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2 EMA/CHMP/360458/2016  
3 Committee for Human Medicinal Products (CHMP)

4 **Concept paper on preparation of a guideline on the**  
5 **evaluation of medicinal products indicated for the**  
6 **treatment and prophylaxis of respiratory syncytial virus**  
7 **(RSV) infection**

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Agreed by IDWP and VWP	September 2016
Adopted by CHMP	13 October 2016
Start of public consultation	26 October 2016
End of consultation (deadline for comments)	31 January 2017

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Comments should be provided using this [template](#). The completed comments form should be sent to [IDWPSecretariat@ema.europa.eu](mailto:IDWPSecretariat@ema.europa.eu)

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Keywords	<i>Respiratory syncytial virus, bronchiolitis, case definition, clinical and laboratory confirmation, co-infecting pathogens, underlying conditions, infants, pregnant women, elderly</i>
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## 15 **1. Introduction**

16 This Concept Paper proposes the development of a guideline on the clinical evaluation of medicinal  
17 products indicated for the treatment and prophylaxis of respiratory syncytial virus (RSV) infections for  
18 which there is no regulatory guidance currently available in the EU.

19 Respiratory syncytial virus infects all age groups. In healthy adults, adolescents and children from the  
20 age of approximately 2 years of age it generally causes mild upper respiratory tract infection (URTI)  
21 that does not require hospitalisation or specific treatment. Elderly subjects with or without comorbid  
22 conditions such as congestive heart failure, emphysema or asthma appear to be more susceptible.

23 In infants (especially in the first 6 months of life) and toddlers (< 24 months of age), RSV may cause  
24 severe lower respiratory tract infection (LRTI), resulting in bronchiolitis, bronchitis and pneumonia,  
25 which often require hospitalisation and which may be life-threatening. The burden of RSV disease in  
26 healthy infants and toddlers is large and it is associated with considerable acute and long-term  
27 morbidity. Those at the highest risk for severe RSV disease include infants who were born prematurely  
28 ( $\leq$  35 weeks gestational age) and those with a wide range of underlying conditions (e.g.  
29 bronchopulmonary dysplasia and haemodynamically significant congenital heart disease). In this and  
30 other age groups underlying conditions that may predispose to severe RSV disease include  
31 neuromuscular diseases, Down's syndrome, cystic fibrosis and immunosuppression.

32 Currently in the EU there is one product approved for prophylaxis. Palivizumab is a humanised  
33 monoclonal antibody directed against RSV indicated for use in specific high-risk groups of children  
34 during the RSV season. Inhaled ribavirin is still approved in some EU member states for treatment of  
35 RSV bronchiolitis via inhalation but it is hardly used. Currently there are several new antiviral agents in  
36 development for treatment of RSV as well as new monoclonal antibodies and vaccines in development  
37 for prevention. Some vaccines are directed at specific age and risk groups but others are under  
38 development for previously healthy subjects across a wide range of age groups. In addition, some  
39 vaccines are under development for use during pregnancy with the aim of preventing severe RSV  
40 disease in the first few months of life. There is a need to clarify the EU regulatory expectations with  
41 regard to the data that should be generated to support the approval of these novel agents. There are  
42 many issues for clinical trial design and clinical develop programmes that overlap between products  
43 intended for prevention and those proposed for treatment. Therefore a single guidance document is  
44 proposed to address agents intended for treatment and/or prophylaxis.

## 45 **2. Problem statement**

46 There is no CHMP guidance available for agents intended to treat or prevent RSV disease. In recent  
47 requests for scientific advice on the development of new agents intended for the treatment and/or  
48 prophylaxis of RSV several issues have often emerged as being central to development programmes.

49 There are particular problems associated with the definition of cases of RSV disease suitable for studies  
50 of new therapies and cases of RSV disease in studies of new preventive measures. It is clear that there  
51 is a need to develop guidance on a range of possible case definitions tailored to the severity of disease  
52 proposed to be treated or prevented, including clinical and laboratory confirmation elements. In  
53 attempting to assess severity there is a need to avoid criteria that may be influenced more by the  
54 healthcare system rather than the presenting clinical syndrome, such as decisions on hospitalisation.

55 Other issues for preventive therapies include the timing of administration in relation to the RSV  
56 season, if the trial is conducted in a region where disease is highly seasonal, and the duration of

57 follow-up along with the mode of case ascertainment, which may need to encompass a range of  
58 healthcare facilities to ensure that cases of all severities are captured.

59 Specifically for vaccines intended for active immunisation of infants and young children who are  
60 expected to be RSV-naïve there is a potential concern for vaccine-associated disease enhancement  
61 following on from the past experience with a formalin-inactivated vaccine. Newer vaccines have been  
62 developed that are believed to not carry this risk but there is a need to provide guidance on the  
63 assessment of risk and the appropriate duration of follow-up.

64 In treatment studies issues that have arisen include time from diagnosis to initiation of treatment and  
65 primary endpoints depending on the age range and disease severity (e.g. ranging from patients  
66 managed at home to patients with hypoxaemia needing assisted ventilation). Again, there is a need to  
67 try to avoid endpoints that may be influenced by the healthcare system, such as duration of  
68 hospitalisation.

69 Some particular issues have arisen for studies that involve vaccination of pregnant women to prevent  
70 RSV disease in their infants, including assessment of the duration of post-natal protection that can be  
71 expected and the timing of possible active vaccination of the infant using a different vaccine to that  
72 used for the mother.

### 73 **3. Discussion (on the problem statement)**

74 Several problems arise at an operational level when designing clinical studies intended to support the  
75 approval of medicinal products for the prophylaxis or treatment of RSV infection/disease. Due to a  
76 resurgence of activity in the development of products intended to treat and/or prevent RSV disease  
77 and recognition of recurring issues that have arisen in scientific advice, there is a need to develop  
78 CHMP guidance. Due to several overlapping issues, it seems appropriate to develop a single guideline  
79 to cover products intended for treatment and prevention.

### 80 **4. Recommendation**

81 The Infectious Disease Working Party and the Vaccine Working Party recommend drafting a guideline  
82 on the evaluation of medicinal products indicated for the treatment and prophylaxis of RSV infection to  
83 provide guidance on the overall clinical development programme with a particular focus on the  
84 following issues:

- 85 • Case definitions based on a combination of clinical and laboratory criteria are needed for  
86 treatment or prevention studies. Guidance is needed on definitions, graded by severity, that might  
87 be used to determine study eligibility in treatment studies and characterise failures of preventive  
88 therapy in prophylaxis studies, including assessment of any amelioration of disease.
- 89 • The principles for laboratory data to determine patient eligibility in treatment studies and to  
90 confirm the presence of RSV in treatment and prevention studies need to be addressed along with  
91 guidance on the extent to which it is expected that the presence of co-infecting pathogens (e.g.  
92 human metapneumovirus) should be assessed. Guidance is also needed on the assessment of the  
93 effect of treatment or prevention on the duration of viral replication (i.e. it is possible that RSV  
94 replication is not prevented but is ameliorated in some subjects).
- 95 • In treatment and prevention studies there is a need to address expectations for duration of follow-  
96 up depending on where the studies are conducted and the seasonality of RSV disease.

- 97 • The design of studies in which pregnant women are vaccinated to prevent RSV disease in their  
98 infants needs to be addressed, including issues such as timing of vaccination in relation to delivery  
99 and follow-up of infants to assess duration of protection as well as maternal antibody decay and, if  
100 appropriate, effects on the infant immune response to subsequent active immunisation.
- 101 • In studies of vaccines administered to infants and young children who are likely to be RSV-naïve  
102 there is a need to consider the evidence to be collected to assess whether the vaccine could elicit  
103 disease enhancement.
- 104 • Looking forward, there is a need to consider the most appropriate comparators in treatment and  
105 prevention studies. Currently, with the exception of studies that enrol subjects who would  
106 routinely receive palivizumab, it should be possible to have control groups that do not receive  
107 active treatment or an active preventive measure for RSV. However, in a few years' time that  
108 situation may have changed and therefore consideration needs to be given to the impact on study  
109 designs.

## 110 **5. Proposed timetable**

111 Concept Paper to be released for consultation Q4 2016

112 First draft of the Guideline to be released for consultation by Q3 2017

## 113 **6. Resource requirements for preparation**

114 The resources needed for this addendum relate to Vaccine Working Party (VWP) and Infectious Disease  
115 Working Party (IDWP) members who will develop the draft addendum and proceed to develop a final  
116 version after the consultation period. The PDCO will be consulted during the development of the draft  
117 and final guidance.

## 118 **7. Impact assessment (anticipated)**

119 The most important impact is expected to be on:

- 120 • The content of CHMP scientific advice.
- 121 • The content of paediatric investigational plan of medicinal products intended for the treatment  
122 or prophylaxis of RSV

## 123 **8. Interested parties**

124 Healthcare professionals, pharmaceutical industry, patient organisations, European learned societies  
125 involved in RSV research, education and care.

## 126 **9. References to literature, guidelines, etc.**

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