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2 EMA/CVMP/IWP/630533/2020
3 Committee for Medicinal Products for Veterinary Use (CVMP)

4 **Concept paper for the development of a guideline on data**
5 **requirements for authorisation of immunological**
6 **veterinary medicinal products under exceptional**
7 **circumstances**

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Agreed by Immunologicals Working Party	17 December 2020
Adopted by CVMP for release for consultation	20 January 2021
Start of public consultation	29 January 2021
End of consultation (deadline for comments)	31 March 2021

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Comments should be provided using this [template](#). The completed comments form should be sent to Vet-guidelines@ema.europa.eu

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Keywords	Immunological veterinary medicinal products, veterinary vaccines, exceptional circumstances, new veterinary regulation ¹
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¹ To be identified here during preparation of the concept paper - keywords represent an internet search tool - Rapporteurs to propose and Working Party/Committee to adopt.



14 **1. Introduction**

15 In the EU, marketing authorisations for veterinary medicinal products (VMPs) will be based on the
16 requirements of Regulation (EU) 2019/6 of the European Parliament and of the Council of 11 December
17 2018 on veterinary medicinal products (repealing Directive 2001/82/EC), Article 8 whereby the
18 respective data requirements are set out in Annex II (the date of application of Regulation (EU) 2019/6
19 is 28 January 2022).

20 The concept of a marketing authorisation under exceptional circumstances related to animal or public
21 health included in Articles 25, 26 and 27 of Regulation (EU) 2019/6 allows for the issuing of marketing
22 authorisations based on a reduced data set regarding quality, safety and efficacy in case of a
23 concurrent lack of suitable authorised VMPs. However, it needs to be demonstrated that for objective
24 and verifiable reasons certain quality, safety or efficacy documentation required in accordance with
25 Annex II cannot be provided. It has to be stated clearly in the summary of product characteristics that
26 based on the lack of comprehensive data only a limited assessment of quality, safety of efficacy has
27 been conducted.

28 The scope of Articles 25, 26 and 27 of Regulation (EU) 2019/6 (marketing authorisations in exceptional
29 circumstances) applies to VMPs generally. However, Immunological VMPs (IVMP), in particular
30 vaccines, can be considered the main type of VMPs for which the provision is likely to apply, in
31 situations where there is (the risk of) an outbreak of a new infectious disease in Europe that has the
32 potential for severe impact on animal or public health. In this scenario, the immediate availability of a
33 vaccine on the market and the possibility for rapid vaccination may be critical for outbreak control
34 and/or disease eradication.

35 The Immunologicals Working Party has been tasked with the preparation of guidance on data
36 requirements for the authorisation of immunological veterinary medicinal products (IVMPs) under
37 exceptional circumstances. This guideline can also be seen as contributing to the Network action plan
38 on availability of vaccines.

39 **2. Problem statement**

40 While in an emergency situation the use of unauthorised vaccines is in principle possible, there are
41 risks associated with such use that must be taken into consideration. As outlined in the "CVMP
42 reflection paper on the risks that should be considered prior to the use of unauthorised vaccines in
43 emergency situations" (EMA/CVMP/IWP/49593/2013), there is a clear preference to use vaccines with
44 a valid marketing authorisation.

45 The challenges of developing, manufacturing and authorising vaccines to enable a rapid response in
46 the event of an emergency / outbreak have to be considered in the context of the current EU
47 regulatory framework. On this point, it is recognised that such vaccines may be manufactured by
48 companies outside the EU or by small and medium-sized enterprises (SMEs) having no or limited
49 experience with this regulatory framework, data requirements for applications for marketing
50 authorisation and the activities expected from applicants before, during and after the authorisation
51 procedures. Therefore, clear guidance is needed to put the risks of having only an incomplete data set
52 and the possibility of rapid establishment of a vaccination program in balance.

53 The purpose of the guideline proposed for development is to provide clear advice for applicants and
54 assessors to define the minimum data requirements for all relevant parts of the application dossier for
55 a marketing authorisation of IVMPs in exceptional circumstances. Clear guidance on data requirements
56 will allow for an appropriate benefit–risk assessment where the risks of having an incomplete data set

57 can be balanced against the possibility of rapid establishment of a vaccination program and the
58 resulting animal, public health and economic benefits. The particular risks in case of significant data
59 gaps, and how these should be viewed in the context of the overall benefit-risk evaluation, will be
60 dependent on the type of disease and the type of vaccine and will have to be considered individually on
61 a case-by-case basis.

62 Because the marketing authorisation may be granted subject to one or more requirements for the
63 marketing authorisation holder (such as introduction of conditions or restrictions, notification of
64 adverse events to the competent authority or the conduct of post authorisation studies), it should be
65 considered in which cases these requirements are an appropriate substitute for the absence of certain
66 data normally submitted pre-authorisation.

67 Furthermore, the guideline may include recommendations for standard wording for inclusion in the
68 product information to provide the user with clear information on the nature of the missing data and a
69 justification for its absence.

70 Once a new guidance document is finalised, it is intended that existing related guidelines ("Guideline
71 on requirements for an authorisation under exceptional circumstances for vaccines for emergency use
72 against bluetongue" (EMA/CVMP/IWP/37267/2008) and the "Guideline on requirements for an
73 authorisation under exceptional circumstances for vaccines for use in birds against avian influenza
74 (EMA/CVMP/IWP/222624/2006)) will be withdrawn.

75 **3. Discussion (on the problem statement)**

76 The heterogeneity of IVMPs will make it necessary to define data requirements for a marketing
77 authorisation of IVMPs in exceptional circumstances at a high-level focussing on those aspects for
78 which the absence of data would pose the most significant risks. However, once work on the guideline
79 commences, consideration will be given to defining additional requirements for certain specific
80 vaccines, as appropriate.

81 While the draft Annex II of Regulation (EU) 2019/6 lays down the general requirements for applications
82 for MA for vaccines, the full data set required for a normal marketing authorisation will not be
83 submitted with applications for marketing authorisations under exceptional circumstances. The most
84 critical elements of the data package submitted in support of a MA application for an IVMP are outlined
85 below. Absence of sufficient data or information on these critical elements could make it substantially
86 difficult or impossible to perform an appropriate benefit-risk assessment. Requirements for
87 documentation to address each of these points are therefore considered an essential part of the
88 guideline.

89 - Quality

- 90 ○ qualitative particulars of all the constituents - vaccine ingredients.
- 91
- 92 ○ information on the manufacturing process.
- 93
- 94 ○ validated inactivation process for inactivated vaccines.
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- 96 ○ management of extraneous agents including TSE.
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- 98 ○ relevant and validated batch potency test.
- 99
- 100 ○ sterility and/or purity issues.

101 - Safety

- 102 ○ basic safety tests with regard to the verification of potential unacceptable adverse events
103 relating to the use of the vaccine and the impact on animal health.
- 104 ○ reversion to virulence and spread of the vaccine strain to other susceptible animals (for live
105 attenuated vaccines).
- 106 ○ user safety (self-injection, human transmission of vaccine strain).
- 107 ○ study of residues and food safety considerations from vaccine components (e.g.
108 preservatives, adjuvants, zoonotic vaccine strains).
- 109 ○ assessment for GMO vaccines.
- 110 - Efficacy
 - 111 ○ information regarding immunogenicity and relevance of the vaccine strain to the current
112 epidemiological situation in the field, to verify the protection of the vaccinated animal and
113 therefore benefit of the vaccine.
 - 114 ○ proposal for a vaccination schedule (primary vaccination, vaccination of particular groups,
115 e.g. pregnant animals).

116 The granting of a marketing authorisation under exceptional circumstances may be conditional on
117 certain restrictions on use, notification of adverse events to the competent authority or the generation
118 of data post authorisation.

119 A yearly re-examination of the authorisation under exceptional circumstances is foreseen according to
120 Article 27 of Regulation (EU) 2019/6. If the benefit-risk balance remains positive, the competent
121 authority or the Commission, as applicable, shall extend the validity of the marketing authorisation for
122 one year. The marketing authorisation may be granted for an unlimited period of time provided that
123 the marketing authorisation holder submits the missing data on quality, safety or efficacy referred to in
124 Article 25 of Regulation (EU) 2019/6. In this case, the full data set has to be in accordance with the
125 requirements as laid down in Annex II.

126 It should be noted that procedural aspects including the approach to re-examination of MAs and/or
127 extending the validity of MAs in accordance with Article 27 are not in the scope of the proposed
128 guideline. These procedural aspects will be elaborated as a separate exercise by the Agency.

129 **4. Recommendation**

130 The Committee for Medicinal Products for Veterinary Use (CVMP) recommends the Immunologicals
131 Working Party (IWP) to draft a guideline on minimum data requirements concerning quality, safety and
132 efficacy for a marketing authorisation under exceptional circumstances for IVMPs to facilitate rapid
133 authorisation in the interest of animal or public health in the event of an outbreak of a new infectious
134 disease or a disease for which no IVMP is authorised.

135 The aim of the guideline should be provision of clear advice to applicants and assessors regarding the
136 essential data sets required for such an application and to detail the risks associated with the absence
137 of certain data that would normally be provided in support of a marketing authorisation application
138 submitted in accordance with Article 8 of Regulation (EU) 2019/6.

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141 **5. Proposed timetable**

142	29 January 2021	Concept paper released for consultation
143	31 March 2021	Deadline for comments from stakeholders
144	May 2021	Discussion in IWP
145	July 2021	Adoption of the draft guideline by CVMP and release for consultation
146	October 2021	Expected end of consultation
147	January 2022	Expected date for CVMP adoption and publication of the guideline
148	It is expected that the guideline will come into operation earlier than six months after adoption,	
149	coinciding with the date of application of the veterinary medicines Regulation (EU) 2019/6	
150	(28 January 2022).	

151 **6. Resource requirements for preparation**

152 The development of the new guideline will involve the IWP (including a drafting group composed of
153 rapporteur, co-rapporteur and one IWP member).

154 The IWP drafting group will meet virtually as required (e.g. 2-3 virtual meetings). Discussion is
155 foreseen at 2 IWP plenary meetings.

156 **7. Impact assessment (anticipated)**

157 It is anticipated that the guideline would have an essential impact on animal and public health and also
158 an economical benefit for the farming industry in case of outbreaks of emerging or new infectious
159 diseases. The advice given to applicants and regulatory authorities regarding the essential data sets to
160 be provided/assessed would facilitate both vaccine development and the subsequent authorisation
161 procedure and, consequently, pave the way to quicker availability of vaccines in an emergency
162 situation.

163 **8. Interested parties**

164 Veterinary pharmaceutical industry and consultants.

165 EU Regulatory authorities in the EU involved in assessment of marketing authorisation applications for
166 immunological veterinary medicinal products.

167 EU competent authorities responsible for animal disease control.

168 **9. References to literature, guidelines, etc.**

169 Directive 2001/82/EC of the European Parliament and the Council of 6 November 2001 on the
170 Community Code relating to Veterinary Medicinal Products as amended.

171 Regulation (EU) 2019/6 of the European Parliament and of the Council of 11 December 2018 on
172 veterinary medicinal products and repealing Directive 2001/82/EC.

173 Annex to the Commission Delegated Regulation amending Annex II to Regulation (EU) No 2019/6 of
174 the European Parliament and of the Council (draft published for feedback, 10 November 2020).

- 175 Reflection paper on the risks that should be considered prior to the use of unauthorised vaccines in
176 emergency situations (EMA/CVMP/IWP/49593/2013).
- 177 Guideline on requirements for an authorisation under exceptional circumstances for vaccines for
178 emergency use against bluetongue (EMA/CVMP/IWP/37267/2008).
- 179 Guideline on requirements for an authorisation under exceptional circumstances for vaccines for use in
180 birds against avian influenza (EMA/CVMP/IWP/222624/2006).