



EUROPEAN MEDICINES AGENCY
SCIENCE MEDICINES HEALTH

Update on Product Shortages Due To Manufacturing/GMP And Quality Issues

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Reminder about Shortages due to quality and manufacturing problems

- In November 2012 EMA published a reflection paper and implementation plan with 2 main objectives;
 - Provide a framework for assessment
 - Raising Awareness and seeking solutions
- EMA team co-ordinated CHMP sub-group and HMA Virtual group



A Framework for assessment

- Developed common understanding of critical medicine/develop decision tree/clarify national input into EU advice/communication (public catalogue)
- Facilitate Benefit / Risk evaluation through template AR (points to consider) and closing AR (retrospective impact of shortage) plus resource guidance.
- Risk indicators for shortages (manufacturing and quality) developed to identify products at risk.
- Crisis situations resulting from product shortages addressed in context of EU Incident Management Plan (IMP)
- Develop international co-operation to foster sharing of information



Product information

Scientific advice and protocol assistance

Scientific guidelines

Innovation Task Force

SME office

Paediatric medicine

Geriatric medicine

Orphan designation

Herbal products

Referral procedures

Article 58 applications

Compassionate use

Pharmacovigilance

Data submission on authorised medicines

Advanced therapies

Clinical trials

Inspections

Falsified medicines

Quality by design

Product defects and recalls

Parallel distribution

▼ Medicine shortages

Shortages catalogue

Antimicrobial resistance

Pandemic influenza

New countries/EFTA

Non-pharmaceutical products

Fees

Overall framework

In the European Union, most medicine shortages are dealt with at national level by the [national competent authorities](#).

However, the EMA can be involved in certain situations, for example when a medicine shortage is linked to a **safety concern** or affects **several Member States**.

Medicine shortages can occur for many reasons, such as **manufacturing difficulties** or problems affecting the **quality of medicines** that can impact on patient care. Regulatory authorities within and outside Europe are increasingly working together to prevent shortages and to limit their impact whenever they occur.

European regulatory authorities aim to minimise the impact of medicine shortages on patients by:

- ▶ working with pharmaceutical companies to resolve manufacturing and distribution issues;
- ▶ sharing information with international partners about alternative sources of supply;
- ▶ seeking input from patients and healthcare professionals on the impact of medicine shortages, to support decision-making;
- ▶ taking measures to allow alternative medicines or suppliers to be used.

The Agency maintains a [catalogue of shortages](#) that it has assessed.

EU-level coordination

The EMA published a **reflection paper** in November 2012 concerning public health incidents that can arise due to manufacturing disruptions linked to problems such as quality defects or [Good-manufacturing-practice \(GMP\) compliance](#) issues. It concerns human medicines regardless of their route of authorisation, where there is an identified need to coordinate the assessment and any risk-reducing actions at EU level. An **implementation plan** was also drawn up, defining actions to co-ordinate the assessment of shortages, develop risk-minimisation measures, alleviate the impact on patients and communicate within the EU regulatory network:

- ▶ [Reflection paper on medicinal-product supply shortages caused by manufacturing / good-manufacturing-practice compliance problems](#)
- ▶ [Reflection paper on medicinal-product supply shortages caused by manufacturing/good-manufacturing-practice compliance problems - Implementation plan 2012-2015](#)

On 14 October 2013, the Agency organised a public workshop on product shortages due to manufacturing and quality problems in order to explore and develop a proactive approach to their prevention.

Based on the implementation plan and input gathered at the workshop, the EMA has developed a set of **documents to support medicines regulators** involved in the EU-level coordination of shortage situations due to GMP non-compliance/quality defects:

- ▶ [Criteria for classification of critical medicinal products](#)
- ▶ [Decision tree on escalation from national to European level](#)
- ▶ [Points to consider for the overall assessment of a supply shortage of a medicinal product due to GMP Non-compliance /quality defects](#)
- ▶ [Closing report on assessment of a supply shortage of a medicinal product due to manufacturing and quality problems](#)
- ▶ [Resources for issuing treatment recommendation during shortages of medicinal products](#)
- ▶ [Risk indicators for Shortages \(Manufacturing and Quality\)](#)

Public catalogue of shortages

- ▶ [Good-manufacturing-practice and good-distribution-practice compliance](#)
- ▶ [Product defects and recalls](#)



Raising Awareness / Proactive approach

- Raise awareness of the impact of product shortages and stimulate industry reaction and improvement in Business Continuity Planning.
- Promote better and proactive risk management by Marketing Authorisation Holders – resilience in supply chains.
- Held a workshop with stakeholders

http://www.ema.europa.eu/ema/index.jsp?curl=pages/news_and_events/events/2013/10/event_detail_000796.jsp&mid=WC0b01ac058004d5c3



Industry associations have delivered.

A positive response

- Formed an Inter-Association Task Force (unique initiative)
- ISPE – ISPE Drug Shortages Prevention Plan – October 2014
- AESGP/EFPIA/EGA/PPTA – Industry Communication Principles to Authorities – Q4 2014
- PDA – Technical Report on "Risk-Based Approach for Prevention and Management of Drug Shortages" – Q4 2014





Drug Shortages Prevention Plan– a holistic approach to prevention of shortages due to manufacturing quality problems

Features

- Follows ISPE's shortages survey
- Discussions at every ISPE conference during 2014
- A toolbox and best practice examples to help stakeholders correct and prevent manufacturing quality issues that can create supply disruptions & respond to and manage such disruptions should they occur.





Risk-Based Prevention of Drug Shortage

Foundational concepts

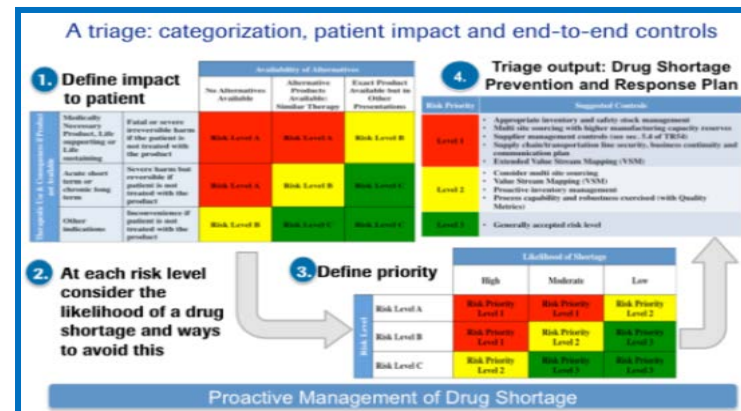
1. Risk-based triage of products

- establish preventive end-to-end controls for drug shortage risks based on criticality of the product, patient impact and overall product risk evaluation.

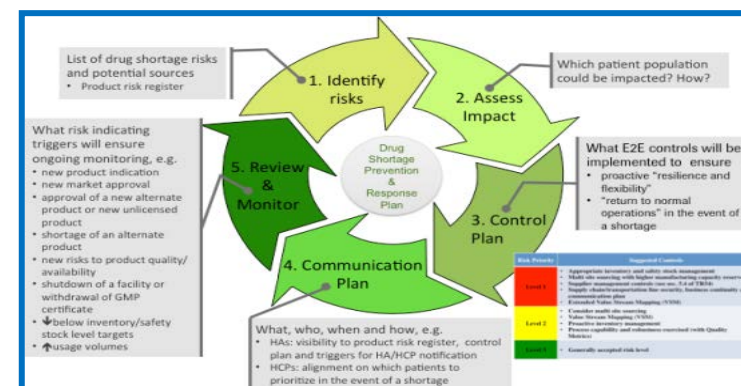
2. Establishment of a Product Risk Register and a product Drug Shortage Prevention and Response Plan

- A holistic framework and simple templates at product level

1.



2.



Drug Shortage Product Risk Register

Product	Risk Level	Impact	Control Plan	Communication Plan	Review & Monitor
Product A	Risk Level A	Severely impacts patient's health or safety	Multi-site sourcing with higher manufacturing capacity reserves	HA: visibility to product risk register, control plan and triggers for HA/HCP notification	HA: visibility to product risk register, control plan and triggers for HA/HCP notification
Product B	Risk Level B	Severely impacts patient's health or safety (if patient is not treated with the product)	Multi-site sourcing with higher manufacturing capacity reserves	HA: visibility to product risk register, control plan and triggers for HA/HCP notification	HA: visibility to product risk register, control plan and triggers for HA/HCP notification
Product C	Risk Level C	Severely impacts patient's health or safety (if patient is not treated with the product)	Multi-site sourcing with higher manufacturing capacity reserves	HA: visibility to product risk register, control plan and triggers for HA/HCP notification	HA: visibility to product risk register, control plan and triggers for HA/HCP notification

Drug Shortage Prevention and Response Plan

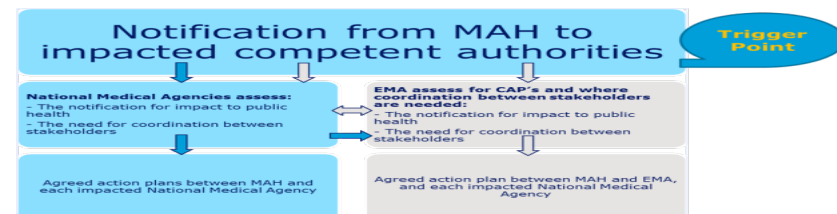
Product	Risk Level	Impact	Control Plan	Communication Plan	Review & Monitor
Product A	Risk Level A	Severely impacts patient's health or safety	Multi-site sourcing with higher manufacturing capacity reserves	HA: visibility to product risk register, control plan and triggers for HA/HCP notification	HA: visibility to product risk register, control plan and triggers for HA/HCP notification
Product B	Risk Level B	Severely impacts patient's health or safety (if patient is not treated with the product)	Multi-site sourcing with higher manufacturing capacity reserves	HA: visibility to product risk register, control plan and triggers for HA/HCP notification	HA: visibility to product risk register, control plan and triggers for HA/HCP notification
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Communication Principles

Deliverables

1. Harmonised definition of a meaningful disruption to supply



2. Harmonised reporting template with initial categorisation based on PDAs triaging model

Define Impact to Patient			Availability of Alternatives		
			No Alternatives Available	Alternative Products Available in the Same Class; Similar Therapy	Exact Product Available but in Different Class or Other Presentations
Therapeutic Use & Consequences if Product not Available	Life supporting or Life sustaining	Fatal or severe irreversible harm if the patient is not treated with the product	Risk Level A	Risk Level A	Risk Level B
	Acute short term or chronic long term	Severe harm but reversible if patient is not treated with the product	Risk Level A	Risk Level B	Risk Level C
	Other indications	Inconvenience if patient is not treated with the product	Risk Level B	Risk Level C	Risk Level C

3. Harmonised time point and recipient of the information at NCA and EMA

Notification of a Meaningful Supply Disruptions caused by quality or manufacturing – Confidential Information				
Marketing Authorisation Holder Details				
Company Name and Address				
Company Contact				
Contact Telephone				
Contact e-mail Address				
Product Details				
Product name		National Code / Registration Number		
Dosage form		Presentation		
Active substance / substances				
Tick Risk Level		<div>Low Risk Risk Level C</div> <div>Medium Risk Risk Level B</div> <div>High Risk Risk Level A</div>		
For A + B:		Indications Treatment Alternatives		
Causes for potential shortage – Tick at least one box				
Supply disruption (e.g. delay, unavailability) of an ingredient or component				Tick box
Drug product manufacturing difficulties / cGMP				
Failure to comply with cGMP				
Delay in shipping of the drug product				
Unexpected delay in regulatory approval				
Unexpected increase in the demand for the drug product				
Sub / Counterfeiting				
Other, please specify below				
Further details of the shortage and expected duration				
Steps taken / planned to address shortage				
Impacted Countries				
Notification made to other parties				
Form completed by:		Date:		



Extended Implementation

Developing a “push/pull” approach with industry.

Stakeholder Workshop – October 9th.

Develop and Implement risk-indicators methodology (pilot).



Workshop

Key themes of prevention and communication.

Will be held @ EMA;

- What have participants done since October 2013?
- What do they plan to do following this workshop?
- What do they need in order to proceed?