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3 Rheumatology / Immunology Working Party (RIWP)
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6 **Concept paper on a Guideline for allergen products**
7 **development in moderate to low-sized study populations**

Agreed by RIWP	September 2018
Adopted by CHMP for release for consultation	13 December 2018
Start of public consultation	21 December 2018
End of consultation (deadline for comments)	30 June 2019

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Comments should be provided using this [template](#). The completed comments form should be sent to RIWPsecretariat@ema.europa.eu

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Keywords	allergen product, diagnosis, treatment, clinical development programme, quality control, guidance, moderate population, low-sized population
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14 **1. Introduction**

15 This concept paper proposes the development of a scientific guideline for allergen products where
16 adequate data according to existing guidelines cannot be reasonably obtained because the number of
17 patients available for the required clinical trials is too low and for which there is no distinct regulatory
18 guidance currently available within the EU.

19 **2. Problem statement**

20 Several guidelines applicable for allergen products are available (1–4) and provide advice on quality
21 and clinical development according to the current knowledge. However, for the evaluation according to
22 these guidelines, a sufficient number of patients are needed for clinical trials which cannot be achieved
23 in case of allergies of low prevalence or where clinical co-allergies are common. There is an unmet
24 medical need for effective diagnosis and disease modifying treatment by allergen immunotherapy
25 (AIT) for patients suffering from these allergies, in contrast to allergies of high prevalence for which
26 currently defined test and therapy allergens are available.

27 Thus, it has become clear that there is a need to clarify the EU regulatory expectations with regard to
28 the data on quality, safety and efficacy for test and therapy allergens to provide sufficient scientific
29 evidence for the approval of such allergen products.

30 **3. Discussion (on the problem statement)**

31 Allergy as such is a common condition. A large variety of different allergen sources can cause allergy
32 and the number of sensitized patients varies strongly for the respective allergen sources. The allergen
33 should cause an immune response to the host when exposed and it should be clinically warranted to
34 have appropriate diagnostics available and/or develop a therapy to alleviate the effect caused.
35 Allergens are currently available in medicinal products such as

- 36 • AIT of IgE mediated allergic diseases (type I allergies) that are of biological origin (allergen
37 extracts derived from natural source materials),
- 38 • *in vivo* diagnosis of IgE mediated allergic diseases (type I allergies) that are of biological origin
39 (allergen extracts derived from natural source materials),
- 40 • products intended for the *in vivo* diagnosis of type IV allergies.

41 The pathophysiology is similar for all type I allergies. The symptoms are mainly IgE-mediated, even if
42 the clinical condition may manifest differently as rhinitis/rhinoconjunctivitis, bronchial asthma,
43 urticaria, pruritus, eczema, gastrointestinal symptoms or severe anaphylactic reactions.
44 Severe anaphylactic reactions can be caused by any allergen regardless of prevalence, mono- or
45 polysensitisation and thus in principle in any patients suffering from allergies.
46 In type IV hypersensitivity, there is activation of T cells and of macrophages that interact and secrete
47 various cytokines ultimately resulting in delayed skin reactions at the site of contact with the allergenic
48 substance.

49 While allergen specific immunotherapy is the only known disease modifying therapy for type I allergies,
50 there is no such treatment available for type IV allergies. Thus, allergen extracts for diagnosis and
51 therapy are needed to manage patients with type I allergies, while allergen products can be used only
52 for diagnosis of type IV allergies and treatment of these type IV allergies involve allergen avoidance.

53 Allergic rhinitis/rhinoconjunctivitis can be caused by various different agents (e.g. pollen, mites, and
54 moulds (from many different species each), various foods, and animal dander) and requires specific
55 treatment based on the specific allergizing agent. When it is left untreated (including immunotherapy
56 with products lacking efficacy), or is only treated by symptomatic medication it is prone to evolve into
57 asthma which can progress to a chronic and life-threatening disease. A treatment that addresses the
58 underlying disease pathogenesis by appropriately modulating the immune system has the potential to
59 prevent further episodes of allergies, some of which may be serious, and may prevent progression to
60 more serious conditions.

61 Although it is known that in principle specific immunotherapy is effective, efficacy of the individual
62 product depends on allergen concentration, composition of the product, application route, intervals and
63 number of applications etc. Thus each product must be evaluated individually for quality, efficacy and
64 safety.

65 Existing guidelines (2, 3) aim at defining the current state of the art for the development and
66 evaluation of therapeutic allergens and diagnostic agents in general. Thus they provide advice on
67 requirements according to the current knowledge. However, for the evaluation of products according to
68 these guidelines, sufficiently large numbers of patients are needed to be included in clinical trials. In
69 case of allergies of low prevalence adequate patient cohorts may not be available and therefore other
70 approaches need consideration. The proposed guideline intends to address the development of allergen
71 products for the treatment of such allergies of low prevalence. While in principle the aspects of drug
72 development in small populations could be taken into account (1) this approach is not likely to be
73 efficient. The approach outlined in the existing guidance proposes that a specific development path has
74 to be agreed upon on a case-by-case basis for each specific product. As a consequence, there is a risk
75 of considerable heterogeneity and uncertainty as each applicant may choose different approaches and
76 strategies. Given the similarities of allergy and the rather large number of allergens that are being
77 currently used this approach seems inefficient and could be streamlined based on criteria to be
78 developed within a guideline.

79 In addition, for clinical trials measuring allergic symptoms and medication intake during in field
80 allergen exposure, on the one hand only symptoms of one allergy need to be manifest during
81 evaluation and on the other hand the observations should not be confounded by treatments for the co-
82 existing allergies. Yet, the number of respective patients with only one allergy may be limited in some
83 situations as patients commonly suffer from multiple allergies which may be symptomatic
84 simultaneously (e.g. in overlapping pollen seasons). Efficacy and safety cannot be reliably determined
85 in these patients using standard development. Limited availability of adequate patients may also result
86 in restrictions to quality control methods as reagents required (e.g. patient sera) for specific methods
87 (allergen profile, determination of total allergenic activity) may not be accessible. Therefore, other
88 approaches will be discussed in the proposed guideline to aid development of allergen products in
89 cases where either one or both of the conditions as described are evident. For this, it should be
90 appropriately justified that a conventional development program is not feasible.

91 While there is considerable knowledge available on clinical endpoints, provocation tests or surrogate
92 markers, there are ongoing discussions in the scientific community on acceptable endpoints in
93 scenarios as described above, for example, applicability of allergen challenge tests in allergen
94 exposition chambers, allowing allergen specific evaluation independent of potential co-sensitizations
95 and other concepts. The appropriateness of these methodologies to support allergen product
96 development requires further clarification. Accordingly, expectations on acceptable characteristics of
97 the study population and suitable efficacy endpoints particularly in such settings need to be discussed.

98 This is also necessary for allergens used for in vivo diagnosis of allergies.

99 In summary, several challenges have been identified in the planning of product development and
100 designing clinical studies intended to support the approval of medicinal products for the treatment
101 and/or diagnosis of allergies in situations where a conventional development program is not feasible.

102 Such guidance should then be read in conjunction with existing guidelines (e.g. 1-4) and to provide
103 additional considerations on allergen products as classified in the intended guideline. The guideline is
104 not intended for diagnosis or therapy of common allergies where current guidelines can be adequately
105 applied. Also, any medicinal allergen products manufactured using recombinant DNA technology
106 (consisting of synthetic peptides, DNA or RNA constructs and/or cell preparations) will be not
107 considered as principles and approaches discussed here are not applicable for such products as they
108 differ substantially to the allergen products as discussed above.

109 **4. Recommendation**

110 The Rheumatology and Immunology Working Party recommends drafting a guideline for allergen
111 products development in small populations to provide guidance on quality aspects and the clinical
112 development taking into account the specific issues identified above.

113 Guidance should include general aspects on allergen product development (patient selection,
114 assessment of efficacy, design of therapeutic studies, safety aspects and quality considerations) for
115 allergen products where only a limited number of patients is available for development with a
116 particular focus on the following matters:

- 117 • Specific manufacturing and quality control aspects, applying to all such allergen products and their
118 intermediates manufactured by a method involving an industrial process as defined by Directive
119 2001/83/EC, as amended.
- 120 • Definition of classes of prevalence and/or feasibility of allergen sources to conduct clinical trials and
121 recommendations for suitable medicinal product development strategies for these classes of allergen
122 sources.
- 123 • Strategies for adequate dose selection considering aspects of feasibility and necessity.

124 **5. Proposed timetable**

125 Proposed date for release of draft guideline 07/2020.

126 **6. Resource requirements for preparation**

127 The resources needed for this guideline relate to RIWP members who will develop the draft guideline
128 and proceed to develop a final version after the consultation period. It may be considered appropriate
129 at a later stage (e.g. during or immediately following the consultation period) to convene a workshop
130 to facilitate finalisation of the guideline.

131 **7. Impact assessment (anticipated)**

132 The most important impact is expected to be on:

- 133 • clinical development programmes and quality control to support applications for allergen products
134 indicated for diagnosis or treatment of allergies;
- 135 • the content of CHMP scientific advice.

136 **8. Interested parties**

137 Patient organisations;

138 Healthcare professionals;

139 Academic networks and learned societies within the EU e.g. European Academy of Allergology and
140 Clinical Immunology (EAACI) and national allergologic societies;

141 Pharmaceutical industry.

142 **9. References to literature, guidelines, etc.**

143 1. European Medicines Agency. Committee for Medicinal Products for Human Use (CHMP). Guideline
144 on clinical trials in small populations, 2006.

145 2. European Medicines Agency. Committee for Medicinal Products for Human Use (CHMP). Guideline
146 on clinical evaluation of diagnostic agents, 2009.

147 3. European Medicines Agency. Committee for Medicinal Products for Human Use (CHMP). Guideline
148 on the clinical development of products for specific immunotherapy for the treatment of allergic
149 diseases, 2008.

150 4. European Medicines Agency. Committee for Medicinal Products for Human Use (CHMP) and
151 Biologics Working Party (BWP). Guideline on Allergen Products: Production and Quality Issues,
152 2008.

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