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8 Guideline on clinical investigation of medicinal products in 9 the treatment of lipid disorders

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Comments should be provided using this [template](#). The completed comments form should be sent to cvswpsecretariat@ema.europa.eu.

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52 **Executive summary**

53 This document is the revised version of the existing guidance note (CHMP/EWP/3020/03) on lipid
54 modifying agents. The guideline is intended to provide guidance for the evaluation of drugs in the
55 treatment of lipid disorders and details the main regulatory requirements that are expected to be
56 followed in the development of a lipid modifying medicinal product. In particular, the sections
57 concerning the recommended endpoints and long term safety data, including morbidity and mortality
58 data, have been updated. Latterly, there is an attempt to use imaging modalities as surrogate markers
59 of outcome benefit with lipid modifying agents. This section has also been revised.

60 **1. Introduction (and background)**

61 Lipid disorders may manifest in different ways, leading to changes in plasma lipoproteins levels and/or
62 function. Lipid disorders are commonly classified according to the prevailing laboratory abnormality,
63 but this classification does not accurately represent the different genetic and metabolic defects, or
64 clinical syndromes. Blood lipid levels may be affected by other clinical conditions such as diabetes
65 mellitus, thyroid disorders or nephrotic syndrome; in such cases, the lipid levels should be reassessed
66 once the underlying disease has been controlled or treated.

67 Lipid disorders most often imply hypercholesterolemia. A large body of epidemiological evidence now
68 exists demonstrating a strong positive correlation and causal relationship between serum low density
69 lipoprotein cholesterol LDL-C, and the risk of coronary heart disease (CHD). Other clinical
70 manifestations of atherosclerosis also appear linked to plasma LDL-C levels such as cerebrovascular
71 disease (i.e. stroke) or peripheral vascular disease. In addition, clinical trials have shown that LDL-
72 lowering therapy with HMG-Co A reductase inhibitors reduces risk for CHD. The relationship between
73 LDL-C levels and CHD risk is present over a broad range of LDL levels. The dividing line between
74 "normocholesterolemia" and "hypercholesterolemia" is arbitrary and in fact non-existent. Epidemiologic
75 data indicate a continuous increasing risk from very low to "normal" and high levels of LDL-C.

76 Treatment decisions are based not only on the level of LDL-C, but on the overall, multifactorial level of
77 cardiovascular risk. Four categories of risk that modify LDL-C goals are discerned on the basis of:

- 78 • Presence of clinical forms of atherosclerosis (CHD, ischemic stroke or peripheral vascular
79 disease): a distinction should be made between primary and secondary prevention
- 80 • Diabetes mellitus
- 81 • Integrated global risk scoring models (e.g. Euroscore)
- 82 • Monogenic dyslipidaemia (e.g., familial hypercholesterolemia)

83 Concomitantly other lipid disorders may be present, in particular hypertriglyceridemia ("mixed
84 hyperlipidemia"). In addition, lipid disorders may also implicate isolated or prevalent
85 hypertriglyceridemia and/or low high density lipoprotein cholesterol HDL-C. Although elevated TG are
86 noted as a risk factor, the evidence on the benefits of lowering elevated TG levels is still modest when
87 LDL-C and HDL-C changes are corrected for. The treatment strategy for elevated TG depends on the
88 causes of the elevation and its severity. Low HDL -C level, whether or not in conjunction with elevated
89 LDL-C or TG levels, has also been shown to be a risk factor for CVD. Low HDL-C warrants clinical
90 attention although the goal of therapy needs further specification due to lack of direct evidence that
91 raising HDL-C is associated with CVD prevention. More recently other lipoproteins e.g., lipoprotein
92 Lp(a) and apolipoprotein Apo(B) have also been investigated as possible risk factors for CHD.
93 However, their role is not clearly defined at the present point in time.

94 **2. Scope**

95 The guideline provides advice to applicants on the main regulatory requirements that are expected to
96 be followed in the development of a medicinal product for treatment of lipid disorders associated with
97 increased cardiovascular risk encountered in adult patients (i.e., lipid modifying agents). Lipid
98 disorders in paediatric patients are addressed in a separate addendum.

99 **3. Legal basis and relevant guidelines**

100 This guideline should be read in conjunction with the introduction and general principles and Annex I to
101 Directive 2001/83 as amended and with the following guidelines:

- 102 • Guideline on the evaluation of medicinal products for cardiovascular disease prevention
103 EMEA/CHMP/EWP/311890/2007
- 104 • Note for Guidance on General Considerations for Clinical Trials (CHMP/ICH/291/95, ICH E8)
- 105 • Note for Guidance on Good Clinical Practice (CPMP/ICH/135/95, ICH E6)
- 106 • Note for Guidance on Dose Response Information to support Drug Registration
107 (CPMP/ICH/378/95, ICH E4)
- 108 • Note for Guidance on Statistical Principles for Clinical Trials (CPMP/ICH/363/96, ICH E9)
- 109 • Note for Guidance on Choice of Control Group for Clinical Trials (CPMP/ICH/364/96, ICH E10)
- 110 • Points to Consider on Switching between Superiority and Non-inferiority (CPMP/EWP/482/99)
- 111 • Note for Guidance on the Investigation of Drug Interactions (CPMP/EWP/560/95)
- 112 • Note for Guidance on Population Exposure: The extent of population exposure to assess clinical
113 safety (CPMP/ICH/375/95 adopted November 1994)
- 114 • Points to consider on multiplicity issues in clinical trials (CPMP/EWP/908/99)

115 In addition, all pertinent elements outlined in current and future EU and ICH guidelines and regulations
116 should also be taken into account.

117 **4. Evaluation of efficacy**

118 Efficacy may be evaluated using a number of parameters ranging from modification of lipid levels to
119 demonstration of effect on clinical outcomes. In all cases, a detrimental effect on both cardiovascular
120 and non-cardiovascular mortality and morbidity (see also 7.4) should be excluded prior to registration,
121 especially for non-HMG-CoA reductase inhibitor lipid modifying agents.

122 **4.1. Efficacy end points**

123 **4.1.1. Morbidity and mortality**

124 The primary goal of treating lipid disorders is to prevent cardiovascular morbidity and mortality
125 associated with disturbed lipid levels. HMG-CoA reductase inhibitors have accrued considerable
126 evidence demonstrating reduction of cardiovascular events (including stroke) and overall mortality in
127 patients with cardiovascular risk factors, irrespective of their LDL-C levels. Such robust evidence is not
128 consistent for other lipid modifying agents.

129 The requirement of clinical studies showing beneficial outcome on morbidity and mortality during
130 registration largely depends on the mechanism of action and the pharmacological class of the medicinal
131 product. Such studies are not foreseen for the registration of a new HMG-CoA reductase inhibitor. For

132 other medicinal products acting on LDL-C, at least a detrimental effect on mortality and morbidity
133 should be excluded prior to registration (see section 7.2). Until clinical trial data are available, it should
134 be specifically mentioned in the SmPC that beneficial effects on mortality and morbidity have not been
135 evaluated.

136 For medicinal products modifying lipid parameters other than LDL-C, demonstration of a positive
137 clinical outcome is required.

138 **4.1.2. Lipid levels**

139 A relative reduction in LDL-C is acceptable as a primary efficacy endpoint in patients with primary
140 hypercholesterolemia, provided that claims in the label are restricted to a lipid lowering effect.

141 In principle, an isolated effect on TG or HDL-cholesterol is not expected to be the sole basis for the
142 demonstration of the efficacy of a new lipid-modifying agent, but should be seen in conjunction with
143 the effect on non-HDL cholesterol and the underlying pharmacological mechanisms of actions (see
144 section 4.2.2).

145 There is limited experience with clinical studies investigating medicinal products which qualitatively
146 modify dyslipidaemias.

147 **4.1.3. Vascular damage (target organ damage)**

148 Target organ damage of heart, brain, kidneys and, in particular, blood vessels is presumably and
149 plausibly associated with morbidity and mortality. Vascular damage is an integral part of
150 atherosclerosis. Imaging modalities such as IMT (intima media thickness) measurement, IVUS
151 (intravascular ultrasound), MRI (magnetic resonance imaging), have evolved over the past few years
152 as indicators of vascular (or target organ) damage and atherosclerotic burden. Amongst various
153 modalities available, cIMT (carotid IMT) and IVUS may have sufficient validity and weight of evidence
154 for use in phases of drug development including dose finding studies as markers of atherosclerotic
155 process. However they lack the evidence base to suggest that small changes in these parameters
156 influence outcome (that is, to be considered as surrogate markers).

157 Therefore, in the developmental phase (phase II or phase III), the possible parameters for evaluation
158 could include reduction in IMT with treatment, changes in plaque volume or burden, changes in plaque
159 composition and reduction in number of plaques at a variety of sites. Irrespective of the method used,
160 its validity and reliability need to be specifically documented particularly at each specific site including
161 its interaction with clinical end points such as outcomes (either all cause mortality or CV end points).
162 In this context, data generated from two different vascular beds by two different techniques is
163 considered more robust in estimating the overall atherosclerotic burden. Demonstration of regression
164 of atherosclerotic burden is the preferred parameter of effect rather than lack of progression as the
165 end point. While evidence may be generated from a single study of adequate sample size that
166 evaluates imaging outcomes in the short term and CV outcome in the long term as part of validation
167 using an embedded design, ideally, validation and confirmation should come from two independent
168 studies. When two independent studies are used, directional concordance of effect of intervention, for
169 example, with lipid modifying agents is expected. In such cases, care should be taken to ensure that
170 the baseline characteristics of subjects or patients recruited are consistent between studies. In long
171 term studies, ethical considerations governing the use of placebo should be taken into account.

172 At the present time, in adults, it is difficult to envisage an indication solely based on use of these
173 imaging markers as, their independent contribution to the risk stratification or as a risk marker when
174 adjusted for conventional risk factors remains to be established. Therefore, the parameters evaluated
175 by these modalities should correlate with clinically relevant outcomes. The onus therefore, rests with
176 the company to demonstrate the necessary link between the marker, clinical event and the influence of
177 the therapeutic intervention on imaging measures in the chosen patient population.

178 **4.2 Methods to assess efficacy**

179 **4.2.1 Evaluation of morbidity and mortality**

180 To show a beneficial effect on CV morbidity and mortality, the preferred primary endpoint should be a
181 composite of major cardiovascular events (CV or all-cause death, non-fatal myocardial infarction and
182 stroke) adjudicated by a blinded, independent committee. If cardiovascular instead of all cause
183 mortality is chosen, effects on non-cardiovascular mortality should also be taken into account.

184 The inclusion of other events, such as transient ischemic attack, silent MI, unstable angina pectoris or
185 therapeutic interventions (need for PCI) is used in some trials to increase statistical efficiency. The
186 inclusion of such softer endpoints, which are less objectively defined can confound the interpretation of
187 the results, and are accordingly not encouraged. If included, clinically relevant justifications should be
188 provided. Standard definitions as proposed in the guidance document
189 (EMA/CHMP/EWP/311890/2007) are encouraged.

190 **4.2.2 Measurement of lipid levels**

191 Lipid-altering effects of lipid-modifying agents should be documented as the pre-/post- treatment
192 change in lipid levels. All measurements should be performed under standardized, fasting conditions
193 following a dietary lead-in period with or without wash-out of appropriate duration, depending on the
194 pharmacological action of the administered standard therapy and as justified by the sponsor.

195 In patients with primary hypercholesterolemia reduction in LDL-C is the primary endpoint to support
196 the indication of hypercholesterolemia or mixed hyperlipidaemia. As a secondary endpoint these effects
197 can also be assessed with respect to response criteria according to internationally accepted standards,
198 such as those formulated by the European Atherosclerosis Society (EAS) or National Cholesterol
199 Education Program (NCEP). Changes in TG, and HDL-C should also be studied as secondary parameters
200 as they are becoming increasingly used to assist treatment recommendations.

201 Other lipid parameters, such as apolipoprotein AI (apo A1), apolipoprotein B (apo B), or the balance
202 between apo B and apo A1, and lipoprotein (a), can be considered secondary efficacy measures only if
203 considered relevant to the primary outcome. In diabetic subjects pre/post treatment change in
204 glycaemic control should be documented, as this may affect lipid levels.

205 It also is recognized that not only quantitative lipid abnormalities exist, but qualitative abnormalities as
206 well, such as small and dense or oxidized, that may become prime targets for new forms of lipid
207 modifying agents.

208 **4.2.3 Assessment of vascular damage (target organ damage)**

209 An imaging surrogate biomarker for atherosclerosis might be intended to measure the change in
210 thickness of the IMT either in carotid artery, or IVUS, measure changes in plaque volume/burden
211 including the number of plaques or measure changes in plaque composition but importantly it should
212 be reproducible and correlate with an accepted clinical outcome measure. These could be achieved by
213 several methodologies as detailed above (cIMT, IVUS, MRI or other). For any marker or methodology
214 (cIMT or IVUS), it is important that the investigative staff receives comprehensive training and those
215 reading the images are blinded to treatment and sequence. Image acquisition and analysis should be
216 carried out by experienced technicians to a high, reliable quality. It is important to ensure that
217 measurement methodology, the sites of measurement, the operator and the ultrasound machine are
218 optimal at all trial sites. A centralised laboratory measurement is recommended and interobserver
219 variability should be discussed in the study report. This should be minimised and the impact of such
220 variability should be discussed in any regulatory submission. Based on the current level of evidence,
221 two methodologies are considered relevant for discussion.

222 **cIMT**

223 For cIMT, images of right as well as left common carotid arteries (CCA), carotid bulb and internal
224 carotid arteries (ICA) need to be obtained. The pre/post intervention difference in IMT needs to be
225 defined a priori and adequately justified (such as 0.05 mm/year or other appropriate value) along with
226 the clinical relevance. It is recommended that the change in mean maximum IMT be the primary
227 measurement across 12 pre-selected carotid arterial segments over time (18 - 24 months; as a study
228 of shorter duration will neither be conclusive nor helpful). If fewer segments are chosen based on
229 other considerations, they will need to be adequately justified including consensus reports and
230 evidence base. It is also recognised that mean IMT has been considered as a relevant parameter by
231 some groups, but the evidence base to support this will need to be included in any justification. The
232 following secondary measurements could be considered: absolute change from baseline of the
233 combined cIMT (CCA, carotid bulb and ICA of both right and left carotid arteries) after 24 months, the
234 difference in slope of the far-wall mean IMT (both common carotid arteries), the change in mean
235 and/or maximum far wall IMT, the rate of progression measured as linear slope on annual ultrasound
236 examinations and the average of the maximum cIMT of the far wall of up to 4 arterial segments.

237 **IVUS**

238 In order to demonstrate changes with IVUS using a pullback method, a minimum of 20% luminal
239 narrowing of the relevant coronary artery at baseline is required. It is recognised that IVUS is invasive,
240 but efforts should be made to include at least two measurements at relevant time points in the same
241 arterial segment (e.g. baseline and end of treatment period) under similar conditions. Use of IVUS in
242 conjunction with cIMT in the same study should be considered. For IVUS, percent plaque volume
243 (change from baseline) is recommended as the primary measurement. Alternatively, total plaque
244 burden or total atheroma volume is the other preferred measurement. In each instance, justification
245 that the chosen value is of clinical significance will be required. In addition, the impact on the lumen
246 diameter needs to be established. Other measures that could be considered include normalised total
247 plaque volume (percent change) and plaque volume in most diseased 10mm segments (change from
248 baseline in mm and percent change).

249 **5. Selection of patients**

250 For the evaluation of the effects of a new agent for treatment of lipid disorders, the study population
251 will generally depend on the type of lipid disorders for which the drug is intended. Studies for the
252 evaluation of efficacy or safety of a new lipid-modifying agent are mainly performed in patients with
253 primary hypercholesterolemia and mixed hyperlipidemia with moderate to very highly elevated LDL-C
254 levels. Attention should be paid to effects of gender, race and age. Children and adolescents below 18
255 years are addressed in the paediatric addendum to the guideline. Subjects above 65 and 75 years
256 should be adequately represented in the studies.

257 For the evaluation of the clinical outcomes, patients should be chosen with a well characterised risk
258 level and either homogeneous or stratified based on risk level, thus permitting a straightforward
259 extrapolation of the results. Patients with clinical and/or other manifestations of atherosclerosis and/or
260 type 2 diabetes mellitus should be represented in adequate numbers to allow statistical (sub) group
261 evaluation. These studies may include patients with borderline high or even "normal" cholesterol
262 levels.

263 When specifically claimed, patients with familial hypercholesterolemia (heterozygous and homozygous)
264 should normally be studied in separate clinical trials, based on clinical, genetic, and/or functional
265 criteria.

266 **6. Strategy and design of clinical trials**

267 Studies involving the first administration of medicinal products for lipid disorders to man do not differ
268 essentially from those dealing with other cardiovascular medicinal products.

269 Following initial screening, a dietary lead-in period is obligatory before randomization in the study.
270 Inclusion criteria and the reliability of the methods used should be justified, taking into account such
271 factors as the target population and assay accuracy. Lipid-modifying therapy should be withdrawn at
272 the start of this period, when monotherapy is studied, requiring an adequate wash-out. Dietary
273 supplements should be recorded and remain unchanged throughout the trial duration.

274 **6.1. Pharmacodynamics**

275 These studies should include evaluation of tolerability, duration of action, and relevant clinical or
276 haemodynamic parameters. Further studies will depend on the mechanism of action of the drug and
277 toxicology data, such as pre-clinical evidence of cataract and occurrence of signs and symptoms of
278 myopathy.

279 **6.2. Pharmacokinetics**

280 Data should be in accordance with EC requirements. Special attention should be paid to
281 pharmacokinetic interactions (see also section 7).

282 **6.3. Therapeutic studies**

283 **6.3.1. Therapeutic exploratory studies**

284 Dose-response studies should be randomized, placebo-controlled and double-blinded and at least 3
285 dosages should be studied to establish the clinically useful dose-range as well as the optimal dose. The
286 parallel group design with randomization to several fixed dose groups is the general rule for the major
287 dose-response studies. Distinction should be made between the separate lipid modifying effects of the
288 different dosages. Dose schedules should be clearly defined for elderly patients and high-risk patients.
289 Duration will vary from 4 weeks to 3 months.

290 **6.3.2. Therapeutic confirmatory studies.**

291 **6.3.2.1. Demonstration of lipid-modifying effects as monotherapy**

292 Given the efficacy and safety of particular drugs (mainly statins) placebo controlled trials investigating
293 products for monotherapy are no longer acceptable in large groups of patients and high risk subjects.

294 Comparative studies with accepted therapy are mandatory for evaluating the efficacy and safety of
295 newer lipid-modifying drugs. The appropriate comparator(s) should be selected based on the
296 pharmacological class, type of lipid modifying effects and the claimed indication. When comparison is
297 made within the same pharmacological class, specific attention should be paid to dosing based on
298 relative potency. General considerations should be applied when establishing a clinically relevant
299 difference or a non-inferiority margin. Three arm studies including (short term) placebo may be
300 valuable depending on the magnitude of response in the initial therapeutic studies. The dose schedule
301 selected for pivotal studies on lipid altering effects must be justified on the basis of the dose finding
302 studies in the target population. Duration will depend on their expected outcome but should last at
303 least a minimum of 3 months (for known mechanisms of action) and preferably up to 12 months (for
304 others), depending on dose titration and the time to achieve maximal response. The dose should be
305 increased according to dosing rules expressed in the protocol, and at each dose level the duration of

306 treatment should be long enough to estimate the effect of the respective dose prior to further dose
307 adaptation.

308 **6.3.2.2. Demonstration of lipid modifying effects in combination with other** 309 **lipid-modifying agents**

310 Combination of lipid-modifying agents should be specifically studied in comparison to placebo in
311 patients with inadequate response to any of the components of the combination separately. The
312 adequacy of the response needs to be defined in terms of the desired lipid modifying effect and will
313 depend on current standards. In case the new drug is only intended to be administered in combination
314 with an existing drug, the target population is expected to be constituted by patients not adequately
315 controlled with a standard dose of the marketed drug in monotherapy. Specifically, patients should be
316 on a maximum-tolerated statin dose, before adding a second lipid-modifying agent. In principle,
317 combination strategies are not expected to be licensed as first line therapy on the basis of their effect
318 on LDL-cholesterol and other lipid parameters, in particular TG and HDL-C alone, unless the applicant
319 is able to justify the benefit of such strategy in terms of morbidity and mortality.

320

321 **6.3.2.3. Demonstration of benefits in clinical outcome**

322 Any claims of a beneficial effect on the clinical outcome, in particular cardiovascular outcome, should
323 be supported by long-term, controlled, parallel and double-blind clinical studies. Either the superiority
324 or the non-inferiority approach can be adopted. When using the non-inferiority approach, establishing
325 assay sensitivity is of paramount importance. A placebo-controlled study aiming to demonstrate
326 superiority if ethically acceptable, and if there is no established therapy for the specific target
327 population is also acceptable.

328 **7. Safety aspects**

329 All adverse events occurring during the course of clinical trials should be fully documented with
330 separate analysis of adverse drug events/reactions, dropouts, deaths while on therapy and clinical
331 laboratory results.

332 **7.1. Specific organs of Interest**

333 Specific target organs monitored for safety should be reflective of the non-clinical and clinical study
334 results based on mechanism of action of the compound and potential safety signals seen with other
335 compounds. Particular attention should be paid to the following:

336 **Liver**

337 Liver function tests should be routinely measured and analyzed in line with accepted guidelines.
338 Information on patients with different degrees of liver impairment (Child-Pugh Classification) should be
339 included in the regulatory submission dossier.

340 **Muscles**

341 Various lipid-modifying agents from different classes have been associated with creatinine kinase (CK)
342 elevations with associated symptoms. Specific attention should be paid to signs and symptoms of
343 myopathy. It is recommended that muscle symptoms should be actively sought in the development
344 programme/clinical trials and CK levels be monitored as part of safety evaluation regularly. As severe
345 muscle disorders are usually rare, a post-marketing surveillance and risk management plans should be
346 considered to monitor CK and muscle symptoms. Myopathy/muscle toxicity should be defined using
347 standard MedDRA query (SMQs) throughout the clinical development programme.

348 **Kidney**

349 Pre-clinical data have reported nephrotoxic effects on tubular cells of some lipid-modifying agents.
350 Furthermore, muscle-associated AEs of some lipid –modifying agents are known to be worse in those

351 with impaired renal function. These aspects should be carefully studied in the development
352 programme.

353 **7.2. Long-term effects on mortality & morbidity**

354 The target population for lipid-modifying agents includes to a large degree patients with co-morbidities
355 and concomitant medications. Different safety aspects should therefore be evaluated in a dataset
356 representative of this population. In addition to an assessment of overall safety data in multiple organ
357 systems, it is essential to, as far as possible, exclude that the new medicinal product increases the risk
358 of damage in any of the target organs normally affected by dyslipidemias (liver, muscle and also
359 cardiovascular effects)..

360 **7.2.1. Type of studies**

361 The complete development program will be taken into account in order to detect potential signals that
362 may suggest an increased risk for other rare adverse events including CV risk, muscle and liver
363 toxicity. The following general elements should be considered:

- 364 • Non-clinical data

365 Non-clinical data in relevant animal models evaluating the potential effect of the test drug on different
366 safety aspects, including CV risk, should be conducted and provided as an instrumental element of the
367 safety evaluation. Animal studies should focus, amongst others, on athero-thrombotic findings, fluid
368 retention, blood pressure, renal function, electrolytes homeostasis, cardiac functionality, repolarisation
369 and conduction abnormalities (pro-arrhythmic effects), liver, muscle etc., as outlined in ICH guidelines
370 (e.g. S7A and S7B). For certain agents, reactions relating to muscle and liver toxicity are of particular
371 significance as are local tolerance and immunogenicity depending on the nature of the medicinal
372 product. If the drug is developed in the paediatric population the guideline on the need for non-clinical
373 testing in juvenile animals of pharmaceuticals for paediatric indications should be considered.

- 374 • Clinical data

375 There are two important aspects to consider in terms of detecting signals of adverse events; the
376 overall size of the database and the time needed to detect the signal.

377 An overall plan for the detection and evaluation of potential adverse events, including justification of
378 the size and duration of the studies with respect to the possibility of detecting safety signals, should be
379 formulated early during the clinical development, optimally by the time of phase II studies. While the
380 relevant ICH document provides a general guidance on the requirements of safety databases, a wider
381 exposure is likely to be necessary commensurate with the target population for the medicinal product
382 to refute the suspected safety issues. This program should take into consideration, key elements of the
383 primary and secondary pharmacology as well as key toxicological findings from non-clinical studies.

384 Two approaches are conceivable:

- 385 1. A pooled, patient level meta-analytic approach to safety events. In such cases the size of
386 database, as well as the mean duration of the studies, are expected to be adequate to detect
387 signals for serious and uncommon events.
- 388 2. As an alternate approach or when there is suspicion of an adverse signal (CV or other organ
389 from the database), a specific long-term controlled outcome study with at least 18 – 24
390 months follow-up (depending on the characteristic of the drug and baseline risk of the studied
391 population) would be expected as part of the clinical development program for a lipid-
392 modifying agents at the time of submission of the MAA.

393 The safety evaluation should include a prospective definition of adverse events, particularly
394 cardiovascular safety outcomes of interest that is common for all phase II-III studies, facilitating

395 pooled analysis strategies. Furthermore, applicants should foresee a consistent central adjudication
396 system for all predefined CV and other adverse events of interest during the phase II-III program.
397 Detailed statistical analysis plan for the pooled CV safety data should be prospectively designed.

398 **7.2.2. Study Population**

399 In the development program, every effort should be undertaken to include a study population that
400 mimics as much as possible the target population, regardless whether a meta-analytic approach or a
401 specific study approach is used. In either case, an adequate number of high risk patients including
402 elderly patients (above 75 years), subjects with cardiovascular risk factors (e.g. diabetes,
403 hypertension), high risk for cardiovascular complications and confirmed history of ischemic heart
404 disease and/or congestive heart failure should be included in the clinical development. Detailed clinical
405 information allowing a proper characterisation of the baseline characteristics, including ischemic heart
406 disease and congestive heart failure, for patients enrolled in controlled studies must be collected and
407 summarised.

408 **7.2.3. Safety outcomes**

409 Concerning CV events, the emphasis will be on major cardiovascular events (MACE) (CV death, non
410 fatal myocardial infarction and stroke) but hospitalisation for unstable angina could also be included in
411 a composite endpoint if the main objective is to exclude a safety signal. It is important to ensure that
412 these are centrally adjudicated. Other events such as revascularisation and/or worsening of heart
413 failure can also be evaluated.

414 Clinically relevant changes in cardiac function (e.g. by echocardiography) should be evaluated if there
415 is an indication of a detrimental effect on cardiac function.

416 Other safety outcomes should be chosen based on the known safety profile of the product class, the
417 mechanism of action of the investigational drug and/or the non-clinical findings.

418 Use of relevant terms for coding AEs should be properly defined and harmonised across clinical
419 development, allowing an efficient analysis of safety.

420 **7.2.4. Evaluation of the results**

421 For medicinal products belonging to a well-known class (and mechanism of action) a careful evaluation
422 of the available medical literature together with the absence of pre-clinical and clinical signals of
423 increased cardiovascular risk may lend some support to a meta-analytic approach provided there is no
424 product specific signal from the database. If a benefit or at least absence of harm in terms of CV risk
425 has been shown with other agents in the same class and product specific differences in the off target
426 effects between agents are unlikely, this may reduce the need for a specific outcome study.

427 An integrated safety analysis with specific focus on cardiovascular safety (i.e. with adjudicated pre-
428 determined MACEs) should be submitted at the time of MAA for any drug. An appropriately powered
429 cardiovascular safety assessment, e.g. based on a dedicated CV outcome study, should be submitted
430 before marketing authorization whenever a safety concern is intrinsic in the molecule/ mechanism of
431 action or has emerged from pre-clinical/ clinical registration studies.

432 Independently of whether a meta-analytic approach or a specific outcome study approach is used, due
433 consideration should be given to the range of analyses presented, as in the field of signal detection no
434 single approach to the analysis of data is sufficient to guarantee that all relevant signals are actually
435 captured.

436 The overall results of this safety program should be discussed in terms of internal and external validity
437 and clinical justification of the safety outcomes. Acceptability of the data presented will be decided

438 based on its overall quality, the point and interval estimates obtained for the calculation of specific
439 risks, including cardiovascular risk, and the reliability of these estimations. A summary of what is
440 known about CV risk should be proposed for the SmPC. Indications of increased risk of certain adverse
441 events or unacceptable lack of precision are important concerns and may trigger the request for
442 additional specific long-term outcome trials to exclude an unacceptable increase in CV or other
443 identified risks associated with the new agent. The risk management plan should cover identified and
444 potential safety issues. Detailed guidance on RMPs is relevant here.

445 Definitions

| ABBREVIATION | DEFINITION |
|--------------|---|
| ALT | Alanine amino transferase |
| CABG | Coronary artery bypass grafts |
| CHD | Coronary heart disease |
| MRI | Magnetic Resonance Imaging (cardiac or other end organ) |
| CCA | Common carotid artery |
| ICA | Internal Carotid artery |
| CVD | Cardiovascular disease |
| EAS | European Atherosclerosis Society |
| HDL-C | High density lipoprotein Cholesterol |
| HRT | Hormone replacement therapy |
| IMT (& cIMT) | Intima Media thickness (& carotid IMT) |
| IVUS | Intravascular ultrasound |
| LDL-C | Low density lipoprotein Cholesterol |
| NCEP | National Cholesterol Education Program |
| PCI | Percutaneous Coronary intervention |
| PTCA | Percutaneous transluminal coronary angioplasty |
| SMQ | Standard MedDRA Query |
| TC | Total cholesterol |
| ULN | Upper limit of normal |
| CK | Creatinine Kinase |

446 References

- 447 • ESC/EAS Guidelines for the management of dyslipidaemias ([http://www.escardio.org/guidelines-](http://www.escardio.org/guidelines-surveys/esc-guidelines/GuidelinesDocuments/guidelines-dyslipidemias-FT.pdf)
448 [surveys/esc-guidelines/GuidelinesDocuments/guidelines-dyslipidemias-FT.pdf](http://www.escardio.org/guidelines-surveys/esc-guidelines/GuidelinesDocuments/guidelines-dyslipidemias-FT.pdf))