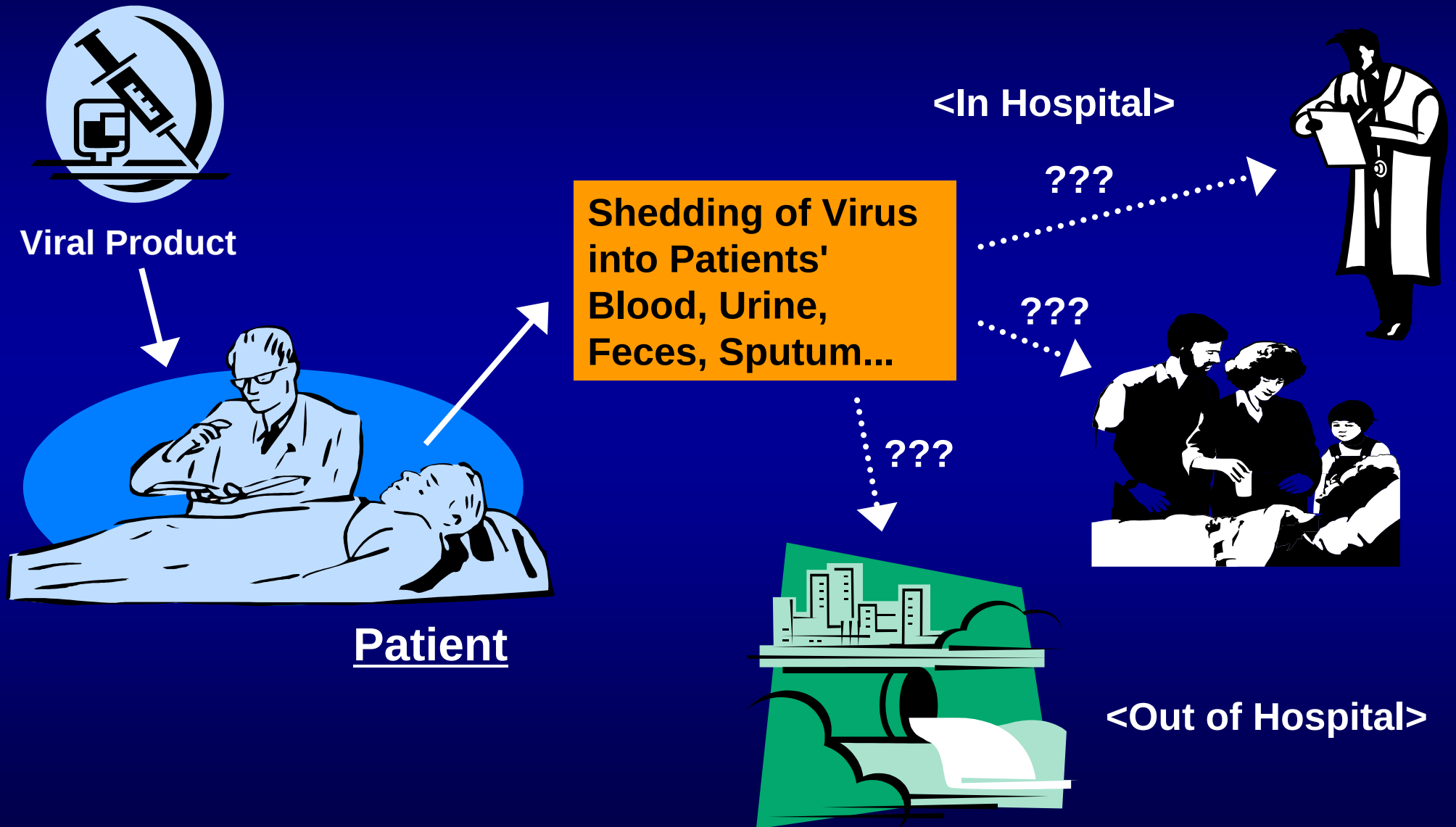


# Regulatory requirements to prevent viral shedding during gene therapy in Japan

Teruyo ARATO, Daisuke MAEDA (PMDA)  
Teruhide YAMAGUCHI (NIHS)

# Shedding of Virus



# Current regulatory requirements for viral shedding in Japan

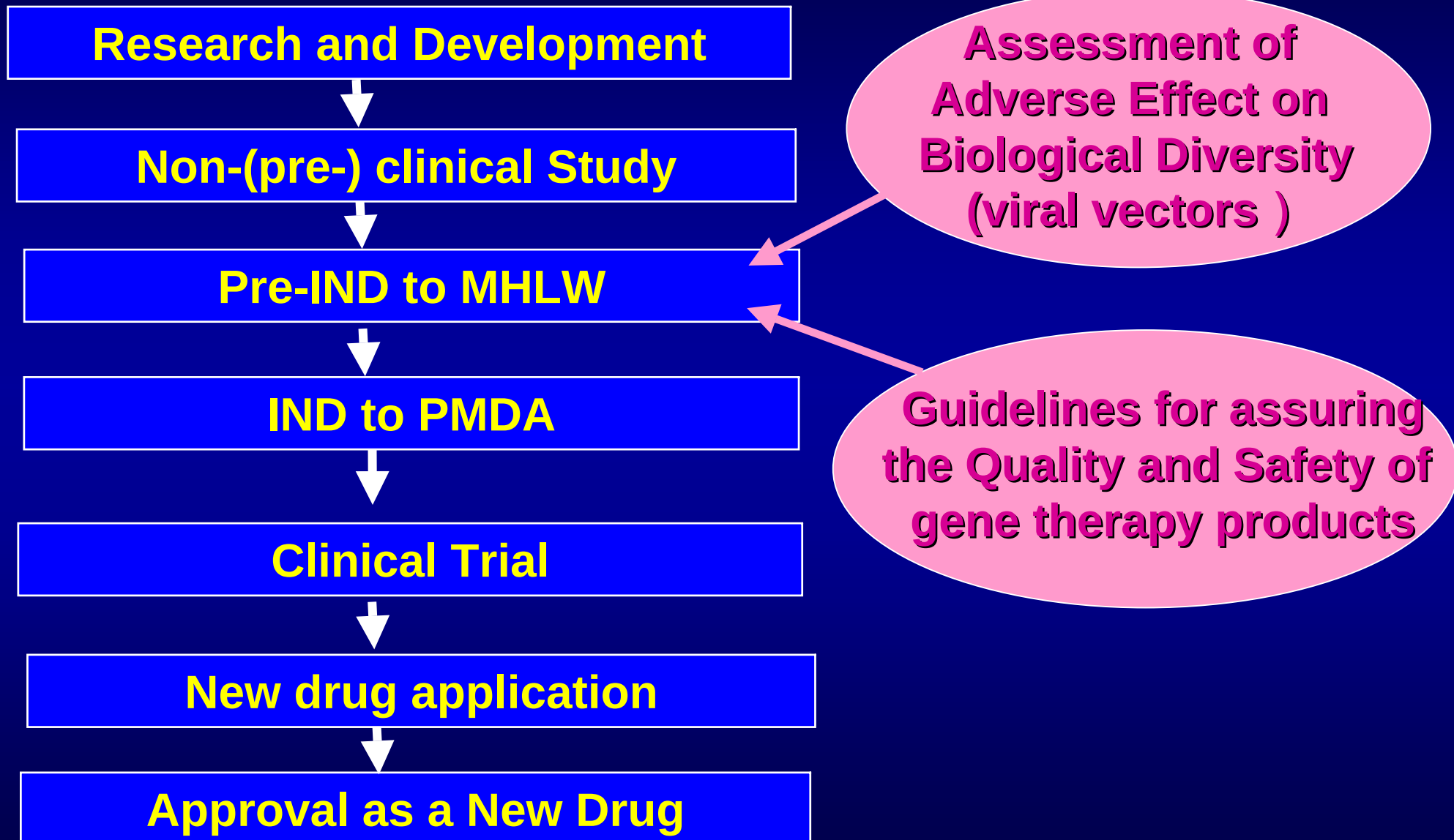
- We have no written document which shows regulatory requirements for viral shedding studies for gene therapy vectors in Japan.



**“ Law Concerning the Conservation and Sustainable Use of Biological Diversity through Regulations on the Use of Living Modified Organisms ” ( Law No. 97 of 2003 )**

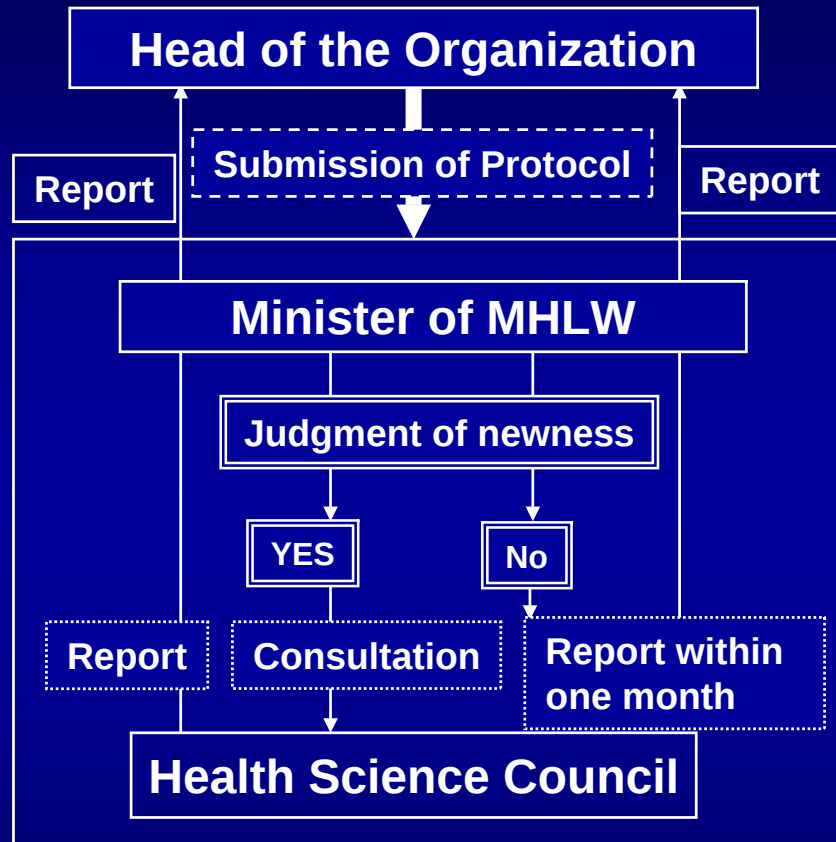
**Sponsor is required to prevent the transmission of vectors from patients to 3rd parties in clinical use of gene therapy products, according to the Cartagena Protocol on Biosafety (Type 1Use) .**

# Regulation of Gene Therapy Products and its Approval



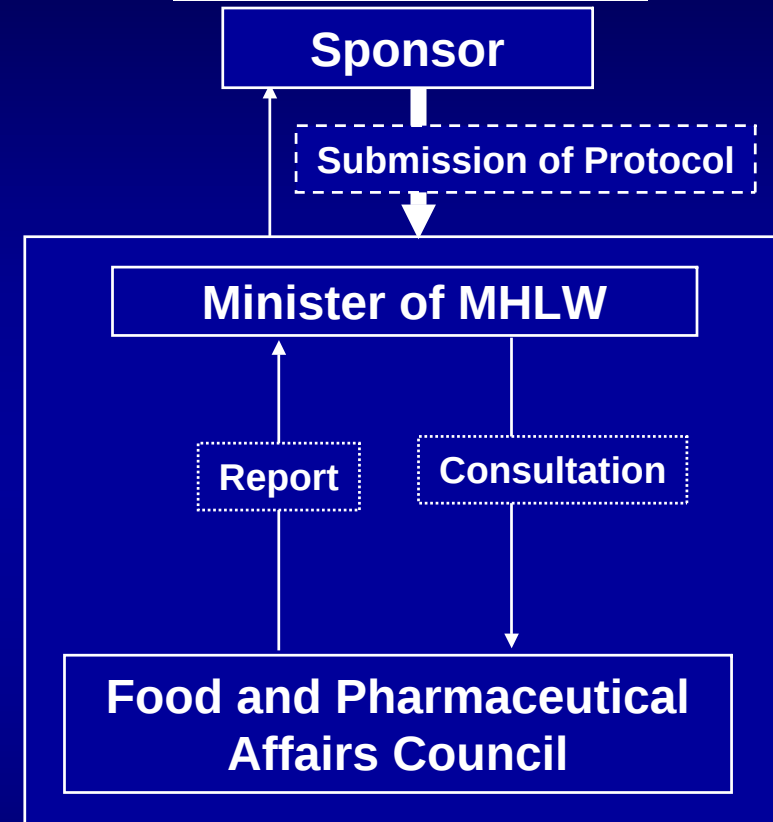
# Evaluation of Clinical Study of Gene Therapy in Japan

## Clinical Research



Guidelines for Gene Therapy  
Clinical Research

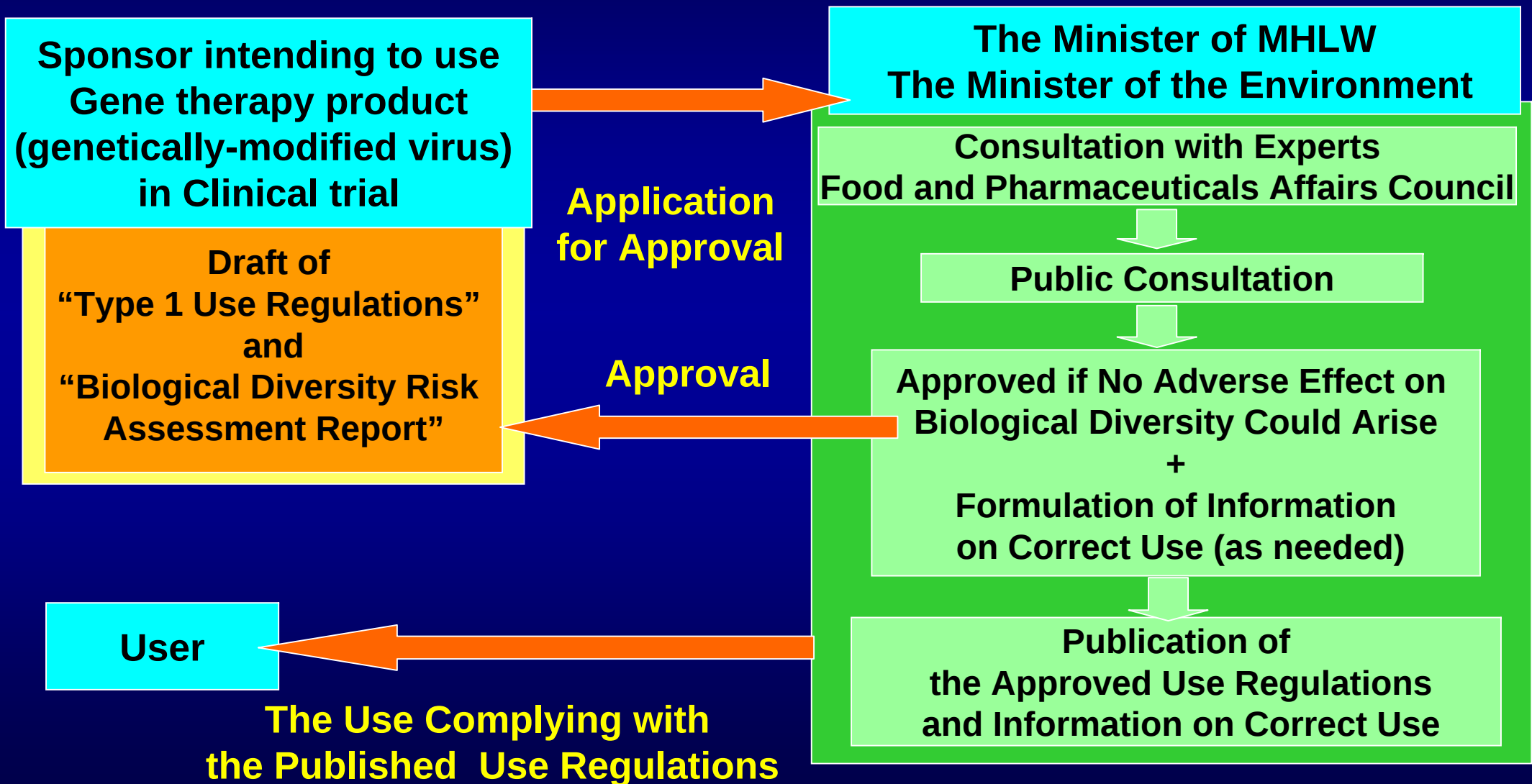
## Clinical Trial under the Regulations of Pharmaceutical Law



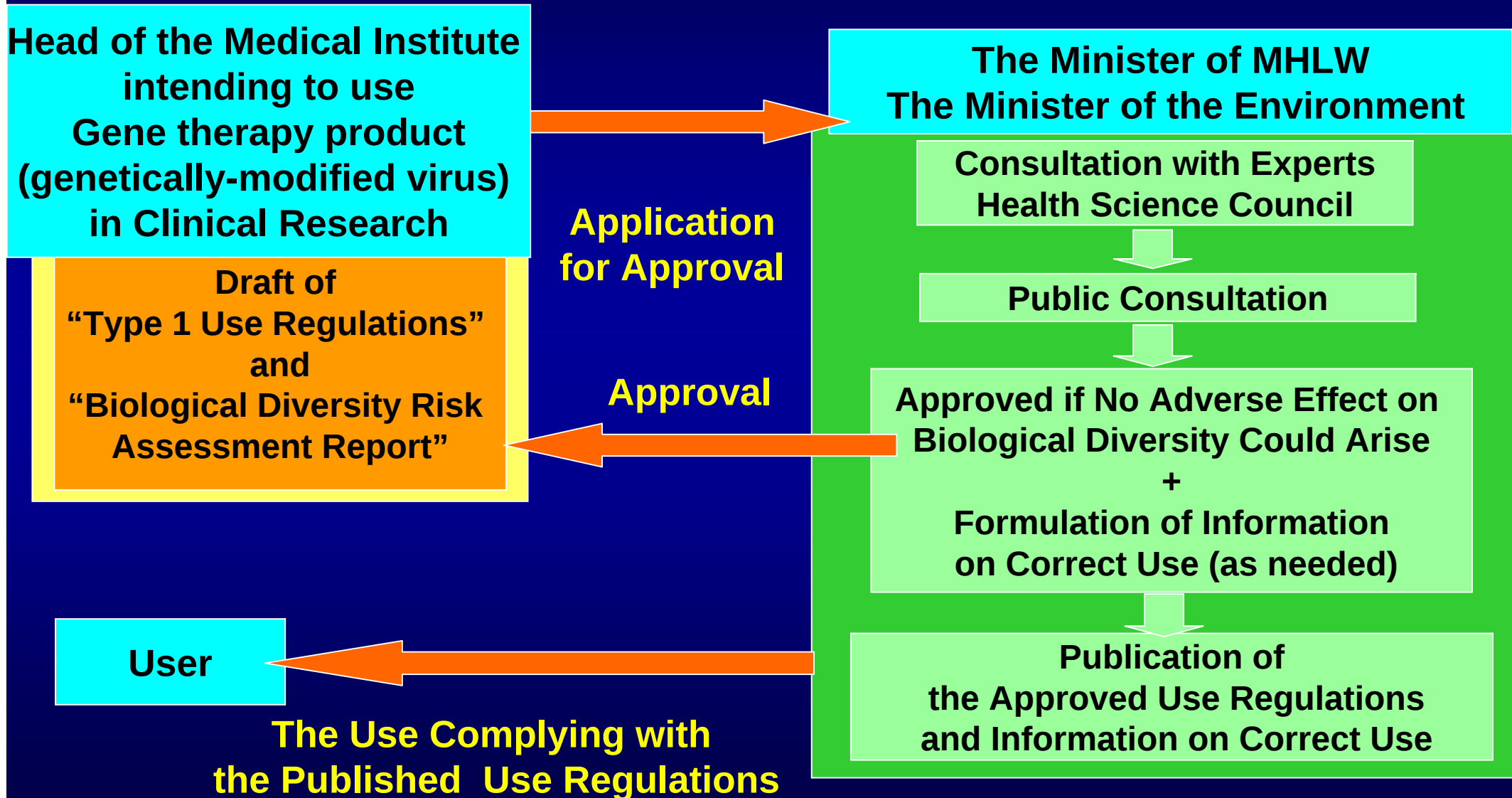
Guidelines for Assuring the Quality and  
Safety of Gene Therapy Products

**Type 1 Use Regulations in Japan**

# Flowchart of Approval of Type 1 Use Regulations in JAPAN (Clinical Trial)



# Flowchart of Approval of Type 1 Use Regulations in JAPAN (Clinical Research)



**“Type 1 Use Regulations”  
and  
“Biological Diversity Risk  
Assessment Report”**

## **Information Necessary for Assessment of Adverse Effect on Biological Diversity**

- I. Information concerning recipient organism or the taxonomical species to which the recipient organism belongs**
- II. Information concerning preparation of living modified organisms**
- III. Information concerning the Use of living modified organisms**
- IV. Assessment of Adverse Effect on Biological Diversity**
- V. Comprehensive assessment**

**“Type 1 Use Regulations”  
and  
“Biological Diversity Risk  
Assessment Report”**

## **I. Information concerning a recipient organism or the taxonomical species to which the recipient organism belongs**

- 1. Taxonomical position and State of distribution in natural environment**
- 2. History and present state of Use (including history of use for human or animal drugs, or history and present state of industrial use)**
- 3. Physiological and ecological properties**
  - (1) Basic properties**
  - (2) Environmental conditions allowing colonization or growth**
  - (3) Predacity or parasitism**
  - (4) Mode of propagation or reproduction**
  - (5) Pathogenicity**
  - (6) Productivity of harmful substances**
  - (7) Other information (including conditions of inactivation)**

**“Type 1 Use Regulations”  
and  
“Biological Diversity Risk  
Assessment Report”**

## **II. Information concerning preparation of living modified organisms**

- 1. Information concerning donor nucleic acid**
  - (1) Composition and origins of component elements**
  - (2) Functions of component elements**
- 2. Information concerning vector**
  - (1) Name and origin**
  - (2) Properties**
- 3. Method of preparing living modified organisms**
  - (1) Structure of the entire nucleic acid transferred to recipient organism**
  - (2) Method of transferring nucleic acid transferred to recipient organism**
  - (3) Processes of rearing living modified organisms**

**“Type 1 Use Regulations”  
and  
“Biological Diversity Risk  
Assessment Report”**

## **II. Information concerning preparation of living modified organisms**

- 4. State of existence of nucleic acid transferred to cells and stability of expression of traits caused by the nucleic acid**
- 5. Methods of detection and identification of living modified organisms and their sensitivity and reliability**
- 6. Difference from the recipient organism or the taxonomical species to which the recipient organism belongs**

**“Type 1 Use Regulations”  
and  
“Biological Diversity Risk  
Assessment Report”**

### **III. Information concerning the Use of living modified organisms**

- 1. Content of the Use**
- 2. Method of the Use**
- 3. Method of collecting information by person who wishes to obtain approval after the start of Type 1 Use**
- 4. Emergency Measures which should be taken to prevent Adverse Effects on Biological Diversity in case Adverse Effects on Biological Diversity could arise**
- 5. The result of Use in laboratory or Use in an environment similar to that in which Type 1 Use is intended**
- 6. Information obtained from use abroad**

**“Type 1 Use Regulations”  
and  
“Biological Diversity Risk  
Assessment Report”**

## **IV. Assessment of Adverse Effect on Biological Diversity**

### **1. Property of reducing other microorganisms**

- (1) Identification of microorganisms likely to be affected**
- (2) Evaluation of concrete details of adverse effect**
- (3) Evaluation of likelihood of adverse effect**
- (4) Judgment of existence of Adverse Effect on Biological Diversity**

### **2. Pathogenicity**

- (1) Identification of wildlife likely to be affected**
- (2) Evaluation of concrete details of adverse effect**
- (3) Evaluation of likelihood of adverse effect**
- (4) Judgment of existence of Adverse Effect on Biological Diversity**

**“Type 1 Use Regulations”  
and  
“Biological Diversity Risk  
Assessment Report”**

## **IV. Assessment of Adverse Effect on Biological Diversity**

### **3. Productivity of harmful substances**

- (1) Identification of wildlife likely to be affected**
- (2) Evaluation of concrete details of adverse effect**
- (3) Evaluation of likelihood of adverse effect**
- (4) Judgment of existence of Adverse Effect on Biological Diversity**

### **4. Property of transmitting nucleic acid horizontally**

- (1) Identification of wildlife or other microorganisms likely to be affected**
- (2) Evaluation of concrete details of adverse effect**
- (3) Evaluation of likelihood of adverse effect**
- (4) Judgment of existence of Adverse Effect on Biological Diversity**

### **5. Other Properties**

**“Type 1 Use Regulations”  
and  
“Biological Diversity Risk  
Assessment Report”**

## **V. Comprehensive Assessment of Adverse Effect on Biological Diversity**

**Comprehensive judgment should be performed based on each item listed in section IV.**

**Measures to minimize the  
potential risk due to viral  
shedding**

appropriate  
methods for  
disposal of  
instruments

handling  
of excrement  
from the  
patients

control for  
patient  
isolation  
rooms

**Research paper  
(properties of virus )**

**Non-clinical study  
(eg.Biodistribution )**

**Information obtained  
from use abroad**

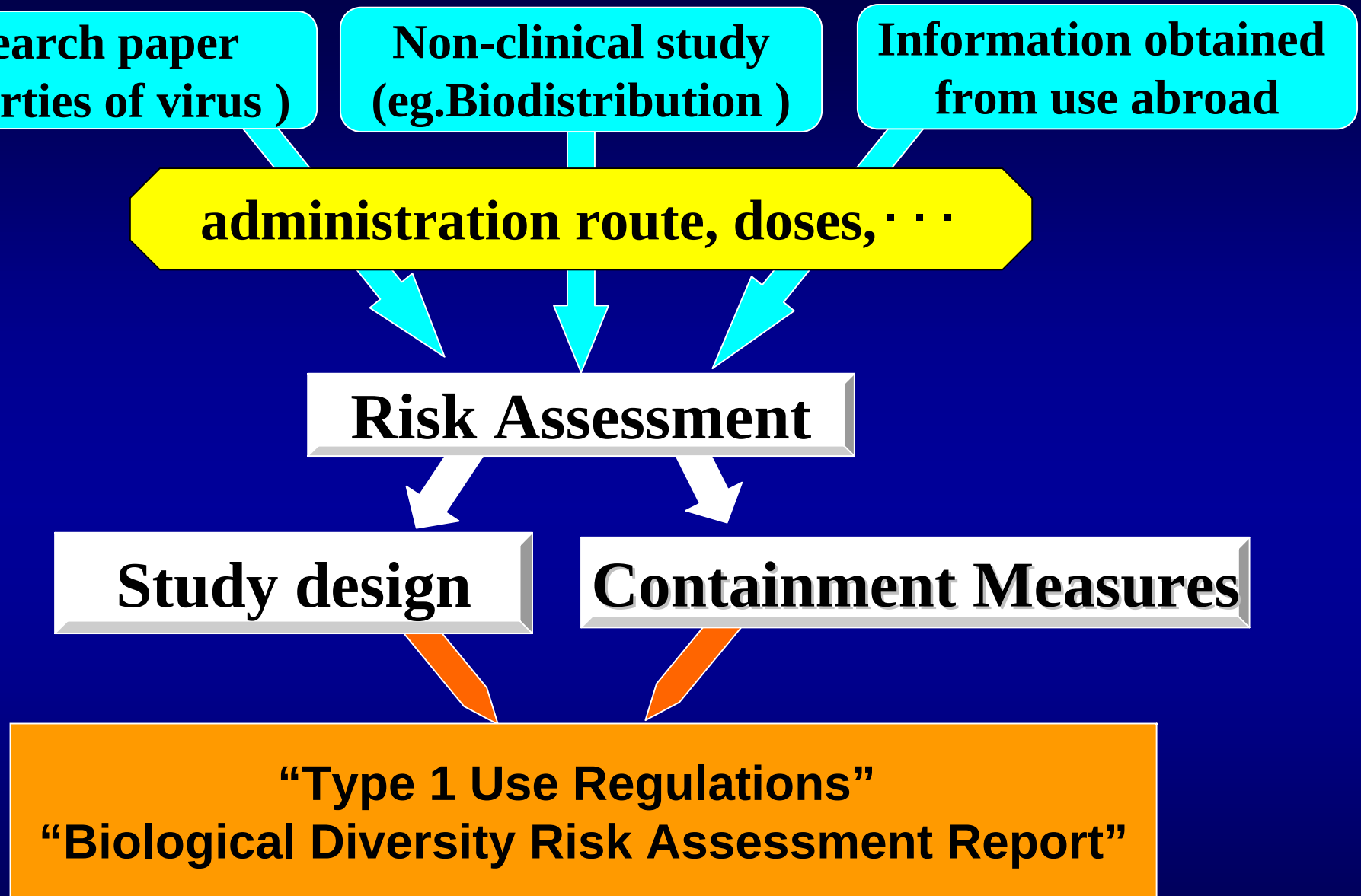
**administration route, doses, . . .**

**Risk Assessment**

**Study design**

**Containment Measures**

**“Type 1 Use Regulations”  
“Biological Diversity Risk Assessment Report”**



## **Publication of Domestic Law and Regulations**

The following website provides information on domestic law, related domestic regulations, list of the approved LMO under the law.

### **Japan Biosafety Clearing House:**

- Domestic Law and Regulations for LMOs  
<http://www.bch.biodic.go.jp/english/law.html>
- List of Approved LMOs ( GT products ) :  
[http://www.bch.biodic.go.jp/iyaku\\_kaigi\\_1.html](http://www.bch.biodic.go.jp/iyaku_kaigi_1.html)

# Isolation Period after Administration of GT products for Viral Shedding/Cartagena (1)

| Vector | Gene                             | Targeted disease                | Medical Institution/<br>Sponsor         | Administration Method      | Isolation Period | Cartagena Approval |
|--------|----------------------------------|---------------------------------|-----------------------------------------|----------------------------|------------------|--------------------|
| Retro  | adenosine deaminase ( ADA )      | ADA-deficiency                  | Hokkaido Univ. Hospital                 | Bone marrow cells, ex vivo | 3 days           | 2005               |
|        | HSV-TK and $\Delta$ LNGFR        | recurrent leukemia (acute GVHD) | Tsukuba Univ. Hospital /TAKARA BIO Inc. | Lymphocytes, ex vivo       | 3 days<br>3 days | 2005<br>2007       |
|        | MDR1 ( multi-drug resistance 1 ) | Breast cancer                   | Cancer Institute Hospital of JFCR       | CD34 cells, ex vivo        | 10 days          | 2005               |

# Isolation Period after Administration of GT products for Viral Shedding/Cartagena (2)

| Vector | Gene                                | Targeted disease             | Medical Institution/<br>Sponsor                  | Administration Method              | Isolation Period | Cartagena Approval |
|--------|-------------------------------------|------------------------------|--------------------------------------------------|------------------------------------|------------------|--------------------|
| Adeno  | HSV-TK                              | Prostate cancer              | Kobe Univ. Hospital                              | Bone metastases or Intra-prostatic | 3 days           | 2005               |
|        | HSV-TK                              | Prostate cancer              | Okayama Univ.Hospital<br>Kitasato Univ. Hospital | Intra-prostatic                    | 24 hours         | 2005<br><br>2007   |
| Sendai | FGF-2                               | ASO / Berger's disease       | Kyushu Univ. Hospital                            | Hindlimb skeletal muscles          | 1 week           | 2006               |
| AAV    | aromatic L-amino acid decarboxylase | Late-stage Parkinson disease | Jichi Medical School Hospital                    | Intracerebral                      | 72 hours         | 2006               |

# Action after the Isolation Period is Over (1)

| Vector | Gene              | Targeted disease                | Medical Institution/<br>Sponsor         | Action after the Isolation Period                                                                                                                         |
|--------|-------------------|---------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|
| Retro  | ADA               | ADA-deficiency                  | Hokkaido Univ. Hospital                 | <ul style="list-style-type: none"> <li>• RCV ( - ) in blood<br/>⇒Discharge</li> </ul>                                                                     |
|        | HSV-TK and ΔLNGFR | recurrent leukemia (acute GVHD) | Tsukuba Univ. Hospital /TAKARA BIO Inc. | <ul style="list-style-type: none"> <li>• RCV ( + ) in blood<br/>⇒Continued isolation</li> </ul>                                                           |
|        | MDR1              | Breast cancer                   | Cancer Institute Hospital of JFCR       | <ul style="list-style-type: none"> <li>• RCV ( - ) →RCV ( + ) after Discharge<br/>⇒ Re-isolation<br/>( until patient tested negative for RCV )</li> </ul> |

## Action after the Isolation Period is Over (2)

| Vector | Gene                                | Targeted disease               | Medical Institution/<br>Sponsor                 | Action after the Isolation Period                                                                                                                                                                                                                                                                  |
|--------|-------------------------------------|--------------------------------|-------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Adeno  | HSV-TK                              | Prostate cancer                | Kobe Univ. Hospital                             | <ul style="list-style-type: none"> <li>Vector ( - ) in blood and urine<br/>⇒ Discharge</li> <li>Vector ( + ) in blood and urine<br/>⇒ Continued isolation</li> <li>Vector ( - ) → Vector ( + )<br/>after Discharge<br/>⇒ Re-isolation<br/>( until patients tested negative for Vector )</li> </ul> |
|        | HSV-TK                              | Prostate cancer                | Okayama Univ.Hospital<br>Kitasato Univ.Hospital |                                                                                                                                                                                                                                                                                                    |
| Sendai | FGF-2                               | ASO / Berger's disease         | Kyushu Univ. Hospital                           |                                                                                                                                                                                                                                                                                                    |
| AAV    | aromatic L-amino acid decarboxylase | Late-stage Parkinson's disease | Jichi Medical School Hospital                   |                                                                                                                                                                                                                                                                                                    |

# Containment Measures during gene therapy

- **Blood, body fluid and excrement** from a patient during the isolation period → **Disposal after sterilization**
- **Instruments** used in drug administration (e.g., needle, syringe and tube) → **Disposal after sterilization**
- **Instruments** in contact with blood, body fluid and excrement from a patient  
→ **Disposal after sterilization, or sufficient cleaning**

Each medical institute's rules for infectious waste disposal should be followed.

# Suitability of monitoring(1)

*Patients will be isolated until testing negative for virus, but . . .*

- *detection method have not been standardized.*
- *it is difficult to choose the detection method.*

*Sensitivity depends on  
detection methods*

*Infectivity shows real  
Existence of virus*



*Sensitive method=PCR?*



*Detection sequence*

# Suitability of monitoring(2)

*Patients will be isolated until tested negative for Virus,  
but . . .*

- *Monitoring should be carried out how frequent?  
until when?*
- *When virus is detected for long period, such as in  
case of oncolytic virus therapies, patients must  
continue to be isolated?*
- **These issues of monitoring of viral shedding should  
be continuously discussed.**

**BACK UP**

# **Law Concerning the Conservation and Sustainable Use of Biological Diversity through Regulations on the Use of Living Modified Organisms ” ( Law No. 97 of 2003 )**

## **“Type 1 Use” means**

**The Use of living modified organisms without preventive measures against their dispersal into environment.**

**e.g. Performance of gene therapy in medical Institutes**

## **“Type 2 Use” means**

**The Use of living modified organisms while taking preventive measures against their dispersal into environment.**

**e.g. Manufacture of gene therapy vectors in plants**