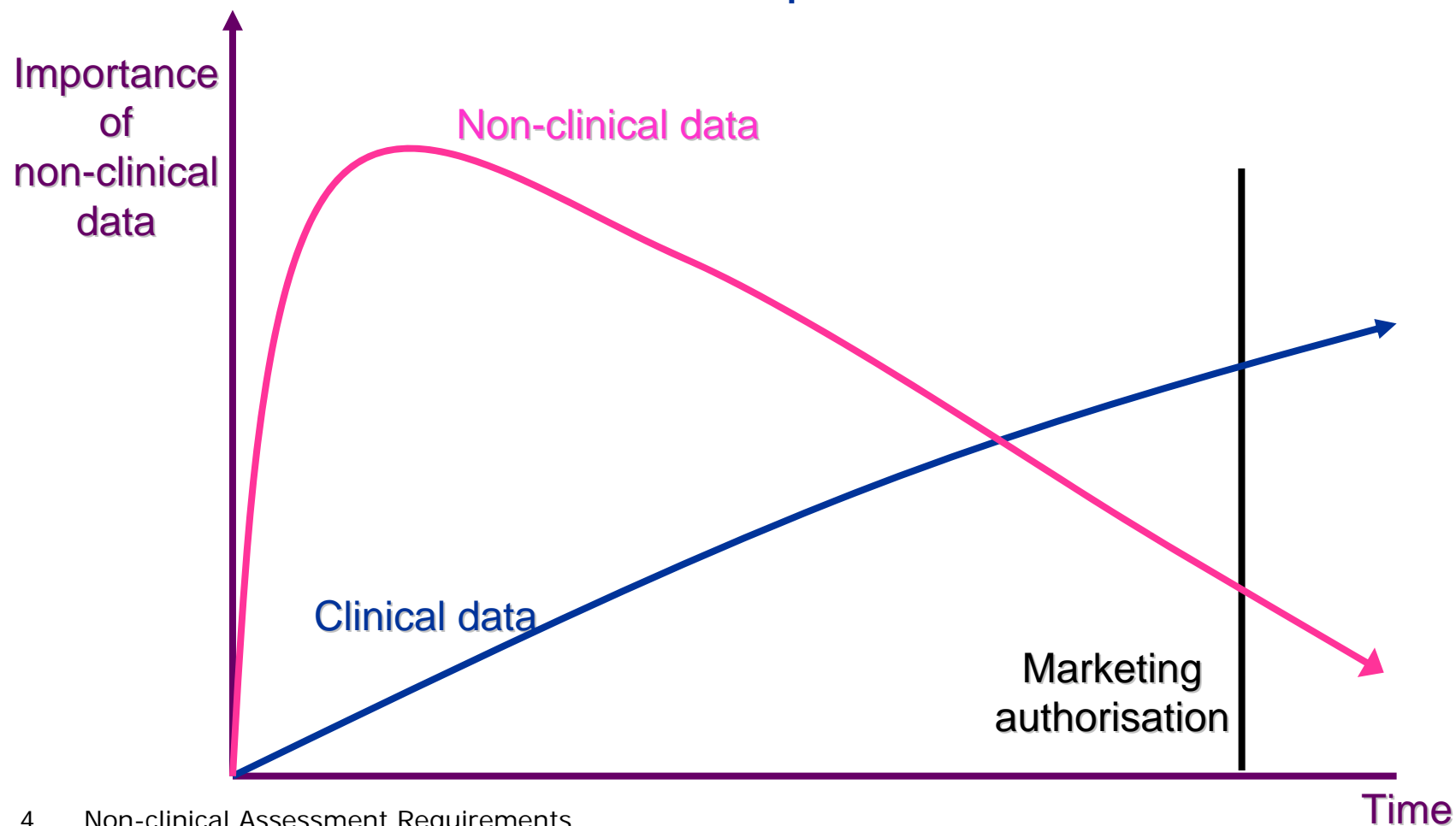
*Establish a safe initial dose level of the first human exposure*

*Identify parameters for clinical monitoring of potential adverse effects*

*Special toxicity (e.g. genotoxicity, carcinogenicity, reproduction toxicity)*



# Relevance of Non-clinical Studies in Drug Development





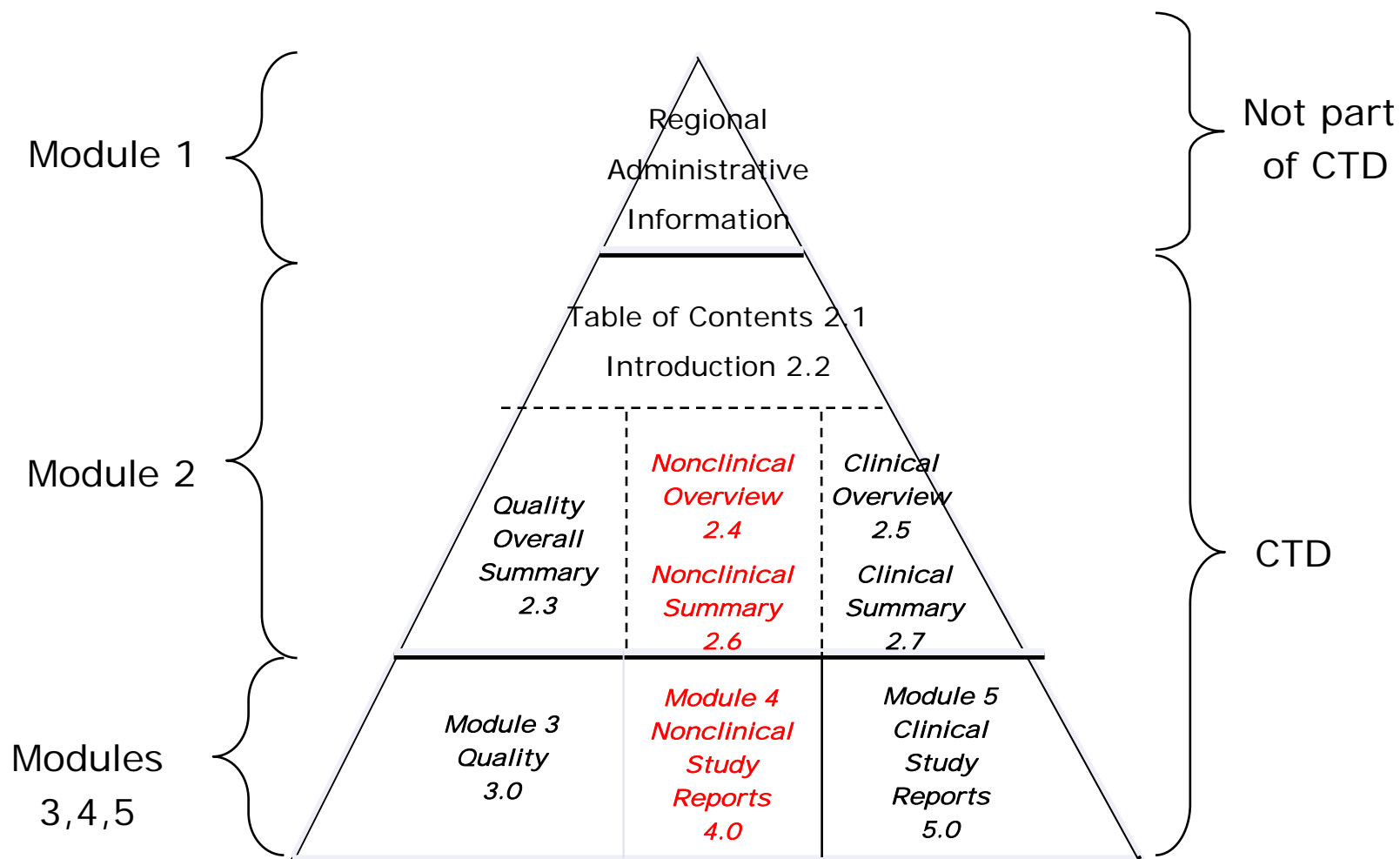
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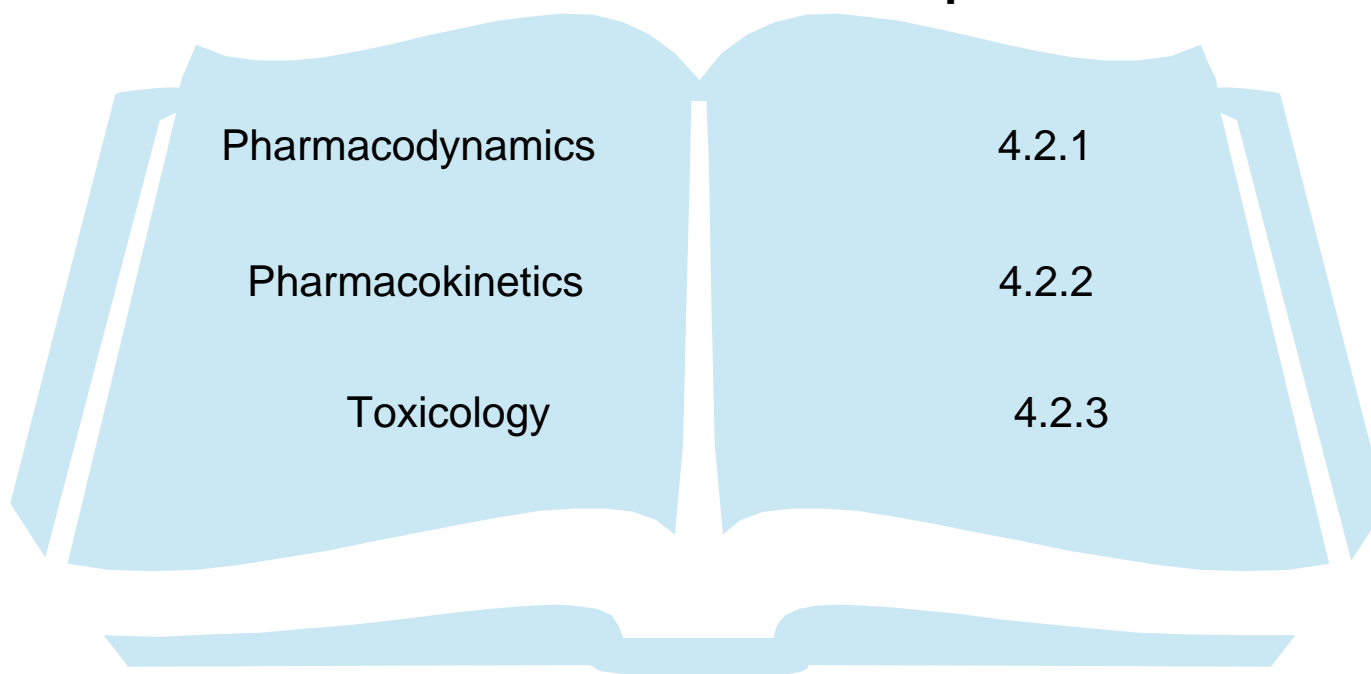




# Non-clinical Requirements

Annex 1 to Directive 2001/83/EC

## Module 4. Non-Clinical Reports



Pharmacodynamics	4.2.1
Pharmacokinetics	4.2.2
Toxicology	4.2.3





# Non-clinical Requirements

## Module 4

### 4.2.1 Pharmacology

4.2.1.1 Primary Pharmacodynamics

4.2.1.2 Secondary Pharmacodynamics

4.2.1.3 Safety Pharmacology

4.2.1.4 Pharmacodynamic Drug Interactions



# Non-clinical Requirements

## Module 4

### 4.2.2 Pharmacokinetics

4.2.2.1 Analytical Methods and Validation Reports

4.2.2.2 Absorption

4.2.2.3 Distribution

4.2.2.4. Metabolism

4.2.2.5. Excretion

4.2.2.6. Pharmacokinetic Drug Interactions

4.2.2.7. Other Pharmacokinetic Studies



# Non-clinical Requirements

## Module 4

### 4.2.3 Toxicology

4.2.3.1 Single-Dose Toxicity

4.2.3.2 Repeat-Dose Toxicity

4.2.3.3 Genotoxicity

4.2.3.4. Carcinogenicity

4.2.3.5. Reproductive and Developmental Toxicity

4.2.3.6. Local Tolerance

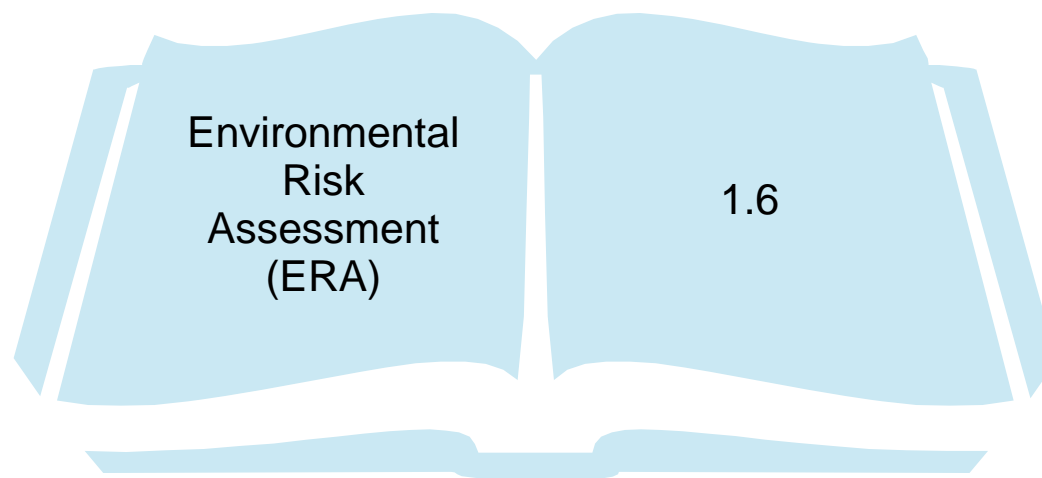
4.2.3.7. Other Toxicity Studies



# Non-clinical Requirements: Environmental Risk Assessment

- In Article 8(3) of Directive 2001/83/EC the evaluation of the potential environmental risks posed by the medicinal product is required

## Module 1. Administrative Information



» It is not part of the risk-benefit assessment



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## Non-clinical Assessment: Guidelines

**Guidelines:** “Community documents intended to fulfil a legal obligation laid down in the Community pharmaceutical legislation”

- Guidelines are state of the art documents that describe the specific recommendations on how to fulfil the requirements stated by the law
- Guidelines are useful for:
  - Harmonisation*
  - Consistency*
  - Transparency*
  - Guidance to industry and assessors*
- Justifications are needed if going beyond framework



# Non-clinical Guidelines

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## Non-clinical guidelines introduction

Email Print Help Share

The European Medicines Agency Committee for Medicinal Products for Human Use (CHMP) prepares scientific guidelines, in consultation with the competent authorities of the EU Member States, to help applicants prepare marketing-authorisation applications for medicinal products for human use.

Guidelines are intended to provide a basis for practical harmonisation of the manner in which the EU Member States and the Agency interpret and apply the detailed requirements for the demonstration of quality, safety and efficacy contained in the Community directives. They also help to ensure that applications for marketing authorisation are prepared in a manner that will be recognised as valid by the Agency.

**Non-Clinical guidelines** are provided for:

- ▶ Pharmacology
- ▶ Pharmacokinetics
- ▶ Toxicology
- ▶ General guidelines



# Non-clinical Guidelines

## Pharmacology

- Non-Clinical Safety Studies for the Conduct of Human Clinical Trials and Marketing Authorization for Pharmaceuticals (ICH M3[R2]) [Dec 2009](#)
- Guideline on Strategies to Identify and Mitigate Risks for First-in Human Clinical Trials with Investigational Medicinal Products (CHMP/SWP/28367/07) [Sep 2007](#)
- Safety pharmacology studies for human pharmaceuticals (ICH S7A) [Jun 2001](#)





# Non-clinical Guidelines

## Pharmacokinetics

- Pharmacokinetics: Guidance for repeated dose tissue distribution studies (ICH S3B) [Jun 1995](#)
- Toxicokinetics: the assessment of systemic exposure in toxicity studies (ICH S3A) [Jun 1995](#)
- Pharmacokinetics and metabolic studies in the safety evaluation of new medicinal products in animals (3BS11A) [Apr 1994](#)



# Non-clinical Guidelines

## Toxicology

### Single dose

- Q&A on the withdrawal of the “Note for guidance on single dose toxicity” EMA/CHMP/SWP/81714/2010 [Jun 2010](#)

### Repeated-dose

- Guideline on Repeated dose toxicity CPMP/SWP/1042/99 Rev. 1 Corr [Nov 2010](#)



# Non-clinical Guidelines

## Toxicology

### Genotoxicity

- Draft Guidance on Genotoxicity Testing and Data Interpretation for Pharmaceuticals intended for Human use (ICH S 2 [R1]) – Under discussion

### Carcinogenicity

- Possible ICH topic in 2012 – Discussion ongoing
- Carcinogenic potential CPMP/SWP/2877/00 [Jan 2003](#)
- ICH S1A [Jul 1996](#), S1B [Mar 1998](#) and S1C(R2) [Oct 2008](#)



# Non-clinical Guidelines

## Toxicology

### Reproductive and Development Toxicity

- Guideline on the Risk Assessment of Medicinal Products on Human Reproduction and Lactation: From Data to Labelling (EMA/CHMP/203927/05) [Jan 2009](#)
- Guideline on the Need for Non-Clinical Testing in Juvenile Animals on Human Pharmaceuticals for Paediatric Indications (CHMP/SWP/169215/05) [Aug 2008](#)
- Detection of toxicity to reproduction for medicinal products including toxicity to male fertility (ICH S5A[R2]) [Nov 2000](#)



# Non-clinical Guidelines

## **Toxicology**

### Local Tolerance

- Non-clinical local tolerance testing of medicinal products  
CPMP/SWP/2145/00 [Feb 2001](#) – Under revision

### Other toxicity

- Reflection paper on non-clinical evaluation of drug induced liver injury (DILI) EMEA/CHMP/SWP/150115/2006 [Jun 2010](#)
- Question & answers on the note for guidance on photosafety testing  
EMA/CHMP/SWP/336670/2010 [Mar 2011](#) – New ICH topic S10



# Non-clinical Guidelines

## Toxicology

### Other toxicity (cont)

- Immunotoxicity studies for Human Pharmaceuticals (ICH S8) [May 2006](#)
- Replacement of animal studies by in vitro models CPMP/SWP/728/95 [Feb 1997](#) – Under Revision to include 3Rs developments



# Non-clinical Guidelines

## General Guidelines

- Pre-clinical safety evaluation of biotechnology-derived pharmaceuticals (ICH S6 R1) – Under revision to include addendum – Finalised pending publication
- Non-clinical studies for generic nanoparticle iron medicinal product applications EMA/CHMP/SWP/100094/2011 [Apr 2011](#)
- Nonclinical evaluation for anticancer pharmaceuticals (ICH S9) [May 2010](#)
- Pre-clinical pharmacological and toxicological testing of vaccines CPMP/SWP/465/95 [Jun 1998](#)



# Non-clinical Guidelines

## Environmental Risk Assessment

- Guideline on the Environmental Risk Assessment of Medicinal Products for Human Use (CPMP/SWP/4447/00) [Dec 2006](#)
- Q&A on the Guideline on the Environmental Risk Assessment of Medicinal Products for Human Use (EMA/CHMP/SWP/44609/2010) [Mar 2011](#)





# Non-clinical Assessment Requirements

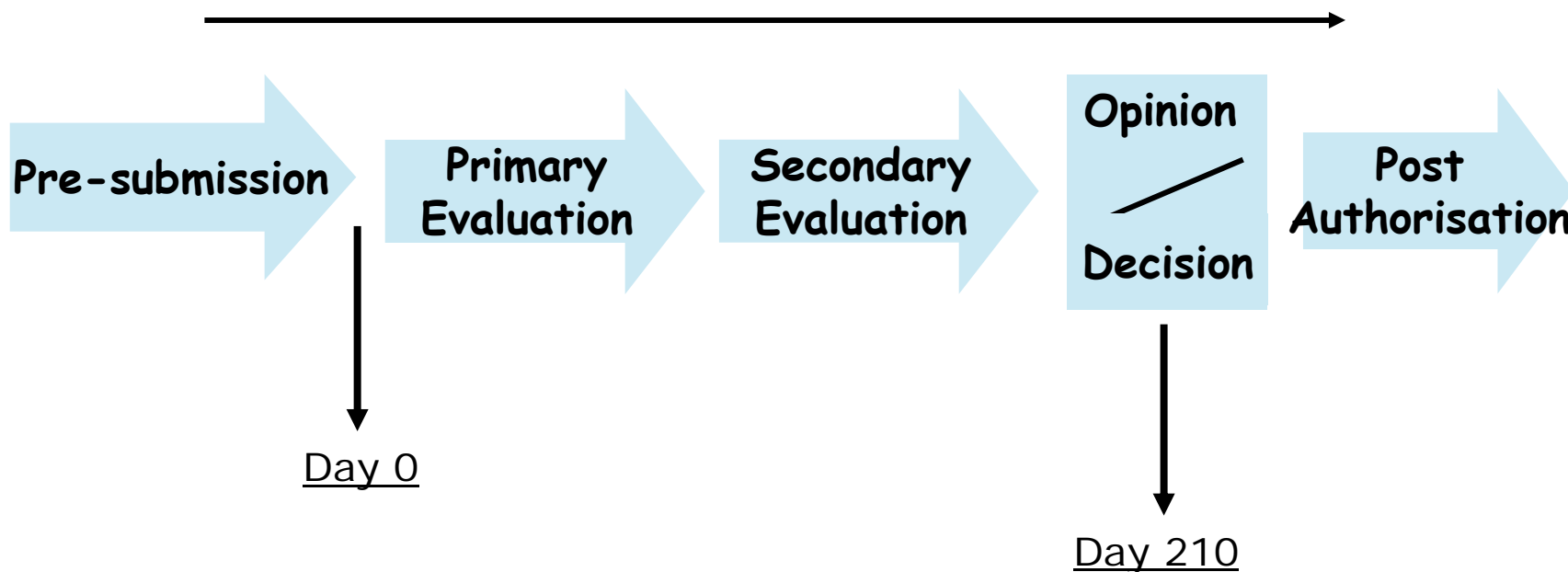
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# Non-clinical Assessment within the Centralised Procedure

## Initial Marketing Authorisation





# Non-clinical Assessment Reports

## <Co>Rapporteurs' Day 80 Critical Assessment Report

Non-Clinical Aspects

<Invented Name >  
<(Active Substance) >

EMEA/H/C/{nnnn}/{nnn}/{nnn}

Applicant:

» Last updated September 2010

[http://www.ema.europa.eu/ema/index.jsp?curl=pages/regulation/general/general\\_content\\_000121.jsp&murl=menus/regulations/regulations.jsp&mid=WC0b01ac0580022719&jsenabled=true](http://www.ema.europa.eu/ema/index.jsp?curl=pages/regulation/general/general_content_000121.jsp&murl=menus/regulations/regulations.jsp&mid=WC0b01ac0580022719&jsenabled=true)



# Non-clinical Assessment Reports

<Co-> Rapporteurs' Day 80 Critical Assessment Report

Non-Clinical Aspects

- GUIDANCE DOCUMENT -

<Invented Name>

<(Active Substance)>

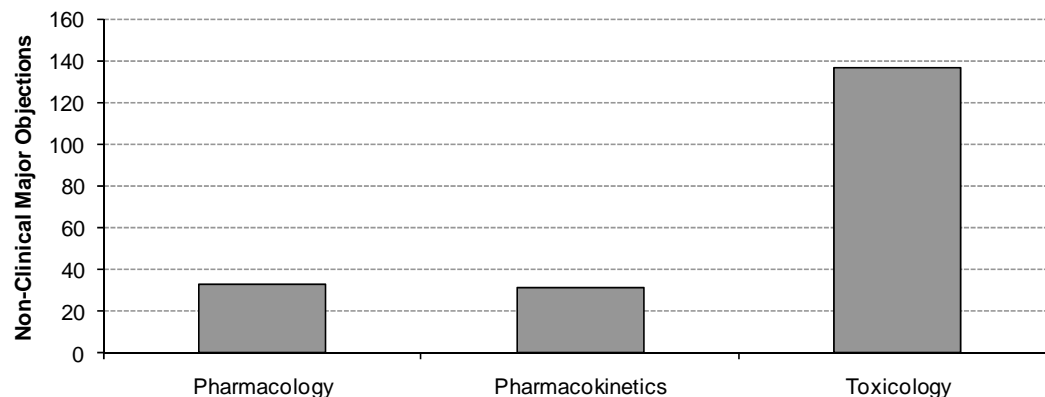
» Last updated September 2010

[http://www.ema.europa.eu/ema/index.jsp?curl=pages/regulation/general/general\\_content\\_000121.jsp&murl=menus/regulations/regulations.jsp&mid=WC0b01ac0580022719&jsenabled=true](http://www.ema.europa.eu/ema/index.jsp?curl=pages/regulation/general/general_content_000121.jsp&murl=menus/regulations/regulations.jsp&mid=WC0b01ac0580022719&jsenabled=true)

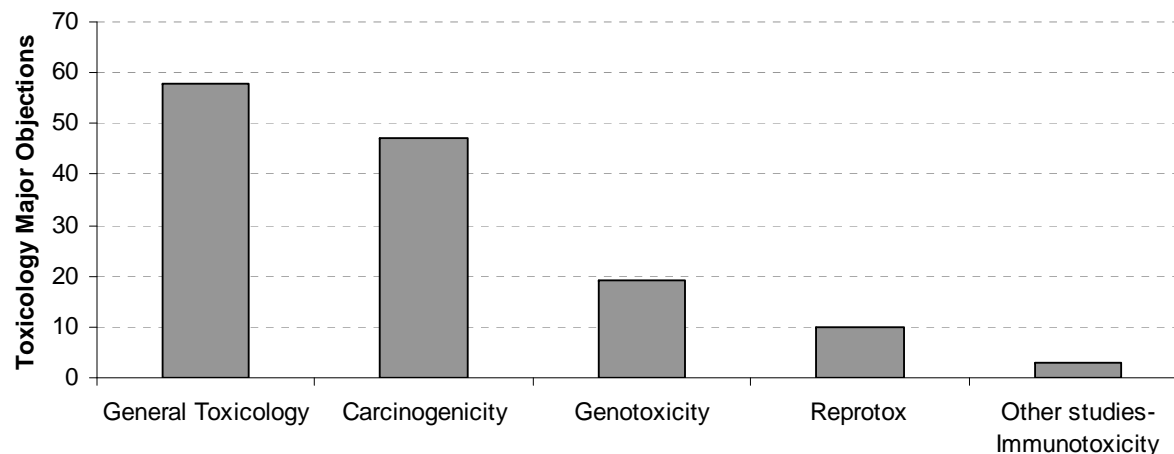


# Non-clinical Concerns

Applications 2000-2009 with non-clinical concerns after first round: 27% (129 /482)



» Non-clinical concerns (MO) after second round: 4% (21/482) - 8 positive opinions





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## Safety Working Party

**Recommendations to CHMP on all matters relating to the non-clinical safety part of drug development.**

- 1- Guidelines
- 2- Support to product evaluation
- 3- *Ad hoc* issues

**Who are the Safety Working Party (SWP) stakeholders?**

- Internal - CHMP, CMD(h), PDCO, CAT
  - SAWP and other working parties
- External - Industry
  - Learned societies/academia
  - Patients/consumers associations



# Safety Working Party

## Guidelines

- 31 guidelines as per 2011 work plan
- Comments on documents under external consultation  
*First in man guideline: 58 organisations provided comments*
- Workshops  
*Workshop on In Vitro Cytokine Release Assays To Predict Cytokine Release Syndrome (2009)*
- Interactions with interested parties  
*Annual meetings with EFPIA*

## Support to product evaluation

- Product specific queries: *CHMP, SAWP, CMD(h), PDCO, CAT*
- Trainings (1 per year): *Non-Clinical Requirements to Support Clinical Trials: ICH-M3(R2) and ICH S9 (2010)*





# Updating Safety Requirements

## **Safety Working Party – In the pipeline for 2012**

- ICH topics: Q&As for M3R2, genotoxicity S2R1, genotoxic impurities M7, carcinogenicity, photosafety S10, heavy metal impurities Q3D, QT prolongation E14
- Application of 3Rs (reduce/refine/replace)
- Non-clinical local tolerance testing
- Environmental risk assessment
- Non-clinical requirements for biosimilars



## Updating Safety Requirements

### **Safety Working Party – In the pipeline for 2012 (cont)**

- Guideline on excipients in the package leaflet
- Toxicological assessments for dedicated facilities (GMP)
- Preclinical requirements for vaccines
- Pharmacokinetics and metabolic studies in safety evaluation
- Contribution to new guidelines for gene therapy and cell products
- List of available qualified biomarkers



# Questions?

# Thanks!



## Guideline ICH M3(R2) (Dec 2009)

- Repeated dose toxicity (2W)
- Safety pharmacology
- Local tolerance
- Genotoxicity *in vitro*
- Male reproductive organs

Phase I

- Repeated dose toxicity (2W-6M)
- Genotoxicity *in vivo*

Phase II

- Repeated dose toxicity (1M – chronic)
- Reprotoxicity
  - Male and female fertility
  - Embryofetal
  - Peri-post natal
- ADME

Phase III



# Reproductive and Development Toxicity

