



1 15 November 2019
2 EMA/CVMP/VICH/677723/2016
3 Committee for Medicinal Products for Veterinary Use (CVMP)

4 **VICH GL59 Harmonisation of criteria to waive**
5 **laboratory animal batch safety testing for vaccines for**
6 **veterinary use**
7 **Draft**

Draft agreed by VICH Steering Committee	October 2019
Adoption by CVMP for release for consultation	7 November 2019
End of consultation (deadline for comments)	10 April 2020

8
9

Comments should be provided using this [template](#). The completed comments form should be sent to vet-guidelines@ema.europa.eu

10
11
12





International Cooperation on Harmonisation of Technical Requirements
for Registration of Veterinary Medicinal Products

13
14
15
16
17
18
19
20

VICH GL59 (BIOLOGICALS: LABST VETERINARY VACCINES)
October 2019
For consultation at Step 4

21
22
23
24

HARMONISATION OF CRITERIA TO WAIVE LABORATORY ANIMAL BATCH SAFETY TESTING FOR VACCINES FOR VETERINARY USE

25
26
27
28
29
30
31
32
33
34
35
36
37
38
39

Recommended for Consultation at Step 4 of the VICH Process
in October 2019
by the VICH Steering Committee

40 This Guideline has been developed by the appropriate VICH Expert Working Group and will be subject to
41 consultation by the parties, in accordance with the VICH Process. At Step 7 of the Process the final draft
42 will be recommended for adoption to the regulatory bodies of the European Union, Japan and USA.

43
44
45
46
47
48
49
50
51

Secretariat: c/o HealthforAnimals, 168 Av de Tervueren, B-1150 Brussels (Belgium) - Tel. +32 2 543 75 72, Fax +32 2 543 75 85
e-mail: sec@vichsec.org – Website: www.vichsec.org

52
53
54
55

TABLE OF CONTENTS

56	1. INTRODUCTION.....	3
57	1.1. <i>Objective of the Guideline</i>	3
58	1.1.1. <i>Background</i>	3
59	2. GUIDELINE	4
60	2.1. <i>Scope</i>	4
61	2.2. <i>Regional Requirements</i>	4
62	2.2.1. <i>General batch safety testing</i>	4
63	2.2.2. <i>Other relevant requirements</i>	5
64	2.2.2.1. <i>Quality systems</i>	5
65	2.2.2.2. <i>Seed lot system</i>	5
66	2.2.2.3. <i>Pharmacovigilance</i>	5
67	2.3. <i>Data Requirements for Waiving of Laboratory Animal Batch Safety Tests</i>	6
68	2.3.1. <i>Introduction</i>	6
69	2.3.1.1. <i>The characteristics of the product and its manufacture</i>	6
70	2.3.1.2. <i>Information available on the current batch safety test</i>	6
71	2.3.1.3. <i>Pharmacovigilance data</i>	7
72	2.3.2. <i>Procedure for waiving the laboratory animal batch safety test</i>	7
73	3. GLOSSARY.....	8
74	4. REFERENCES.....	9
75		
76		

77 1. INTRODUCTION

78

79 Submission of batch safety test data from target or laboratory animals is a requirement for
80 batch release of veterinary vaccines in several regions participating in the VICH¹. The
81 VICH Steering Committee has proposed to harmonize the batch safety tests across the
82 regions in order to minimize the need to perform separate studies for regulatory authorities
83 of different countries. The initial steps focused on the target animal batch safety test
84 (TABST) and adoption of two guidelines harmonizing criteria for waiving the TABST for
85 inactivated (VICH GL50(R)) and live vaccines (VICH GL55).

86

87 This guideline addresses laboratory animal batch safety tests (LABST) and harmonization
88 of criteria for waiving it in regions where it is required.

89

90 The guideline has been developed under the principle of VICH and will provide unified
91 criteria for government regulatory bodies to accept waivers for LABST. The use of this
92 VICH guideline to support a similar approach for products for local distribution only is
93 strongly encouraged but is at the discretion of the local regulatory authority. Furthermore,
94 it is not always necessary to follow this guideline when there are scientifically justifiable
95 reasons for using alternative approaches.

96

97 Global implementation of LABST waiver reduces the use of animals for routine batch
98 release and should be encouraged in the light of VICH's commitment to replacement,
99 reduction and refinement (3Rs).

100 **1.1. Objective of the Guideline**

101

102 The objective of this guideline is to provide internationally harmonized recommendations
103 for criteria on data requirements to waive the LABST for veterinary vaccines in regions
104 where it is required.

105

106 **1.1.1. Background**

107

108 Most batch safety tests in laboratory animals on the final product can be considered as
109 general safety tests. They apply to a broad group of veterinary vaccines and should
110 provide some assurance that the product will be safe in the target species, i.e. it should
111 reveal “unfavorable reactions attributable to the biological product ...” (Title 9. United
112 States Code of Federal Regulations) or “no abnormal changes” (Minimum Requirements
113 for Veterinary Biological Products under *the Act on Securing Quality, Efficacy and Safety
114 of Pharmaceuticals, Medical Devices, Regenerative and Cellular Therapy Products, Gene
115 Therapy Products, and Cosmetics* in Japan) or, as formerly required in Europe, “abnormal
116 local or systemic reactions”.

117

118 Over the last decades, the relevance of LABST has been questioned by representatives
119 of regulatory authorities and vaccine manufacturers (Krämer et al., 1996; Pastoret et al.,
120 1997; Schwanig et al., 1997; Kulpa-Eddy et al., 2011; Schutte et al., 2017). Particularly,
121 the introduction of Good Manufacturing Practice (GMP) and Good Laboratory Practice
122 (GLP; OECD, 1998) or similar quality systems appropriate to regional requirements as well
123 as a seed lot system into the manufacture of vaccines has greatly increased the

¹ In the EU, neither TABST nor the abnormal toxicity test (one type of LABST) are required (see section 2.2.1)

124 consistency of the batches produced and hence their quality and safety. This has also
125 influenced the attitude towards quality control from the traditional batch control for
126 veterinary vaccines (based in major parts on *in vivo* testing) towards putting more
127 emphasis on documentation of consistency of production which is mostly based on *in vitro*
128 technologies (Lucken, 2000; Hendriksen et al., 2008; de Mattia et al., 2011).

129
130 Following the finalization of VICH GL50 and VICH GL55 concerning TABST for inactivated
131 and live veterinary vaccines, this guideline describes the criteria to waive the LABST for
132 veterinary vaccines.
133

134 2. GUIDELINE

135 2.1. Scope

136
137 This guideline is limited to the criteria on data requirements for waiving LABST for
138 veterinary vaccines.

139 2.2. Regional Requirements

140 2.2.1. Laboratory animal batch safety testing

141
142 Significant variations are evident in regional requirements; however, these are more
143 related to the products for which a LABST is stipulated than in the test design for a non-
144 specific safety test. Other tests in laboratory animals may exist for certain classes of
145 products pertaining to a specific safety aspect of a vaccine (e.g. residual toxicity of a
146 bacterial toxin in bacterial and/or toxoid vaccines, residual live virus in rabies vaccines).
147 These tests are not described below but may benefit of the same system (see paragraph
148 2.3.1) depending on adapted risk assessment.

149 **Europe**

150 The abnormal toxicity test in laboratory animals (mice and guinea pigs) is no longer
151 required in Europe since 1996 (Krämer et al, 1996; Schwanig et al, 1997), and therefore
152 not specified for safety testing of veterinary immunologicals in the European
153 Pharmacopoeia Monograph 62 on vaccines for veterinary use (European
154 Pharmacopoeia, 2019).

155 **United States**

156 Veterinary biologicals must meet certain basic criteria including safety requirements: the
157 product must be safe in the target species and, if live, in species exposed to shed
158 organisms. In addition, safety tests in mice or guinea pigs are required. General
159 requirements for live and killed bacterial vaccines, live and killed viral vaccines and
160 antibody products as well as the detailed requirements for each type of product are
161 described in Title 9 CFR Part 113.

- 162 • *Live bacterial and live viral vaccines*: Safety tests for mammalian vaccines are
163 carried out in mice or guinea pigs.
- 164 • *Inactivated bacterial vaccines*: Safety tests for mammalian vaccines are carried out
165 in mice or, if lethal for mice, in guinea pigs.

- 166
- 167
- *Inactivated viral vaccines*: Safety tests for mammalian vaccines are carried out in mice and guinea pigs.
- 168
- All avian and aquaculture vaccines require target animal batch safety testing and no laboratory animal testing is involved.
- 169

170

171 **Japan**

172 In Japan, medicinal products that are exclusively used for animals, including veterinary
173 biologicals, are under the jurisdiction of the Ministry of Agriculture, Forestry and Fisheries,
174 and ensuring their quality, efficacy and safety is included in the *Act on Securing Quality,
175 Efficacy and Safety of Pharmaceuticals, Medical Devices, Regenerative and Cellular
176 Therapy Products, Gene Therapy Products, and Cosmetics* (PMD Act). Under the PMD
177 Act, “Minimum Requirements for Veterinary Biological Products (Japan MRVBP; 2002)”
178 stipulates “the lot safety test” in the target animal species for all vaccines, with the
179 exception of inactivated vaccines for cattle and horses, although it varies depending on
180 the characteristics of vaccine concerned. The specification of the lot safety testing for the
181 target animals are also laid down in MRVBP. It should be noted that the term “lot” is
182 commonly used instead of “batch”.

183 In addition to safety tests in the target animal species, abnormal toxicity test and maximum
184 toxicity limit confirmation test using mice and guinea pigs are carried out in all vaccines
185 for dogs, cats and horses, and in some vaccines for cattle and pigs.

186 For avian vaccines, only safety tests in the target animal species are carried out.

187 **2.2.2. Other relevant requirements**

188 **2.2.2.1. Quality systems**

189 Good Manufacturing Practices (GMP) and similar quality systems have been established
190 in VICH countries/regions to cover the manufacture and testing of medicinal products
191 including veterinary medicinal products. These quality systems provide assurance that
192 products placed on the market have been manufactured in a consistent and suitable
193 manner.

194 **2.2.2.2. Seed lot system**

195 The establishment of a seed lot system, subject to quality and manufacturing controls,
196 provides further assurance of the consistent production of vaccine batches and resulting
197 batch quality.

198 **2.2.2.3. Pharmacovigilance**

199 The VICH process increasingly includes pharmacovigilance (post-marketing surveillance
200 of medicines) in the veterinary field and the harmonization of the requirements and
201 performance. This provides for early detection of safety problems associated with the
202 inconsistent quality of a vaccine in the field. Thus, pharmacovigilance provides extra
203 information about the product’s safety that cannot always be obtained in the LABST.

204 **2.3. Data Requirements for Waiving of Laboratory Animal Batch Safety**
205 **Tests**

206 **2.3.1. Introduction**

207 The LABST may be waived by the regulatory authority when a sufficient number of
208 production batches have been produced under the control of a seed lot system and found
209 to comply with the test, thus demonstrating consistency of the manufacturing process.
210

211 In general, it is sufficient to evaluate existing information which is available from routine
212 batch quality control and pharmacovigilance data, without the need for any additional
213 supplementary studies. The data which should be presented by the manufacturer to
214 support an application to waive LABST are presented below. However, this should not be
215 taken as an exhaustive list, and in all cases applications for waiving the LABST should be
216 accompanied by a summary of all the data and a conclusion on the assurance of the
217 product's safety being maintained.
218

219 In exceptional cases, significant changes to the manufacturing process may require
220 resumption of laboratory animal batch safety testing to re-establish consistency of the
221 safety profile of the product. The occurrence of unexpected adverse events or other
222 pharmacovigilance problems which could be avoided using a LABST may also lead to the
223 resumption of the test.
224

225 For products with an inherent safety risk (e.g. residual toxicity of bacterial toxin in bacterial
226 and/or toxoid vaccines, residual live virus in rabies vaccines or other vaccines containing
227 an agent of public health concern), it may be necessary to continue to conduct the LABST
228 on each batch or apply a different system for waiving LABST considering level of risk and
229 control measures.^{2,3}
230
231

232 **2.3.1.1. The characteristics of the product and its manufacture**

233 The manufacturer should demonstrate that the product is manufactured following the
234 quality principles, i.e. the product has been manufactured in a consistent and suitable
235 manner.
236

237 **2.3.1.2. Information available on the current batch safety test**

238 The manufacturer should submit batch protocol data for a sufficient number of consecutive
239 batches to demonstrate that safe and consistent production has been established. Without
240 prejudice to the decision of the competent authority in light of the information available for
241 a given vaccine, test data of 10 batches (or a minimum of 5 batches if 10 batches are not
242 manufactured within 3 years) is likely to be sufficient for most products. The data should
243 be obtained from consecutively tested batches from different vaccine bulks. The
244 manufacturer should examine the variability of the local (if applicable) and systemic

² In Europe, specific safety tests may already (e.g. for residual live rabies virus in mice) or may in future (e.g. residual toxicity of bacterial toxin in bacterial and/or toxoid vaccines) be omitted on the final batch when tested at the antigen level.

³ Based on data collected from LABST testing in Japan and USA in preparation of this guideline, most of the vaccines show no batch-dependent abnormal toxicity; however, a few products show inherent batch-dependent safety risk which might be due to residual toxicity of bacterial toxin in bacterial and/or toxoid vaccines.

245 reactions observed in the LABST results and the nature of these reactions in relation to
246 those observed in any developmental studies in laboratory animals submitted in support
247 of the registration or licensure of the product.
248

249 Generally, data from LABST of combined vaccines may be used to waive the LABST of
250 vaccines containing fewer antigen and/or adjuvant components provided the remaining
251 components are identical in each case and it is only the number of antigens and/or
252 adjuvant which has decreased. For example, LABST data from a combination product can
253 be sufficient to waive LABSTs for all the fallout products. The manufacturer should provide
254 a summary and discussion of the findings.
255

256 The conduct of the LABST shall be in accordance with the regional requirements in
257 operation at the time when the tests were performed. There should be a thorough
258 examination of any batches that have failed the LABST in the time period during which the
259 agreed number of consecutive batches have been tested. This information, along with an
260 explanation as to the reasons for failure, should be submitted to the regulatory authorities.

261 **2.3.1.3. Pharmacovigilance data**

262 A pharmacovigilance system in accordance with the VICH Guidelines, where available,
263 should have been in place over the period during which the batches for which data are
264 submitted were on the market. Safety information from pharmacovigilance and LABST are
265 by nature different but complement each other.
266

267 Available pharmacovigilance data to demonstrate the consistent safe performance of the
268 vaccine in the field should be provided using recent Periodic Safety Update Reports for
269 the relevant time period.
270

271 Where there exists a system for post-marketing re-examination of field safety data for new
272 veterinary vaccines, such data should also be considered alongside the
273 pharmacovigilance data.

274 **2.3.2. Procedure for waiving the laboratory animal batch safety test**

275 A report should provide an overall assessment of the consistency of the product's safety
276 and would include taking into account the number of batches manufactured, the number
277 of years the product has been on the market, the number of doses sold and the frequency
278 and seriousness of any adverse reactions in the target species and any investigations into
279 the likely causes of these events.
280
281

282 **3. GLOSSARY**

283

284 **Good Laboratory Practices (GLP):** A standard for the design, conduct, monitoring,
285 recording, auditing, analysis, and reporting of non-clinical studies. Adherence to the
286 standard provides assurance that the data and reported results are complete, correct and
287 accurate, that welfare of the study animals and the safety of the study personnel involved
288 in the study are ensured, and that the environment and the human and animal food chains
289 are protected (OECD, 1998).

290

291 **Good Manufacturing Practices (GMP):** Is part of a quality system covering the
292 manufacture and testing of medicinal products including veterinary medicines. GMPs are
293 guidelines that outline the aspects of production and testing that can impact the quality of
294 a product standard assuring the quality of production processes and the production
295 environment during the production of a medicinal product.

296

297 **Laboratory Animal Batch Safety Test (LABST):** General safety test in laboratory
298 animals which is performed as a routine final product batch test for veterinary vaccines, in
299 regions where it is required.

300

301 **Laboratory Animal:** The term "laboratory animal" refers in the context of the LABST to
302 guinea pigs and mice.

303

304 **Production Batch:** A defined quantity of starting material, packaging material or product
305 processed in one process or series of processes so that it could be expected to be
306 homogeneous.

307 Note: To complete certain stages of manufacture, it may be necessary to divide a batch
308 into a number of sub batches, which are later brought together to form a final
309 homogeneous batch. In the case of continuous manufacture, the batch must correspond
310 to a defined fraction of the production, characterised by its intended homogeneity.

311

312 **Seed Lot System:** A seed lot system is a system according to which successive batches
313 of a product are derived from the same master seed lot at a given passage level. For
314 routine production, a working seed lot is prepared from the master seed lot. The final
315 product is derived from the working seed lot and has not undergone more passages from
316 the master seed lot than the vaccine shown in clinical studies to be satisfactory with
317 respect to safety and efficacy. The origin and the passage history of the master seed lot
318 and the working seed lot are recorded.

319

320 **Target Animal Batch Safety Test (TABST):** Safety test in target animals which is
321 performed as a routine final product batch test for all inactivated and/or live veterinary
322 vaccines.

323

324 **Target Animal:** The specific animal species, class and breed identified as the animal for
325 which the veterinary vaccine is intended for use.

326

327 **4. REFERENCES**

328
329
330
331
332
333
334
335
336
337
338
339
340
341
342
343
344
345
346
347
348
349
350
351
352
353
354
355
356
357
358
359
360
361

De Mattia F, Chapsal J, Descamps J, Halder M, Jarrett N, Kross I, Mortiaux F, Ponsar C, Redhead K, McKelvie J & Hendriksen CFM (2011). The consistency approach for quality control of vaccines - A strategy to improve quality control and implement 3Rs. *Biologicals* 39, 59-65.

European Pharmacopoeia (2019). Monograph 62 – Vaccines for veterinary use. European Pharmacopoeia 9th Edition, Council of Europe, France.

Hendriksen CFM, Arciniega J, Bruckner L, Chevalier M, Coppens E, Descamps J, Duchêne M, Dusek D, Halder M, Kreeftenberg H, Maes A, Redhead K, Ravetkar S, Spieser JM & Swam H (2008). The consistency approach for the quality control of vaccines. *Biologicals* 36, 73-77.

Krämer B, Nagel M, Duchow K, Schwanig M, Cussler K (1996) Is the abnormal toxicity test still relevant for the safety of vaccines, sera and immunoglobulins? *ALTEX* 13, 7-16.

Kulpa-Eddy K, Srinivas G, Halder M, Brown K, Draayer H, Galvin J, Claassen I, Gifford G, Woodland R, Doelling B, Jones B, Stokes WS (2011). Alternative methods and strategies to reduce, refine, and replace animal use for veterinary vaccine post-licensing safety testing: state of the science and future directions. *Procedia in Vaccinology* 5, 106-119.

Lucken R (2000). Eliminating vaccine testing in animals – more action, less talk. *Developments in Animal and Veterinary Sciences* 31, 941-944.

OECD (1998). Principles on Good Laboratory Practice and Compliance Monitoring. OECD, Paris, France. Available at: www.oecd.org.

Pastoret PP, Blancou J, Vannier P & Verschuere C (1997). *Veterinary Vaccinology*. Amsterdam, The Netherlands: Elsevier Science B.V.

Schutte K, Szczepanska A, Halder M, Cussler K, Sauer UG, Stirling C, Uhlrich S, Wilk-Zasadna I, John D, Bopst M, Garbe J, Glansbeek HL, Levis R, Serreyn P-J, Smith D, Stickings P (2017) Modern science for better quality control of medicinal products “Towards global harmonization of 3Rs in biologicals”: The report of an EPAA Workshop. *Biologicals* 48: 55-65.

Schwanig M, Nagel M, Duchow K, Krämer B (1997) Elimination of abnormal toxicity test for sera and certain vaccines in the European Pharmacopoeia. *Vaccine* 15, 1047-1048.