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2 EMA/CHMP/453562/2023
3 Committee for medicinal products for human use

4 **Concept paper on the development of an addendum to**
5 **the Guideline on clinical development of vaccines on**
6 **clinical trials for vaccines for immunocompromised**
7 **individuals**

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Agreed by the Vaccine Working Party	June 2023
Agreed by the Emergency Task Force	July 2023
Adopted by CHMP for release for consultation	12 October 2023
Start of public consultation	1 November 2023
End of consultation (deadline for comments)	30 January 2024

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Keywords	immunocompromised, clinical trials, immunogenicity, vaccines
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15 **1. Introduction**

16 Immunocompromised individuals comprise a heterogeneous population encompassing a large range of
17 types and degrees of immunosuppression (1). Infections and the complications of infections are a
18 major cause of morbidity and mortality in individuals with primary or secondary immunodeficiencies.
19 Vaccination is among the most effective healthcare measures available for the prevention of infections
20 in immunocompromised individuals but different doses and/or regimens are sometimes required
21 compared to those that are appropriate for the immunocompetent (2).

22 Immunocompromised individuals are commonly excluded from the clinical trials conducted before the
23 first licensure of new vaccines to avoid confounding of the immunogenicity and efficacy data.
24 Therefore, it is common that there are no or very limited data available from immunocompromised
25 individuals when vaccines are first marketed so it is unknown if the dose regimen recommendations for
26 immunocompetent persons are appropriate for some or all of the immunocompromised population.
27 Furthermore, some live vaccines are never tested in certain types of immunocompromised persons due
28 to the perceived risks.

29 Post-authorisation studies in immunocompromised individuals, whether required or optional at the time
30 of first marketing authorisation and conducted by the marketing authorisation holder or by other
31 investigators, may face slow recruitment leading to early termination and/or may not provide useful
32 information that can guide the need for and application of alternative dose regimens. As a result, there
33 may be suboptimal dosing regimens and reduced vaccine coverage in the immunocompromised
34 population (4, 5).

35 **2. Problem statement**

36 The Guideline on clinical evaluation of vaccines EMEA/CHMP/VWP/164653/05 Rev. 1 (3) does not
37 provide detailed guidance on the design of clinical trials to assess the safety, immunogenicity and
38 efficacy of vaccines in immunocompromised individuals. There is a need to provide some guidance on
39 potentially suitable sub-populations of immunocompromised individuals for trials to improve the
40 extrapolation of the findings to other sub-populations. Moreover, to consider designing studies in
41 immunocompromised individuals that not only document whether immune responses are lower than in
42 the immunocompetent population but also give some indication of alternative doses and/or regimens
43 that could provide adequate levels of protection against infectious diseases.

44 **3. Discussion (on the problem statement)**

45 There is a need to supplement the Guideline on clinical evaluation of vaccines
46 (EMEA/CHMP/VWP/164653/05 Rev. 1) to address vaccine clinical development programmes in
47 immunocompromised individuals. Examples of issues to be addressed in the supplemental guidance
48 may include the following:

- 49 • Design of safety and immunogenicity studies in immunocompromised individuals and how
50 efficacy in the immunocompromised population could be inferred;
- 51 • Definitions of and considerations for immunocompromised subpopulations in which to conduct
52 studies, depending on the epidemiology and pathogenicity of the infectious agents in question
53 and the type of vaccine;
- 54 • Selection of immunocompromised subpopulations that are sufficiently large to be feasible for
55 clinical trial conduct and which could improve the extrapolation of findings across
56 immunocompromised individuals (e.g. consideration of subpopulations such as solid organ
57 transplant and hematopoietic stem cell recipients, HIV-infected persons, asplenic persons and
58 those with specific types of congenital immunodeficiencies);
- 59 • Investigation of the possible need for alternative doses and/or dose regimens in the
60 immunocompromised as part of studies intended to determine the effect of
61 immunocompromised status on immune responses;
- 62 • Consideration of specific safety concerns and implications for the safety database in
63 immunocompromised individuals.

64 **4. Recommendation**

65 The Vaccine Working Party and the Emergency Task Force recommend the development of an
66 Addendum to the Guideline on clinical evaluation of vaccines (EMA/CHMP/VWP/164653/05 Rev. 1) to
67 address the issues identified above. The Addendum would provide guidance on appropriate clinical
68 studies to be conducted in immunocompromised individuals before or after initial marketing
69 authorization to evaluate the need for alternative dose regimens and to support inclusion of specific
70 recommendations for use of vaccines in this population or in specific sub-populations in the Product
71 Information.

72 **5. Proposed timetable**

73 The timetable for the concept paper is the following:

74 Discussion at VWP: 29 June 2023

75 Discussion at ETF: July 2023

76 Adoption by CHMP: 12 October 2023

77 Released for public consultation: October – January 2024

78 Adoption and publication of the final version: February 2024

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80 The timetable for the revision of the guideline is the following:

81 Discussion in VWP on: Q1 2024
82 Discussion in ETF on: Q2 2024
83 Expected date for adoption on: Q2/Q3 2024 followed by 6 months public consultation
84 Expected finalisation: 2025

85 **Resource requirements for preparation**

86 The guidance will be developed by an estimated 2-4 members of the Vaccine Working Party with
87 additional input provided by the ETF.

88 **6. Impact assessment (anticipated)**

89 An impact is expected on the content of future pre-approval and/or post-approval clinical development
90 programmes, on CHMP scientific advice for vaccines and on the responses that the Working Party and
91 the ETF may provide on requests to the CHMP on vaccine-related issues.

92 **7. Interested parties**

93 EMA: PRAC, PDCO, CHMP, CTCPG, patients and health care professional representatives
94 External parties: pharmaceutical industry, academic networks and learned societies, NITAGs and
95 national public health authorities, vaccine advisory boards.

96 **8. References to literature, guidelines, etc.**

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