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COMMITTEE FOR MEDICINAL PRODUCTS FOR HUMAN USE (CHMP)

DRAFT

GUIDELINE ON CLINICAL INVESTIGATION OF MEDICINAL PRODUCTS IN THE TREATMENT OF PARKINSON'S DISEASE

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EXECUTIVE SUMMARY

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- 2 The present document should be considered as general guidance on the development for medicinal
- 3 products for the treatment of Parkinson's disease and should be read in conjunction with other EMEA
- 4 and ICH guidelines, which may apply to these conditions and patient populations.
- 5 Traditionally for Parkinson's disease different indications have been identified depending on the
- disease stage. Mostly these indications concerned symptomatic improvement in Parkinson's disease.
- 7 The clinical development plan for these indications has been reasonably established. Confirmation of
- 8 efficacy and safety is based on randomised double-blind, placebo-controlled and active controlled
- 9 parallel group studies.
- 10 Recent progress in basic science and molecular biology of the neurodegenerative diseases, including
- Parkinson's disease, has fostered interest in disease modifying agents. The number of trials evaluating
- products aiming to delay disease progression is increasing although no study design can be
- recommended definitely. For a disease-modifying claim a two-step procedure is foreseen, first a delay
- in disease progression should be shown, second an effect on the underlying pathological process
- should be established.

1. INTRODUCTION (background)

- 17 Parkinson's Disease (PD) is a common neurodegenerative disease neuropathologically characterised
- 18 by the degeneration of heterogeneous populations of neural cells involving different neurotransmitter
- systems and different regions of the Nervous System. Degeneration process initiates in the olfactory
- 20 nucleus and lower brainstem nuclei, and will extend to upper structures. The degeneration of the
- 21 pigmented neurons in the pars compacta of the substantia nigra accounts for most of the distinctive
- 22 motor symptoms. The presence of eosinophilic cytoplasmatic inclusions (Lewy bodies) in the
- 23 remaining cells of pigmented nuclei and other brain regions is essential for the neuropathological
- 24 diagnosis. The Lewy bodies are however, not unique for PD. The Lewy bodies are found in
- 25 Alzheimer's Diseases and in the elderly.
- The incidence of PD is estimated 4.5-16/100.000 persons/year. PD is rare before 50 years of age.
- 27 Incidence rates increase with age from 5/100.000 in the 45-49-age group up to 90/100.000 in the over
- 28 75-age group. Prevalence estimates range from 18-328/100.000. The overall prevalence of PD for
- subjects aged 65 years or older is 1.6%. Prevalence increases with age, from 0.6% in the 65-69-age
- group up to 3.5% in the 85-89-age group.
- 31 The clinical diagnosis of PD requires bradykinesia and at least one of the following resting tremor,
- 32 muscular rigidity and postural reflex impairment. Diagnosis of PD requires the exclusion of other
- 33 causes of Parkinsonism and Parkinsonian syndromes e.g. progressive supranuclear palsy, multiple
- 34 system atrophy, drug induced parkinsonism and post-encephalitic parkinsonism. Misclassifications,
- especially in early-stage PD, occur frequently. However, around 75% of the diagnosis of PD is correct
- if 2 out of the 4 core symptoms are present and other neurological sign/symptoms absent. In addition,
- 37 MRI may be helpful for excluding other Parkinsonian syndromes. In 15%-25% of the clinical
- diagnosis, PD cannot be confirmed histopathologically. The role of biomarkers for the diagnosis of PD
- is uncertain.
- 40 Other signs and symptoms that may be present or develop during the progression of the disease are
- 41 postural reflex impairment, autonomic disturbances (sialorrhoea, seborrhoea, constipation, micturation
- 42 disturbances, sexual functioning, orthostatic hypotension, hyperhydrosis), sleep disturbances and
- disturbances in the sense of smell or sense of temperature.
- 44 Depressive symptoms and cognitive dysfunction develop in up to 45% and 35% of Parkinson's
- patients respectively. There are no distinct clinical features that distinguishes depressive symptom in
- 46 PD from depression. With respect to cognitive functions there is a considerable overlap in clinical and
- 47 neuropathological features with other dementing disorders. Parkinson's Disease Dementia (PDD) is
- 48 strictly related to Dementia with Lewy Bodies (DLB) but may overlap considerably with Alzheimer's
- 49 Disease. Most neuropathological studies in patients with PDD report the co-existence of a substantial
- amount of Alzheimer's amyloid plaques. Clinically cognitive dysfunctions in PDD and Alzheimer
- 51 may converge as the disease progresses although at in the early stages the spectrum of cognitive

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- 52 deficits may be different. Whether Dementia with Lewy Bodies and PDD are different entities is not
- 53 settled.
- PD is slowly progressive. Severe disability or death may be expected in 25% of the patients within
- 55 5 years, in 65% of the patients within 10 years and in 80% of the patients within 15 years of onset.
- 56 Ideally treatment should stop further neurodegeneration and delay disease progression. However the
- 57 mechanisms responsible for the dopaminergic cell loss in PD is unknown. No pharmacotherapy
- currently exists that has shown a relevant delay in disease progression.
- 59 Current pharmacological intervention in PD is symptomatic. Improvement of the impaired
- 60 dopaminergic neurotransmission is the backbone of pharmacotherapy. Patients with early stages of PD
- 61 may start, depending on the clinical context, with a dopamine-agonist or a dopamine-precursor
- $(L-Dopa+)^{1}$.
- In general, a patient with early stages PD will start with dopamine-agonists. If symptoms are
- 64 insufficiently controlled L-Dopa+ is added during the course of the disease. In advanced PD most
- patients will receive both L-Dopa+ and a dopamine-agonist.
- Motor complications will develop during the course of the disease. Frequently they are referred to as
- 67 L-Dopa+ induced motor-complications. However, the mechanisms leading to these motor
- 68 complications are not fully understood. Most likely the effect of L-Dopa+ is modified as a
- 69 consequence of the loss of dopaminergic cells as there is no evidence that L-Dopa+ itself has a
- deleterious effect on disease progression.
- 71 L-Dopa+ dose-limiting factor are the occurrence of involuntary movements (dyskinesias, dystonia,
- chorea-athetose) psychiatric side effects (hallucinations, delusions, psychosis....) and autonomic side
- effects (e.g. orthostatic hypotension). Dopamine-agonists act directly on the dopamine receptors.
- However, compared to L-Dopa+, dopamine-agonists are relative less effective and have a higher
- 75 incidence of psychiatric and autonomic side effects.
- 76 Other drug categories as MAO inhibitors, COMT inhibitors, anticholinergies and glutamate
- 77 modulators may represent an alternative, or more often an adjunctive treatment to L-Dopa+ and
- 78 dopamine-agonists.
- 79 Non-pharmacological interventions include deep brain structures stimulation and neuronal grafts.
- 80 Deep brain structures stimulation is limited to highly selected patient groups. Neuronal grafts mainly
- 81 have an investigational status.

82 **2. SCOPE**

- The scope is this document is restricted to PD with some remarks concerning PDD and depression in
- 84 PD.

85 3. LEGAL BASIS

- 86 These notes are intended to provide guidance for the evaluation of drugs in the treatment of
- 87 Parkinson's disease. They should be read in conjunction with the Directive 75/318/EEC and
- 88 83-570/EEC and current and future EC and ICH guidelines, especially those on:
- Studies in support of special populations: geriatrics (ICH E7)
- The extent of population exposure to assess clinical safety for drugs intended for long-term treatment in non life threatening conditions (ICH E1)
- General considerations for clinical trials (ICH-E8)
- Guideline on Clinical Trials in Small Populations.
- Statistical principles for clinical trials (ICH-E9)
- Choice of Control Group in Clinical Trials (ICH E10)

¹ With L-Dopa+ the combination levo-dopa and a peripheral dopa-decarboxylase inhibitor is indicated.

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- Note for Guidance on the Investigation of Drug Interactions
- Pharmacokinetic studies in man
- 98 Clinical testing of prolonged action forms, with special reference to extended release forms
- Dose response information to support product authorisation (ICH E4)
- They are intended to assist applicants in the interpretation with respect to specific problems presented
- by products in PD.

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102 4. SPECIFIC CONSIDERATIONS

- In developing medicinal products in the treatment of PD specific problems can be found. These
- problems are discussed in this section.

4.1 Design of the clinical studies

- 106 Clinical studies in PD are hampered by the long duration and slow progressive course of the disease,
- the variability and heterogeneity of symptoms and signs, cyclic episodes in severity of the
- symptoms/signs over the day related to the time of medication and polytherapy. In addition,
- misdiagnosis, co-morbidity and co-medication add to the heterogeneity of the patient population.
- The design of the clinical trials in PD depends on the objectives of the study. The following study
- objectives may be distinguished:
- Symptomatic relief in early-stage PD before L-Dopa+ treatment.
- Symptomatic relief in patients with PD on L-Dopa+ subdivided in:
- Patients on L-Dopa+ with insufficient control of motor symptoms.
- Patients on L-Dopa+ with motor fluctuations.
- Patients with serious unpredictable and rapid changing motor fluctuations
- 117 Therapies aimed to modify disease progression, late motor complications.
 - Treatment aimed to postpone late motor fluctuations.
- Treatment aimed to delay disease progression.
- 120 Substitution of neuronal loss.
- 121 In addition some remarks concerning studies in PDD and PD associated depression are made.

122 Symptomatic relief in early-stage Parkinson's Disease before L-Dopa+ treatment

- Double-blind placebo-controlled studies of at least 6-month duration (excluding the titration period,
- where dose adaptations of the test drug are allowed), are recommended to establish efficacy,
- maintenance of efficacy and safety.
- Main efficacy variable should focus on the improvement of the core symptoms, i.e. motor symptoms.
- 127 In de novo patients, the clinical development plan should include three arm randomised double-blind
- 128 placebo-controlled studies. Motor symptoms in general are highly variable and fluctuating motor
- symptoms in PD is not an exception in this respect. This is even more prominent in early-stage PD
- where symptoms are mild. Thus incorporation of a placebo-arm allows the distinction between a
- genuine treatment effect and spontaneous motor fluctuations in early-stage PD. Given the slowly
- progressive course and mild stage of the disease a placebo-control is not considered unethical. The
- positive control arm aims to demonstrate a similar or better benefit/risk to evaluate the benefit/risk
- balance of the test drug as compared to an acknowledged standard product in early-stage PD. The
- choice of the comparator and the dose used should be justified.

136 Symptomatic relief in patients with Parkinson's Disease on L-Dopa+

- 137 In patients with some form of advanced PD the test drug may be given as adjunctive to L-Dopa+.
- These patients may suffer from an insufficient control of motor symptoms despite being treatment
- with L-Dopa+ or may suffer from dose dependent or non -dose dependent motor fluctuations.

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140 Patients on L-Dopa+ with insufficient control of motor symptoms

- In patients on L-Dopa+ with insufficient control of motor symptoms -the existing L-Dopa+ therapy
- should be optimised before the test-drug/placebo is added. After the test drug is added L-Dopa+ dose
- should be kept stable. Otherwise it will not be possible to distinguish between an effect of the
- test-drug and an increased efficacy due to the optimised of L-Dopa+ dose regime. Three arm placebo
- and active controlled trials are recommended.
- Endpoint should be the improvement of the core symptoms, i.e. motor symptoms and activities of
- daily living (see section 5.1 Methods to assess efficacy).

148 Patients on L-Dopa+ with motor fluctuations

- 149 It is common practice to make a distinction between dose and non-dose dependent motor fluctuations,
- 150 to speak of late motor complications, L-Dopa+ induced late motor complications and motor
- 151 fluctuations in 'end stage' PD. Paroxysmal on-off phenomenon, freezing, dyskinesia in on-phase are
- usually considered less related to the time of dosing, and hence less predictable.
- These distinctions are somewhat arbitrary as they are neither separate entities (e.g. same type of motor
- 154 fluctuation may occur in all categories) nor mutual exclusive. They are however of pragmatic value as
- they indicate possible treatment options (e.g. change in dosage regime). The text below should be read
- keeping this in mind.
- Predictable motor fluctuations are related to the time of dosing e.g. peak dose dyskinesias, end of dose
- deterioration, wearing off and biphasic dyskinesias. Delayed-on is a prolongation of the time required
- for an anti-Parkinsonian drug effect to appear.
- An effect on predictable motor fluctuations should be proven by comparing the effect of the new
- treatment regime to the standard treatment regime. The term treatment regime is used here to capture
- different formulations as well as different devices with the same agents. As an example a slow release
- L-Dopa+ should be compared to an immediate release L-Dopa+ formulation. A benefit of the new
- treatment regime as compared to the standard treatment regime should be established. Study duration
- of 3 months is recommended. Likewise there are arguments that continuous stimulation instead of a
- pulsatile stimulation of the dopamine-receptors lead to less, or even, delay late stage motor
- 167 complications irrespective whether they are dose/non-dose dependent. Such claim would require
- 168 confirmation in comparative superiority studies.
- For less predictable motor fluctuations (e.g. paroxysmal on-off phenomenon, freezing) therapy intends
- to reduce the number, duration and/or intensity of "OFF"-periods. Hence, main efficacy variable
- should be the decrease in number, duration and/or intensity of "OFF"-periods. It should also be clear
- to what extent "ON"-time with dyskinesia and "ON"-time without dyskinesia is increased. Derivation
- of a responder variable, for instance Patient with an x amount of reductions and x quantity increase in
- on/off time might be helpful.
- In addition the relative efficacy and safety compared to the standard product for symptomatic relief in
- advanced PD should be known. Therefore the clinical development plan should included three arm
- 177 randomised double-blind placebo-controlled studies wherein a test-drug arm, a standard-drug arm and
- a placebo-arm, all in addition to L-Dopa+. Study duration should last at least 3 months excluding the
- titration phase.
- 180 In all conditions concomitant L-Dopa+ and other relevant medication should be kept constant during
- the trial. A reduction in L-Dopa+ dosage is not appropriate as primary efficacy variable, but should be
- recorded for validation of the study design to provide reassurance that treatment effects are not due to
- dose reductions in L-Dopa+. However, Parkinson patients may suffer from both dose-dependent and
- non-dose-dependent motor fluctuations simultaneously. In such cases it might not be possible to keep
- the relevant concomitant medication constant. However, efficacy on non-dose dependent motor
- 186 fluctuations can only be claimed if such effect does not negatively affect dose-dependent motor
- 187 fluctuations or OFF-time.

188 Patients with serious, unpredictable and rapid changing motor fluctuations

- In highly advanced PD, patients may suffer from severe and highly unpredictable motor fluctuations
- that may succeed each other rapidly during the day.

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- 191 Continuous delivery devices of L-Dopa+ or dopamine-agonist subcutaneously, intravenously or by a
- duodenal catheter have been shown to be beneficial.
- 193 For proving efficacy randomised blinded comparative studies are needed showing a reduction of the
- motor fluctuations. Alternative study designs (e.g. n = 1 trials) may be considered. Referred is to the
- 195 Guideline on Clinical Trials in Small Populations.
- 196 For safety number of subjects and follow-up time should be sufficient to make a safety assessment. No
- detailed recommendations can be made as this also depends on the substance and device used.

198 Therapies aimed to modify disease progression: Postponement of late motor complications

- When the drug studied is aimed at postponing late motor complications the trials should be long term
- double-blind, placebo-controlled add-on studies wherein the test drug or placebo is added to L-Dopa+.
- Duration of the study should be sufficient to show an effect. Given the expected time window wherein
- late motor complications develop the study may last for years. Primary efficacy variable should be
- 203 time to late motor complications as pre-specified in the protocol. The reduction in L-Dopa+ doses as
- primary efficacy variable is not recommended. However, it is necessary to take into account L-Dopa+
- reductions when evaluating other efficacy variables in the light of these reductions.
- New study designs concerning postponing late motor complications may be developed but should be
- 207 justified.

208 Therapies aimed to modify disease progression: Treatment aimed to delay disease progression

- 209 The number of trials evaluating products aiming to delay disease progression is increasing. There is
- 210 however, no universal study design that can be recommended.
- As general principle studies should be randomised, placebo-controlled and long-term. In general, the
- 212 time to that a milestone event or proportion of subjects reaching that milestone may be the primary
- 213 endpoint. The event of interest and duration of study depends on the Parkinson population studied:
- Early untreated PD (*de novo* patients): The goal is to slow the progression of motor symptoms by
- assessing change in UPDRS, or time to L-Dopa+/DA-agonists. The proposed trial duration should
- be sufficient long probably up to 24 months.
- The change in UPDRS may be evaluated by a slope analysis. Slope analyses however, have a
- number of limitations, including the necessity of regular assessment of number of points and
- 219 imputation of missing values. Extrapolation of the slope beyond the observation period requires a
- linear progression rate. This assumption needs to be justified and might be different depending on
- the population included and duration of observation. Hence the clinical relevance of difference in
- slope may be difficult to assess. Moreover the progression rate in the placebo group is dependent
- on the population selected.
- Further caveats concern the use of time to L-Dopa+ which requires highly standardised
- assessments.
- 226 Stable treated PD: the goal is to slow further decline of motor impairment, progression of
- disability, prevent motor complications and prevent non-motor complications. Studies may
- demand 2-5 years. Key outcomes measurements for this stage could be the emergence of so-called
- 229 axial symptoms: e.g. freezing of gait, loss of balance or Hoehn & Yahr stage III.
- 230 In advanced PD the prevention of disability becomes the key therapeutic goal. Clinical endpoints
- are also wide-ranging including; autonomic failure, falls, cognitive symptoms and possibly 'time
- 232 to' dementia and time to nursing home placement. Clinical studies for this population could
- extend over five years.
- 234 Biomarkers measuring the cerebral dopamine uptake (SPECT-β-CIT) or dopamine-receptor density
- 235 (PET-F-dopa) cannot be considered sufficient surrogate biomarkers for measuring disease progression.
- 236 Although these are biomarkers for nigrostrial function it is not established that they result in
- 237 meaningful, measurable and persistent changes in clinical function. Simultaneous assessment of
- 238 clinical outcome and biomarkers is recommended in order to evaluate whether both are causally
- associated and to assess the potential predictive value of a biomarker for clinical outcome. Of note
- 240 current imaging techniques are not predictive for non-dopaminergic related symptoms.

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- 241 If delay in disease progression is shown, this does not imply that a new agent is also a disease
- 242 modifier. This requires the demonstration of an effect on the underlying pathophysiology of the
- disease by e.g. biomarkers. Therefore for a disease-modifying claim a two-step procedure is foreseen,
- 244 first a delay in disease progression should be shown, second an effect on the underlying
- pathophysiology process should be established.

246 Substitution of neuronal loss

- 247 Cell therapy in the CNS is confined to the experimental stage. In PD there are a lot of uncertainties
- 248 that remains to be settled e.g. the source of tissue, the selection of patients, number of cells required,
- 249 need for immunosuppressant and/or growth factors, most successful surgical procedures and so on.
- 250 Although no detailed recommendations can be made it should be demonstrated that the grafted
- 251 neurons survive and are functional. Therefore survival of the graft as shown by for instance PET
- 252 imaging, has to be supported by long term improvements of motor function or a reduction in the need
- for dopaminergic agents.

254

Treatment of cognitive dysfunction in Parkinson's Disease

- 255 PDD and Dementia with Lewy Bodies (DLB) are subsumed under the umbrella Lewy Body dementia
- with impaired α -synuclein metabolism. Based on the clinical features and temporal sequence of the
- 257 key symptoms the diagnosis is PDD or DLB. In the early stages, PDD cognitive deficits are
- 258 characterised by executive dysfunction, impairment of attention and working memory in contrast to
- Alzheimer's disease where memory loss is the major feature from the beginning.
- Operationalised criteria for patients with PDD have been proposed recently, however data on
- sensitivity and specificity have not been established. A current pragmatic approach requires at least
- one year of major parkinsonian motor symptoms before the onset of symptoms of dementia.
- 263 The criteria by McKeith et al. have become a standard for studies in dementia with Lewy Bodies
- 264 (DLB), which show a very high specificity but low sensitivity. Clinical core features of DLB consist
- of rapid fluctuations in cognition, recurrent visual hallucinations and spontaneous and fluctuating
- 266 features of parkinsonism, these are further supported by high sensitivity for extrapyramidal side
- 267 effects to neuroleptics and rapid eye movement sleep behaviour disorder. For a specific claim of
- 268 efficacy in PDD, efficacy should be shown on cognitive and ADL. Referred is to the guidance on
- Alzheimer's disease.

270 Treatment of depressive symptoms in Parkinson's Disease

- As it is still under discussion whether depression in PD can be separated from major depressive
- 272 episodes no recommendation can be made. As a consequence a specific indication as treatment of
- depression in PD as a separate entity cannot be made at this time.

4.2 Dosage

274

- 275 It is customary to titrate a new anti-Parkinson drug until an optimal effect is seen or until the maximal
- tolerated dose is reached or up to the maximal doses allowed, whatever comes first, where after
- 277 patients enter the maintenance period. In the maintenance period, patients should stay at their
- 278 individual determine optimal dose level. Therefore the criteria of an optimal effect and intolerance
- should be unambiguously and carefully defined in the study protocol. It is not recommended to leave
- the definition of the optimal response to the individual investigator. Also the criteria for starting the
- 281 maintenance period should be carefully defined.
- 282 Titration of doses for individual patients according to response may lead to dose recommendations
- which are broad and vaguely described. The clinical development plan should contain well-designed
- dose-finding studies in order to justify the dosage used in confirmatory clinical trials and dose
- recommendation in the SPC. These studies should incorporate randomised arms in which patients are
- 286 titrated to fixed doses which are maintained for the subsequent the maintenance period.

287 **4.3 Polytherapy**

- Parkinsonian patients usually use more than one anti-Parkinson drug and dose adaptations during the
- course of the disease or the clinical trial are usual. The effect of the test drug in PD should be clearly
- 290 distinguished from effect due to simultaneous adaptations in doses of concomitant anti-Parkinson

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- drugs given. This might be done by keeping these drugs unchanged for the duration of the clinical trial
- or by using a placebo-arm in comparative studies. Concomitant anti-Parkinson drug use should be
- stable for at least 4 weeks prior study entry. Moreover, one should keep in mind that some
- anti-Parkinson drugs (e.g. MAO-B-inhibitors) preserve their activity for a long time after the drug is
- stopped. If such drug is stopped before the start of the trial the washout period should be sufficient.
- Due to additive adverse events however, it might be impossible to keep the concomitant medication
- unchanged during the trial.
- 298 For studies wherein patients received polytherapy the study protocol should contain a detailed section
- 299 how to deal with patients receiving concomitant concurrent agents. Moreover, the analysis plan should
- address how the effect size of the test agent can be separated from the effect due to changes in
- 301 concomitant therapy in those patients were where the concomitant anti-Parkinson therapy has been
- 302 changed.

303 5. ASSESSMENT OF EFFICACY CRITERIA

- The primary efficacy variables should stick as close as possible to the aim of the study, as described in
- 305 point 4.1.
- 306 Moreover, efficacy should be expressed in clinically interpretable terms. Therefore it is recommended
- 307 to evaluate efficacy in terms success and failure. A responder should be defined by the applicant and
- 308 the clinical relevance of this definition justified.
- 309 Estimates of treatment effects with 95% confidence intervals should be discussed in relation to their
- 310 clinical relevance.
- A priori the choice of endpoints and clinical relevance of expected effects (e.g. degree of symptom
- 312 reduction from baseline experienced by responders) have to be discussed in the protocol with
- reference to other comparative data or relevant publications.
- 314 Secondary efficacy variables such as timed performance tasks provide supplemental evidence of
- 315 efficacy.

316

5.1 Methods to assess efficacy

- The validity, sensitivity, reliability of the rating scales used should be justified. Intra-rater and inter-
- rater reliability should be known or assessed.
- For example, for the assessment of motor function in PD the UPDRS II (activity of daily life) and
- 320 UPDRS III (motor-examination) are accepted and validated scales. Moreover, their use will facilitate
- 321 the comparison between studies. However, the UPDRS II and UPDRS III have their own dis- and
- advantages. Especially in assessing dyskinesias the UPDRS IV is not appropriate and the UPRS II is
- 323 not acceptable without additional scoring of dyskinesias. It should be kept in mind that scales
- 324 alternative to the UPDRS, if validated and justified, may be more appropriate depending on the
- 325 specific medical condition studied.
- When "OFF"-time or "ON"-time, is the main efficacy variable an operational definition of what will
- be considered an "OFF" and "ON" period should be established. It should be also clear to what extent
- 328 "ON"-time with dyskinesia and "ON"-time without dyskinesia is increased. Patients changing from
- "OFF" to "ON" with dyskinesia should be asked whether they consider this an improvement or not.
- In scoring motor functioning standardised timing of efficacy assessments is essential given the cyclic
- episodes in severity of the symptoms/signs over the day related to the time of medication and
- 332 circadian rhythms. Moreover, symptom scores over time should be assessed, for "ON"-periods and
- "OFF-periods" separately, if applicable.
- For both, assessment of motor function and/or "ON"-, "OFF"-time with/without dyskinesias, the
- evaluation by the patient by means of a diary is needed. Patient's diaries scoring the type of
- dyskinesias (disabling/non-disabling) over predefined periods on pre-specified days during the trial are
- recommended.
- 338 The use of indirect efficacy variables as primary efficacy variable in pivotal studies, such as an
- improvement in the clinical global impression, quality of life, or L-Dopa+ savings is not

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recommended unless the association between these variables and improvement in core symptoms or

motor fluctuations or handicap has been proven.

342 **6. SELECTION OF PATIENTS**

343 **6.1 Study population**

- The diagnostic criteria used should be mentioned in the protocol and justified by the company. The
- inclusion and exclusion criteria should be such that the population is clearly defined and be in
- accordance with the study objectives.
- 347 These criteria must exclude patients with a high suspicion of other parkinsonian syndromes.
- 348 Especially in beginning PD there may be diagnostic uncertainty about whether a patient suffers from
- PD or from a parkinsonian syndrome. In some cases the L-Dopa+ test may be helpful at least in
- discriminating between responders and non responder on L-Dopa+. Only those patients with a
- 351 clear-cut response to L-Dopa+ should enter a trial although there is still a risk of including a patient
- with a parkinsonian syndrome instead of PD.
- For staging the severity of PD the Hoehn & Yahr scale may be used but other scales might be
- appropriate as well.
- 355 Depending on the aim of the study, the inclusion criteria for severity of the disease, severity of
- functional impairment/handicap, severity of motor fluctuations, should be carefully defined.
- 357 Stratification according the use of concomitant anti-Parkinson drugs at randomisation, if, applicable, is
- 358 advised.

374

6.2 Study design

- The study designs have been discussed under the heading specific considerations (point 4.1).
- In addition, the sample size should be justified from biostatistical criteria. As misclassifications,
- especially in early-stage PD, occur frequently this should be taken into account when the number of
- patients to be recruited is estimated.
- 364 Prior and concomitant anti-Parkinson medication has to be documented in detail. Medication
- interfering with the study drug should be washed out. Adaptations in concomitant anti-Parkinsonian
- medication during the study also have to be documented in detail.

367 **6.3** Statistical analysis

- Reference is made to the ICH-E9 statistical principles for clinical trials.
- In PD, the analysis of efficacy should evaluate the effect in the maintenance period where patients are
- 370 stabilised on a fixed dose of the study drug.
- 371 The primary analysis should take into account stratification factors used for randomisation as usual
- and the use of concomitant anti-Parkinson drugs at baseline and changes in concurrent co-medication
- during the trial in particular (see section 4.3 polytherapy).

7. STRATEGY/DESIGN

375 **7.1 Pharmacodynamics**

- 376 There are no specific human pharmacodynamic models for studying anti-Parkinson drugs.
- 377 Consequently, the evidence which can be provided from pharmacodynamic studies is unclear.
- 378 The apomorphine test and L-Dopa+ test as a pharmacodynamic model for measurement of the
- 379 responsiveness to single doses of the investigational drug in patients with an advanced PD are
- 380 considered too insensitive.
- 381 As pharmacological effects on cognition and/or memory and/or psychological function and/or reaction
- time are expected, these should be studied.

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383 **7.2** Pharmacokinetics

- 384 The pharmacokinetics of the drug should be thoroughly described, in that the absorption,
- bioavailability and route(s) of elimination (including metabolites and enzymes involved) should be
- 386 characterised. Referred is to the specific PK guidances.

387 7.3 Interactions

- 388 Pharmacokinetic interactions between the test drug and anti-Parkinson drugs, expected to be given
- simultaneously with the test drug in clinical practice, should be studied, unless clear mechanistic based
- evidence is available that no interaction could be expected. Referred is to the interaction guideline.
- 391 All pharmacodynamic interactions between the test drug and any anti-Parkinson drug, expected to be
- given simultaneously with the test drug in clinical practice, should be studied.
- 393 Also potential pharmacodynamic interaction with alcohol and CNS active drugs should be
- investigated.
- 395 If relevant, pharmacokinetic studies of the study-drug in patients with hepatic and /or renal impairment
- should be performed.

397 **7.4** Therapeutic studies

- 398 Initial therapeutic studies
- The purpose of this phase of investigation is to identify patients who may benefit from the medicinal
- 400 product, to obtain initial information on safety and to establish suitable therapeutic dose ranges and
- 401 frequency of dosing.
- Dose ranging studies should be performed in a controlled, titration and/or fixed dose design, using at
- least 3 dosages, to establish the lower end of the clinically effective dose range as well as the optimal
- dose. Determination of plasma levels may be useful.
- 405 Main therapeutic studies
- See under the heading specific considerations (Section 4).

407 8. SAFETY ASPECTS

- 408 Referred is to the ICH E1.
- 409 Identified adverse events should be characterised in relation to the duration of treatment, the dosage,
- 410 the recovery time, age and other relevant variables. Clinical observations should be supplemented by
- 411 appropriate laboratory tests and cardiological recordings.
- 412 All adverse events occurring during the course of clinical trials should be fully documented with
- separate analysis of serious adverse drug events, adverse events leading to drop-outs and patients who
- 414 died while on therapy.
- 415 Any information available concerning clinical features and therapeutic measures in accidental
- overdose or deliberate self poisoning should be provided.
- Special efforts should be made to assess potential adverse effects that are characteristic of the class of
- drugs being investigated depending on the action on various receptor sites.
- 419 For example if a dopamine agonist of the ergoline group is studied special effort should be made to
- detect fibrotic adverse events related to these drugs.

421 **8.1** Neurological Adverse events

- 422 Special attention should be given to the occurrence or exacerbations of neurological adverse events.
- 423 Also the effect of withdrawal of the test drug should be systematically monitored.

424 **8.2** Psychiatric Adverse events

- 425 Specific attention should be paid to the occurrence of hallucinations, depression, psychosis and
- 426 cognitive decline depending on the class and the interactions with various receptor effects. Specific
- claims in this respect have to be based on specific studies.

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- 428 8.3 Endocrinological Adverse events.
- 429 Investigation of neuro-endocrinological variables (e.g. prolactin) is recommended.
- 430 **8.4** Cardiovascular events
- 431 The effect of the medicinal product on the cardiovascular system, occurrence of orthostatic
- 432 hypotension should be investigated.
- 433 **8.5** Long-term safety
- 434 The total clinical experience must generally include data on a large and representative group of
- patients (see EC Guideline on population exposure).
- For the moment, studies on morbidity and mortality are not required before marketing. However,
- 437 effects on mortality and morbidity should be monitored on a long-term basis. This can be done
- 438 post-marketing.

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