



HTA CG

MEMBER STATE COORDINATION GROUP OF
HEALTH TECHNOLOGY ASSESSMENT



Joint Clinical Assessment and PICO

Anne Willemsen, MSc
SME infoday, 18 October 2024

Regulatory

EMA

Regulatory approval

- Does technology X work?
- Does the benefit of technology X outweigh the risks?
- Are there any additional needs for technology X post-licencing?

Single licensing system; one EU legislation

Health Technology Assessment

HTAR

In JCA: relative assessment of Technology X vs. Technology Y (and others)

- How does it compare to what we already have (fewer harms, in whom etc)

Relative effectiveness and relative safety

Clinical domain only!

- No value judgements
- No conclusions on added value or reimbursement
- Common methodology and approach

National

Appraisal phase

- e.g. cost effectiveness to be added
- Other considerations?
- Weighing arguments; decision making/reimbursement advice

JCA should be given due consideration in national decision-making

HTA

Subgroup Joint Clinical Assessment

Scope of HTAR

➤ Medicinal Products

- From Jan. 2025: oncology and ATMPs
- From Jan. 2028: orphan drugs
- From Jan. 2030: full scope
- Includes Type II variations, once a JCA has been conducted on the initial indication
- See Scientific specifications of MP subject to JCA for details

➤ Medical Devices

- High risk MD, Type IIb, III and IVD

Joint Scientific Consultation (JSC)	Joint Clinical Assessment (JCA)
DEFINITION	
- Scientific advice - provided jointly by HTA bodies - Can be in parallel with regulators - To HTD on the clinical development	- Joint HTA reports, produced by 2 EU MS - On HTD submission dossier - HTD cannot submit data again on national level - Focussing on the clinical domains - Without value judgements - MS to give due consideration
AIM	
To generate evidence that satisfies the needs of HTA bodies during their assessment and ultimately facilitates patient access	To avoid duplications of work at the national level, increase consistency and quality of assessments and ultimately facilitate patient access
RELEVANT ARTICLES IN THE HTA REGULATION	
Art. 16 – Art. 21 Covering principles of JSC; Requests for JSC (& selection criteria); Preparation of JSC; Approval of JSC; Format and template for JSC	Art. 6 – Art. 15 Covering annual work plan; Health technologies subject to a JCA; Initiation & PICO development; Obligations of HTD; Assessment process; Obligations Member States; Update of JCA

Adopted Methodological Guidance by the HTACG

Methodological Guidance	Adoption by CG
Methodological Guideline for Quantitative Evidence Synthesis: Direct and Indirect Comparisons	8 March 2024
Practical Guideline for Quantitative Evidence Synthesis: Direct and Indirect Comparisons	8 March 2024
Guidance on outcomes for joint clinical assessments	13 June 2024
Guidance on reporting requirements for multiplicity issues and subgroup, sensitivity and post hoc analyses in joint clinical assessments	13 June 2024
Scientific specifications of medicinal products subject to joint clinical assessments	13 June 2024
Guidance on the validity of clinical studies for joint clinical assessments	19 September 2024

[Key documents - European Commission \(europea.eu\)](https://europea.eu)

HTA CG

Subgroup Joint Clinical Assessment



JCA timeline in parallel to EMA

a) Standard procedure for New Chemical Entities

b) Type II variation (Extension of Indication) and accelerated procedure

c) re-initiation of JCA or update of JCA without a new scope

d) Update of JCA with a new scope

HTD shall submit

- SmPC
- clinical overview section
- New therapeutic indication (if applicable)

Final consolidated PICO

- a) 10 days after LoQ
- b) 75 dagen after start EMA

JCA

Start JCA

Scoping phase

Submission dossier

Drafting JCA

Final JCA

EMA^a

Start MAA

D120 LOQ

CHMP

CD

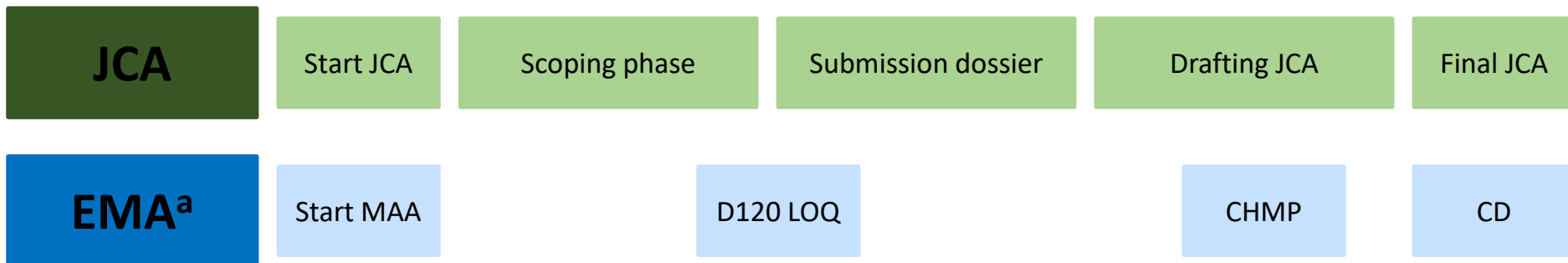
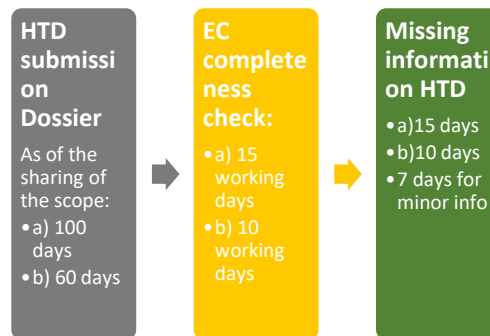
HTA CG

Subgroup Joint Clinical Assessment



JCA timeline in parallel to EMA

- a) Standard procedure for New Chemical Entities
- b) Type II variation (Extension of Indication) and accelerated procedure
- c) re-initiation of JCA or update of JCA without a new scope
- d) Update of JCA with a new scope



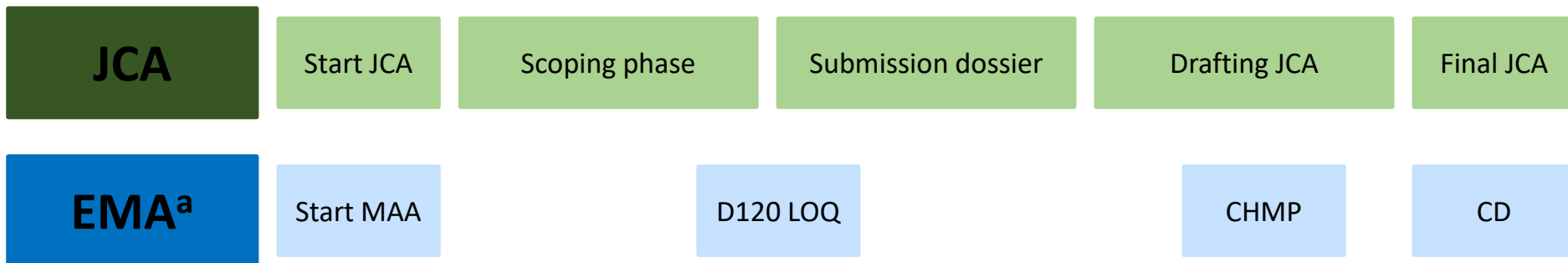
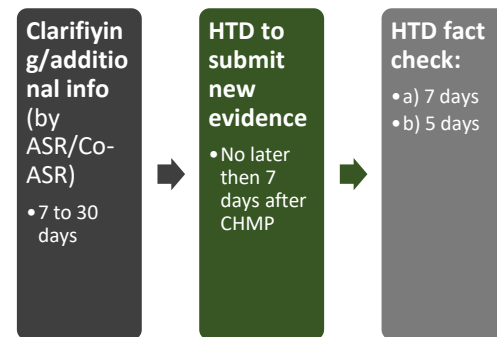
HTA CG

Subgroup Joint Clinical Assessment



JCA timeline in parallel to EMA

- a) Standard procedure for New Chemical Entities
- b) Type II variation (Extension of Indication) and accelerated procedure
- c) re-initiation of JCA or update of JCA without a new scope
- d) Update of JCA with a new scope



HTA CG

Subgroup Joint Clinical Assessment



JCA timeline in parallel to EMA

- a) Standard procedure for New Chemical Entities
- b) Type II variation (Extension of Indication) and accelerated procedure
- c) re-initiation of JCA or update of JCA without a new scope
- d) Update of JCA with a new scope

Finalize JCA

- The latest on the day of EC decision granting MA
- c) 180 days
- d) 330 days

JCA

Start JCA

Scoping phase

Submission dossier

Drafting JCA

Final JCA

EMA^a

Start MAA

D120 LOQ

CHMP

CD

HTA CG

Subgroup Joint Clinical Assessment



JCA timeline in parallel to EMA

- a) Standard procedure for New Chemical Entities
 b) Type II variation (Extension of Indication) and accelerated procedure
 c) re-initiation of JCA or update of JCA without a new scope
 d) Update of JCA with a new scope

HTD shall submit

- SmPC
- clinical overview section
- New therapeutic indication (if applicable)

Final consolidated PICO

- a) 10 days after LoQ
- b) 75 dagen after start EMA

HTD submission Dossier

- As of the sharing of the scope:
- a) 100 days
 - b) 60 days

EC complete ness check:

- a) 15 working days
- b) 10 working days

Missing information HTD

- a) 15 days
- b) 10 days
- 7 days for minor info

Clarifying/additional info (by ASR/Co-ASR)

- 7 to 30 days

HTD to submit new evidence

- No later than 7 days after CHMP

HTD fact check:

- a) 7 days
- b) 5 days

Finalize JCA

- The latest on the day of EC decision granting MA
- c) 180 days
- d) 330 days

JCA

Start JCA

Scoping phase

Submission dossier

Drafting JCA

Final JCA

EMA^a

Start MAA

D120 LOQ

CHMP

CD

HTA CG

Subgroup Joint Clinical Assessment



JCA production process & relevant HTA Regulation Articles



Initiation Phase

Art. 6 – annual work plan

Art 7 – health technologies subject to JCA



Scoping Phase

Art. 8 – Initiation of JCA & PICO development

Art. 9 – Submission Dossier

Art. 10 – Obligations of HTD



Assessment phase

Art. 10 – Obligations of HTD

Art. 11 – Assessment process

Art. 12 – finalization of JCA

Art. 13 – MS obligations

Art. 14 – updates of JCA



Implementing Acts

Art. 15 – detailed procedural rules JCA

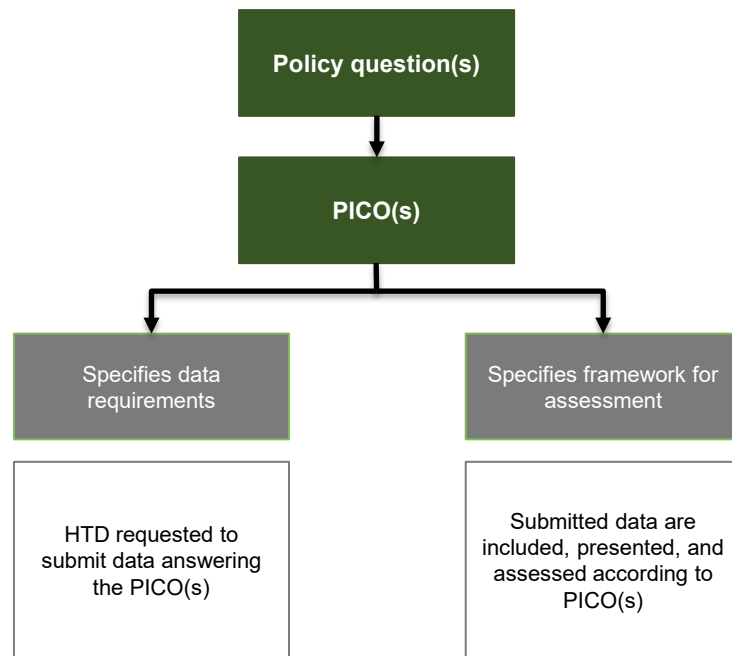
Art. 25 – rules involvement & selection external experts and stakeholder organisations

Art. 26 – format & templates of submission dossier & JCA report

Role of PICO into JCA

More information on: <https://www.eunethta.eu/d4-2/>

- PICO should **not be data** driven, but based on policy needs
 - **Inclusiveness & Independence**
- MS receive information on:
 - The intervention to be assessed and claimed indication/intended use in EU is provided
 - Any Joint Scientific Consultation that might have taken place.
 - The JCA PICO should be generated under the conditions existing at the time of the survey.



P

Full patient population
applied for

subpopulations; defined
as part of the full
population

I

information about the
intervention to be
assessed

the **applied for**
indication/intended use

Variations on the
intervention, e.g. dose, **are**
potential effect modifiers
• do not require a separate PICO.

C

Comparator(s) relevant for
the MS HTA for each of
the populations they
request

- Defined by MS

Comparator(s) could be
approved or not (off-label)
in the EU

MS to indicate if:

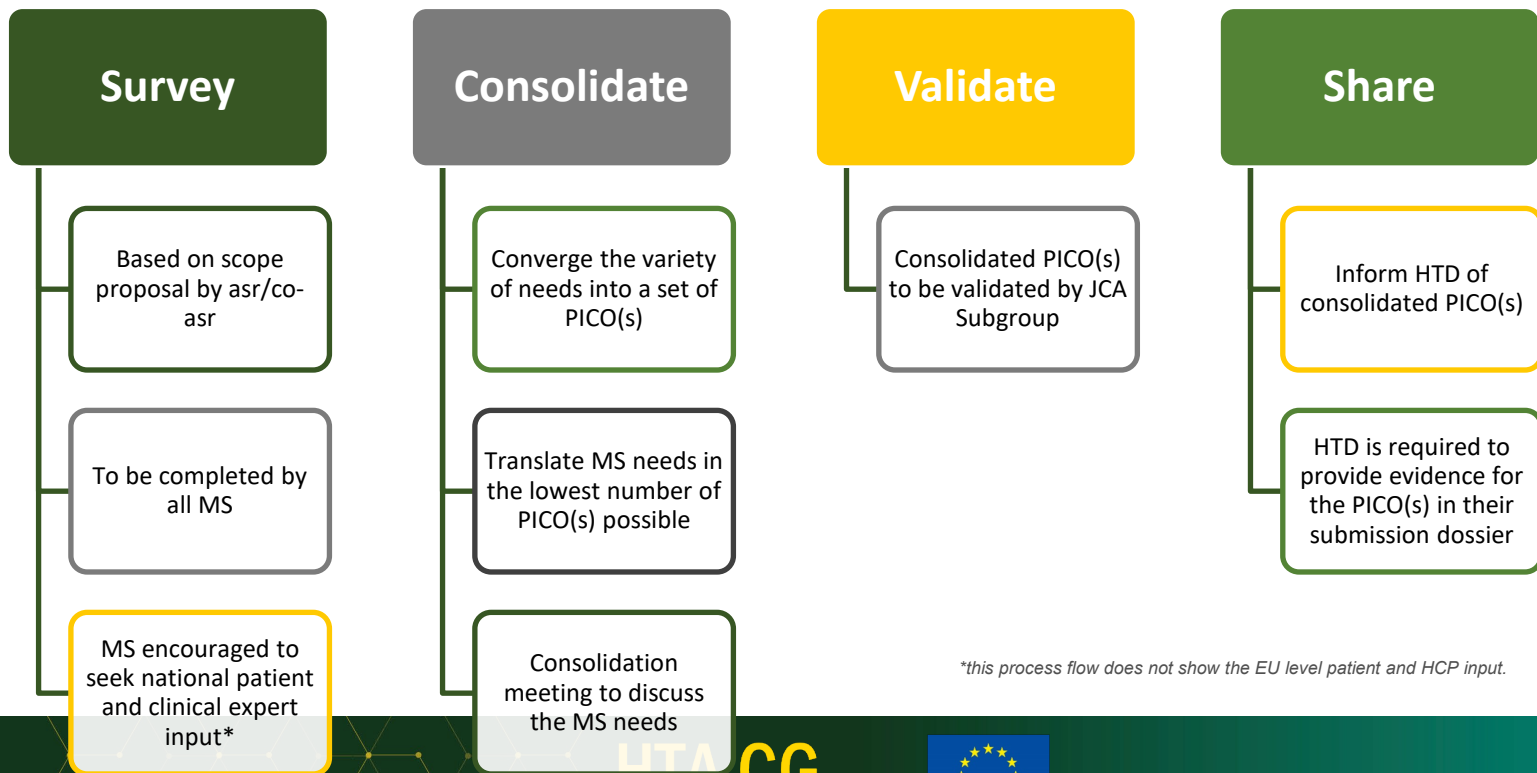
- any of these can be used (OR)
- all are required (AND)
- Individualized treatment

O

MS should define their
needs by listing the
outcomes required

Listing of outcomes
should **be free of any**
judgement or ranking

PICO development process



**this process flow does not show the EU level patient and HCP input.*

Annex I – Submission Dossier – Extract

Guidance on how to fill the dossier is coming Q4

Revision history

Unnecessary lines shall be deleted.

Version	Document	Legal reference	Submission date	Commission's check date
V0.1	Initial dossier	Article 10(2) HTAR		
V0.2	(Updated dossier following Commission's second request)	Article 10(5) HTAR		
V0.3	(Updated dossier following assessors' request for further specifications, clarifications or additional information)	Article 11(2) HTAR		N/A
V0.4	(Updated dossier following changes to the therapeutic indication(s))	Article 16(4) IR		N/A
V0.5	(Updated dossier following re-initiation of a JCA)	Article 10(8) HTAR		N/A
V0.6	(Dossier with the HTD's indications and justification of confidential information)	Article 11(5) HTAR		N/A

4.2.1. Information retrieval

The HTD shall conduct an information retrieval process with the objective of identifying the evidence to be used for the preparation of the dossier.

The following sources of information shall be systematically considered in the retrieval process:

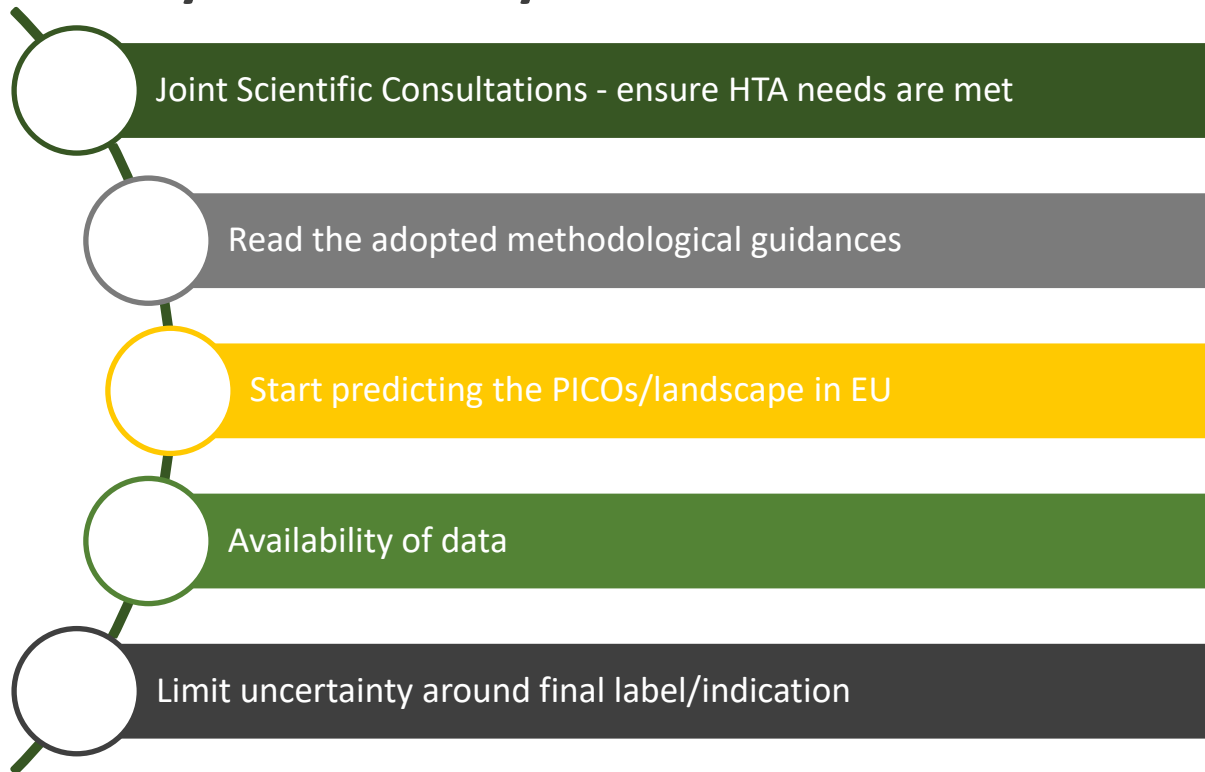
- (1) clinical efficacy and safety studies and where relevant, other applicable studies performed or sponsored by the HTD or by third parties in order to include all up-to-date published and unpublished information (data, analyses and any other evidence) from studies on the medicinal product for which the HTD was a sponsor and corresponding information about studies by third parties, if available;
- (2) bibliographic databases. The search shall at least be conducted in the National Library of Medicine's bibliographic database (MEDLINE) and the Cochrane Central Register of Controlled Trials database;
- (3) study registries and study results registries (clinical trial databases);
- (4) HTA reports on the medicinal product subject to the JCA from EEA States in which the HTAR is applicable and from Australia, Canada, the United Kingdom and the United States of America;
- (5) the clinical safety and efficacy data included in the submission file to the EMA;
- (6) patient registries.

This section shall:

- provide a list of the sources that were systematically searched for studies that are relevant for the JCA according to the assessment scope and indicate the date of each search. The cut-off date for the searches shall be a maximum of 3 months before the submission of the dossier;
- report whether and when new data with relevance for the assessment scope might become available.

All search strategies shall be fully documented in Appendix D.2.

Key take aways for January 2025





HTA CG

MEMBER STATE COORDINATION GROUP
ON HEALTH TECHNOLOGY ASSESSMENT



Thank you

Anne Willemsen, MSc
Awillemsen@zinl.nl

ASR/Co-ASR: Assessor en Co-Assessor

CD: Commission Decision

CG: Coordination Group

EC: European Commission

EUnetHTA: European Network for HTA

HTAR: HTA Regulation

HTD: Health Technology Developer

IA: Implementing Act

JCA: Joint Clinical Assessment

JSC: Joint Scientific Consultation

LOQ: List of Questions

MD: Medical Devices

MP: Medicinal Products

MS: Member States

PICO: Patient, Intervention, Comparator(s), Outcomes

SOC: Standard of Care

SG: Subgroup

HTA CG

Subgroup Joint Clinical Assessment

