



1 23 February 2017
2 EMA/CHMP/267194/2016
3 Committee for Medicinal Products for Human Use (CHMP)

4 **Concept paper on revision of the guideline on the**
5 **requirements for clinical documentation for orally inhaled**
6 **products (OIP) including the requirements for**
7 **demonstration of therapeutic equivalence between two**
8 **inhaled products for use in the treatment of asthma and**
9 **chronic obstructive pulmonary disease (COPD) in adults**
10 **and for the treatment of asthma in children and**
11 **adolescents.**

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Agreed by Respiratory Drafting Group	October 2016
Adopted by CHMP for release for consultation	23 February 2017
Start of public consultation	22 March 2017
End of consultation (deadline for comments)	30 June 2017

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14 The proposed guideline will replace ' guideline on the requirements for clinical documentation for orally
15 inhaled products (OIP) including the requirements for demonstration of therapeutic equivalence
16 between two inhaled products for use in the treatment of asthma and chronic obstructive pulmonary
17 disease (COPD) in adults and for use in the treatment of asthma in children and adolescents' (Doc. Ref.
18 CPMP/EWP/4151/00 Rev. 1).

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Comments should be provided using this [template](#). The completed comments form should be sent to RespiratoryDG@ema.europa.eu

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Keywords	therapeutic equivalence, asthma, COPD, orally inhaled
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22 1. Introduction

23 This concept paper concerns a revision of the guideline directed to the requirements for demonstration
24 of therapeutic equivalence between two inhaled products. The guideline focuses on hybrid applications
25 but may be applicable also for other applications that are based on demonstration of therapeutic
26 equivalence compared to a reference product, such as line extensions and variations. The guideline
27 was originally published in September 2000 and was revised between September 2007 and January
28 2009 (henceforth referred to as Revision 1).

29 2. Problem statement

30 Since the last revision, several MAAs with the aim to demonstrate therapeutic equivalence compared to
31 a reference product concerning orally inhaled products have been submitted for regulatory review to
32 the National Competent Authorities. The proposed revision is aimed at updating the guideline to reflect
33 knowledge gained from regulatory experience.

34 Demonstration of therapeutic equivalence of two orally inhaled products moves in a stepwise fashion
35 from *in vitro* studies (step 1), to pharmacokinetic studies (step 2), to pharmacodynamic and clinical
36 safety/ efficacy studies (step 3). This should be clearly described in the revised guideline. *In vitro*
37 aspects relevant for the establishment of therapeutic equivalence are described in this guideline but
38 reference is also given to the Guideline on Pharmaceutical Quality of Inhalation and Nasal Products
39 (EMA/CHMP/QWP/49313/2005).

40 Establishing therapeutic equivalence based on *in vitro* data only has proved to be difficult. Also,
41 showing therapeutic equivalence based on PD/clinical data is challenging because of difficulties in
42 ensuring assay sensitivity. Pharmacokinetic studies seem to be simpler, shorter and more
43 discriminative in order to demonstrate similar efficacy and safety without the need for additional
44 clinical data. These aspects should be reflected in the revised guideline.

45 In addition, during the review of applications based on the requirements given in Revision 1, a number
46 of issues were discussed with regard to choice of batches, strengths and study population in
47 pharmacokinetic studies. The principles that were established need to be included in the revised
48 version.

49 Since Revision 1 was published, there have also been advances in inhaler technology of pressurised
50 metered dose inhalers (MDI) and dry powder inhalers (DPI) resulting in better drug delivery
51 characteristics. Also, nebuliser technology has advanced with the development of smaller and more
52 portable devices. Demonstration of equivalence between a consistently performing new device (which
53 is desirable) and a more variable but established device is challenging. This may have an impact on the
54 development needs, which need to be considered.

55 Few products have been approved in children based on the current requirements to demonstrate
56 therapeutic equivalence in the paediatric population. For most of these products, the demonstration of
57 therapeutic equivalence was based on *in vitro* data only. This indicates that the clinical data
58 requirements in paediatrics as detailed in the guideline might be difficult to comply with. Thus,
59 requirements for different paediatric age groups should be reviewed and, if appropriate, revised.

60 3. Discussion (on the problem statement)

61 The following items have been identified and would need to be addressed in the revised guideline:

62 General comments:

- 63 • Despite the emphasis in the document on hybrid/abbreviated developments, the name of the
64 guideline as well as some sections refer to full developments. The name of the guideline should be
65 adapted accordingly.

66 In vitro equivalence studies (Step 1)

- 67 • The Guideline referred to in this concept paper and the Guideline on Pharmaceutical Quality of
68 Inhalation and Nasal Products (EMA/CHMP/QWP/49313/2005) are written to complement each
69 other and should always be read in conjunction. The criteria for pharmaceutical equivalence should
70 thus be in line with corresponding requirements in the pharmaceutical guideline.
- 71 • The use of only comparative *in vitro* data (Step 1) may be considered acceptable if the product
72 satisfies all of the criteria (compared with the reference product) as laid down in the guideline.
73 However, specific requirements on representative batches, dose proportionality, flow dependency
74 and stage grouping are not well described in the current guideline. In addition, these aspects are
75 important to support the PK studies. Thus, specific information on these aspects could be included
76 in the revised guideline as appropriate.
- 77 • *In vitro* data to support extrapolation of therapeutic equivalence from asthma to COPD or vice-
78 versa and to justify the use of healthy volunteers in PK studies, instead of patients, need to be
79 specified.
- 80 • Specific requirements on data with spacers need to be addressed.
- 81 • Specific aspects related to new inhaler technologies should be discussed and included in the
82 guideline.

83 Pharmacokinetic studies (Step 2)

- 84 • The adequacy of using PK data to demonstrate similar efficacy and safety without the need for
85 additional clinical data should be addressed.
- 86 • Given the limitations with imaging studies to conclude on therapeutic equivalence, the current
87 recommendation should be reviewed.
- 88 • The current version states that pharmacokinetics should be studied in the intended patient
89 population. This statement needs to be revised and specific information should be given regarding
90 when healthy volunteers may be used for demonstrating therapeutic equivalence.
- 91 • Requirements for PK data on spacers and nebulisers should be reviewed.
- 92 • Variability in particle-size distribution between batches of the reference product or within a single
93 batch of a reference product through their storage period can be significant. The acceptability of
94 pre-specifying a correction factor when demonstrating bioequivalence and the data to support such
95 a proposal e.g. *in vitro in vivo* correlation (IVIVC) need to be addressed.

96 Pharmacodynamic / clinical studies (Step 3)

- 97 • The recommendations regarding study design, study population, endpoints, timing of measurement
98 and acceptance criteria to demonstrate therapeutic equivalence should be standardised to the
99 extent possible.

- 100 • Specific recommendations for fixed-dose combinations depending on the combination (e.g.
101 LABA/LAMA combinations) should be given in the revised guideline.
- 102 • Recommendations are needed as to whether pharmacodynamic data obtained in healthy volunteers
103 can be used to show therapeutic equivalence.
- 104 • Requirements for user studies on different inhaler devices and the required test panels (e.g.
105 handling studies) should be addressed in more detail.

106 Data requirements in children and adolescents

- 107 • Data requirements for the paediatric population need to be discussed and re-considered in the
108 revised guideline.

109 **4. Recommendation**

110 The Respiratory drafting group recommends revising the current guideline on orally inhaled products
111 taking into account the issues identified above.

112 **5. Proposed timetable**

113 Released for consultation in March 2017, deadline for comments 30 June 2017, proposed date for
114 release of draft guideline during 2018, deadline for comments 6 months later.

115 **6. Resource requirements for preparation**

116 The update of the guideline will involve representatives of Member States from the Respiratory drafting
117 group and it should be discussed in approximately three of their meetings.

118 **7. Impact assessment (anticipated)**

119 The document is intended to provide guidance on how to establish therapeutic equivalence for orally
120 inhaled products used in asthma and COPD. In addition, it will be useful to reach a common approach
121 for the assessment of these products and scientific advice given by European regulatory authorities.

122 **8. Interested parties**

123 The pharmaceutical industry, European learned societies and scientific organisations (e.g. the
124 European Respiratory Society). Consultation with other working parties or committees (e.g. QWP,
125 PKWP and PDCO) will be initiated as appropriate.

126 **9. References to literature, guidelines, etc.**

127 Guideline on Pharmaceutical Quality of Inhalation and Nasal Products (EMA/CHMP/QWP/49313/2005)

128 Clinical pharmacology and pharmacokinetics: question and answers (PKWP), question 3.3 and 3.4.

129 QWP Question & Answers on inhalation products.