

## Kadcyla

Procedural steps taken and scientific information after the authorisation

| Application<br>number | Scope  | Opinion/<br>Notification <sup>1</sup><br>issued on | Commission<br>Decision<br>Issued <sup>2</sup> /<br>amended<br>on | Product<br>Information<br>affected <sup>3</sup> | Summary  |
|-----------------------|--|--|--|---|--|
| II/0071/G             | This was an application for a group of variations.   | 20/02/2025   |  | SmPC, Annex                                     | Description of selected adverse reactions                  |
|                       |  |  |  | II, Labelling                                   | Immunogenicity   |
|                       | A grouped application consisting of:                 |  |  | and PL  | []   |
|                       | - C.I.4 (Type II): Update of sections 4.8 and 5.1 of |  |  |   | In the KATHERINE (BO27938) study, 4.0% (16/401) of         |
|                       | the SmPC in order to update efficacy and safety      |  |  |   | patients tested positive for anti-trastuzumab emtansine    |
|                       | information based on the interim results from study  |  |  |   | antibodies, of which 5 were also positive for neutralizing |

<sup>&</sup>lt;sup>1</sup> Notifications are issued for type I variations and Article 61(3) notifications (unless part of a group including a type II variation or extension application or a worksharing application). Opinions are issued for all other procedures.

- <sup>2</sup> A Commission decision (CD) is issued for procedures that affect the terms of the marketing authorisation (e.g. summary of product characteristics, annex II, labelling, package leaflet). The
- CD is issued within two months of the opinion for variations falling under the scope of Article 23.1a(a) of Regulation (EU) No. 712/2012, or within one year for other procedures.

<sup>3</sup> SmPC (Summary of Product Characteristics), Annex II, Labelling, PL (Package Leaflet).



BO27938 (KATHERINE), a PAES included in annex II.D as well as a category 3 study in the RMP, in order to fulfil ANX 021; This is a randomized, multicentre, open label phase III study to evaluate the efficacy and safety of trastuzumab emtansine versus trastuzumab as adjuvant therapy for patients with HER2-positive primary breast cancer who have residual tumour present pathologically in the breast or axillary lymph nodes following preoperative therapy. The Package Leaflet is updated in accordance. The RMP version 16.0 has also been submitted. In addition, the MAH took the opportunity to update the list of local representatives in the Package Leaflet, to bring the PI in line with the latest QRD template version 10.4, to update the PI in accordance with the latest EMA excipients guideline, and to implement editorial changes throughout the PI.

- A.4 (Type I): To update the address of the site F.
 Hoffmann La Roche AG responsible for manufacture, quality control testing, release and storage of the active substance trastuzumab emtansine from
 Grenzacherstrasse 124, 4070 Basel, Switzerland, to
 Grenzacherstrasse 124, 4058 Basel, Switzerland.
 There is no change in the location of the site.

C.I.4 - Change(s) in the SPC, Labelling or PL due to new quality, preclinical, clinical or pharmacovigilance data

A.4 - Administrative change - Change in the name and/or address of a manufacturer or an ASMF holder or supplier of the AS, starting material, reagent or antibodies. Due to the low occurrence of anti-drug antibodies, the effect of these antibodies on the pharmacokinetics, pharmacodynamics, safety, and/or effectiveness of trastuzumab emtansine is unknown. Clinical efficacy Early Breast Cancer BO27938 (KATHERINE)

## [...]

At the time of primary analysis, a statistically significant improvement in IDFS was observed in patients who received trastuzumab emtansine compared with trastuzumab, see Table 6.

The final descriptive IDFS analysis was conducted when 385 IDFS events had been observed and showed results which are consistent with the primary analysis (HR = 0.54, 95% CI: 0.44 – 0.66), see Figure 1. The second interim OS analysis was performed after a median follow-up of 101 months and showed a statistically significant improvement in OS in patients who received trastuzumab emtansine compared with trastuzumab (unstratified HR = 0.66, 95% CI: 0.51 – 0.87, p = 0.0027). See Table 6 and Figure 2. For more information, please refer to the Summary of Product Characteristics.

|                        | intermediate used in the manufacture of the AS or manufacturer of a novel excipient  |            |     |                                   |
|------------------------|--|------------|-----|-----------------------------------|
| PSUSA/10136<br>/202402 | Periodic Safety Update EU Single assessment -<br>trastuzumab emtansine   | 03/10/2024 | n/a | PRAC Recommendation - maintenance |
| II/0069/G              | This was an application for a group of variations.<br>B.II.b.2.b - Change to importer, batch release<br>arrangements and quality control testing of the FP -<br>Replacement/addition of a site where batch<br>control/testing takes place for a biol/immunol<br>product and any of the test methods at the site is a<br>biol/immunol method<br>B.II.b.1.c - Replacement or addition of a<br>manufacturing site for the FP - Site where any<br>manufacturing operation(s) take place, except batch<br>release/control, and secondary packaging, for<br>biol/immunol medicinal products or pharmaceutical<br>forms manufacturing by complex manufacturing<br>processes | 11/01/2024 | n/a |                                   |
| WS/2419/G              | This was an application for a group of variations<br>following a worksharing procedure according to<br>Article 20 of Commission Regulation (EC) No<br>1234/2008.<br>B.I.b.2.d - Change in test procedure for AS or<br>starting material/reagent/intermediate - Substantial<br>change to or replacement of a<br>biological/immunological/immunochemical test<br>method or a method using a biological reagent for a   | 13/07/2023 | n/a |                                   |

|                        | biological AS<br>B.I.a.4.b - Change to in-process tests or limits<br>applied during the manufacture of the AS - Addition<br>of a new in-process test and limits  |            |            |                           |                                   |
|------------------------|--|------------|------------|---------------------------|-----------------------------------|
| N/0067                 | Minor change in labelling or package leaflet not connected with the SPC (Art. 61.3 Notification)   | 13/03/2023 | 15/09/2023 | PL                        |                                   |
| PSUSA/10136<br>/202202 | Periodic Safety Update EU Single assessment -<br>trastuzumab emtansine   | 29/09/2022 | n/a        |                           | PRAC Recommendation - maintenance |
| II/0066/G              | This was an application for a group of variations.<br>B.I.a.1.e - Change in the manufacturer of AS or of a<br>starting material/reagent/intermediate for AS - The<br>change relates to a biological AS or a starting<br>material [-] used in the manufacture of a<br>biological/immunological product<br>B.I.a.1.f - Change in the manufacturer of AS or of a<br>starting material/reagent/intermediate for AS -<br>Changes to quality control testing arrangements for<br>the AS -replacement or addition of a site where<br>batch control/testing takes place<br>B.I.c.1.c - Change in immediate packaging of the AS<br>- Liquid ASs (non sterile)<br>B.I.a.4.b - Change to in-process tests or limits<br>applied during the manufacture of the AS - Addition<br>of a new in-process test and limits | 08/09/2022 | 15/09/2023 | Annex II and<br>Labelling |                                   |
| II/0064                | Submission of the final report from study BO28407<br>(KAITLIN): A randomized, multicenter, open-label,<br>Phase III trial comparing trastuzumab plus   | 07/07/2022 | n/a        |                           |                                   |

|           | pertuzumab plus a taxane following anthracyclines<br>versus trastuzumab emtansine plus pertuzumab<br>following anthracyclines as adjuvant therapy in<br>patients with operable HER2-positive primary breast<br>cancer listed as a category 3 study in the RMP.<br>The RMP version 15.0 has also been submitted.<br>C.I.13 - Other variations not specifically covered<br>elsewhere in this Annex which involve the submission<br>of studies to the competent authority  |            |     |  |  |
|-----------|---|------------|-----|--|--|
| IG/1496   | A.7 - Administrative change - Deletion of<br>manufacturing sites  | 18/03/2022 | n/a |  |  |
| IA/0062/G | This was an application for a group of variations.<br>B.I.b.1.c - Change in the specification parameters<br>and/or limits of an AS, starting<br>material/intermediate/reagent - Addition of a new<br>specification parameter to the specification with its<br>corresponding test method<br>B.I.b.1.b - Change in the specification parameters<br>and/or limits of an AS, starting<br>material/intermediate/reagent - Tightening of<br>specification limits<br>B.I.b.1.d - Change in the specification parameters<br>and/or limits of an AS, starting<br>material/intermediate/reagent - Deletion of a non-<br>significant specification parameter (e.g. deletion of<br>an obsolete parameter) | 14/03/2022 | n/a |  |  |

| II/0061/G | This was an application for a group of variations.      | 27/01/2022 | 08/07/2022 | SmPC |
|-----------|---|------------|------------|------|
|           | B.I.a.1.j - Change in the manufacturer of AS or of a    |            |            |      |
|           | starting material/reagent/intermediate for AS -         |            |            |      |
|           | Replacement or addition of a site where batch           |            |            |      |
|           | control/testing takes place and any of the test         |            |            |      |
|           | method at the site is a biol/immunol method             |            |            |      |
|           | B.I.b.2.e - Change in test procedure for AS or          |            |            |      |
|           | starting material/reagent/intermediate - Other          |            |            |      |
|           | changes to a test procedure (including replacement      |            |            |      |
|           | or addition) for the AS or a starting                   |            |            |      |
|           | material/intermediate                                   |            |            |      |
|           | B.I.b.1.z - Change in the specification parameters      |            |            |      |
|           | and/or limits of an AS, starting                        |            |            |      |
|           | material/intermediate/reagent - Other variation         |            |            |      |
|           | B.II.d.1.z - Change in the specification parameters     |            |            |      |
|           | and/or limits of the finished product - Other variation |            |            |      |
|           | B.I.b.2.e - Change in test procedure for AS or          |            |            |      |
|           | starting material/reagent/intermediate - Other          |            |            |      |
|           | changes to a test procedure (including replacement      |            |            |      |
|           | or addition) for the AS or a starting                   |            |            |      |
|           | material/intermediate                                   |            |            |      |
|           | B.II.d.2.d - Change in test procedure for the finished  |            |            |      |
|           | product - Other changes to a test procedure             |            |            |      |
|           | (including replacement or addition)                     |            |            |      |
|           | B.II.d.2.d - Change in test procedure for the finished  |            |            |      |
|           | product - Other changes to a test procedure             |            |            |      |
|           | (including replacement or addition)                     |            |            |      |
|           | B.II.d.2.d - Change in test procedure for the finished  |            |            |      |
|           | product - Other changes to a test procedure             |            |            |      |
|           | (including replacement or addition)                     |            |            |      |
|           | B.II.f.1.b.5 - Stability of FP - Extension of the shelf |            |            |      |

| WS/2131  | medicinal product in accordance with an approved<br>stability protocol<br>B.I.b.2.e - Change in test procedure for AS or<br>starting material/reagent/intermediate - Other<br>changes to a test procedure (including replacement<br>or addition) for the AS or a starting<br>material/intermediate<br>B.II.d.2.d - Change in test procedure for the finished<br>product - Other changes to a test procedure<br>(including replacement or addition)<br>B.I.b.2.e - Change in test procedure for AS or<br>starting material/reagent/intermediate - Other<br>changes to a test procedure (including replacement<br>or addition) for the AS or a starting<br>material/intermediate<br>B.I.b.1.z - Change in the specification parameters<br>and/or limits of an AS, starting<br>material/intermediate/reagent - Other variation<br>B.II.f.1.e - Stability of FP - Change to an approved<br>stability protocol<br>B.I.b.2.a - Change in test procedure for AS or<br>starting material/reagent/intermediate - Minor<br>changes to an approved test procedure | 25/11/2021 | n/a  |  |  |
|----------|--|------------|------|--|--|
| vv3/2131 | worksharing procedure according to Article 20 of<br>Commission Regulation (EC) No 1234/2008.<br>B.I.a.2.z - Changes in the manufacturing process of  | 23/11/2021 | ii/a |  |  |

| PSUSA/10136<br>/202102 | Periodic Safety Update EU Single assessment -<br>trastuzumab emtansine  | 30/09/2021 | n/a        |                              | PRAC Recommendation - maintenance |
|------------------------|---|------------|------------|------------------------------|-----------------------------------|
| IB/0059/G              | This was an application for a group of variations.<br>C.I.11.z - Introduction of, or change(s) to, the<br>obligations and conditions of a marketing<br>authorisation, including the RMP - Other variation<br>C.I.11.z - Introduction of, or change(s) to, the<br>obligations and conditions of a marketing<br>authorisation, including the RMP - Other variation<br>C.I.z - Changes (Safety/Efficacy) of Human and<br>Veterinary Medicinal Products - Other variation | 17/08/2021 | 08/07/2022 | SmPC, Annex<br>II and PL     |                                   |
| IB/0058                | C.I.z - Changes (Safety/Efficacy) of Human and<br>Veterinary Medicinal Products - Other variation   | 20/07/2021 | 08/07/2022 | SmPC,<br>Labelling and<br>PL |                                   |
| II/0055                | C.I.13 - Other variations not specifically covered<br>elsewhere in this Annex which involve the submission<br>of studies to the competent authority   | 10/06/2021 | n/a        |                              |                                   |
| IB/0056/G              | This was an application for a group of variations.<br>B.I.a.2.z - Changes in the manufacturing process of<br>the AS - Other variation<br>B.I.a.1.z - Change in the manufacturer of AS or of a<br>starting material/reagent/intermediate for AS - Other<br>variation<br>B.I.b.2.e - Change in test procedure for AS or<br>starting material/reagent/intermediate - Other<br>changes to a test procedure (including replacement   | 31/05/2021 | n/a        |                              |                                   |

|             | or addition) for the AS or a starting<br>material/intermediate<br>B.I.b.1.c - Change in the specification parameters<br>and/or limits of an AS, starting<br>material/intermediate/reagent - Addition of a new<br>specification parameter to the specification with its<br>corresponding test method<br>B.I.b.1.d - Change in the specification parameters<br>and/or limits of an AS, starting<br>material/intermediate/reagent - Deletion of a non-<br>significant specification parameter (e.g. deletion of<br>an obsolete parameter) |            |     |  |  |
|-------------|--|------------|-----|--|--|
| II/0053     | B.I.a.1.e - Change in the manufacturer of AS or of a starting material/reagent/intermediate for AS - The change relates to a biological AS or a starting material [-] used in the manufacture of a biological/immunological product  | 18/02/2021 | n/a |  |  |
| IAIN/0054/G | This was an application for a group of variations.<br>A.7 - Administrative change - Deletion of<br>manufacturing sites<br>A.7 - Administrative change - Deletion of<br>manufacturing sites<br>A.7 - Administrative change - Deletion of<br>manufacturing sites<br>B.I.a.1.a - Change in the manufacturer of AS or of a<br>starting material/reagent/intermediate for AS - The<br>proposed manufacturer is part of the same<br>pharmaceutical group as the currently approved<br>manufacturer   | 08/02/2021 | n/a |  |  |

| PSUSA/10136<br>/202002 | Periodic Safety Update EU Single assessment -<br>trastuzumab emtansine  | 01/10/2020 | n/a        |                          | PRAC Recommendation - maintenance   |
|------------------------|---|------------|------------|--------------------------|---|
| IG/1224                | B.I.a.4.b - Change to in-process tests or limits<br>applied during the manufacture of the AS - Addition<br>of a new in-process test and limits  | 10/04/2020 | n/a        |                          |   |
| II/0045                | Extension of indication to include the use of Kadcyla<br>as a single agent for the adjuvant treatment of adult<br>patients with HER2-positive early breast cancer who<br>have invasive residual disease, in the breast and/or<br>lymph nodes, after neoadjuvant taxane-based and<br>HER2-targeted therapy; as a consequence, sections<br>4.1, 4.2, 4.4, 4.7, 4.8, 5.1, 5.2 and 5.3 of the SmPC<br>are updated. The Package Leaflet is updated in<br>accordance. In addition, the MAH took the<br>opportunity to introduce editorial changes<br>throughout the product information. An updated RMP<br>version 9.2 has been agreed.<br>The variation leads to amendments to the Summary<br>of Product Characteristics, Annex II and Package<br>Leaflet and to the Risk Management Plan (RMP). | 14/11/2019 | 16/12/2019 | SmPC, Annex<br>II and PL | Please refer to the Scientific Discussion Kadcyla-H-2389-II-<br>0045  |
| II/0048/G              | This was an application for a group of variations.  | 12/12/2019 | 09/12/2020 | SmPC                     | In an observational study (BO39807), approximately 22% (7 out of 32) of MBC patients initiating trastuzumab |
|                        | C.1.4: Update of sections 4.4 and 4.8 of the SmPC in  |            |            |                          | emtansine with LVEF of 40-49% at baseline, experienced a  |
|                        | order to update the safety information on the risk of   |            |            |                          | LVEF drop of $>10\%$ from baseline and/or CHF; most of  |

|           | Left ventricular dysfunction (LVD) based on the final<br>results from study BO39807 listed as a category 3<br>study in the RMP. This is an observational study of<br>cardiac events in patients with HER2-positive<br>metastatic breast cancer who have a Left Ventricular<br>Ejection Fraction (LVEF) between 40%-49% prior to<br>initiating treatment with Kadcyla; the RMP version<br>11.1 is approved.<br>C.I.13: Submission of the final report from study<br>BO28408 listed as a category 3 study in the RMP<br>addressing cardiac safety, safety in elderly patients,<br>and immunogenicity. This is a randomised,<br>multicenter, open-label, two-arm, phase III<br>neoadjuvant study evaluating the efficacy and safety<br>of trastuzumab emtansine plus pertuzumab<br>compared with chemotherapy plus trastuzumab and<br>pertuzumab for patients with HER2-Positive Breast<br>Cancer.<br>C.I.4 - Change(s) in the SPC, Labelling or PL due to<br>new quality, preclinical, clinical or pharmacovigilance<br>data<br>C.I.13 - Other variations not specifically covered<br>elsewhere in this Annex which involve the submission<br>of studies to the competent authority |            |     | these patients had other cardiovascular risk factors. The<br>decision to administer trastuzumab emtansine in MBC<br>patients with low LVEF must be made only after careful<br>benefit risk assessment and cardiac function should be<br>closely monitored in these patients. |
|-----------|---|------------|-----|--|
| WS/1612/G | This was an application for a group of variations<br>following a worksharing procedure according to<br>Article 20 of Commission Regulation (EC) No<br>1234/2008.<br>B.I.a.1.z - Change in the manufacturer of AS or of a  | 07/11/2019 | n/a |  |

|                        | starting material/reagent/intermediate for AS - Other<br>variation<br>B.I.a.4.z - Change to in-process tests or limits<br>applied during the manufacture of the AS - Other<br>variation<br>B.I.a.4.z - Change to in-process tests or limits<br>applied during the manufacture of the AS - Other<br>variation<br>B.I.a.4.z - Change to in-process tests or limits<br>applied during the manufacture of the AS - Other<br>variation<br>B.I.a.4.z - Change to in-process tests or limits<br>applied during the manufacture of the AS - Other<br>variation<br>B.I.a.4.z - Change to in-process tests or limits<br>applied during the manufacture of the AS - Other<br>variation<br>B.I.b.1.z - Change in the specification parameters<br>and/or limits of an AS, starting<br>material/intermediate/reagent - Other variation<br>B.II.d.z - Change in control of the Finished Product -<br>Other variation<br>B.II.d.1.z - Change in the specification parameters<br>and/or limits of the finished product - Other variation<br>B.II.d.2.z - Change in test procedure for the finished<br>product - Other variation |            |     |                                   |
|------------------------|--|------------|-----|-----------------------------------|
| PSUSA/10136<br>/201902 | Periodic Safety Update EU Single assessment -<br>trastuzumab emtansine   | 05/09/2019 | n/a | PRAC Recommendation - maintenance |
| IB/0049/G              | This was an application for a group of variations.<br>B.I.b.1.b - Change in the specification parameters<br>and/or limits of an AS, starting<br>material/intermediate/reagent - Tightening of  | 04/09/2019 | n/a |                                   |

|           | specification limits<br>B.I.b.1.c - Change in the specification parameters<br>and/or limits of an AS, starting<br>material/intermediate/reagent - Addition of a new<br>specification parameter to the specification with its<br>corresponding test method<br>B.I.b.2.a - Change in test procedure for AS or<br>starting material/reagent/intermediate - Minor<br>changes to an approved test procedure   |            |     |  |  |
|-----------|--|------------|-----|--|--|
| WS/1531   | This was an application for a variation following a<br>worksharing procedure according to Article 20 of<br>Commission Regulation (EC) No 1234/2008.<br>B.I.e.5.b - Implementation of changes foreseen in an<br>approved change management protocol - Requires<br>further supportive data   | 14/03/2019 | n/a |  |  |
| II/0042/G | This was an application for a group of variations.<br>C.I.11.b - Introduction of, or change(s) to, the<br>obligations and conditions of a marketing<br>authorisation, including the RMP - Implementation of<br>change(s) which require to be further substantiated<br>by new additional data to be submitted by the MAH<br>where significant assessment is required<br>C.I.11.z - Introduction of, or change(s) to, the<br>obligations and conditions of a marketing<br>authorisation, including the RMP - Other variation | 14/02/2019 | n/a |  |  |
| IA/0044   | B.II.c.3.z - Change in source of an excipient or reagent with TSE risk - Other variation   | 04/01/2019 | n/a |  |  |

| R/0039                 | Renewal of the marketing authorisation.   | 26/07/2018 | 17/09/2018 | SmPC, Annex<br>II, Labelling<br>and PL | Based on the review of data on quality, safety and efficacy,<br>the CHMP considered that the benefit-risk balance of<br>Kadcyla in the approved indication remains favourable and<br>therefore recommended the renewal of the marketing<br>authorisation with unlimited validity. |
|------------------------|---|------------|------------|--|---|
| PSUSA/10136<br>/201802 | Periodic Safety Update EU Single assessment -<br>trastuzumab emtansine  | 06/09/2018 | n/a        |  | PRAC Recommendation - maintenance   |
| T/0038                 | Transfer of Marketing Authorisation   | 20/02/2018 | 16/03/2018 | SmPC,<br>Labelling and<br>PL           |   |
| PSUSA/10136<br>/201702 | Periodic Safety Update EU Single assessment -<br>trastuzumab emtansine  | 28/09/2017 | n/a        |  | PRAC Recommendation - maintenance   |
| WS/1204/G              | This was an application for a group of variations<br>following a worksharing procedure according to<br>Article 20 of Commission Regulation (EC) No<br>1234/2008.<br>B.I.b.2.e - Change in test procedure for AS or<br>starting material/reagent/intermediate - Other<br>changes to a test procedure (including replacement<br>or addition) for the AS or a starting<br>material/intermediate<br>B.I.b.2.e - Change in test procedure for AS or<br>starting material/reagent/intermediate - Other<br>changes to a test procedure (or AS or<br>starting material/reagent/intermediate - Other<br>changes to a test procedure (including replacement<br>or addition) for the AS or a starting<br>material/intermediate | 14/09/2017 | n/a        |  |   |

| II/0034   | B.II.b.4.c - Change in the batch size (including batch<br>size ranges) of the finished product - The change<br>requires assessment of the comparability of a<br>biological/immunological medicinal product or a new<br>bioequivalence study  | 14/09/2017 | n/a        |          |  |
|-----------|--|------------|------------|----------|--|
| II/0033   | Submission of the final clinical study results from<br>study TDM4788g/ BO22589 (MARIANNE) listed as a<br>specific obligation in Annex II.D; this is an<br>interventional randomised, 3-arm, phase III study to<br>evaluate the efficacy and safety of trastuzumab<br>emtansine combined with pertuzumab, or<br>trastuzumab emtansine combined with pertuzumab-<br>placebo versus trastuzumab plus taxane, as first line<br>treatment in HER2-positive progressive or recurrent<br>locally advanced breast cancer or previously<br>untreated metastatic breast cancer. Consequently,<br>Annex II of the product information is updated to<br>remove this study as a specific obligation.<br>C.I.4 - Change(s) in the SPC, Labelling or PL due to<br>new quality, preclinical, clinical or pharmacovigilance<br>data | 13/07/2017 | 16/03/2018 | Annex II | Results from for Study TDM4788g/BO22589 (MARIANNE)<br>showed the final overall survival analysis indicated no clear<br>treatment benefit in terms of overall survival for the<br>trastuzumab emtansine $\Box$ containing regimens, compared<br>with trastuzumab $\Box$ taxane. No new risks were identified<br>beyond those already known for trastuzumab emtansine<br>with or without pertuzumab. No new safety signals were<br>identified with longer follow-up time and the safety profile<br>of trastuzumab emtansine was consistent with previously<br>reported findings. Overall these results did not warrant<br>changes to the approved product information. |
| IAIN/0036 | B.II.b.1.a - Replacement or addition of a manufacturing site for the FP - Secondary packaging site   | 23/06/2017 | n/a        |          |  |
| IB/0032/G | This was an application for a group of variations.<br>B.I.a.4.f - Change to in-process tests or limits<br>applied during the manufacture of the AS - Addition  | 16/05/2017 | n/a        |          |  |

|         | or replacement of an in-process test as a result of a<br>safety or quality issue<br>B.I.a.4.z - Change to in-process tests or limits<br>applied during the manufacture of the AS - Other<br>variation<br>B.I.b.1.c - Change in the specification parameters<br>and/or limits of an AS, starting<br>material/intermediate/reagent - Addition of a new<br>specification parameter to the specification with its<br>corresponding test method<br>B.I.b.2.a - Change in test procedure for AS or<br>starting material/reagent/intermediate - Minor<br>changes to an approved test procedure<br>B.I.a.1.z - Change in the manufacturer of AS or of a<br>starting material/reagent/intermediate for AS - Other<br>variation | 11/05/2017 | 16/02/2010 |             |  |
|---------|---|------------|------------|-------------|--|
| II/0031 | To update the SmPC sections 4.4 and 4.8 to<br>introduce a new warning and a detailed description<br>regarding haemorrhage (not necessarily associated<br>with thrombocytopenia) based on a safety review<br>following the assessment of PSUR 4. The package<br>leaflet is amended accordingly.<br>C.I.4 - Change(s) in the SPC, Labelling or PL due to<br>new quality, preclinical, clinical or pharmacovigilance<br>data   | 11/05/2017 | 16/03/2018 | SmPC and PL | Cases of haemorrhagic events, including central nervous<br>system, respiratory and gastrointestinal haemorrhage,<br>have been reported with trastuzumab emtansine<br>treatment. Some of these bleeding events resulted in fatal<br>outcomes. The incidence of severe haemorrhagic events<br>(Grade ≥3) occurred in 2.2% of the overall trastuzumab<br>emtansine treated patients in clinical studies. In some of<br>the observed cases the patients had thrombocytopenia, or<br>were also receiving anti-coagulant therapy or antiplatelet<br>therapy; in others there were no known additional risk<br>factors. Use caution with these agents and consider<br>additional monitoring when concomitant use is medically<br>necessary. |
| IB/0030 | B.I.c.1.c - Change in immediate packaging of the AS   | 10/03/2017 | n/a        |             |  |

|           | - Liquid ASs (non sterile)   |            |            |          |  |
|-----------|--|------------|------------|----------|--|
| IB/0029   | B.I.d.1.a.4 - Stability of AS - Change in the re-test period/storage period - Extension or introduction of a re-test period/storage period supported by real time data   | 21/12/2016 | n/a        |          |  |
| II/0027/G | <ul> <li>This was an application for a group of variations.</li> <li>C.I.4 (Type II): Submission of the final study report for the study TDM4997g/B025734 (TH3RESA study) to address the safety concerns in Left Ventricular Dysfunction and Safety in Elderly patients. The RMP and Annex II.D are updated.</li> <li>C.I.11.z (Type IB): To update the RMP following the submission of the third annual report of study H4621g.</li> <li>The MAH takes the opportunity to implement the following administrative changes to the RMP: <ul> <li>inclusion of standard post-authorization data based on PSUR number 4 (reporting period from 22 February 2015 to 21 February 2016).</li> <li>change of Herceptin picture in the Kadcyla Educational Material to align the picture with the recently approved version of the Herceptin vial label and carton.</li> </ul> </li> <li>C.I.4 - Change(s) in the SPC, Labelling or PL due to new quality, preclinical, clinical or pharmacovigilance data</li> <li>C.I.11.z - Introduction of, or change(s) to, the obligations and conditions of a marketing</li> </ul> | 15/12/2016 | 16/02/2017 | Annex II | With this variation, the Marketing Authorisation Holder<br>fulfilled an obligation to submit the clinical study report for<br>a study to investigate the safety concerns in Left<br>Ventricular Dysfunction and safety in elderly patients. The<br>results of this study demonstrated that the safety profile of<br>Kadcyla is consistent with that reported previously, and no<br>new safety signals have been identified. By submitting this<br>study report, the Marketing Authorisation Holder fulfilled a<br>condition to the marketing authorisation and therefore<br>Annex II of the marketing authorisation has been updated<br>accordingly. |

|                        | authorisation, including the RMP - Other variation  |            |            |           |                                   |
|------------------------|---|------------|------------|-----------|-----------------------------------|
| IA/0028                | B.I.b.2.a - Change in test procedure for AS or<br>starting material/reagent/intermediate - Minor<br>changes to an approved test procedure   | 02/12/2016 | n/a        |           |                                   |
| WS/0945/G              | This was an application for a group of variations<br>following a worksharing procedure according to<br>Article 20 of Commission Regulation (EC) No<br>1234/2008.<br>B.I.a.2.a - Changes in the manufacturing process of<br>the AS - Minor change in the manufacturing process<br>of the AS<br>B.I.a.2.a - Changes in the manufacturing process of<br>the AS - Minor change in the manufacturing process of<br>the AS - Minor change in the manufacturing process<br>of the AS | 22/09/2016 | n/a        |           |                                   |
| PSUSA/10136<br>/201602 | Periodic Safety Update EU Single assessment -<br>trastuzumab emtansine  | 02/09/2016 | n/a        |           | PRAC Recommendation - maintenance |
| N/0024                 | Minor change in labelling or package leaflet not connected with the SPC (Art. 61.3 Notification)  | 04/05/2016 | 16/02/2017 | Labelling |                                   |
| IA/0023                | B.I.b.2.a - Change in test procedure for AS or<br>starting material/reagent/intermediate - Minor<br>changes to an approved test procedure   | 19/04/2016 | n/a        |           |                                   |
| WS/0868                | This was an application for a variation following a<br>worksharing procedure according to Article 20 of<br>Commission Regulation (EC) No 1234/2008.   | 25/02/2016 | n/a        |           |                                   |

|           | B.I.b.1.z - Change in the specification parameters<br>and/or limits of an AS, starting<br>material/intermediate/reagent - Other variation  |            |            |                      |
|-----------|--|------------|------------|----------------------|
| II/0019/G | This was an application for a group of variations.<br>Update of section 5.1 of the SmPC with long-term<br>Overall survival (OS) data from the pivotal study<br>EMILIA (TDM4370g/BO21977). The Annex II of the<br>product information is updated accordingly to delete<br>the relevant condition (ANX006). Furthermore,<br>section 4.8 of the SmPC is updated as a result of a<br>pooled data analysis from several clinical studies<br>including a change in the frequency of dizziness from<br>very common to common. The RMP is updated<br>accordingly. In addition, the RMP is updated with the<br>inclusion and deletion of safety concerns<br>(introduction of enhanced pregnancy program,<br>inclusion of evaluation of cardiac safety in patients<br>with baseline Left Ventricular Ejection Fraction below<br>50% and deletion of a category 3 study evaluating<br>efficacy of monotherapy versus trastuzumab in<br>combination with docetaxel). Changes of final CSR<br>due dates for study KRISTINE (BO28408) and<br>KAMILLA (mo28231) have been introduced. The MAH<br>also took the opportunity to update the RMP<br>following requests from previously assessed<br>procedures (EMEA/H/C/002389/MEA/011.1 and<br>EMEA/H/C/002389/ANX/007). In addition, the MAH<br>has taken the occasion to include in the RMP some<br>other minor corrections. | 25/02/2016 | 16/02/2017 | SmPC and<br>Annex II |

C.I.4 - Change(s) in the SPC, Labelling or PL due to new quality, preclinical, clinical or pharmacovigilance data

C.I.4 - Change(s) in the SPC, Labelling or PL due to new quality, preclinical, clinical or pharmacovigilance data

C.I.11.b - Introduction of, or change(s) to, the obligations and conditions of a marketing authorisation, including the RMP - Implementation of change(s) which require to be further substantiated by new additional data to be submitted by the MAH where significant assessment is required C.I.11.b - Introduction of, or change(s) to, the obligations and conditions of a marketing authorisation, including the RMP - Implementation of change(s) which require to be further substantiated by new additional data to be submitted by the MAH where significant assessment is required C.I.11.b - Introduction of, or change(s) to, the obligations and conditions of a marketing authorisation, including the RMP - Implementation of change(s) which require to be further substantiated by new additional data to be submitted by the MAH where significant assessment is required C.I.11.z - Introduction of, or change(s) to, the obligations and conditions of a marketing authorisation, including the RMP - Other variation C.I.11.z - Introduction of, or change(s) to, the obligations and conditions of a marketing authorisation, including the RMP - Other variation C.I.11.z - Introduction of, or change(s) to, the obligations and conditions of a marketing

|           | authorisation, including the RMP - Other variation<br>C.I.11.z - Introduction of, or change(s) to, the<br>obligations and conditions of a marketing<br>authorisation, including the RMP - Other variation   |            |            |                          |  |
|-----------|---|------------|------------|--------------------------|--|
| WS/0865   | <ul> <li>This was an application for a variation following a worksharing procedure according to Article 20 of Commission Regulation (EC) No 1234/2008.</li> <li>B.I.a.2.b - Changes in the manufacturing process of the AS - Substantial change to the manufacturing process of the AS which may have a significant impact on the quality, safety or efficacy of the medicinal product</li> </ul>   | 18/02/2016 | n/a        |                          |  |
| II/0020/G | This was an application for a group of variations.<br>Update of sections 4.2, 4.4 and 5.2 of the SmPC in<br>order to update the safety information on hepatic<br>impaired patients after analysis of study BO25499 in<br>fulfilment of MEA 009. The Package Leaflet and the<br>RMP (final version 5.0) are updated accordingly. The<br>due date for final study report for study BO25499 is<br>also changed retrospectively. In addition, the<br>Marketing authorisation holder (MAH) took the<br>opportunity to bring the PI in line with the latest QRD<br>template version 9.1.<br>C.I.4 - Change(s) in the SPC, Labelling or PL due to<br>new quality, preclinical, clinical or pharmacovigilance<br>data<br>C.I.11.z - Introduction of, or change(s) to, the | 17/12/2015 | 22/01/2016 | SmPC, Annex<br>II and PL | No adjustment to the starting dose is required for patients<br>with mild or moderate hepatic impairment. Trastuzumab<br>emtansine was not studied in patients with severe hepatic<br>impairment. Treatment of patients with hepatic<br>impairment should be undertaken with caution due to<br>known hepatotoxicity observed with trastuzumab<br>emtansine. |

|                        | obligations and conditions of a marketing authorisation, including the RMP - Other variation  |            |            |                          |                                   |
|------------------------|---|------------|------------|--------------------------|-----------------------------------|
| WS/0833                | This was an application for a variation following a<br>worksharing procedure according to Article 20 of<br>Commission Regulation (EC) No 1234/2008.<br>B.I.e.2 - Introduction of a post approval change<br>management protocol related to the AS                  | 03/12/2015 | n/a        |                          |                                   |
| IB/0017                | B.II.z - Quality change - Finished product - Other variation  | 23/10/2015 | 22/01/2016 | SmPC, Annex<br>II and PL |                                   |
| PSUSA/10136<br>/201502 | Periodic Safety Update EU Single assessment -<br>trastuzumab emtansine  | 10/09/2015 | n/a        |                          | PRAC Recommendation - maintenance |
| IA/0016                | B.I.a.3.a - Change in batch size (including batch size ranges) of AS or intermediate - Up to 10-fold increase compared to the originally approved batch size  | 25/08/2015 | n/a        |                          |                                   |
| IG/0573                | C.I.8.a - Introduction of or changes to a summary of<br>Pharmacovigilance system - Changes in QPPV<br>(including contact details) and/or changes in the<br>PSMF location  | 01/07/2015 | n/a        |                          |                                   |
| II/0013/G              | This was an application for a group of variations.<br>B.I.a.1.e - Change in the manufacturer of AS or of a<br>starting material/reagent/intermediate for AS - The<br>change relates to a biological AS or a starting<br>material [-] used in the manufacture of a | 21/05/2015 | n/a        |                          |                                   |

|                        | <ul> <li>biological/immunological product</li> <li>B.I.a.2.z - Changes in the manufacturing process of the AS - Other variation</li> <li>B.I.b.2.c - Change in test procedure for AS or starting material/reagent/intermediate - Other changes to a test procedure for a reagent, which does not have a significant effect on the overall quality of the AS</li> <li>B.I.b.2.e - Change in test procedure for AS or starting material/reagent/intermediate - Other changes to a test procedure (including replacement or addition) for the AS or a starting material/intermediate</li> <li>B.I.b.2.e - Change in test procedure for AS or starting material/reagent/intermediate - Other changes to a test procedure (including replacement or addition) for the AS or a starting material/intermediate</li> <li>B.I.b.2.e - Change in test procedure for AS or starting material/reagent/intermediate - Other changes to a test procedure (including replacement or addition) for the AS or a starting material/intermediate</li> <li>B.I.b.2.e - Change in test procedure for AS or starting material/reagent/intermediate - Other changes to a test procedure (including replacement or addition) for the AS or a starting material/reagent/intermediate - Other changes to a test procedure (including replacement or addition) for the AS or a starting material/intermediate</li> <li>B.I.a.4.b - Change to in-process tests or limits applied during the manufacture of the AS - Addition of a new in-process test and limits</li> </ul> |            |     |                                   |
|------------------------|---|------------|-----|-----------------------------------|
| PSUSA/10136<br>/201408 | Periodic Safety Update EU Single assessment -<br>trastuzumab emtansine  | 12/03/2015 | n/a | PRAC Recommendation - maintenance |
| II/0011/G              | This was an application for a group of variations.<br>B.II.b.1.d - Replacement or addition of a<br>manufacturing site for the FP - Site which requires an<br>initial or product specific inspection<br>B.II.b.2.c.3 - Change to importer, batch release<br>arrangements and quality control testing of the FP -   | 26/02/2015 | n/a |                                   |

|           | Including batch control/testing for a biol/immunol<br>product and any of the test methods is a<br>biol/immunol/immunochemical method<br>B.II.d.2.d - Change in test procedure for the finished<br>product - Other changes to a test procedure<br>(including replacement or addition)<br>A.5.b - Administrative change - Change in the name<br>and/or address of a manufacturer/importer of the<br>finished product, including quality control sites<br>(excluding manufacturer for batch release)<br>B.II.b.z - Change in manufacture of the Finished<br>Product - Other variation  |            |     |  |  |
|-----------|---|------------|-----|--|--|
| IB/0010/G | This was an application for a group of variations.<br>B.I.a.4.a - Change to in-process tests or limits<br>applied during the manufacture of the AS -<br>Tightening of in-process limits<br>B.I.b.2.a - Change in test procedure for AS or<br>starting material/reagent/intermediate - Minor<br>changes to an approved test procedure<br>B.I.b.2.a - Change in test procedure for AS or<br>starting material/reagent/intermediate - Minor<br>changes to an approved test procedure<br>B.I.b.2.a - Change in test procedure<br>B.I.b.2.e - Change in test procedure<br>B.I.b.2.e - Change in test procedure for AS or<br>starting material/reagent/intermediate - Other | 08/01/2015 | n/a |  |  |

|           | changes to a test procedure (including replacement<br>or addition) for the AS or a starting<br>material/intermediate<br>B.I.b.2.e - Change in test procedure for AS or<br>starting material/reagent/intermediate - Other<br>changes to a test procedure (including replacement<br>or addition) for the AS or a starting<br>material/intermediate   |            |            |                          |  |
|-----------|--|------------|------------|--------------------------|--|
| IG/0497   | C.I.8.a - Introduction of or changes to a summary of<br>Pharmacovigilance system - Changes in QPPV<br>(including contact details) and/or changes in the<br>PSMF location   | 18/11/2014 | n/a        |                          |  |
| II/0006/G | This was an application for a group of variations.<br>The MAh submitted a grouped variation application<br>as follows:<br>- Type II variation to update section 4.6 of the SmPC<br>and section 2 of the Package Leaflet in order to<br>change the duration of contraception to be used after<br>concluding Kadcyla (trastuzumab emtansine)<br>treatment, and the period before starting breast<br>feeding, from 6 to 7 months in line with the<br>Herceptin (trastuzumab) product information.<br>Further, the MAH took the opportunity to make<br>minor editorial changes in the Package Leaflet.<br>- Type IB variation to update the due dates<br>concerning the submission of the overall survival<br>outcome data from the pivotal study BO21977<br>(EMILIA) in Annex II of the product information and<br>the RMP. | 23/10/2014 | 15/10/2015 | SmPC, Annex<br>II and PL | The MAH has submitted the variation application in order to<br>update the SmPC and Package Leaflet with regards to the<br>timelines for use of contraception and for start of breast<br>feeding after concluding treatment with Kadcyla. In<br>addition, updates to the RMP have been proposed, as a<br>consequence of the update to the SmPC but also in order to<br>amend the due dates for three studies included in the RMP.<br>The due date for one of these studies is reflected in Annex<br>II and hence Annex II has been updated in accordance with<br>the changes to the RMP. The changes to the product<br>information and RMP are acceptable.<br>This variation application does not influence the benefit /<br>risk balance of Kadcyla, which remains unchanged in the<br>authorised indication(s). |

|           | <ul> <li>Type IB variation to update the due date in the<br/>RMP concerning the submission of data from the<br/>study BO25499.</li> <li>Type IB variation to update the due date in the<br/>RMP concerning the submission of data for the study<br/>BO28407 (KAITLIN).</li> <li>A revised RMP version 4.1 has been provided.</li> <li>C.I.4 - Change(s) in the SPC, Labelling or PL due to<br/>new quality, preclinical, clinical or pharmacovigilance<br/>data</li> <li>C.I.11.z - Introduction of, or change(s) to, the<br/>obligations and conditions of a marketing<br/>authorisation, including the RMP - Other variation</li> <li>C.I.11.z - Introduction of, or change(s) to, the<br/>obligations and conditions of a marketing<br/>authorisation, including the RMP - Other variation</li> <li>C.I.11.z - Introduction of, or change(s) to, the<br/>obligations and conditions of a marketing<br/>authorisation, including the RMP - Other variation</li> <li>C.I.11.z - Introduction of, or change(s) to, the<br/>obligations and conditions of a marketing<br/>authorisation, including the RMP - Other variation</li> <li>C.I.11.z - Introduction of, or change(s) to, the<br/>obligations and conditions of a marketing<br/>authorisation, including the RMP - Other variation</li> </ul> |            |     |                                   |
|-----------|---|------------|-----|-----------------------------------|
| II/0005   | C.I.13 - Other variations not specifically covered<br>elsewhere in this Annex which involve the submission<br>of studies to the competent authority   | 25/09/2014 | n/a |                                   |
| PSUV/0004 | Periodic Safety Update  | 11/09/2014 | n/a | PRAC Recommendation - maintenance |
| IA/0008   | B.I.b.2.a - Change in test procedure for AS or<br>starting material/reagent/intermediate - Minor<br>changes to an approved test procedure   | 15/08/2014 | n/a |                                   |

| IB/0007 | B.I.a.z - Change in manufacture of the AS - Other variation  | 29/07/2014 | n/a |  |  |
|---------|--|------------|-----|--|--|
| II/0003 | change in batch size of the AS<br>B.I.a.3.c - Change in batch size (including batch size<br>ranges) of AS or intermediate - The change requires<br>assessment of the comparability of a<br>biological/immunological AS | 24/07/2014 | n/a |  |  |
| IA/0002 | B.I.a.2.a - Changes in the manufacturing process of<br>the AS - Minor change in the manufacturing process<br>of the AS   | 12/05/2014 | n/a |  |  |
| IB/0001 | B.I.a.z - Change in manufacture of the AS - Other variation  | 18/03/2014 | n/a |  |  |