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2 EMA/CHMP/175067/2023  
3 Rheumatology/Immunology Working Party (RIWP)  
4 Committee for Medicinal Products for Human Use (CHMP)

5 **Concept paper on revision of the Guideline on clinical**  
6 **investigation of medicinal products in the treatment of**  
7 **patients with acute respiratory distress syndrome**  
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Agreed by Rheumatology/Immunology Working Party	24 February 2023
Adopted by CHMP for release for consultation	26 April 2023
Start of public consultation	4 May 2023
End of consultation (deadline for comments)	31 July 2023

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10 The proposed guideline will replace the Guideline on clinical investigation of medicinal products in the  
11 treatment of patients with acute respiratory distress syndrome (EMA/CPMP/EWP/504/97 Rev 1).

12 Comments should be provided using this [EUSurvey form](#). For any technical issues, please contact  
13 the [EUSurvey Support](#).

Keywords	Acute Respiratory Distress syndrome (ARDS), Acute Lung Injury (ALI), Systemic Inflammatory Response Syndrome (SIRS)
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## 16 **1. Introduction**

17 Acute lung injury (ALI) is the clinical syndrome of acute respiratory failure with bilateral pulmonary  
18 infiltrates of non-cardiac origin. When it is accompanied by severe hypoxemia, the condition meets  
19 criteria for acute respiratory distress syndrome (ARDS). ALI is typically a consequence of Systemic  
20 Inflammatory Response Syndrome (SIRS). Despite the progress in critical care medicine, severe ARDS  
21 is still associated with a high incidence and mortality rate. Moreover, patients who survive with ARDS  
22 are at high risk for neurological/psychiatric and respiratory disorders leading to decreased quality of  
23 life. Hence, new potential approaches are needed to enhance the drug development for ARDS in order  
24 to minimize the ARDS-associated mortalities and to improve the quality of life of ARDS survivors.

## 25 **2. Problem statement**

26 In view of the considerable heterogeneity of the patient population generally included in ARDS studies  
27 it is important to accurately define baseline characteristics. The European Society of Intensive Care  
28 Medicine (ESICM) with endorsement from the American Thoracic Society (ATS) and the Society of  
29 Critical Care Medicine (SCCM) convened an international expert panel to revise the ARDS definition  
30 focusing on feasibility, reliability, validity, and objective evaluation of its performance. The panel met  
31 in 2011 in Berlin and the definition was formally agreed by the ARDS Network at the American Thoracic  
32 Meeting to be held in May 2012<sup>1</sup>. There are a few key modifications (oxygenation, timing of acute  
33 onset, Chest X-ray, and wedge pressure criterion) in the "Berlin" definition as compared with the  
34 previous definition. Based on the new definition for adult ARDS, the Paediatric Acute Lung Injury  
35 Consensus Conference (PALICC) Group published in 2015 also a new definition of paediatric ARDS  
36 (PARDS)<sup>2</sup>. Both new definitions need to be included in the revised guideline.

37 There are currently no authorised medicinal products for ARDS, neither in the adult nor paediatric  
38 populations. Thus, supportive therapies remain the mainstay of treatment. To reduce the degree of  
39 heterogeneity confirmatory studies should be planned and conducted with standardised best practice  
40 concomitant treatment and care. In view of the changed definition for ARDS, the previous proposal for  
41 standardisation of care needs to be modified.

42 This concept paper concerns the guideline that is intended to provide guidance for the evaluation of  
43 new medicinal products for prevention and treatment of ARDS. The guideline came into effect in April  
44 2007. There are several new agents in development for the treatment of ARDS. In recent requests for  
45 CHMP scientific advice on the development of new agents intended for the treatment of ARDS, several  
46 issues have emerged as being central to development programmes. So, there is a need to re-consider  
47 the EU regulatory expectations with regard to the data that should be generated to support the  
48 approval of novel agents, like e.g. (co)primary and secondary endpoints, time of assessment,  
49 stratification and functional assessment indices recorded in confirmatory studies. The principles that  
50 were agreed by CHMP need to be included in the revised version.

51 The COVID-19 pandemic has also seen many agents tested for prevention or treatment of ARDS after  
52 infection with SARS-CoV2. The experienced gained in these studies and the impact on future studies  
53 needs to be considered.

54 Furthermore, recently published methodological guidance documents relevant for decision making  
55 should be added.

### 56 **3. Discussion (on the problem statement)**

57 The following items have been identified and would need to be addressed in the revised guideline:

- 58 • The most recent ARDS definition<sup>1</sup>, also called as “Berlin” definition, as well as the new  
59 definition of paediatric ARDS<sup>2</sup> should be included in the revised guideline.
- 60 • In view of the changed definition for ARDS, the previous proposal for standardisation of care  
61 needs to be modified. In addition, the standard of care procedures in terms of the use of ECMO  
62 (extracorporeal membrane oxygenation) should be defined as far as possible.
- 63 • As stated in the current guideline, all-cause mortality is the most relevant primary endpoint in  
64 confirmatory studies for investigation of new medicinal products in the treatment and  
65 prevention of ARDS particularly because of the heterogeneity of the disease. Mortality remains  
66 an important parameter for the regulatory decision and effects on mortality should be  
67 quantified with due precision. However, taking into account the prognostic impact of long term-  
68 ventilation, also a composite endpoint “Alive at Day 28 and no more need for invasive  
69 mechanical ventilation” may be appropriate. This would be acceptable under the prerequisite  
70 that the study is randomised and placebo-controlled because the decision to discontinue  
71 mechanical ventilation has some subjective elements even if driven by an explicit protocol. The  
72 current recommendation should be revised accordingly.
- 73 • The list of secondary endpoints needs to be revised. For example, the current guideline  
74 recommends to evaluate barotrauma as short-term secondary endpoint. However, barotrauma  
75 is extremely rare due to the almost universal adoption of lung protective strategies in routine  
76 clinical practice in the intensive care units. Thus, barotrauma should be deleted from the list of  
77 secondary endpoints. Instead, any evidence of barotrauma should be collected as safety data.
- 78 • The opinions of the clinical community<sup>3</sup> focusing also on day 60 and/or 90 mortality should be  
79 taken into account because substantial proportion of late deaths occur after day 28.
- 80 • The current guideline recommends stratification by site. However, it is acknowledged that in  
81 most cases there will not be sufficient numbers of patients at the site level to make  
82 stratification at this level meaningful. Thus, stratification at the country level rather than the  
83 individual site level seems to be more appropriate. The current guideline should be revised  
84 accordingly.
- 85 • Several functional assessment indices to describe the severity of the disease and the estimated  
86 prognosis are mentioned in the current guideline. Considering the fact that these assessments  
87 are time-consuming, the number of the indices recorded should be reduced.
- 88 • The actual population recruited may cover a large proportion of patients with underlying viral  
89 infections. Similarities and differences between COVID-19 and other aetiologies will be  
90 discussed in the guideline<sup>6</sup>. The possibility to extrapolate across patient populations with or at  
91 risk of ARDS requires clarification.
- 92 • A reference to the ICH E9 (R1) addendum on estimands and sensitivity analysis in clinical trials  
93 to the guideline on statistical principles for clinical trials (CHMP/ICH/436221/2017) should be  
94 added.

### 95 **4. Recommendation**

96 The Rheumatology/Immunology Working Party recommends revising the current Guideline on clinical  
97 investigation of medicinal products in the treatment of patients with acute respiratory distress

98 syndrome taking into account the issues identified above. The guideline will be developed in  
99 coordination with the Emergency Task Force (ETF).

## 100 **5. Proposed timetable**

101 Released for consultation on 4 May 2023, deadline for comments 31 July 2023.

## 102 **6. Resource requirements for preparation**

103 The update of the guideline will involve representatives of Member States from the  
104 Rheumatology/Immunology Working Party including the ARDS drafting Group. It should be discussed  
105 in their meetings and in ETF meetings.

## 106 **7. Impact assessment (anticipated)**

107 The document is intended to provide guidance on how to evaluate new medicinal products for  
108 prevention and treatment of Acute Respiratory Distress syndrome (ARDS).

## 109 **8. Interested parties**

110 The pharmaceutical industry, European learned societies and scientific organisations (e.g. the  
111 European Respiratory Society). Consultation with other working parties or committees (e.g. PDCO,  
112 COMP) will be initiated as appropriate.

## 113 **9. References to literature, guidelines, etc.**

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