



EUROPEAN MEDICINES AGENCY
SCIENCE MEDICINES HEALTH

Key functionalities to protect personal data and CCI in CTIS

14 July 2022





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Content of the guidance document on protection of personal data and commercially confidential information (CCI) in CTIS

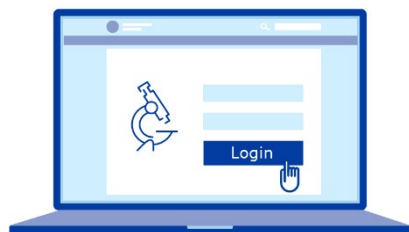
Guidance intends to provide clarification on:

- Introduction (chapter 1)
- CTIS functionalities implemented to allow protection of PD and CCI (chapter 2)
- Principles on protection of personal data (chapter 3)
- Principles on protection of CCI, also in relation to the use of deferrals (chapter 4)

The [guidance document](#) is published for public consultation until 08 September 2022:



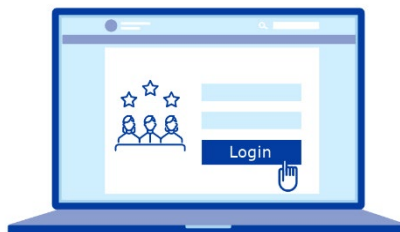
CTIS public website & secure domains



A **Sponsor workspace** where clinical trial sponsors, and the organisations that work with them, can apply for and manage a clinical trial in up to 30 EU/EEA countries



Secure access



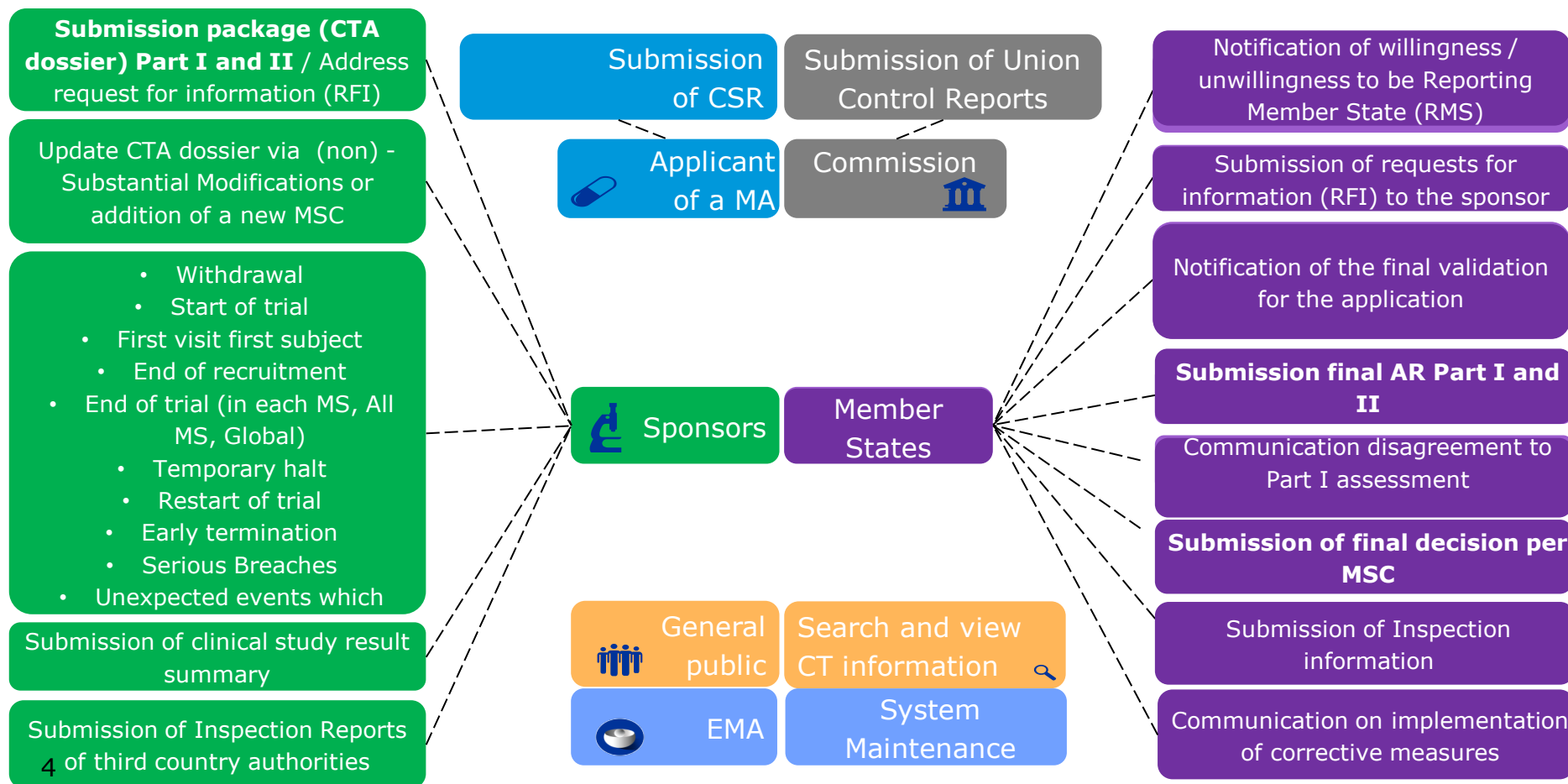
An **Authority workspace** for EU Member States, EEA countries and the European Commission to assess, authorise and oversee clinical trials



A **public website** where anyone can search for information on clinical trials and find recruiting trials



Open access



Publication Rules in CTIS

Article 81(4) of CTR outlines the requirements for transparency in CTIS:

"The EU database shall be publicly accessible unless, for all or part of the data and information contained therein, confidentiality is justified on any of the following grounds:

- (a) protecting personal data in accordance with Regulation (EC) No 45/2001;*
- (b) protecting commercially confidential information, in particular through taking into account the status of the marketing authorisation for the medicinal product, unless there is an overriding public interest in disclosure;*
- (c) protecting confidential communication between Member States in relation to the preparation of the assessment report;*
- (d) ensuring effective supervision of the conduct of a clinical trial by Member States".*



CTIS Joint Controllership Arrangement (JCA)

- **Protection of personal data** while using CTIS is the joint responsibility of:
 - EMA
 - European Commission
 - EU/EEA Member States
 - Commercial, non-commercial organisations and academia acting as sponsors of clinical trials and/or marketing authorisation applicants/holders
- Each party should ensure that personal data are treated according to the principles of the **GDPR** (for MS, sponsors, MAAs, MAHs) and **EUDPR** (for EMA and EC)
- A **Joint Controllership Arrangement (JCA)** has been created by the EC, EMA, EU/EEA Member States in consultation with representatives of industry associations, academia and learned societies.
- When accessing CTIS for the first time, users will be reminded of the contents of the JCA before they can progress with the use of CTIS.



Publication rules in CTIS

[Clinical trials](#) [Notices & alerts](#) [Annual safety reporting](#) [RFI](#) [User administration](#)

i Please note that, in accordance with Regulation (EU) No 536/2014, all data and documents provided in the EU database are subject to [publication rules](#), aiming amongst other things at protecting personal data and commercially confidential information. It is the responsibility of each user to ensure compliance with Regulation (EU) 2016/679 and Regulation (EU) 2018/1725 when uploading documents and processing personal data in CTIS.

CT data for demo including publication aspects_ Category 2 2021-500384-21-00 / Initial ID: IN **Authorised** / RMS: France

Withdraw

Form

MSCs

Part I

Part II

Evaluation

Timetable

Form details

Initial Application details

Cover letter

Cover letter *



Cover letter for initial application

English · Cover letter (for publication) · **System version 1.00**
submission date 22/11/2021
· **Version 1** · 22/11/2021



Cover letter not for publication

English · Cover letter (not for publication) · **System version 1.00**
submission date 22/11/2021
· **Version 1** · 22/11/2021



Publication rules in CTIS

Part I
Part II
Evaluation
Timetable

Title*
IMPD-Q

Type*
Investigational Medicinal Product Dossier: Full

Language
English

Version*
1

System version
1

Date*
02/11/2020

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- Only applications on which a **decision** (any decision) has been reached by the Member State Concerned will be made public;
- The default is always to make public at the first opportunity, e.g. time of decision;
- Data and documents in the CTIS will be made public, with few exceptions;
- Sponsors have options to **defer** the timing of publication of specific data/documents and MSC will have the chance to evaluate the proposal made by sponsor to defer the publication, as applicable;
- Deferral rules and maximum timelines to defer publication of data and documents will depend on the trial category as defined in the appendix, on the disclosure **rules**, to the "Functional Specifications for the EU Portal and DB to be audited "



Actor	Grouping	Category 1 FIH, PK/PD, BE/BA, Bio similarity	Category 2 Phase II and III	Category 3 Phase IV
Sponsor	• Main Characteristics*	Publication of final summary of results		
Sponsor	• Notifications*	Publication of final summary of results		
Sponsor	• Subject information sheet	Up to 7 years after the end of the trial in EU/EEA	Up to 5 years after the end of the trial in EU/EEA	
Sponsor	• Protocol and Scientific Advice	Up to 7 years after the end of the trial in EU/EEA	Up to 5 years after the end of the trial in EU/EEA	Publication of final summary of results
Sponsor	• IMPD S&E sections and Investigator Brochure	Up to 7 years after the end of the trial in EU/EEA	Up to 5 years after the end of the trial in EU/EEA	Publication of final summary of results
Sponsor	• Responses to RFI	Up to 7 years after the end of the trial in EU/EEA	Up to 5 years after the end of the trial in EU/EEA	Publication of final summary of results
Sponsor	• Clinical trial results summary for an intermediate data analysis*	1. 12 months after interim analysis date 2. up to 30 months after the end of the trial in the EU/EEA		
Sponsor	• Clinical trial results summary and lay person summary*	1. 12 months after the end of trial date in the EU/EEA 2. Up to 30 months after the end of trial in the EEA		

*not permitted if the trial includes paediatric population or it is part of a PIP



i Please note that data and documents provided in the EU Database are subject to publication rules (including the protection of personal data and commercially confidential information), as per Regulation (EU) 536/2014, Article 81(4).

Data/Document type	Publication date
Main characteristics	☐ Date of decision ☒ Publication of final summary of results
Notifications	☒ At designated time ☐ Publication of final summary of results
Subject information sheet	☐ Date of decision ☒ 7 years and months after the end of trial
Protocol	☐ Date of decision ☒ 7 years and months after the end of trial
IMPd SandE sections and Investigator Brochure	☐ Date of decision ☒ 7 years and months after the end of trial
Responses to RFI	☐ Date of decision ☒ 7 years and months after the end of trial
Clinical trial results summary for an intermediate data analysis	☐ 12 months after interim data analysis date ☐ As soon as results are submitted ☒ 30 months after the end of trial
Clinical trial results summary and lay person summary	☐ 12 months after end of trial date ☐ As soon as results are submitted ☒ 30 months after the end of trial

Deferral set by the Member States concerned

MSC can also set a deferral for Assessment Reports (part I and part II) and RFI at the time when they issue the decision

Decision

Authorised
 

Publication of RFIs

Data/document type	Publication timepoint
Responses to RFIs	4 years and 0 month after the end of trial (set by sponsor)
RFIs sent to the sponsor	☐ Date of Decision ☒ 4 years and 0 months after the end of trial



Publication rules in CTIS

- The use of a deferral is per trial
- The timing for deferrals is set by the sponsor at the time of initial application
- The Member States documents and the RFI raised to the sponsors can also be deferred
- It would be preferable to have alignment between the publication timelines of sponsor documents and MSC documents
- A document submitted in an application for which a decision has been issued, but not yet published because of deferral, cannot be modified in the context of that application



What will not be made public

- Quality related information that include:
 - ❑ The IMPD quality
 - ❑ Quality related request of information (RFI) raised during the assessment
 - ❑ Quality Assessment reports (draft and final)
- Draft assessment reports;
- Personal information identifying Member States experts, sponsor staff, MAH/applicant staff
- Financial agreements between the sponsor and the investigator site;



Any questions?

Further information

Official address Domenico Scarlattilaan 6 • 1083 HS Amsterdam • The Netherlands

Telephone +31 (0)88 781 6000

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