



1 18 November 2022
2 EMA/CVMP/64911/2021
3 Committee for Veterinary Medicinal Products (CVMP)

4 **Reflection paper on the application of Article 40(5) of**
5 **Regulation (EU) 2019/6 for certain categories of**
6 **variations**

7 Potential criteria to support the demonstration of a reduction in the
8 antimicrobial or antiparasitic resistance, or an improvement of the benefit-
9 risk balance

10 Draft

Draft reflection paper agreed by the CVMP's Drafting Group on Article 40(5) of Regulation (EU) 2019/6	26 October 2022
Adopted by the Committee for Veterinary Medicinal Products (CVMP) (CVMP) for release for consultation	10 November 2022
Start of public consultation	24 November 2022
End of consultation (deadline for comments)	28 February 2023

11 Comments should be provided using this [template](#). The completed comments form should be sent to
12 vet-guidelines@ema.europa.eu

Keywords	Article 40(5); benefit-risk balance; antimicrobial resistance; antiparasitic resistance; variation requiring assessment; additional data protection; protection of technical documentation
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14 Reflection paper on the application of Article 40(5) of
15 Regulation (EU) 2019/6 for certain categories of
16 variations

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29 **1. Introduction**

30 Recital 33 of Regulation (EU) 2019/6¹ reasons that “*Tests, pre-clinical studies and clinical trials*
31 *represent a major investment for companies...*” which “*should be protected in order to stimulate*
32 *research and innovation...*” and “*similar protection of investments should be applied to studies*
33 *supporting a new pharmaceutical form, administration route or dosage that reduces the antimicrobial*
34 *or antiparasitic resistance or improves the benefit-risk balance*”.

35 For variations involving a change to the pharmaceutical form, administration route or dosage,
36 Article 40(5) of Regulation (EU) 2019/6, building on this high-level objective, envisages four years of
37 protection of technical documentation to the results of the concerned pre-clinical studies or clinical
38 trials assessed to have demonstrated:

- 39 a) a reduction in the antimicrobial or antiparasitic resistance, or
40 b) an improvement of the benefit-risk balance of the veterinary medicinal product (VMP).

41 Whereas Article 40(5) provides the abovementioned high-level criteria (a) and (b), it will be necessary
42 to elaborate more detailed scientific criteria to ensure a clear and consistent interpretation. This
43 reflection paper aims to provide an overview of the CVMP’s considerations to date, taking into account
44 the comments received during the public consultation of the concept paper preceding this reflection
45 paper (20 July to 21 September 2020), as well as during a workshop with stakeholders held by the
46 EMA on 15 October 2020.

47 Regulatory considerations beyond the abovementioned scientific criteria will not be included in this
48 reflection paper, except where necessary to explain the rationale.

49 **2. Definition of terms**

50 In respect of Article 40(5), the following definitions of terms apply:

51 ‘Variation’ refers to a variation requiring assessment according to Article 62, that has been approved in
52 accordance with Article 67;

53 ‘Antimicrobial’ is defined by Article 4(12) as “any substance with a direct action on micro-organisms
54 used for treatment or prevention of infections or infectious diseases, including antibiotics, antivirals,
55 antifungals and anti-protozoals”;

56 ‘Antimicrobial resistance’ is defined by Article 4(11) as “the ability of micro-organisms to survive or to
57 grow in the presence of a concentration of an antimicrobial agent which is usually sufficient to inhibit
58 or kill micro-organisms of the same species”;

59 ‘Antiparasitic’ is defined by Article 4(13) as “a substance that kills or interrupts the development of
60 parasites, used for the purpose of treating or preventing an infection, infestation or disease caused or
61 transmitted by parasites, including substances with a repelling activity”;

62 In the absence of a definition of ‘antiparasitic resistance’ within Regulation (EU) 2019/6, the following
63 working definition is used for the purpose of this document: “antiparasitic resistance is defined as the
64 genetically transmitted loss of sensitivity in a population of parasite species that were previously
65 sensitive to the same substance when used according to label recommendations”;

¹ Regulation (EU) 2019/6 of the European Parliament and of the Council of 11 December 2018 on veterinary medicinal products and repealing Directive 2001/82/EC, OJ L 4, 7.1.2019, p. 43–167.

66 'Benefit-risk balance' is defined by Article 4(19) as "an evaluation of the positive effects of the
67 veterinary medicinal product in relation to the following risks relating to the use of that product:

- 68 • Any risk relating to the quality, safety and efficacy of the veterinary medicinal products as
69 regards animal or human health;
- 70 • Any risk of undesirable effects on the environment;
- 71 • Any risk relating to the development of resistance";

72 'Pre-clinical study' is defined by Article 4(18) as "a study not covered by the definition of clinical trial
73 which aims to investigate the safety or efficacy of a veterinary medicinal product for the purpose of
74 obtaining a marketing authorisation or change thereof". In practical terms, 'pre-clinical studies' include
75 studies presented within Part 3 or Part 4 of the dossier supporting a marketing authorisation or
76 variation application, as per Annex II of Regulation (EU) 2019/6;

77 'Clinical trial' is defined by Article 4(17) as "a study which aims to examine under field conditions the
78 safety or efficacy of a veterinary medicinal product under normal conditions of animal husbandry or as
79 part of normal veterinary practice for the purpose of obtaining a marketing authorisation or a change
80 thereof".

81 **3. General considerations**

82 Articles 38-40 of Regulation (EU) 2019/6 lay down the provisions for protection of technical
83 documentation ('data protection'). While this document predominantly focuses on chemical-based
84 veterinary medicinal products, protection of technical documentation is applicable to all types of
85 veterinary medicinal products. For the purpose of applying Article 40(5), it is to be understood that
86 variations referred thereto are 'variations requiring assessment', according to Article 62 of
87 Regulation (EU) 2019/6, for which the procedural aspects are described in Articles 66-68. Depending
88 on the scope of the product development the changes may be submitted as a group of variations. This
89 reflection paper does not include in its scope the procedure or dossier requirements in general for
90 variations requiring assessment. Meeting one of the criteria of Article 40(5) is considered an additional
91 element to be assessed, within the procedure for the variation requiring assessment, in cases where
92 the marketing authorisation holder claims the applicability of the protection of technical documentation
93 under Article 40(5).

94 Protection of technical documentation foreseen under Article 40(5) applies to the results of the
95 pre-clinical studies and/or clinical trials provided in support of the variation involving a change to the
96 pharmaceutical form, administration route or dosage. Consequently, the protection of technical
97 documentation under Article 40(5) would not cover quality data (Part 2) associated with the variation.
98 Therefore, this reflection paper does not provide any considerations in respect of quality data.

99 Pursuant to Article 40(5), the "change to the pharmaceutical form, administration route or dosage"
100 must be a factor leading to (a) a reduction in antimicrobial or antiparasitic resistance, or (b) an
101 improvement of the benefit-risk balance of the veterinary medicinal product. It is not excluded that a
102 change of pharmaceutical form, administration route or dosage may also be associated with another
103 variation. In such cases, for the protection of technical documentation foreseen under Article 40(5) to
104 apply, it will always be necessary to justify how the change to the pharmaceutical form, administration
105 route or dosage contributes to the claimed improvement of the benefit-risk balance and/or the
106 reduction of resistance.

107 In order to meet the criteria within Article 40(5), in addition to the usual documentation required to
108 support the variation requiring assessment, it should be adequately shown within the variation
109 application that one or more of the following criteria are met:

- 110 • The proposed change(s) leads to a reduction in the antimicrobial or antiparasitic
111 resistance, as compared to the already authorised product; or
- 112 • The benefit is increased by the proposed change(s), as compared to the already
113 authorised product (with no resulting undue increase in any risk); or
- 114 • The risk relating to the use of the product is decreased by the proposed change(s), as
115 compared to the already authorised product (with no resulting undue decrease in
116 efficacy or increase in another risk).

117 **4. Criterion (a) of Article 40(5): “reduction in the** 118 **antimicrobial or antiparasitic resistance”**

119 **4.1. Antimicrobial veterinary medicinal products**

120 **Types of antimicrobial substances**

121 According to Article 4(12), antimicrobials comprise antibiotic, antiviral, antifungal and antiprotozoal
122 substances. The reflections in this section have been developed primarily with antibacterial substances
123 in mind, but in principle could be applied at high level to other types of antimicrobial substances.
124 Antiviral and antifungal substances will not be covered in any detail in this reflection paper due to lack
125 of antiviral and only a limited number of antifungal authorised veterinary medicinal products. In
126 relation to antiprotozoals, considering that their resistance profile bears more similarity to
127 antiparasitics than to antimicrobials, the information included in section 4.2 below on antiparasitic
128 resistance generally equally applies to antiprotozoals.

129 **Approach to demonstrate a reduction in antimicrobial resistance**

130 In accordance with Article 40(5)(a), a reduction in the antimicrobial resistance should be
131 demonstrated. Throughout Regulation (EU) 2019/6, reference is generally made to the ‘risk of
132 *development of resistance*’, rather than to an absolute ‘reduction in resistance’.

133 Variations to an antimicrobial VMP involving a change to the pharmaceutical form, route of
134 administration or dosage in respect of which the applicant claims a reduction in antimicrobial resistance
135 might be expected to have an impact on the antimicrobial risk assessment for the product.

136 According to Article 62(2)(b) of Regulation (EU) 2019/6, variations requiring assessment shall contain
137 “data referred to in Article 8 relevant to the variation”. Article 8(2)(a) states that where an application
138 concerns an antimicrobial VMP, documentation should be provided on the risks to public or animal
139 health or to the environment of the use of the product in animals. In this regard, the CVMP considers it
140 relevant that the applicant’s claimed reduction in antimicrobial resistance should be integrated within
141 the antimicrobial risk assessment.

142 Reference is made below to guidance related to the antimicrobial risk assessment, including data or
143 arguments that might support a reduction in resistance, per se, and that might form elements of the
144 assessment of a reduction in risk of antimicrobial resistance.

145 **Current guidance:**

146 a) Reduction in the antimicrobial resistance risk to public health

147 The framework for the assessment of the antimicrobial resistance risk to public health due to use
148 of antimicrobial veterinary medicinal products in food-producing animals is laid out in the CVMP's
149 draft guideline (EMA/CVMP/AWP/706442/2013, 2018) and in VICH GL 27 (CVMP/VICH/644/01,
150 2004), as applicable. The outline methodology (hazard identification, release, exposure,
151 consequence assessment) could be extrapolated for antimicrobial use in companion animals. The
152 microbiological hazards of concern originating from companion animals are identified in the CVMP
153 reflection paper on the risk of antimicrobial resistance transfer from companion animals
154 (EMA/CVMP/AWP/401740/2013, 2015).

155 b) Reduction in the antimicrobial resistance risk to animal health

156 The CVMP guideline for the demonstration of efficacy for veterinary medicinal products containing
157 antimicrobial substances (EMA/CVMP/627/2001-Rev.1, 2016) identifies data on resistance that
158 may characterise the potential for an antimicrobial veterinary medicinal product to select for
159 resistant bacteria of concern to animal health, although not fully setting these in the context of a
160 risk evaluation.

161 c) Reduction of antimicrobial resistance risk to the environment

162 Considering the current knowledge gaps, the CVMP recognises the difficulty in assessing the
163 antimicrobial resistance risk to the environment from veterinary medicinal products at this time,
164 although noting the need to explore methodologies in future (EMA/CVMP/ERA/632109/2014).
165 Nevertheless, any variation resulting in a reduction in environmental exposure to the product could
166 be viewed as reducing the risk, depending on the context (i.e. impact on other risks and/or benefit
167 of the product, e.g. a lower dose might reduce the exposure of microbes in the environment, but
168 could augment selection of resistance in target pathogens).

169 The applicant should provide a comparative risk assessment between the proposed new product
170 development and the currently authorised product to demonstrate a more beneficial outcome, i.e. a
171 lower risk estimation for the new pharmaceutical form, administration route or dosage, using the
172 available guidelines. Thus, an applicant could make use of the frameworks outlined above, focussing
173 on the areas of difference between the currently authorised product and the proposed new product
174 development.

175 It may be possible to base the reduction in antimicrobial resistance risk on theoretical concepts, duly
176 justified through scientific evidence; however, following a comparative approach to demonstrate a
177 reduction of the risk of resistance should not preclude the applicant to provide additional quantitative
178 data supporting an absolute reduction in resistance (e.g. MIC studies, or novel approaches), as these
179 can be part of the suite of studies that support the overall risk estimation.

180 **Example of a potential approach**

181 The AMEG (Antimicrobial Advice Ad Hoc Expert Group) proposed a list of routes of administration and
182 formulations ranking from those with a lower effect on the selection of antimicrobial resistance to those
183 that would be expected to have higher impact on resistance (EMA/CVMP/CHMP/682198/2017, 2019).
184 The AMEG considered the main factors related to administration and formulation of an antibiotic that
185 influence the selection of antimicrobial resistance such as dosing accuracy (avoidance of over- and
186 under-dosing) and exposure of the digestive tract microbiota (starting from the oropharynx and ending
187 in the faeces, and by consequence in the environment).

188 The AMEG's ranking therefore suggests that, through a change of pharmaceutical form, route of
189 administration, or dose duration, it might be possible to reduce the antimicrobial resistance risk to
190 public health under the same authorised conditions of use (target species, indications etc.) e.g. if a
191 parenteral individual treatment could replace an oral individual treatment. Nevertheless, further
192 justification is needed since the relationship between antimicrobial exposure and the effect on
193 antimicrobial resistance is complex (Birkegård et al., 2017; Knight et al., 2018). Thus, different
194 scenarios related to the impact on the risk of resistance development may be possible depending on
195 active substances, target animal species, indications, bacterial species etc.

196 When following the AMEG's ranking as a basic principle, justification should be provided to
197 demonstrate that such an approach will be applicable to the new product development, in comparison
198 to the previous (unchanged) product.

199 **4.2. Antiparasitic veterinary medicinal products**

200 Similarly as for antimicrobials, this reflection paper focuses on the possibility to address a 'reduction in
201 antiparasitic resistance' in the context of an assessment of the 'reduction in the *risk of development of*
202 *resistance*'.

203 The resistance genes responsible for the loss of sensitivity are initially rare in the natural population of
204 a parasite. There are different factors which can promote the selection of parasites carrying resistance
205 genes that will fail to respond to a standard dose of an active substance when used as recommended,
206 e.g. frequent or insufficient exposure of that population to an active substance or class of substances
207 with the same mode of action.

208 **Types of antiparasitic substances**

209 In the context of this document, the antiparasitic substances referred to are both anthelmintics and
210 ectoparasiticides, including substances with repelling activity. As outlined in the section above (4.1),
211 this section generally also applies to antiprotozoals considering that their resistance profile bears more
212 similarity to antiparasitics than to antimicrobials.

213 **Relevant parasites**

214 In line with the Annex II of Regulation (EU) 2019/6, the demonstration of a reduction in the risk
215 relating to the development of antiparasitic resistance is, in principle, relevant to the target parasites
216 of the already-authorised indications of the veterinary medicinal product.

217 Applicants should justify why the new product development is likely to select less rapidly for resistance
218 in target parasites than the authorised product and consequently, why it is likely to lower the future
219 rate of resistance development.

220 **Approach to demonstrate a reduction in antiparasitic resistance**

221 **General criteria**

222 As a first step to substantiate a potential decrease in the risk of development of resistance, applicants
223 should justify, in a qualitative manner, why the proposed product development can be expected to
224 result in a reduction in the risk of development of antiparasitic resistance.

225 According to published literature, there are some general theoretical concepts associated with the
226 pharmaceutical form, administration route or dosing regimen of a product that could predict a
227 beneficial impact on development of resistance.

228 Notably, the reviews of Leathwick and Luo (2017), Lifschitz et al. (2017), and Lanusse et al. (2018)
229 emphasise the direct relationship between exposure of an endoparasite to an active substance, the
230 variability in the dose reaching the targeted parasites, the antiparasitic efficacy of the concerned
231 formulation, and the probability of an increase in the frequency of resistant parasites.

232 From these reviews and a series of other publications, it appears for example that:

- 233 a) In general, an increased availability of the active substance at the site of infection is associated
234 with a decrease in the risk of resistance selection, which is partly due to a less variable parasite
235 exposure.
- 236 b) Pour-on formulations in farm animals are usually associated with an increased risk of resistance
237 development in target endoparasites because of lower and more variable bioavailability of the
238 active substance, sometimes intensified by extrinsic factors (e.g. dirty fur, rain). Some orally
239 administered anthelmintic products may have a more favourable bioavailability profile against
240 gastro-intestinal nematodes.
- 241 c) Underdosing, inappropriate dosing frequency or timing of treatment, or poor administration
242 techniques, can lead to a lack of efficacy and thereby to the selection of resistance, in both ecto-
243 and endoparasites.
- 244 d) Long-acting formulations may be associated with an increased risk of resistance selection.

245 These principles may, however, not be applicable to all possible scenarios and combinations of active
246 substances, routes of administration, pharmaceutical forms, parasites and target species. Therefore, a
247 theoretical argument is only acceptable if it is adequately justified to be applicable to the specific case.
248 Proposing a theoretically more favourable pharmaceutical form or an increase in the recommended
249 dose cannot be assumed to automatically result in a decrease in the risk of development of resistance.
250 Unless convincing scientific support in terms of literature data relevant to the specific case is
251 presented, the beneficial impact of the product development in relation to development of resistance
252 should be confirmed by product-specific, quantitative data, allowing a comparison of the proposed
253 changes with the already authorised product.

254 ***Example of a potential approach***

255 The gold standard to confirm a reduction in the risk of development of resistance would consist of a
256 prospective study(ies) directly comparing the rate or frequency of emergence of resistance and
257 showing that resistance develops to a lesser extent, or more slowly, in parasite populations exposed to
258 the new product development, when compared to the already authorised product. This should ideally
259 be assessed in an appropriately designed field trial. It is, however, acknowledged that the conduct of
260 such studies will be difficult since this is likely to require substantial investment and, at present, there
261 is limited availability of validated analytical methods or models.

262 Therefore, the actual monitoring of treatment-related resistance development under field conditions
263 could be replaced by the demonstration of an improved level of efficacy, which would be considered as
264 correlated to the risk of resistance selection. An essential issue, however, would be to determine the
265 appropriate efficacy thresholds or minimum relevant differences in relation to these endpoints.

266 The following approaches, used alone or in combination, could be considered to support an increased
267 efficacy level, and which may be accepted as an indicator for a decrease in the risk of development of
268 resistance:

- 269 a) Although it is recognised that this is currently not well developed in the field of antiparasitics,
270 Pharmacokinetic/Pharmacodynamic (PK/PD) integration could be a relevant approach. Where it
271 has been established that the antiparasitic concentration at a given site or in a given matrix
272 correlates to antiparasitic efficacy, and where thresholds predicting optimal efficacy have been

273 validated, it could be acceptable to demonstrate that the PK/PD criteria are met with the new
274 product development while this is not the case with the currently approved product. Antiparasitic
275 concentrations within parasites and the time of parasite exposure to the substance could also
276 constitute potential endpoints. The variability of parasite exposure could also be part of a PK/PD
277 criterion.

278 b) The results of laboratory efficacy studies or clinical trials in susceptible isolates or strains (in
279 accordance with current scientific guidelines) could be considered relevant where it is
280 demonstrated that the efficacy level of the currently authorised product is not sufficient in regard
281 of the current standards, while these are met by the proposed product variation. For example,
282 when literature or post-marketing data indicate that the authorised product at the recommended
283 dose does no longer meet the efficacy criteria in an approved target animal and parasite and is,
284 therefore, at risk of favouring resistance selection, it can be demonstrated in efficacy studies
285 and/or clinical trials that an increase of the approved dose allows to achieve an appropriate
286 efficacy level.

287 c) Laboratory efficacy studies or clinical trials using specific parasite isolates or strains with a
288 decreased susceptibility, also constitute a possible approach. Comparison of efficacy of
289 antiparasitic products in animals infected with a worm isolate with documented decreased
290 susceptibility has been reported in the literature and could, in some circumstances, be a useful
291 method to demonstrate an increase in efficacy of a product development and, consequently, a
292 reduced risk of resistance selection. However, this type of study is associated with several
293 challenges, including the identification of the relevant parasite isolate(s) and how the level of
294 efficacy measured for the product development should be interpreted. It could also be challenging
295 to determine whether the product development is at risk of selecting for a higher level of
296 resistance.

297 d) Alternative/innovative ways of demonstrating a (potential) reduction of resistance can be
298 contemplated and will be considered on a case-by-case basis. The list of methods and approaches
299 proposed above is not exhaustive, and any future guidance should remain open to alternative
300 endpoints and study designs.

301 Among alternative approaches, the use of mathematical modelling, e.g. of the frequency of
302 resistance determinants, could be appropriate to compare the performance of the new product
303 development against the currently authorised product, provided that it is clearly shown that the
304 used model is sufficiently validated and that the underlying assumptions are realistic or worst-
305 case.

306 **5. Criterion (b) of Article 40(5): “an improvement of the** 307 **benefit-risk balance”**

308 The CVMP recommendation on the evaluation of the benefit-risk balance of veterinary medicinal
309 products’ (EMA/CVMP/64911/2021) provides the basis for the reflections regarding the criterion on
310 “improvement of the benefit-risk balance” within Article 40(5)2. A key principle is that the benefit-risk
311 analysis of a veterinary medicinal product is based on the intended use of that product.

312 As defined in the above-referred CVMP Recommendation, the direct benefits linked to the intended use
313 of a product are those predominantly taken into account for the purpose of the benefit-risk evaluation.

² Note: This document is currently under revision and is proposed to be renamed as ‘CVMP guideline’.

314 These are generally therapeutic or diagnostic benefits in line with the legal definitions of a veterinary
315 medicinal product (Article 4(1) of Regulation (EU) 2019/6).

316 Any change of pharmaceutical form, administration route or dosage leading to an improvement of the
317 direct benefit of the product could be examined under criterion (b) of Article 40(5). An improvement of
318 direct benefit would mean that the extent and significance of the improvement can be clearly
319 demonstrated and is considered as meaningful, with no resulting undue increase in risk. This could be
320 the case, for instance, when the dosage of a product is changed in a way that the proportion of cured
321 animals is increased when used at the new dosage. Another example could be a variation to add an
322 injectable pharmaceutical form to a product currently only authorised as a tablet for a given disease,
323 and where this new pharmaceutical form provides an improved benefit by allowing for additional
324 means to treat the disease, for example in acute or severe cases when rapid distribution is needed.

325 The CVMP recommendation on evaluation of the benefit-risk balance (EMA/CVMP/64911/2021)
326 explains that “additional benefits are benefits not directly linked to the claim of the product. *These can*
327 *be general benefits for the veterinarian, the farmer, the user, or relate to particular properties of the*
328 *product such as ease of administration (palatability, long-lasting effect) resulting in improved*
329 *compliance. These benefits are important but might not easily be assessed in the majority of cases and*
330 *may be very subjective*”. For an improvement of the benefit-risk balance via an additional benefit to be
331 sufficient in the context of Article 40(5) it should be meaningful and not result in an undue increase in
332 risk.

333 The fulfilment of an unmet medical need can also be considered as relevant to improve the benefit-risk
334 balance in line with criterion (b) of Article 40(5), including cases involving also the addition of a new
335 target species for which there are currently no treatment options available for the disease, provided
336 that the contribution of the change of pharmaceutical form, route of administration or dosage towards
337 fulfilling the unmet medical need is substantiated³. For example, a variation for a product (e.g.
338 authorised for cattle), which introduces a new, higher dose that is required for the effective use of the
339 product in a new target species (e.g. sheep) and where currently no treatment options are available for
340 the disease in this new target species; such a variation could be considered to fulfil an unmet medical
341 need and, therefore, to improve the benefit risk-balance of the product.

342 In general, economic factors (such as cost-effectiveness of a veterinary medicinal product) are not
343 considered to be benefits that fall within the framework for the evaluation of the benefit-risk balance of
344 a veterinary medicinal product.

345 A reduction of risks to the user, environment or target animal might be demonstrated in cases where
346 e.g. a change in the pharmaceutical form, administration route or dosage leads to a decrease in the
347 exposure of the user, the environment or the target animal to any active ingredient or excipient of the
348 product exerting a toxic effect.

349 A decrease of a given risk should not be counterbalanced by a decrease in the efficacy or an increase
350 of another risk such that the overall benefit-risk balance is reduced or remains unchanged. The
351 decrease in the risk should be substantiated or quantified and, if necessary, based either on data (e.g.
352 pre-clinical studies, clinical trials) or published literature. For example, a change of pharmaceutical
353 form leading to better treatment compliance through, for example, increased ease of administration,
354 could be considered to improve the benefit-risk balance, if the issue of non-compliance was already
355 reported as a known risk from use in the field prior to the new product development.

³ Unmet medical need as discussed and defined in the CVMP Reflection paper on classification of a product as intended for a limited market according to Article 4(29) and/or eligibility for authorisation according to Article 23 (Applications for limited markets) (EMA/CVMP/235292/2020)

356 A valid decrease of the risk to the user, environment or the target animal could be defined as a
357 meaningful, quantifiable decrease of the exposure to a toxic ingredient. To be considered as
358 meaningful, this decrease should preferably be associated with tangible consequences such as, for
359 instance, the deletion or easing of precautionary measures or contra-indications stated in the product
360 information regarding the user, the environment or the target animal. Demonstration that a variation
361 leads to a meaningful decrease in the prevalence of adverse effects could also be a valid approach. For
362 example, a formulation requiring multiple administrations further developed as a single-dose
363 formulation could be considered to meaningfully improve the benefit-risk balance with respect to target
364 animal safety by reducing the need for animal handling or reducing local tolerance issues.

365 For a product with a narrow safety margin that is known and documented, a change in pharmaceutical
366 form leading to improvement in accuracy of dosing, thereby reducing this risk in the target species,
367 could be considered as relevant in the context of criterion (b) of Article 40(5). It will be necessary to
368 justify that the improvement in accuracy of dosing is of a sufficient magnitude to have a real impact on
369 the safety of the product for the target species.

370 In relation to variations affecting withdrawal periods, the risk for consumers is already fully controlled
371 with the authorised withdrawal period stated in the product information or with the regulatory
372 withdrawal periods in the case of use under the cascade. Given that an authorised product is not
373 expected to pose a risk to the consumer when the VMP is used according to the SPC recommendations,
374 a change to the withdrawal period is generally not considered to be a risk that could be reduced.

375 When evaluating the overall benefit-risk balance, in cases where the benefit is clearly improved
376 without an undue increase in risk or when the risk is clearly decreased without compromising the
377 benefit, a conclusion on an improved benefit-risk balance is expected to be straightforward. However,
378 in the case where the improved benefit is associated with an increase in one or several risks, the
379 conclusions regarding the improvement of the benefit-risk balance will be made on a case-by-case
380 basis, and will depend on the type of risk, its magnitude and also on the level of improvement of the
381 benefit.

382 **6. Conclusions**

383 This reflection paper is aimed to provide an overview on the CVMP's considerations to-date on the
384 development of scientific criteria to support the practical application of Article 40(5) of
385 Regulation (EU) 2019/6.

386 In order to meet the criteria within Article 40(5), it should be justified with the variation application
387 that the change to the pharmaceutical form, administration route or dosage is a factor leading to (a) a
388 reduction in antimicrobial or antiparasitic resistance, or (b) an improvement of the benefit-risk balance
389 of the veterinary medicinal product.

390 When a reduction in antimicrobial resistance is claimed to fulfil the criteria of Article 40(5), the
391 applicant should integrate this claimed reduction within the antimicrobial risk assessment, taking into
392 account available guidance. The comparison should demonstrate a more beneficial outcome, i.e. a
393 lower risk estimation, for the new pharmaceutical form, administration route or dosage, and should
394 focus on the areas of difference between the currently authorised product and the proposed new
395 product development.

396 When reduction in the risk to develop antiparasitic resistance is claimed, the applicant should justify
397 why the new product development is likely to select less rapidly for resistance in target parasites than
398 the authorised product and consequently, why it is likely to lower the future rate of resistance
399 development.

400 An improvement of the benefit(s) of the VMP would mean that the extent and significance of the
401 improvement can be clearly demonstrated and is considered as meaningful, with no resulting undue
402 increase in risk. The fulfilment of an unmet medical need can be considered as relevant to improve the
403 benefit-risk balance.

404 A valid reduction of the risk could be defined as a meaningful decrease of the exposure of the target
405 animal, the user, or the environment to an ingredient with a toxic effect. The decrease in the risk
406 should be substantiated or quantified and, if necessary, be confirmed as a known risk prior to the new
407 product development. A decrease of a given risk should not be counterbalanced by a decrease in the
408 efficacy or an increase of another risk such that the overall benefit-risk balance is reduced or remains
409 unchanged.

410 In order for a variation submitted in support of a product development to be approved, the benefit-risk
411 balance of the veterinary medicinal product must remain overall positive. In addition, for a variation
412 involving a change to the pharmaceutical form, administration route or dosage and citing Article
413 40(5)(b), the overall benefit-risk balance of the veterinary medicinal product must be superior when
414 compared to before the variation.

415

416 7. References

- 417 1) [Regulation \(EU\) 2019/6](#) of the European Parliament and of the Council of 11 December 2018 on
418 veterinary medicinal products and repealing Directive 2001/82/EC.
- 419 2) [Commission Delegated Regulation \(EU\) 2021/805](#) of 8 March 2021 amending Annex II to
420 Regulation (EU) 2019/6 of the European Parliament and of the Council.
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