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4 **Reflection paper on anthelmintic resistance**
5 **Draft**

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7 Reflection paper on anthelmintic resistance

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22 1. Introduction

23 Helminth infections are common in most animals. Usually, a balance exists between helminths and the
24 immune system of the animal and thus helminth infection will not lead to illness. However, in animals
25 that do not have sufficient immunity against worms e.g. young or diseased individuals, or when
26 exposure to eggs/larva is massive, helminth infections may have severe impact on the health status.
27 This might subsequently impact on performance (e.g. racing horses) and production (e.g. reduced milk
28 and weight gain in sheep).

29 Benzimidazoles were the first class of modern anthelmintics. Thiabendazole was introduced in the
30 1960's. The first report of decreased efficacy of thiabendazole to *Haemonchus contortus* strains dates
31 from 1964, just 3 years after its introduction on the market (1). Other anthelmintic classes used in
32 horses or small ruminants also demonstrated a rapid onset of resistance within a few years of their
33 introduction on the market, i.e. 3 to 9 years in sheep, (2). Nowadays development of resistance
34 against anthelmintics is considered a major threat for the sheep industry in Australia, and in Europe
35 several reports have been published indicating increasing resistance developing against several classes
36 of anthelmintics (3, 4, 5).

37 Currently, the few EU monitoring programs running are not funded or co-ordinated by government.
38 Therefore, the prevalence of resistance to anthelmintics in different species is currently not
39 systematically documented in Europe.

40 In a situation where anthelmintic resistance is becoming more common it is of interest to know if a
41 certain anthelmintic is effective in worm populations that are resistant against other anthelmintic
42 (classes), and, increasingly, applicants are seeking claims which state that certain anthelmintic
43 products are effective in helminths that are resistant to other anthelmintic (classes).

44 However, it is under discussion how such information should be worded and where it could appear in
45 the summary of product characteristics (SPC).

46 2. Definition of resistance

47 In line with the World Association for the Advancement of Veterinary Parasitology (WAAVP) Guideline
48 on anthelmintic combination products targeting nematode infections of ruminants and horses (17),
49 anthelmintic resistance can be defined as the ability of parasites to survive doses of drugs that would
50 normally kill parasites of the same species and stage. It is inherited and selected for because the
51 survivors of drug treatments pass genes for resistance on to their offspring. These resistance genes
52 are initially rare in the population or arise as rare mutations, but as selection continues, their
53 proportion in the population increases as does the proportion of resistant parasites.

54 3. Mechanisms of resistance

55 Due to modern molecular technology, mechanisms of resistance in worms are becoming further
56 understood. As reviewed by James et al. (9), Prichard (16) and Wolstenholme et al. (10), resistance in
57 worms can be the result of a variety of mechanisms and can be roughly categorised as genetic changes
58 in the drug target, in the drug transport (ABC transporters), or in the drug metabolism.

59 The cause of resistance in worms is often complex. Whereas nematode resistance to benzimidazoles
60 can be due to a mutation in the gene coding for the target site, the same mutation does not seem to
61 cause resistance to triclabendazole in *Fasciola hepatica* (11). Even within a worm species different
62 mutations can lead to resistance against the same anthelmintic. For instance, benzimidazole resistance

63 in *Haemonchus contortus* can commonly be caused by the phenylalanine to tyrosine mutation at amino
64 acid position 200 of the isotype 1 β -tubulin gene (12); however, the frequency of this major resistance
65 point mutation varies considerably and it can be low even in resistant populations (9, 13). Therefore,
66 besides this point mutation, benzimidazole (BZ)-resistant populations can carry different mutations
67 that confer BZ-resistance. Furthermore, differences in drug transport or drug metabolism within a
68 worm species also account for different resistance mechanisms against the same anthelmintic (14, 15).
69 On the other hand, as P-glycoprotein is able to transport many different drugs (including ivermectin,
70 benzimidazoles and imidazothiazole derivatives), changes in this protein might confer cross-resistance
71 to many other drugs (9).

72 **4. Methods of detecting resistance.**

73 Depending on the type of helminth different methods of detecting resistance can be used, in line with
74 the WAAVP Guideline on anthelmintic resistance (6).

75 **4.1. Nematodes**

76 **4.1.1. General, faecal egg count reduction test**

77 Reduced efficacy, including that which is due to resistance, can be detected by using the Faecal Egg
78 Count Reduction Test (FECRT) which should be carried out in naturally infected animals, before and
79 after treatment. The test is an estimation of anthelmintic efficacy by comparing faecal egg counts.
80 Counts from a group of untreated animals provide a negative control during treatment. This test can
81 be used with all anthelmintic classes, which is a great advantage as compared to other tests. However,
82 it is not reliable if the proportion of resistant worms is less than 25% (7). FECRT can be used in horses,
83 ruminants and pigs (6) for nematodes which shed their eggs via in the faeces. The interval between
84 treatment and second sampling should be shorter than the pre-patent period of the worm, thus the
85 genus and (where possible) the species should be determined. Furthermore, and as described by Coles
86 (6, 18), the correct sampling interval depends on the type of anthelmintic.

87 The WAAVP guideline on anthelmintic resistance (6)¹ interprets a FECRT < 90% (arithmetic mean) as
88 indicative of resistance in pigs, horses and cattle, provided that a minimum pre-treatment individual
89 egg count is present as described in this guideline. In small ruminants the WAAVP guideline determines
90 resistance when the percentage reduction in egg count (arithmetic mean) is less than 95% and the
91 95% confidence level is less than 90%; if only one of the two criteria is met, resistance is suspected.
92 However, new insights reveal that these thresholds are not always applicable to all nematode species
93 or to all substances/substance classes.

94 Resistance (indicated through FECRT in the field) must be confirmed in a laboratory study with induced
95 infections using recently isolated European helminth strains suspected of being resistant, and
96 confirmed by necropsy (19). All stages (adult and larval) mentioned in the SPC must be studied.

97 **4.1.2. Molecular assays**

98 Molecular techniques, such as polymerase chain reaction (PCR) or pyrosequencing, can expose
99 mutations responsible for resistance against a certain anthelmintic class in helminth genes. Currently,
100 in helminths, only resistance against benzimidazoles can be detected by PCR.

101 These methods are useful when resistance is caused by a single gene mutation, or by a small number
102 of such mutations. For interpretation to be possible, the relevance of the mutation in the development

¹ The WAAVP anthelmintic resistance guideline is currently under revision. The revision is expected to be published by the end of 2014 (personal communication)

103 of resistance against a certain anthelmintic class should be substantiated by studies or described in
104 literature.

105 **4.1.3. Other methods**

106 Other methods for detection of resistance are the egg hatch test and the microagar larval development
107 test, which have been developed for detection of resistance against benzimidazoles or levamisole in
108 horses or small ruminants. Coles et al. (18) have described the execution of these tests and
109 interpretation of the results.

110 **4.2. Trematodes and cestodes**

111 Suspected resistance of trematodes in the field might be further substantiated with a “dose and
112 slaughter” trial, as described by Coles et al. (8): after artificial infection followed by treatment with a
113 flukicide (e.g. triclabendazole), the animals are killed and the number of flukes in the liver are counted.
114 There is, however, currently no agreed view on how to determine the occurrence of resistance on basis
115 of these counts. FECRT has not been standardised for tapeworms or flukes. PCR could potentially be
116 used to confirm resistance in these worms but currently literature on this topic is very scarce.

117 At the present time, there are no validated tests available for interpretation of resistance in trematodes
118 and cestodes.

119 **5. Discussion**

120 Currently there is a significant level of uncertainty regarding the clinical relevance of different types of
121 resistance identified in the laboratory. Furthermore, the correlation between the outcome of available
122 laboratory methods to identify resistance, and clinical signs of resistance among treated animals is
123 unclear. Finally and most importantly, it can never be established that a certain substance will always
124 be effective against a helminth which is resistant to another anthelmintic substance (i.e. a helminth
125 can acquire separate resistance against both substances or cross-resistance may occur).

126 In order to establish the existence of helminths which are resistant to an anthelmintic substance,
127 applicants should provide data (from published literature or own field data), to determine prevalence of
128 resistance in an area or geographical region(s) in Europe where such problems have been identified.
129 Suspected resistance, based on FECRT or helminth counts, should be confirmed by appropriate
130 laboratory studies using these resistant field isolates. Molecular techniques such as PCR analysis of
131 mutations may also be useful for confirmation of resistance.

132 Because of the wide variation in resistance mechanisms even within the same worm species, and
133 considering the lack of knowledge on this subject, it is currently not possible to give guidance on how
134 many worm strains with different genetic mechanisms of resistance should be investigated in
135 laboratory and field studies.

136 Therefore, information on efficacy against worms which are accepted as being resistant to one
137 antiparasitic substance or class cannot be presented in section 4.2 of the SPC of a competitor product
138 which contains a different anthelmintic substance. However, provided that sufficient data have been
139 presented to conclude on the occurrence of resistance in Europe, the number of studies, a summary of
140 the outcome from these studies and details of the origin of the resistant challenge strains can be
141 stated in the SPC section 5.1. Information such as this is only acceptable when the helminth in
142 question is a target parasite listed in section 4.2 of the SPC.

143 If studies are only carried out with resistant strains derived outside Europe this should be clearly
144 specified in section 5.1 of the SPC. The absence of cross resistance between anthelmintics in these
145 worm strains could also be mentioned in section 5.1 of the SPC.

146 **6. Management strategies/refugia**

147 Discussion of management strategies/refugia is not recommended in the SPC because the refugia
148 principle has not been proven in many species (only in sheep), and management strategies are not
149 consistently applicable, as depending on the class of antiparasitic, the resistance situation might
150 change over time.

151 **7. Conclusion**

152 A claim in section 4.2 of an SPC that a product is effective in helminths that are resistant against
153 another anthelmintic substance/class is not acceptable because the potential occurrence of strains
154 which are resistant to both substances can never be refuted.

155 Any reference to the efficacy of a certain product against helminths where resistance has been
156 demonstrated against other antiparasitic substances should only be made in section 5.1 of an SPC and
157 the number of studies, the outcome of these studies and details of the origin of the resistant challenge
158 strains should be described.

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