



EUROPEAN MEDICINES AGENCY  
SCIENCE MEDICINES HEALTH

24 September 2015  
EMA/682492/2015  
Committee for Medicinal Products for Human Use (CHMP)

## Assessment report

### **OPDIVO**

International non-proprietary name: NIVOLUMAB

Procedure No. EMEA/H/C/003985/II/0001

### **Note**

Variation assessment report as adopted by the CHMP with all information of a commercially confidential nature deleted.



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## List of abbreviations

1Q	First quarter
2L	Second-line
3L	Third-line
4L	Fourth-line
4Q	Fourth quarter
ADCC	Antibody-dependent cell-mediated cytotoxicity
AE	Adverse event
ALP	alkaline phosphatase
ALT	alanine aminotransferase
ARDS	acute respiratory distress syndrome
AST	aspartate aminotransferase
BEV	bevacizumab
BMS	Bristol-Myers Squibb
BOR	Best overall response
BSC	Best supportive care
CAR	carboplatin
CIS	cisplatin
CR	Complete response
CRC	Colorectal adenocarcinoma
CSR	clinical study report
CTLA-4	cytotoxic T lymphocyte antigen-4
DLT	dose limiting toxicity
DMC	data monitoring committee
DOR	Duration of response
DSD	Duration of stable disease
DTIC	dacarbazine
ECG	electrocardiogram
ECOG	Eastern Cooperative Oncology Group
EGFR-TKI	Epidermal growth factor receptor tyrosine kinase inhibitor
ERL	erlotinib
FDA	Food and Drug Administration
FPFV	First patient first visit
GEM	gemcitabine
GI	gastrointestinal
HED	Human equivalent dose
HuMAb	Human monoclonal antibody
IB	Investigator Brochure
IgG4	Immunoglobulin G4
Ipi	ipilimumab
IRC	independent review committee
ISEL	Iressa Survival Evaluation in Lung Cancer
IV	Intravenous
LLN	lower limit of normal
LSQ	lung squamous

mAb monoclonal antibody  
Max Maximum  
mCRPC Metastatic castrate-resistant prostate cancer  
MedDRA Medical Dictionary for Regulatory  
Min Minimum  
MTD Maximum tolerated dose  
NA Not available  
NCI CTCAE National Cancer Institute Common Terminology Criteria for Adverse Events  
NR Not reached  
NSCLC Non-small cell lung cancer  
NSQ non-squamous  
OLSQ ongoing lung squamous  
OMM ongoing melanoma monotherapy  
OR objective response  
ORR Objective response rate  
OS Overall survival  
PD Progressive disease  
PD-1 Programmed death 1  
PD-L1 Programmed death 1 ligand 1  
PD-L2 Programmed death 1 ligand 2  
PFS Progression-free survival  
PK Pharmacokinetics  
PR Partial response  
Q2W Every 2 weeks  
RCC Renal cell carcinoma  
RECIST Response Evaluation Criteria in Solid Tumours  
SAE Serious adverse event  
SCE Summary of Clinical Efficacy  
SCP Summary of Clinical Pharmacology  
SCS Summary of Clinical Safety  
SD Stable disease  
SQ Squamous  
TTR Time to response

# 1. Background information on the procedure

## 1.1. Type II variation

Pursuant to Article 16 of Commission Regulation (EC) No 1234/2008, Bristol-Myers Squibb Pharma EEIG submitted to the European Medicines Agency on 25 June 2015 an application for a variation.

The following variation was requested:

Variation requested		Type	Annexes affected
C.I.6.a	C.I.6.a - Change(s) to therapeutic indication(s) - Addition of a new therapeutic indication or modification of an approved one	Type II	I, II, IIIA and IIIB

Extension of indication to include treatment of locally advanced or metastatic squamous non-small cell lung cancer (NSCLC) after prior chemotherapy in adults (in line with the Nivolumab BMS MAA, procedure EMEA/H/C/003840). As a consequence, sections 4.1, 4.2, 4.4, 4.8 and 5.1 of the SmPC have been updated and the Package Leaflet has been revised accordingly. Further, Annex II has been updated to include a post-authorisation efficacy study as a new obligation in line with the agreed Annex II for Nivolumab BMS. In addition, the MAH took the opportunity to make editorial changes in the SmPC, Annex II, labelling and Package Leaflet. A revised RMP version 2.0 was provided as part of the application.

The requested variation proposed amendments to the Summary of Product Characteristics, Annex II, Labelling, Package Leaflet and to the Risk Management Plan (RMP).

### **Information on paediatric requirements**

Pursuant to Article 8 of Regulation (EC) No 1901/2006, the application included an EMA Decision P/0064/2014 on the agreement of a paediatric investigation plan (PIP) and CW/1/2011 on the granting of a class waiver.

At the time of submission of the application, the PIP P/0064/2014 was not yet completed as some measures were deferred.

### **Information relating to orphan market exclusivity**

#### **Similarity**

Pursuant to Article 8 of Regulation (EC) No. 141/2000 and Article 3 of Commission Regulation (EC) No 847/2000, the applicant did not submit a critical report addressing the possible similarity with authorised orphan medicinal products because there is no authorised orphan medicinal product for a condition related to the proposed indication.

#### **Scientific advice**

The applicant did not seek Scientific Advice at the CHMP.

## 1.2. Steps taken for the assessment of the product

The Rapporteur appointed by the CHMP was:

Rapporteur: Arantxa Sancho-Lopez

Timetable	Actual dates
Submission date	25 June 2015
Start of procedure	27 July 2015
CHMP Rapporteur Assessment Report	31 July 2015
PRAC Rapporteur Assessment Report	28 August 2015
PRAC members comments	N/A
Updated PRAC Rapporteur Assessment Report	03 September 2015
PRAC Outcome	10 September 2015
CHMP members comments	N/A
Updated CHMP Rapporteur Assessment Report	N/A
CHMP Opinion	24 September 2015

## 2. Scientific discussion

### 2.1. Introduction

Nivolumab is a highly specific programmed death-1 (PD-1) immune checkpoint inhibitor. The PD-1 receptor is a key regulator of T-cell activity that has been shown to control tumor-specific inhibition of T-cell responses to tumors. Engagement of the PD-1 co-inhibitory receptor on activated T cells through programmed death ligands 1 and 2 (PD-L1 and PD-L2) results in inhibition of T-cell proliferation, survival and cytokine secretion.

Nivolumab is a fully human monoclonal immunoglobulin G4 (IgG4) antibody (HuMAb) that potentiates in vitro T-cell responses through dual ligand blockade of PD-L1 and PD-L2, and does not mediate antibody-dependent cell-mediated cytotoxicity (ADCC). Expression of PD-L1 and PD-L2 by malignant cells or other cells, including immune cells, allows multiple tumor types to evade immune-mediated destruction. Nivolumab restores T-cell activity either by preventing inactivation or by reactivating T cells to mount a direct T-cell immune attack against tumor cells, including an increase in cytotoxic CD8 T cells in the tumor, without any measurable increase in activated circulating T cells peripheral to the tumor.

In clinical studies, nivolumab has demonstrated clinical activity across several tumor types and has an acceptable safety profile in the context of the observed clinical activity. The clinical activity, safety, and pharmacokinetic data of nivolumab monotherapy that justified the initiation of most of the key studies were obtained from a Phase 1 dose-finding study. The monotherapy dose (3 mg/kg) and schedule (every 2 weeks) was selected for Phase 2/Phase 3 clinical development.

After confirmation by the European Commission (dated 29 July 2013, related to Article 82 (1) of

Regulation (EC) No 726/2004, based on objective verifiable reasons relating to public health regarding the availability of medicinal products to health-care professionals and/or patients, or for co-marketing reasons), the applicant has submitted in September 2014 two separate MAAs for nivolumab, in order to make it available to health-care professionals and patients in the most optimal and timely way:

- One MAA, under the name of OPDIVO, in the treatment of advanced or metastatic melanoma, which has been granted accelerated review, CHMP opinion issued on 23 April 2015
- Another MAA, under the name of Nivolumab BMS in the treatment of squamous non-small cell lung cancer (NSCLC), CHMP Opinion issued on 21 May 2015.

This type II variation is being submitted to reflect the squamous NSCLC indication from the Nivolumab BMS MAA into the OPDIVO Marketing Authorisation.

This variation does not require any assessment of new data and presents a consolidation of the already assessed NSCLC data from the Nivolumab BMS MAA into the OPDIVO MA, together with an administrative update of the eCTD backbone.

All the changes have been through full scientific assessment with CHMP and PRAC and no new additional data requiring assessment are being submitted.

### ***Problem statement***

Lung cancer has been among the most common cancers in the world for several decades. The 2012 worldwide estimates of cancer incidence and mortality by GLOBOCAN, indicate a total of 1.8 million new lung cancer cases and 1.6 million lung cancer related deaths, accounting for 13.0% of all cancer cases (except non-melanoma skin cancers) and 19.4% of all cancer deaths (except non-melanoma skin cancers). Furthermore, lung cancer incidence rates were two-fold higher in males compared to females (1,241,601 and 583,100, respectively). In 2013, the estimated number of lung cancer related deaths is 159,480 in the United States (Siegel et al 2013) and 269,610 in the European Union (Malvezzi et al 2013).

The two most prevalent sub-types of lung cancer are small cell lung cancer and non-small cell lung cancer (NSCLC). Approximately 85% of all lung cancers are NSCLC, which is frequently further subdivided into non-squamous carcinoma (including adenocarcinoma, large-cell carcinoma, and other cell types) and squamous cell (epidermoid) carcinoma accounting for approximately 15% to 25% of all NSCLC (~230,000 to 380,000 cases)<sup>12</sup>.

Adenocarcinoma (40% of lung cancers) is the most common type of lung cancer, and is also the most frequently occurring in non-smokers as reported in United States (US) data (American Cancer Society 2013).

Non-small cell lung cancer is associated with high mortality rates as >70% of the patients are diagnosed with locally advanced or metastatic disease (Molina et al 2008) [stages III and IV according to the American joint committee on cancer staging (AJCC)].

Tobacco use is the most important risk factor for lung cancer, with up to 80% of lung cancer patients reporting a history of tobacco use. Approximately 10% to 30% of non-SQ NSCLC occurs in patients

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<sup>1</sup> Brambilla E, Travis WD. Lung cancer. In: World Cancer Report, Stewart BW, Wild CP (Eds). World Health Organization, Lyon 2014.

<sup>2</sup> Schrump DS, Carter D, Kelsey CR, et al. Non-Small Cell Lung Cancer. Cancer: Principles and Practice of Oncology. 9th Edition. 2011. (Chapter 75).

with a never smoker history and a strong correlation with the presence of an activating epidermal growth factor receptor (EGFR) mutation or gene translocation. Squamous NSCLC almost universally occur in patients with a history of tobacco use and only rarely are tumours found, which contain an EGFR activating mutation<sup>3</sup>.

In addition to the high mortality associated with NSCLC, a high proportion of patients experience severe morbidity as a result of local and metastatic spread of disease. Common morbidities include generalized weakness and fatigue, cough, and dyspnoea. Local spread of tumour can result in obstructive pneumonia, lobar collapse, haemoptysis, pain from chest wall and rib invasion, and pleural effusions, while distant spread to bone, brain, liver, and adrenals can lead to pain, neurologic sequelae, and laboratory abnormalities. Generalized effects of metastatic disease also include cachexia, thrombotic and embolic events, paraneoplastic conditions, and infections.

Historically, patients with locally advanced or metastatic NSCLC have been treated with standard chemotherapy and/or radiation, and while these treatments may provide modest survival benefits, they are rarely curative.

#### *Refractory SQ NSCLC*

Despite new treatments for NSCLC in the last 15 years, most of the available agents do not benefit patients with SQ NSCLC, because they are not efficacious for this subtype (bevacizumab [BEV], pemetrexed [PEM]) or since activity is limited to tumours with specific mutations and gene alterations that are rarely found in SQ NSCLC tumours (erlotinib, gefitinib, afatinib, crizotinib). Reports from multi-institution, retrospective studies demonstrate treatment with cytotoxic chemotherapy and EGFR inhibitors produce little clinical benefit in refractory SQ NSCLC patients. Massarelli et al<sup>4</sup> described results from 43 third-line or more patients that were treated in 2 large academic centers in France at the Institut Gustave Roussy (IGR) and in the US at the MD Anderson Cancer Center (MDACC). All patients had at least two chemotherapy regimens, including at least one course of a platinum-based therapy and one course of docetaxel, given concurrently or as separate treatment regimens and 26% had SQ histology. Patients were treated with a variety (>10) of different cytotoxic regimens mostly consisting of monotherapy or combinations of carboplatin, paclitaxel, docetaxel, gemcitabine, vinorelbine, and etoposide. The investigator-assessed objective response rate (ORR) in the last line treatment was 2.3% and for all patients, the median OS and 1 year OS were 4 months and 5.5%, respectively. Scartozzi et al reported on a series of 143 previously treated NSCLC patients treated in multiple centers across Italy. Only 52 of the 143 patients were able to receive third-line therapy. Of these, the majority had adenocarcinoma (58%) or SQ (11%) histology. A range of treatments were provided including a variety of cytotoxic therapies (58%) and EGFR inhibitors (42%). The investigator assessed ORR was 8% and the median OS was 4.8 months in the SQ subset.

A summary of United States (US) Medicare data indicates treatment in third-line is variable. Thirty-four distinct third-line regimens were utilized for SQ patients. This variation implies lack of a clear standard of care. Survival is poor for third-line SQ patients. The median OS is 5 months from initiation of third-line, with 1 year and 2-year survival rates of 18% and 3%, respectively<sup>5</sup>.

This patient population therefore represents an area of high and urgent unmet medical need

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<sup>3</sup> Cancer Genome Atlas Research Network. Comprehensive genomic characterization of squamous cell lung cancers. *Nature*. 2012. Sep. 27;489(7417):519-25.

<sup>4</sup> Massarelli E, Andre F, Liu DD, et al. A retrospective analysis of the outcome of patients who have received two prior chemotherapy regimens including platinum and docetaxel for recurrent non-small-cell lung cancer. *Lung Cancer* 2003; 39: 55-61.

<sup>5</sup> Interim Study Report for Study CA209060: Observational Study in Non-Small Cell Lung Cancer (NSCLC) Survival, Treatment Patterns, and Cost in a U.S. Medicare Population. Bristol-Myers Squibb Company; 2014. Document Control No. 930081546.

## **2.2. Non-clinical aspects**

There are no new non-clinical data supporting this variation application compared to the data supporting the initial MA for Opdivo.

### **2.2.1. Ecotoxicity/environmental risk assessment**

Nivolumab is a protein, which is expected to biodegrade in the environment and not be a significant risk to the environment. Thus, according to the "Guideline on the Environmental Risk Assessment of Medicinal Products for Human Use" (EMA/CHMP/SWP/4447/00), nivolumab is exempt from preparation of an Environmental Risk Assessment as the product and excipients do not pose a significant risk to the environment.

### **2.2.2. Discussion and conclusion on non-clinical aspects**

The applicant did not submit studies for the ERA. According to the guideline, in the case of products containing proteins as active pharmaceutical ingredient(s), an ERA justifying the lack of ERA studies is acceptable.

## **2.3. Clinical aspects**

### **2.3.1. Introduction**

#### ***GCP***

The Clinical trials were performed in accordance with GCP as claimed by the applicant.

The applicant has provided a statement to the effect that clinical trials conducted outside the community were carried out in accordance with the ethical standards of Directive 2001/20/EC.

- Tabular overview of clinical studies

**Table 1: Summary of Nivolumab Clinical Development Program in Previously Treated NSCLC**

	Phase 2/3 Studies			Phase 1 Studies
NSCLC Histology	SQ		NSQ	SQ + NSQ
Study Number	CA209063	CA209017	CA209057	MDX1106-03/ CA209003
Study Population/Number Randomized or Treated	At least 1 platinum doublet-based chemotherapy and 1 additional systemic therapy	1 prior platinum doublet-based chemotherapy	1 prior platinum doublet-based chemotherapy	At least 1 prior systemic therapy
Number Randomized or Treated	N = 117 treated	N = 272 randomized; 259 treated	N = 582 randomized; 555 treated	N=129 treated (NSCLC cohort)
Study Design	Phase 2, single-arm	Phase 3, nivolumab vs. docetaxel	Phase 3, nivolumab vs. docetaxel	Phase 1b (expansion)
Nivolumab Regimen	3 mg/kg Q2W	3 mg/kg Q2W	3 mg/kg Q2W	1, 3, and 10 mg/kg Q2W
Primary Efficacy Endpoint	ORR (IRC-assessed)	OS	OS	ORR and DOR (sponsor-assessed)
Additional Efficacy Endpoints	ORR (investigator-assessed), DOR, TTR, PFS, OS, efficacy by PD-L1 expression	ORR (investigator-assessed), DOR, TTR, PFS, efficacy by PD-L1 expression	ORR (investigator-assessed), DOR, TTR, PFS, efficacy by PD-L1 expression	TTR, PFS, OS
Study Status	Completed analysis of primary endpoint; OS follow up ongoing	Enrollment completed Nov-2013; interim OS data availability event-driven (expected between 4Q 2014 and 1Q 2015)	Enrollment completed Nov-2013; interim OS data availability event-driven (expected between 4Q 2014 and 1Q 2015)	Completed analysis of primary endpoints; OS follow up ongoing

Abbreviations: 1Q, first quarter; 4Q, fourth quarter; DOR, duration of response; IRC, independent review committee; NSCLC, non-small-cell lung cancer; ORR, objective response rate; OS, overall survival; PD-L1, programmed death 1 ligand 1; PFS, progression-free survival; Q2W, every 2 weeks; SQ, squamous; NSQ, non-squamous; TTR, time to response

**Table 2: Summary of clinical studies contributing to pharmacology profiling of nivolumab**

Study number	Treatment	Number of treated subjects	Pharmacology component
MDX1106-01 (CA209001) Phase 1	0.3, 1, 3, 10 mg/kg	39	Single dose PK popPK
MDX1106-03 (CA209003) Phase 1	0.1, 0.3, 1, 3, 10 mg/kg Q2W	306 NSCLC=129 Melanoma=107 RCC=34 CRC=19 mCPRC=17	Multiple dose PK Dose selection popPK Receptor binding T cell distribution ALC PD-L1 tumour tissue Exposure response immunogenicity

CA209009 <i>study ongoing</i>	0.3, 2, 10 mg/kg Q3W	91 RCC	PBMC Cytokine concentrations
CA209010 Phase 2	0.3, 2, 10 mg/kg Q3W	167 RCC	Sparse PK – popPK QTc prolongation
<b>CA209063</b> <b>Phase 2</b> <b>(pivotal)</b>	<b>3 mg/kg Q2W</b>	<b>117 NSCLC</b>	Sparse PK – popPK immunogenicity
<b>CA209037</b> <b>Phase 3</b> <b>(pivotal)</b>	<b>3 mg/kg Q2W</b>	<b>268 melanoma</b>	Sparse PK – popPK Exposure response immunogenicity
ONO-4538-01 Phase 1 Japanese	1, 3, 10, 20 mg/kg Q2W	17	Single dose PK and sparse PK for multiple dose - popPK
ONO-4538-02 Japanese	2 mg/kg Q3W	35 melanoma	Sparse PK - popPK

### 2.3.2. Pharmacokinetics

#### **Absorption**

Nivolumab is dosed via the IV route and therefore is completely bioavailable.

#### **Distribution**

##### **Single Dose Pharmacokinetics: Study MDX1106-01**

The single-dose PK of nivolumab was described by non-compartmental analysis (NCA) of data from 39 subjects in MDX1106-01 (also known as CA209001), which was a Phase 1 study in patients with selected refractory or relapsed malignancies. The single-dose PK of nivolumab was determined from serum concentrations collected up to 85 days following single doses of 0.3, 1, 3 and 10 mg/kg, given as 1-hour IV infusion in MDX1106-01.

Following a single-dose IV administration of nivolumab ranging from 0.3 mg/kg to 10 mg/kg mean volume of distribution ( $V_z$ ) varied between 83 to 113 mL/kg across doses. Mean clearance and mean elimination half-life ranged from 0.13 to 0.19 ml/h/kg and between 17 and 25 days, across the range of 0.3 to 10 mg/kg dose.

**Table 3: Summary of nivolumab single-dose pharmacokinetic parameters – Study MDX1106-01**

Dose (mg/kg)	C <sub>max</sub> (µg/mL)	T <sub>max</sub> (h)	AUC(0-T) (µg*h/mL)	AUC(INF) (µg*h/mL)	T-HALF (day)	CLT (mL/h/kg)	V <sub>z</sub> (mL/kg)
	Geo. Mean [N] (%CV)	Median [N] (Min-Max)	Geo. Mean [N] (%CV)	Geo. Mean [N] (%CV)	Mean [N] (SD)	Geo. Mean [N] (%CV)	Mean [N] (SD)
0.3	6.7 [6] (21.6)	3.0[6] (1.0-6.8)	970 [6] (47)	2343 [3] (16)	18.9 [3] (7.05)	0.13 [3] (16.93)	82.8 [3] (27.19)
	16.0 [6] (32.1)	1.9 [6] (1.0-7.0)	3244 [6] (62)	6014 [4] (30)	17.0 [4] (2.36)	0.17 [4] (29.80)	99.6 [4] (23.04)
3	60.0 [5] (27.6)	3.1 [5] (1.0-5.0)	13909 [5] (44)	15813 [5] (44)	17.0 [5] (4.70)	0.19 [5] (42.66)	112.7 [5] (39.50)
	196.3 [21] (19.5)	1.6 [21] (0.9-7.0)	55324 [21] (39)	76541 [19] (27)	24.8 [19] (7.22)	0.13 [19] (28.42)	109.4 [19] (26.70)

Abbreviations: Geo mean=geometric mean; CV=coefficient of variation; SD=standard deviation

Volume of distribution as estimated by popPK analysis was 8.00 L (35.3%).

### Multiple-dose Administration: Study MDX1106-03

The multiple-dose PK of nivolumab given Q2W was assessed by NCA in MDX1106-03. Intensive PK serum concentration samples were collected from all subjects enrolled in 0.1, 0.3, and 1 mg/kg melanoma cohorts and first 16 subjects each from 3 and 10 mg/kg NSCLC cohorts over 336 hours (15 days) after first dose (Cycle 1) and ninth dose (Cycle 3). Limited PK samples were collected from all other pre-amendment 4 subjects and from all subjects enrolled in 1 mg/kg RCC cohort, 1 mg/kg NSCLC and remaining 16 subjects each from 3 and 10 mg/kg NSCLC in this study. Single samples were collected to evaluate serum concentrations of nivolumab at all follow-up visits.

The results from study MDX1106-03 is shown in Table 4.

**Table 4: Summary of nivolumab multiple dose pharmacokinetic parameters – Study MDX1106-03**

Nivolumab Dose	Dose Number	C <sub>max</sub> (µg/mL)	T <sub>max</sub> (h)	AUC(TAU) (µg*h/mL)	AI_C <sub>max</sub>	AI_AUC	CLT (mL/h)	Effective T-HALF (h)
		GEO.MEAN[N] (%CV)	MEDIAN[N] (MIN-MAX)	GEO.MEAN[N] (%CV)	GEO.MEAN[N] (%CV)	GEO.MEAN[N] (%CV)	GEO.MEAN[N] (%CV)	Mean [N] (SD)
0.1 mg/kg	First	1.9[15] (23.6)	1.1[15] (0.3-51.0)	279.4[13] (32.5)				
	Ninth	3.7[5] (42.2)	8.0[5] (0.6-24.0)	1104.4[4] (26.6)	2.3[4] (13.0)	3.1[4] (31.0)	8.3[4] (40.0)	622 [4] (235)
0.3 mg/kg	First	7.0[17] (32.3)	1.2[17] (0.9-24.3)	954.7[15] (26.9)				
	Ninth	17.8[2] (26.6)	24.7[2] (1.3-48.0)	3406.1[2] (12.8)	2.0[2] (26.7)	2.9[2] (6.1)	6.9[2] (17.8)	555 [2] (42)
1 mg/kg	First	19.6[17] (29.5)	1.2[17] (0.9-48.0)	3589.6[10] (23.8)				
	Ninth	46.9[10] (26.1)	1.0[10] (0.9-24.1)	10190.4[9] (25.8)	2.4[9] (21.4)	3.1[9] (34.7)	8.0[9] (31.1)	636 [9] (267)
3 mg/kg	First	61.3[13] (26.4)	2.1[13] (0.8-8.0)	8785.8[13] (22.7)				
	Ninth	132.0[7] (19.8)	4.0[7] (1.0-8.0)	30640.3[5] (17.5)	2.4[5] (13.6)	3.3[5] (25.5)	10.3[5] (18.1)	661 [5] (202)
10 mg/kg	First	191.2[14] (40.0)	3.9[14] (1.0-48.2)	31095.1[12] (25.4)				
	Ninth	475.0[5] (24.6)	22.3[5] (1.0-24.5)	99621.7[3] (26.0)	2.4[3] (12.6)	3.1[3] (11.0)	8.5[3] (6.4)	595 [3] (80)

The population PK analysis showed a 2-compartment PK model with first order elimination. Both the clearance and the volume in the central compartment on nivolumab increase with body weight

(ranged from 34 to 162 kg with a mean weight of 81 kg). This fact has been addressed by means of weight normalized dosing.

### Elimination

The mean terminal elimination half-life of nivolumab ranged between 17 and 27.5 days following single dose (study MDX1106-01) and Q2W administration (MDX1106-03) across the range of 0.1 to 10 mg/kg dose.

The geometric mean (%CV) of PopPK model-based estimates of individual nivolumab CL, volume of distribution at steady state (VSS), and terminal half-life were 9.5 mL/h (49.7%), 8.0 L (30.4%), and 26.7 days (101.0%), respectively. The typical clearance was 8.7 mL/h.

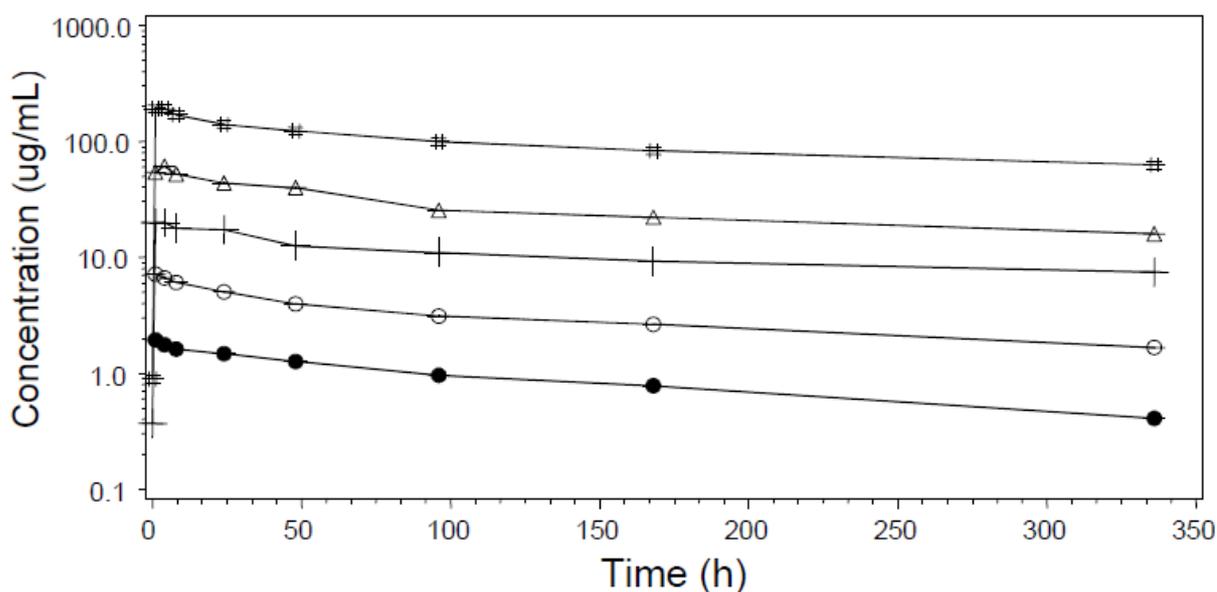
### Metabolism

No formal studies were conducted as nivolumab is a human monoclonal immunoglobulin and not metabolized by cytochrome P450 enzymes, it is degraded to small peptides and individual amino acids.

### Dose proportionality and time dependencies

The proportionality of the pharmacokinetics of nivolumab over the dose range 0.1 mg/kg-10 mg/kg was investigated in study MDX1106-03 and is presented in Figure 1. Following a one hour IV infusion, maximum concentrations of nivolumab were reached at median T<sub>max</sub> of 1.1 to 3.9h after Cycle 1/Day 1 dose.

**Figure 1: Plot of Mean Nivolumab Serum Concentration Profile versus Time After First Nivolumab Dose - Study MDX1106-03**



0.1 mg/kg nivolumab (●); 0.3 mg/kg nivolumab (○); 1 mg/kg nivolumab (+); 3 mg/kg nivolumab (Δ); 10 mg/kg nivolumab (#)

- **Time dependency**

Following Q2W administration, accumulation of nivolumab Cmin from first to ninth dose was in the range of 3.1 to 4.8, whereas accumulation of Ceoinf was in the range of 1.5 to 2.2.

**Table 5: Summary of trough and end of infusion concentration values of nivolumab administered every two weeks – Study MDX1106-03**

Nivolumab Dose	Dose Number	Cmin (µg/mL) GEO.MEAN[N] (%CV)	Ceoinf (µg/mL) GEO.MEAN[N] (%CV)	AI_Cmin GEO.MEAN[N] (%CV)	AI_Ceoinf GEO.MEAN[N] (%CV)
0.1 mg/kg	First	0.3[16] (56.9)	1.9[16] (27.7)		
	Ninth	2.5[7] (27.7)	2.8[4] (53.1)	4.8[7] (26.2)	1.5[4] (58.9)
0.3 mg/kg	First	1.4[15] (47.6)	6.9[18] (32.8)		
	Ninth	6.4[5] (47.1)	17.2[2] (31.3)	4.7[5] (102.3)	1.9[2] (31.5)
1 mg/kg	First	5.5[72] (42.8)	19.7[82] (31.3)		
	Ninth	19[35] (38.8)	39.7[38] (30.1)	3.1[35] (34.5)	1.9[36] (32.6)
3 mg/kg	First	16.6[46] (34.4)	58.6[50] (28.3)		
	Ninth	57[21] (35.9)	121.5[23] (20.7)	3.2[20] (25.3)	2.2[23] (49.4)
10 mg/kg	First	56.5[116] (30.6)	179.6[120] (26.3)		
	Ninth	188.8[44] (36.9)	331.4[43] (33.6)	3.2[44] (34.6)	1.8[42] (39.4)

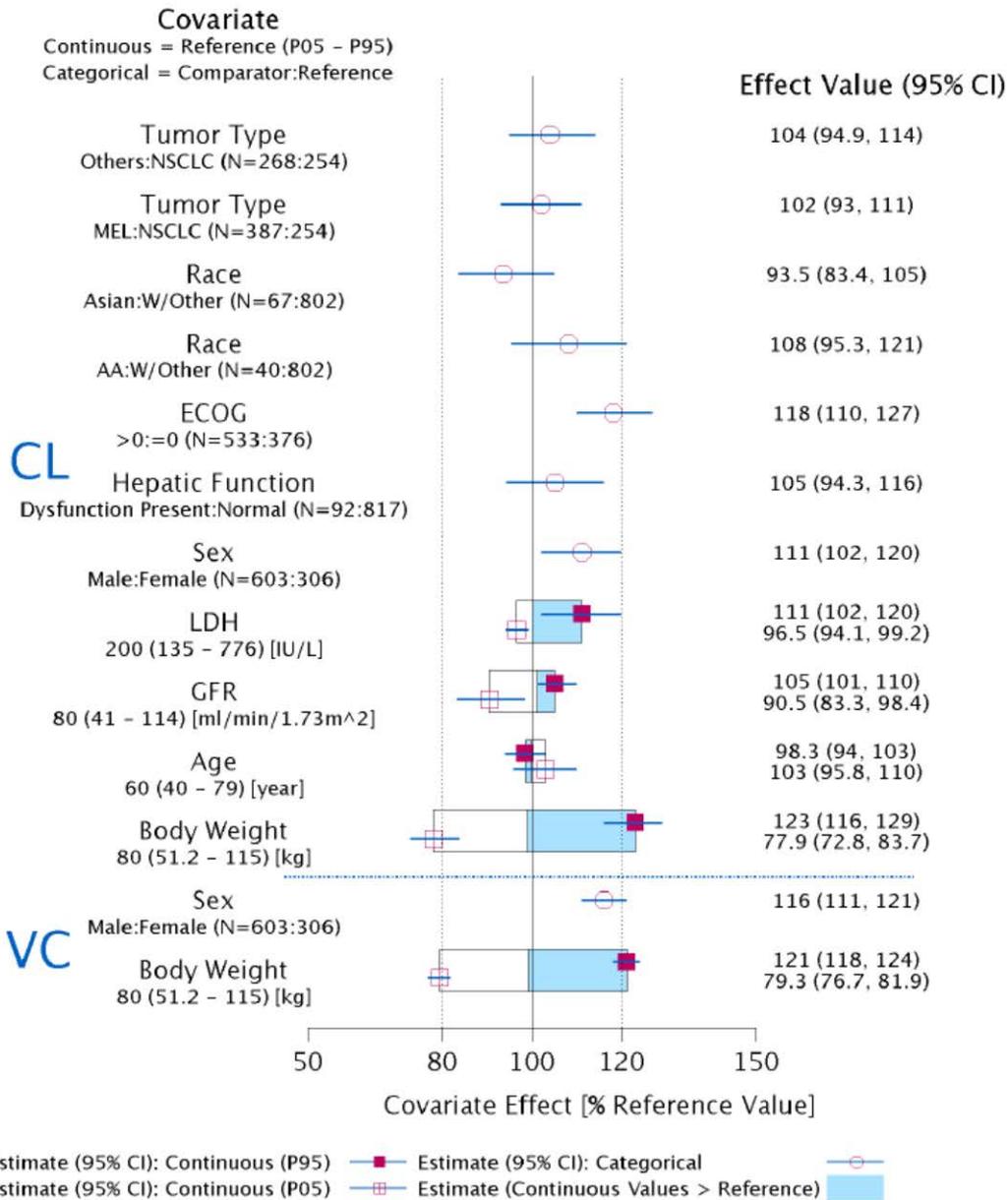
Cmin and Ceoinf data shown are based on Day 1 samples, except for the Cycle1 Cmin which is based on Day 15 samples

### Special populations

#### PopPK analyses

Population PK (popPK) analysis was based on intensive and sparse PK sampling mainly between Day 1 (Cycle 1) and Day 99 (Cycle 8) from 909 patients with solid tumours who received 3 mg/kg or 10 mg/kg Q2W during the dosing period (MDX1106-01, ONO-4538-01, ONO-4538-02, MDX1106-03, CA209010, CA209063 and CA209037). The PopPK model parameters were estimated with precision, and the model evaluation demonstrated that there was good agreement between model predictions and observations (Figure 2).

**Figure 2: Covariate effect on PK model parameters (full PPK model)**



Note 1: Categorical covariate effects (95% CI) are represented by open symbols (horizontal lines).

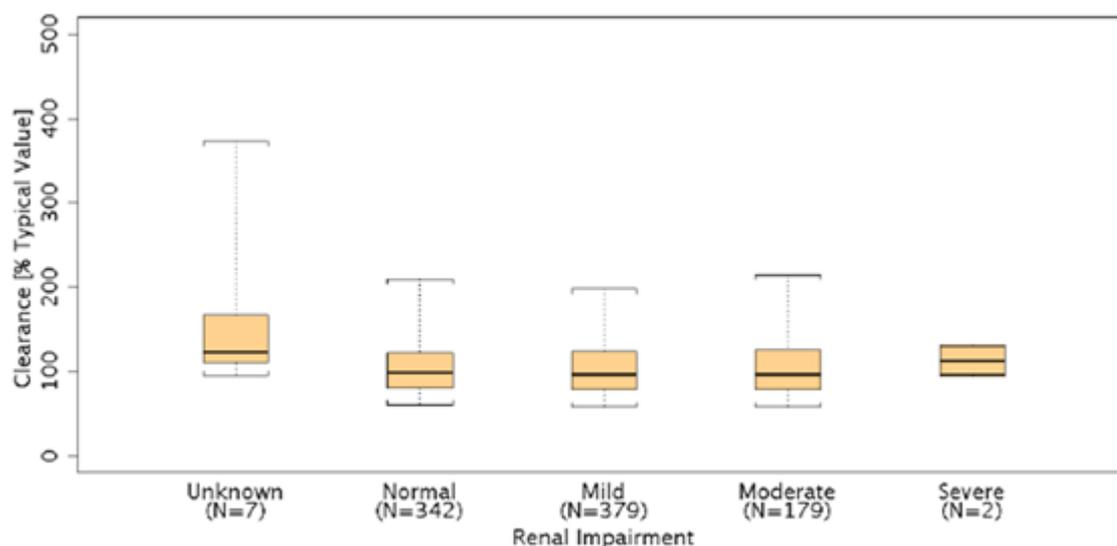
Note 2: Continuous covariate effects (95% CI) at the 5th/95th percentiles of the covariate are represented by the end of horizontal boxes (horizontal lines). Open/shaded area of boxes represents the range of covariate effects from the median to the 5th/95th percentile of the covariate.

Note 3: Reference subject is CA/others female age=60 yr, ECOG=0, LDH=200 IU/L, GFR=80 ml/min/1.73m<sup>2</sup> and body weight=80kg, subject with normal hepatic function. Parameter estimate in reference subject is considered as 100% (vertical solid line) and dashed vertical lines are at 80% and 120% of this value.

### Impaired renal function

Specific PK studies in patients with renal impairment were not conducted. Lack of effect of renal function (normal, mild or moderate) on the PK of nivolumab was obtained from the PopPK analysis. The effect of renal impairment on the CL of nivolumab was evaluated in patients with mild (GFR < 90 and  $\geq 60$  mL/min/1.73 m<sup>2</sup>; n = 379), moderate (GFR < 60 and  $\geq 30$  mL/min/1.73 m<sup>2</sup>; n = 179), or severe (GFR < 30 and  $\geq 15$  mL/min/1.73 m<sup>2</sup>; n = 2) renal impairment compared to patients with normal renal function (GFR  $\geq 90$  mL/min/1.73 m<sup>2</sup>; n = 342) in population PK analyses. Limited data (n=2) were available for severe renal impairment assessment.

**Figure 3: Boxplots showing the lack of relationship between renal function status and nivolumab exposure (dose normalised Cavgs) at Q2W dose regimen**



### Impaired hepatic function

The effect of hepatic impairment on the CL of nivolumab was evaluated in patients with mild hepatic impairment (total bilirubin  $1.0 \times$  to  $1.5 \times$  ULN or AST > ULN as defined using the National Cancer Institute criteria of hepatic dysfunction; n = 92) compared to patients with normal hepatic function (total bilirubin and AST  $\leq$  ULN; n = 804) in the population PK analyses. No clinically important differences in the CL of nivolumab were found between patients with mild hepatic impairment and normal hepatic function. Nivolumab has not been studied in patients with moderate (total bilirubin >  $1.5 \times$  to  $3 \times$  ULN and any AST) or severe hepatic impairment (total bilirubin >  $3 \times$  ULN and any AST) (see sections 4.2 and 5.2 of the SmPC).

- **Body Weight**

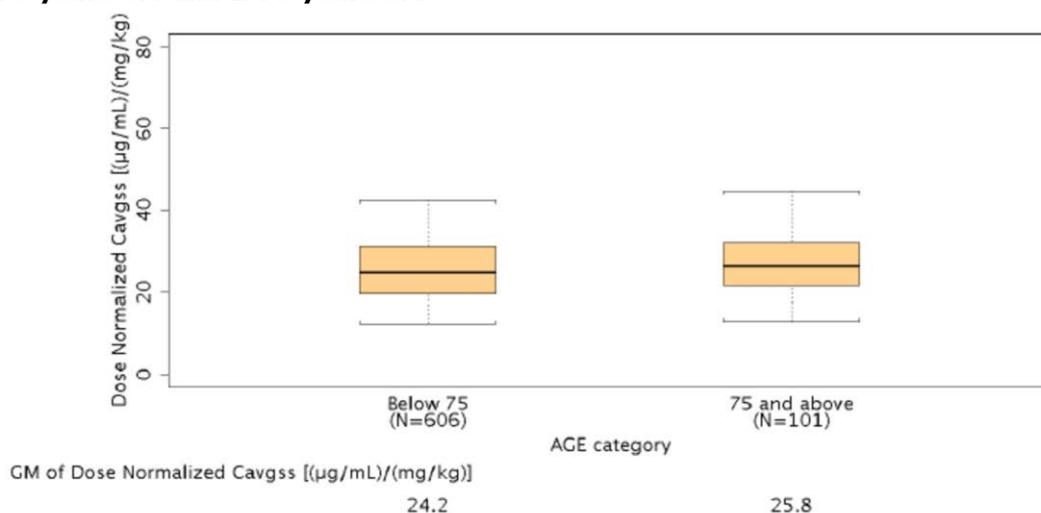
PopPK analysis with CDA209037 showed that both clearance (CL) and volume of central compartment (VC) increase with body weight. However, nivolumab exposures (dose normalized Cminss and Cavgs) are comparable across the range of body weight (34-162 kg) when administered based on mg/kg.

- **Elderly**

The number of subjects in different age groups by study is summarised in the table below.



**Figure 5 : Comparison of model predicted Q2W dose normalised Cavgss between subjects <75 years old and ≥ 75 years old**



Analysis-Directory: /global/pkms/data/CA/209/C07/prd/ppk\_eud120/final/

Program Source: Analysis-Directory/sp/scripts/age-category.ssc

Source: Analysis-Directory/sp/export/cavgss-vs-AGEC75.png

### ***Pharmacokinetic interaction studies***

The applicant did not submit drug-drug interaction studies (see pharmacology discussion).

### ***Pharmacokinetics using human biomaterials***

The applicant did not submit PK using biomaterials studies (see pharmacology discussion).

### **2.3.3. Pharmacodynamics**

The PD effects of nivolumab were studied by assessing receptor occupancy (RO), peripheral immune cell population modulation, systemic cytokine modulation, and change in absolute lymphocyte count (ALC) in studies MDX1106-03 and/or CA209009.

#### ***Mechanism of action***

##### ***PD-1 Receptor Occupancy by Nivolumab***

PD-1 receptor occupancy by nivolumab was investigated in studies MDX1106-03 and CA209009. In study MDX1106-03, RO was determined in using frozen peripheral CD3+ T-cells from 65 melanoma subjects treated with one cycle (4 doses Q2W) of nivolumab at doses of 0.1 to 10.0 mg/kg. The median PD-1-receptor occupancy by nivolumab was 64 to 70% across all dose levels (Table 7).

**Table 7: Receptor occupancy prior to fifth dose administration (all treated subjects with receptor occupancy) - Study MDX1106-03**

Nivolumab Dose (mg/kg)	N	MEAN	SD	MEDIAN	MIN	MAX
0.1	11	61.12	11.761	64.1	29.8	73.8
0.3	11	63.81	8.338	63.9	52.0	80.6
1.0	21	66.00	8.919	65.0	48.9	85.0
3.0	12	67.39	10.792	67.8	51.1	84.6
10.0	10	69.52	9.045	70.1	57.1	81.9

In the nivolumab metastatic RCC study CA209009, receptor occupancy was assessed using fresh whole blood specimens. RO of >90% was achieved at one hour post nivolumab treatment at all dose levels, and remained near this level through Dose 8 Day 1.

***Lymphocyte phenotype, absolute lymphocyte count, cytokine and chemokine modulation by nivolumab***

Peripheral immune cell populations as measured by flow cytometry and change in ALC from baseline was evaluated in study MDX1106-03. The effect of nivolumab on cytokine modulation was assessed in CA209009 by measuring cytokine levels during the course of nivolumab treatment. The activated CD8+ T-cell mean changes by nivolumab dose were on average 3.8%, 0.4%, 2.3%, 5.8%, and 0.1% for 0.1, 0.3, 1, 3, and 10 mg/kg, respectively. No meaningful rise over baseline was observed in mean ALC.

The effect of nivolumab on cytokine modulation was assessed in CA209009 by measuring cytokine levels during the course of nivolumab treatment. Nivolumab was administered at 0.3, 2 and 10 mg/kg dose levels every three weeks. Cytokine levels were measured at Dose 1 (0, 3, 7, 24 hr), Dose 2 (0, 168 hr), Dose 4 (0 hr), Dose 7 (0,168 hr) and Dose 8 (0 hr). The study showed that IL-1A, IL-1B, INF- $\gamma$ , TNF- $\alpha$ , IL-12P and IL-23M were found to be below the lower limit of quantification. The levels of IL-6, IL-10 and IL-2 soluble receptor  $\alpha$  showed transient changes but these were not consistent between individuals. The levels of CXCL-9 and CXCL-10 increased from baseline across any treatment dose group.

***Primary and Secondary pharmacology***

***PD-1 Receptor Occupancy by Nivolumab***

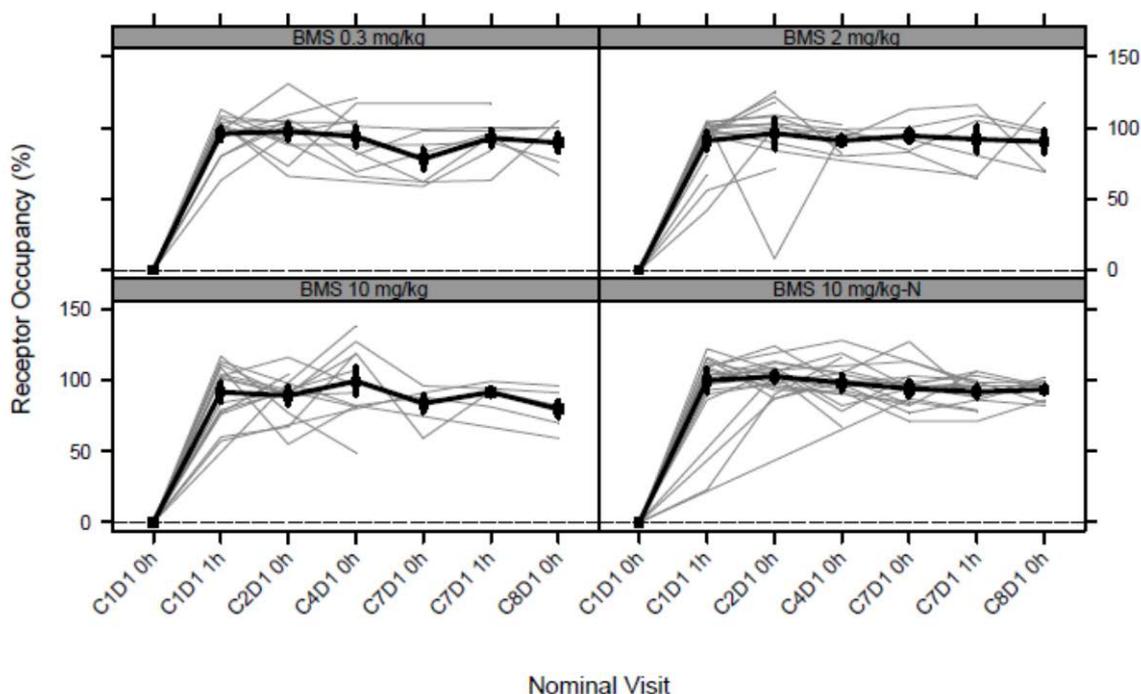
PD-1 RO by nivolumab was investigated in studies MDX1106-03 and CA209009. In study MDX1106-03, RO was determined in using frozen peripheral CD3+ T-cells from 65 melanoma subjects treated with one cycle (4 doses Q2W) of nivolumab at doses of 0.1 to 10.0 mg/kg. The median PD-1-receptor occupancy by nivolumab was 64 to 70% across all dose levels (Table 8). These results demonstrate that the majority of PD-1 receptors are bound by nivolumab at the lowest dose tested (0.1 mg/kg). Increasing doses up to 10.0 mg/kg did not substantially increase PD-1 receptor occupancy at the time point tested.

**Table 8: Receptor Occupancy Prior to Fifth Dose Administration - All Treated Subjects with Receptor Occupancy - Study MDX1106-03**

Nivolumab Dose (mg/kg)	N	MEAN	SD	MEDIAN	MIN	MAX
0.1	11	61.12	11.761	64.1	29.8	73.8
0.3	11	63.81	8.338	63.9	52.0	80.6
1.0	21	66.00	8.919	65.0	48.9	85.0
3.0	12	67.39	10.792	67.8	51.1	84.6
10.0	10	69.52	9.045	70.1	57.1	81.9

In the nivolumab metastatic RCC study CA209009, RO was assessed using fresh whole blood specimens. RO of peripheral CD3+ T cells (and CD4+ or CD8+ subsets) was measured at baseline and at six timepoints following initiation of nivolumab treatment (Dose 1-1H, Dose 2-0H, Dose 4-0H, Dose 7-0H, Dose 7-1H, Dose 8-0H). Kinetics of RO were similar across all dose cohorts (0.3 mg/kg, 2 mg/kg, 10 mg/kg, 10 mg/kg-treatment naive) (Figure 6) Receptor occupancy of >90% was achieved at one hour post nivolumab treatment at all dose levels, and remained near this level through Dose 8 Day 1.

**Figure 6: Time course of PD-1 occupancy by nivolumab by dose level – Study CA209009**



### PD-L1 Expression as a Potential Biomarker

In study MDX1106-03, evaluable archival tumour tissue was available from 36% (38/107) of melanoma and 49% (63/129) of NSCLC subjects indicating a low ascertainment rate. For PD-L1 expression data analysis, 1% and 5% thresholds were utilized to assess PD-L1 positivity. The proportion of PD-L1 positive tumour samples were determined in both melanoma and NSCLC tumours (Table 9).

PD-L1 expression in one or more immune cells was analysed. Using either tumour cell PD-L1 positivity (1% and 5%) or any immune cell PD-L1 expression as an indicator of a positive sample, the proportion of PD-L1 positive subjects was around 90% in both melanoma and NSCLC.

**Table 9: PD-L1 expression in melanoma and NSCLC tissue samples – Study MDX1106-03**

PD-L1 Expression	n (%)	
	Melanoma N = 107	NSCLC N = 129
No. of evaluable subjects	38	63
1% - tumor only	25 (66)	35 (56)
5% - tumor only	17 (45)	31 (49)
5% - tumor + immune cells <sup>a</sup>	35 (92)	56 (89)

A Includes 5% PD-L1 expression on tumour cells or immune cells

### 2.3.4. Discussion on clinical pharmacology

The clinical pharmacology profile of nivolumab has been characterized based on data from 8 Phase 1/2/3 clinical studies conducted in the clinical program. Pharmacokinetics has mainly been documented in patients with different type of solid tumours (NSCLC, Melanoma, RCC, CRC, CRPC, others) and not in healthy volunteers.

The dose proposed for nivolumab monotherapy is 3 mg/kg administered intravenously over 60 minutes every 2 weeks.

Study MDX1106-01 pharmacokinetics of nivolumab was analysed by non-compartmental analysis (NCA) of data from 39 subjects dose ranging between 0.3 and 10 mg/kg. The single-dose PK of nivolumab was dose proportional in the range of 0.3 to 10 mg/kg: there was no correlation between dose and clearance, volume of distribution or elimination half-life. The mean volume of distribution after a single dose administration was small (between 83 to 113 mL/kg) and consistent with localization in the extracellular fluid, as observed for other IgG mAbs with large molecular weight. Pharmacokinetics of nivolumab seemed dose proportional over the dose range 0.1 mg/kg-10 mg/kg. No signs of time dependent PK parameters were observed over the period studied. According to popPK analysis nivolumab accumulation index of approximately 3-fold was consistent with the estimated half-life of 26.7 days for 3 mg/kg dosing every 2 weeks. Steady state was achieved approximately at the 6th dose (12 weeks).

The geometric mean (%CV) of PopPK model-based estimates of individual nivolumab CL, volume of distribution at steady state (V<sub>ss</sub>), terminal half-life and average exposure at steady state at 3 mg/kg every 2 weeks were 9.5 mL/h (49.7%), 8.0 L (30.4%), 26.7 days (101.0%) and 75.3 µg/mL, respectively. The typical clearance was 8.7 mL/h. Nivolumab is expected to be cleared through receptor mediated endocytosis or non-specific endocytosis followed by proteolytic degradation mainly in hepatic or reticuloendothelial cells. Therefore, no renal elimination is expected given the large molecular weight of monoclonal antibodies. As nivolumab is not subject of metabolism by CYP450 enzymes no classical studies regarding metabolism or elimination were deemed necessary. The estimated terminal half-life ranged between 17 and 25 days and was consistent with a fully human mAb. The proposed dosing interval of 2 weeks is shorter than the observed terminal half-life. The metabolic pathway of nivolumab has not been characterised. Nivolumab is expected to be

degraded into small peptides and amino acids via catabolic pathways in the same manner as endogenous IgG.

No formal studies have been conducted in special populations, such as renal and hepatic impaired patients. PopPK analysis has shown no clinically important differences in the CL of nivolumab between patients with mild or moderate renal impairment and patients with normal renal function. Data from patients with severe renal impairment are too limited to draw conclusions on this population (see sections 4.2 and 5.2 of the SmPC). No dose adjustment is needed for subject with mild and moderate renal impairment. Subjects with mild hepatic impairment had similar CL and exposures relative to normal subjects, suggesting that no dose adjustment is needed for subjects with mild hepatic impairment. PopPK analysis did not have any subject with moderate and severe hepatic impairment, thus the assessment of effect of moderate and severe hepatic was not available. Although ECOG status, baseline glomerular filtration rate (GFR), albumin, body weight, and mild hepatic impairment had an effect on nivolumab CL, the effect was not clinically meaningful. The popPK analysis suggested no difference in CL of nivolumab based on age, gender, race, tumour type, tumour size, and hepatic impairment. PopPK analysis indicated a higher clearance of nivolumab with increasing body weight. With dosing of nivolumab on an mg/kg basis, no additional dose adjustment is needed for body weight. Body weight normalised dosing produced approximately uniform steady state trough concentration over a wide range of body weights (34-162 kg). This range is reflected in the SmPC in section 4.2. The PopPK model seems to provide an adequate description of nivolumab concentration-time data in solid tumours. The values of the PopPK analysis are consistent with the corresponding values estimated by non-compartmental analysis and they can be considered in line with data from other fully human IgG antibodies.

No formal drug-drug interaction studies have been conducted to support the use of nivolumab as monotherapy. As nivolumab is not expected to be metabolized by liver cytochrome P450 or other drug metabolizing enzymes, it is unlikely to have an effect on CYPs or other drug metabolizing enzymes in terms of inhibition or induction. However, recent literature reports suggest that therapeutic proteins that modulators of cytokines may indirectly affect expression of cytochrome (CYP) enzymes. The indirect drug-drug interaction potential of nivolumab was assessed using systemic cytokine modulation data for cytokines known to modulate CYP enzymes, at single and multiple doses of 0.3 to 10 mg/kg Q3W from CA209009. This dose range covers the exposure of nivolumab at proposed dosing regimen of 3 mg/kg Q2W. There was no meaningful change in cytokines across all dose levels of nivolumab (0.3, 2 and 10 mg/kg) during the course of treatment. This lack of cytokine modulation suggests that nivolumab has no or low potential for modulating CYP enzymes, thereby indicating a low risk of therapeutic protein-drug interaction.

The use of systemic corticosteroids and other immunosuppressants at baseline, before starting nivolumab, should be avoided because of their potential interference with the pharmacodynamic activity. However, systemic corticosteroids and other immunosuppressants can be used after starting nivolumab to treat immune related adverse reactions. The preliminary results show that systemic immunosuppression after starting nivolumab treatment does not appear to preclude the response on nivolumab (see section 4.5 of the SmPC).

An impact of nivolumab on the response was not observed for systemic or inhaled corticosteroid use.

No thorough QT/QTc study with nivolumab was submitted, which is considered acceptable. The nivolumab exposure obtained with the 10 mg/kg Q3W in the QT study was considered sufficient for obtaining a relevant outcome as 10 mg/kg Q3W provides higher C<sub>min</sub> and AUC compared to proposed 3 mg/kg Q2W dosing regimen. Nivolumab, within the range of doses studied up to 10 mg/kg Q3W did not meaningfully affect the QTc interval. There was no discernible relationship between nivolumab serum concentration and change in QTcF.

Peripheral RO of PD-1 was saturated at doses  $\geq 0.3$  mg/kg dose levels, which was lower than the proposed dose of 3 mg/kg. In peripheral blood, neither clinically relevant changes in the count of activated T cells nor changes in the absolute lymphocyte counts during treatment with nivolumab were observed. Demonstration of peripheral immunomodulatory activity of nivolumab was limited to chemokines CXCL9 and CXCL10. Relation between baseline absolute lymphocyte count and response to treatment could not be established. PD-L1 expression on the tumour did not appear to be related to efficacy response in study MXD1106-03. The value of PD-L1 and PD-L2 as biomarker to predict the efficacy of nivolumab should be further investigated (see clinical discussion).

### 2.3.5. Conclusions on clinical pharmacology

In conclusion, pharmacokinetics of nivolumab has been mainly characterized by means of a PopPK model which is considered acceptable. The dose is considered to be appropriately investigated and well defined. The CHMP is of the opinion that the relevance of PD-L1 and PD-L2 expression as biomarkers, in the tumour microenvironment as well as in the peripheral compartment, should be further explored (see clinical conclusions).

## 2.4. Clinical efficacy

### 2.4.1. Dose response study

#### Dose rationale

In study MDX1106-03, increasing doses of nivolumab were tested in order to evaluate efficacy response in patients with different type of tumours. There was a greater percent of objective responses observed in NSCLC subjects treated with 3 mg/kg (24.3%) and 10 mg/kg (20.3%) nivolumab than with 1 mg/kg (3%) nivolumab. There was no apparent relationship between nivolumab dose and ORR in melanoma and RCC (Table 10).

**Table 10: Overview of objective response rates of nivolumab across tumour types and dose levels – Study MDX1106-03**

Nivolumab Dose(mg/kg)	% Objective Response Rate (95% Confidence Interval)					
	0.1	0.3	1	3	10	Total
All NSCLC	NA	NA	3.0 (0.1, 15.8) N=33	24.3 (11.8, 41.2) N=37	20.3 (11.0, 32.8) N=59	17.1 (11.0, 24.7) N=129
Melanoma	35.3 (14.2, 61.7) N=17	27.8 (9.7, 53.5) N=18	31.4 (16.9, 49.3) N=35	41.2 (18.4, 67.1) N=17	20.0 (5.7, 43.7) N= 20	30.8 (22.3, 40.5) N=107
RCC	NA	NA	27.8 (9.7, 53.5) N=18	NA	31.3 (11.0, 58.7) N=16	29.4 (15.1, 47.5) N=34

In study MDX1106-03, increasing doses of nivolumab were tested in order to evaluate efficacy response in patients with different type of tumours. The nature, frequency, and severity of adverse events (AEs) were similar across the dose range 0.1 to 10 mg/kg and across tumour types (Table 11).

**Table 11: Frequency of Drug-related Adverse Events across Dose Groups – Study MDX1106-03**

Total Number (%) subjects with AE	Dose (mg/kg Q2W)					
	0.1 (N=17)	0.3 (N=18)	1 (N=86)	3 (N=54)	10 (N=131)	Total (N=306)
Any Grade DR-AE	13 (77)	14 (78)	70 (81)	40 (74)	93 (71)	230 (75)
Gr 3-4 DR-AE	5 (29)	3 (17)	12 (14)	11 (20)	21(16)	52 (17)
Gr 3-4 DR-SAE	1 (6)	0	4 (5)	5 (9)	14 (11)	24 (8)
DR-AE leading to DC	3 (18)	0	9 (11)	4 (7)	16 (12)	32 (11)
DR-AE deaths	0	0	1 (1)	0	1 (1)	2 (1)

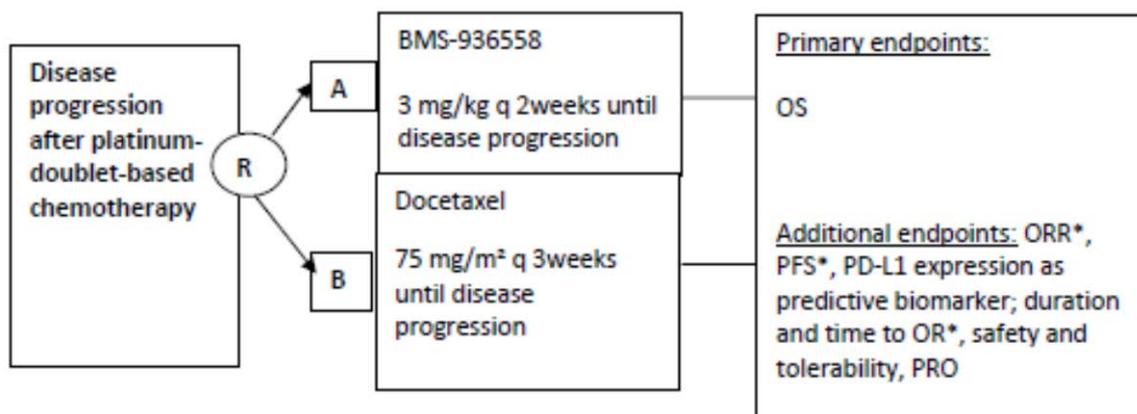
Based on above data and analyses across tumour types, 3 mg/kg IV Q2W was selected as the nivolumab monotherapy dose and schedule for all indications (see discussion on clinical efficacy).

### 2.4.2. Main study(ies)

**Study CA209017: An Open-Label Randomized Phase III Trial of BMS-936558 (Nivolumab) versus Docetaxel in Previously Treated Advanced or Metastatic Squamous Cell Non-Small Cell Lung Cancer (NSCLC)**

**Figure 7: Study Design Schematic – Study CA209017**

#### Methods



\* Objective Response and progression (by RECIST 1.1) as determined by investigator

#### Study Participants

##### Main inclusion criteria:

- men & women ≥ 18 years of age
- Patients with histologically or cytological-documented squamous cell NSCLC who present with Stage IIIB/IV disease or with recurrent or progressive disease following multimodal therapy (radiation therapy, surgical resection, or definitive chemo radiation therapy for locally advanced disease.)

- Disease recurrence or progression during/after one prior platinum doublet-based chemotherapy regimen for advanced or metastatic disease
- Measurable disease by CT/MRI per RECIST 1.1 criteria
- ECOG performance status  $\leq 1$
- A formalin fixed, paraffin-embedded (FFPE) tumour tissue block or unstained slides of tumour sample (archival or recent) must be available for biomarker evaluation. Biopsy should be excisional, incisional or core needle.

Main exclusion criteria:

- Patients with untreated CNS metastases.
- Patients with carcinomatous meningitis.
- Patients with active, known or suspected autoimmune disease.
- Patients with a condition requiring systemic treatment with either corticosteroids or other immunosuppressive medications within 14 days of randomization.
- Prior therapy with anti-PD-1, anti-PD-L1, anti-PD-L2, anti-CD137, or anti-CTLA-4 antibody (including ipilimumab or any other antibody or drug specifically targeting T-cell co-stimulation or checkpoint pathways).
- Prior treatment with docetaxel
- Patients with interstitial lung disease that is symptomatic or may interfere with the detection or management of suspected drug-related pulmonary toxicity.
- All toxicities attributed to prior anti-cancer therapy other than alopecia and fatigue must have been resolved to grade 1 (NCI CTCAE version 4) or baseline before administration of study drug.
- Treatment with any investigational agent within 14 days of first administration of study treatment.

**Treatments**

- Nivolumab 3 mg/kg solution intravenously every two weeks until documented disease progression, discontinuation due to toxicity, withdrawal of consent or study end
- Docetaxel 75 mg/m<sup>2</sup> solution intravenously every three weeks until documented disease progression, discontinuation due to toxicity, withdrawal of consent or the study ends

Docetaxel is approved for use upon progression from first line therapy in NSCLC based upon improvements in PFS and OS when compared to best supportive care (BSC) or active chemotherapies. Pemetrexed has not been approved for use in squamous cell NSCLC due to its relative lack of efficacy. Erlotinib is another agent that has been studied in second-line squamous and non-squamous NSCLC; however, its uptake has not been universal in the squamous population. Docetaxel was, therefore, chosen as the comparator for this study.

No premedications were recommended for initiation of dosing of nivolumab. Premedication with corticosteroids were to be given to subjects randomized to the docetaxel treatment group.

**Objectives**

Main objective of the trial is to compare overall survival of nivolumab with Docetaxel in patients with squamous cell lung cancer (NSCLC), after failure of prior platinum-based chemotherapy. The OS is defined as the time from randomization to the date of death.

The secondary objectives included the comparison of objective response rate (ORR), progression free survival (PFS), the evaluation of PD-L1 as predictive biomarker for OS, ORR or PFS, the evaluation of the proportion of subjects exhibiting disease-related symptom improvement by 12 weeks, as measured by Lung Symptom Cancer Scale (LCSS), in nivolumab and docetaxel groups.

Other exploratory objectives were assessment of safety, PK, health status (using EQ-5D index) characterisation of immunogenicity.

### ***Outcomes/endpoints***

The primary endpoint was overall survival (OS) in all randomised subjects.

The secondary endpoints were ORR (including investigator-assessed ORR, duration of response and time to tumour response), investigator-assessed PFS per RECIST v1.1 criteria, and OS, ORR or PFS based on PD-L1 expression level. Improvement of disease-related symptoms by week 12 as measured by Lung Symptom Cancer Scale (LCSS) was also evaluated.

Exploratory endpoints were safety, health status (using EQ-5D index) and characterisation of immunogenicity.

OS was defined as the time between the date of randomization and the date of death. For subjects without documentation of death, OS was censored on the last date the subject was known to be alive.

ORR is defined as the number of subjects whose best confirmed objective response (BOR) is either a confirmed CR or confirmed PR, as determined by the investigator, divided by the number of randomized subjects. BOR is defined as the best response designation, recorded between the date of randomization and the date of objectively documented progression per RECIST 1.1 or the date of subsequent anti-cancer therapy (excluding on-treatment palliative radiotherapy of non-target bone lesions or CNS lesions), whichever occurs first.

Duration of objective response (DOR) is defined as the time between the date of first confirmed response to the date of the first documented tumour progression (per RECIST 1.1), or death due to any cause, whichever occurs first.

Time to Objective Response (TTR) is defined as the time from randomization to the date of the first confirmed response. TTR will be evaluated for responders only.

PFS was defined as the time from randomization to the date of the first documented tumour progression as determined by the investigator using RECIST 1.1 criteria, or death due to any cause. Subjects who started any subsequent anti-cancer therapy (including on-treatment palliative RT of non-target bone lesions or CNS lesions) without a prior reported progression will be censored at the last evaluable tumour assessment prior to or on the date of initiation of the subsequent anti-cancer therapy.

PD-L1 expression was defined as the percent of tumour cells demonstrating plasma membrane PD-L1 staining in a minimum of 100 evaluable tumour cells per validated Dako PD-L1 IHC assay.

Disease-related symptom improvement is defined as the proportion of randomized subjects who had 10 points or more decrease from baseline in average symptom burden index score at any time between randomization and week 12. The subject portion of the LCSS scale consisted of six symptom-specific questions that address cough, dyspnoea, fatigue, pain, haemoptysis, and anorexia, plus three summary items on symptom distress, interference with activity level, and global health-related quality of life.

### **Sample size**

The sample size was calculated in order to compare OS between subjects randomized to receive nivolumab versus docetaxel. The final analysis of OS was planned to take place after 231 deaths were observed among 272 randomized subjects. One interim analysis of OS was planned after at least 196 deaths (85% of total deaths required for final analysis) had been observed. OS distribution was assumed exponential for the docetaxel group, while for the nivolumab group, a long-term survival and delayed onset of benefit were assumed, as observed in patients treated with immuno-oncology drug ipilimumab in recent phase 3 studies.

Piecewise mixture model assumptions were as follows: a 4-months delayed separation of curves between docetaxel and nivolumab treatment groups, an exponential distribution for docetaxel (7 months median OS), a 18% 'cure' rate (long term survival) in the nivolumab treatment group, and a 7.9 months median OS for 'non-cured' nivolumab subjects. The piecewise mixture distribution for nivolumab had an overall 8.9 months median OS for all randomized nivolumab subjects. Hazard ratio between nivolumab and docetaxel group followed the following pattern: Months 0-4: HR=1; Month 6: HR=0.62; Month 12: HR= 0.51; Month 24: HR=0.28; Month 36: HR=0.13. Simulations were performed using Power Analysis & Sample Size Software®7 to assess power and timing of interim and final OS analyses.

Duration of the study from start of randomization to final analysis was approximately 38 months (14 months of accrual + 24 months of follow-up). The expected duration until interim analysis was approximately 26 months after start of randomization. The average overall HR at interim and final OS analysis was estimated to be 0.74 and 0.66 respectively. Power at interim and final OS analysis was 55% and 90% respectively. The stopping boundaries at interim and final analyses were derived based on the number of deaths using O'Brien and Fleming alpha spending function.

### **Randomisation**

Patients who met all eligibility criteria were randomized by IVRS in a 1:1 ratio to the nivolumab group or the docetaxel group, with stratification by prior paclitaxel vs other prior treatment, and region (US/Canada vs. Europe vs. Rest of World).

### **Blinding (masking)**

N/A

### **Statistical methods**

For the primary efficacy analysis, a stratified log-rank test was performed to test the comparison between time to event distributions. Stratification factors were prior use of paclitaxel vs. other prior treatment, and region (US/Canada vs. Europe vs. Rest of World) as entered into the IVRS.

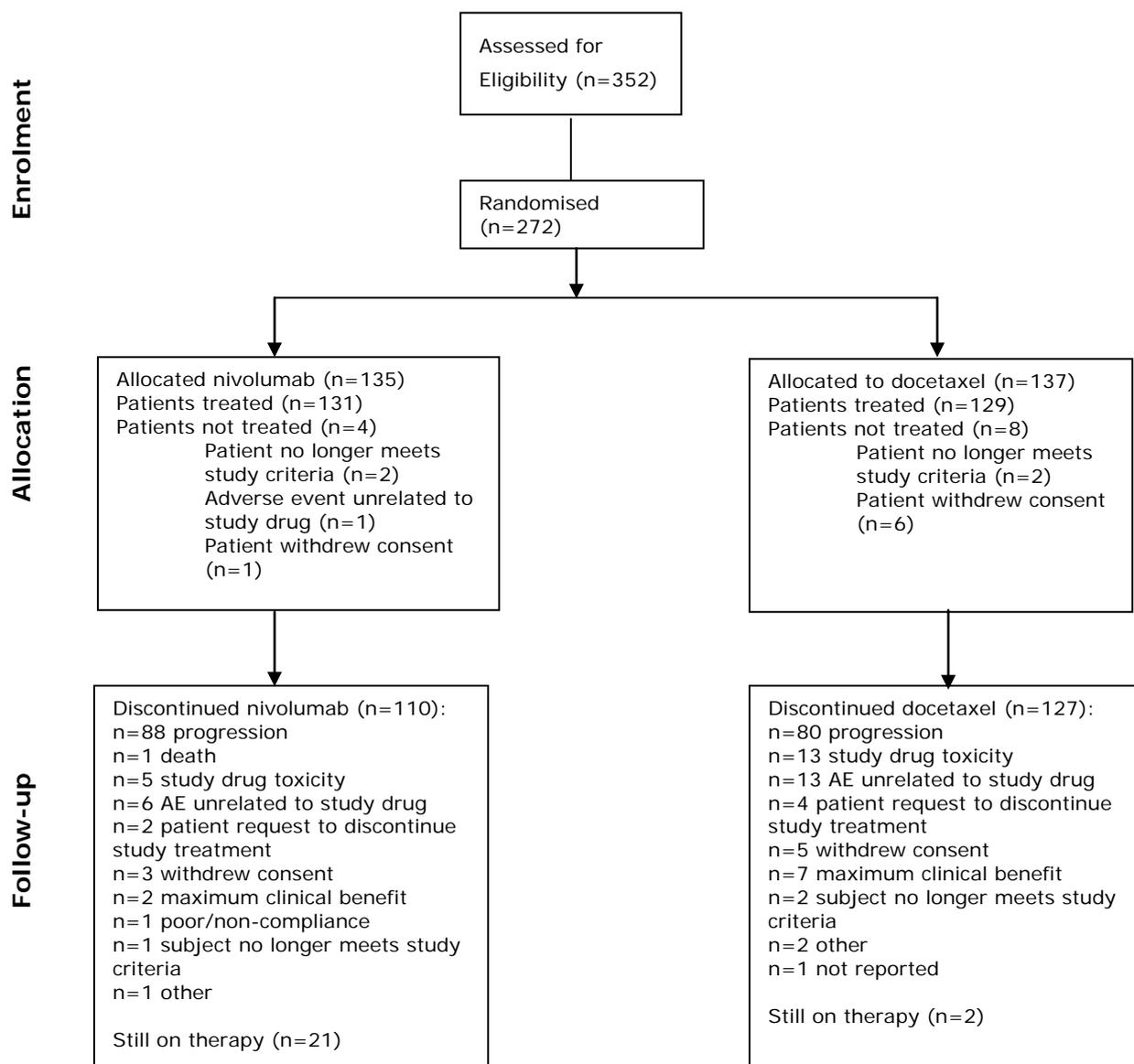
The stratified hazard ratio between 2 treatment groups along with CI was obtained by fitting a stratified Cox model with the treatment group variable as unique covariate.

The difference in rates between the two treatment groups along with their two-sided 95% CI was estimated using the following Cochran-Mantel-Haenszel (CMH) method of weighting, adjusting for the stratification factors. In order to preserve an experimental-wise type I error rate of 5%, a hierarchical testing approach was applied to key secondary endpoints following analysis of the primary endpoint of OS. The hierarchical ordering of key secondary endpoints was as follows:

- 1) Objective Response Rate
- 2) Progression-free Survival

## Results

### Participant flow



### Recruitment

Patients were enrolled from October 2012 until November 2013. This study was conducted at 92 sites in 21 countries (Argentina, Australia, Austria, Canada, Chile, Czech Republic, France, Germany, Hungary, Ireland, Italy, Mexico, Netherlands, Norway, Peru, Poland, Romania, Russian Federation, Spain, United Kingdom, and United States).

### Conduct of the study

An independent Data Monitoring Committee (DMC) was established to provide general oversight of safety and efficacy considerations, study conduct, and risk-benefit ratio for this study and provided advice to the sponsor regarding actions the committee deemed necessary for the continuing protection of subjects. Following review of the reported safety and efficacy data, the DMC recommends continuation, modification, or discontinuation of the study. The DMC had 3 formal

interim safety meetings on 12-Sep-2013, 7-Mar-2014, and 28-Jul-2014, and at each meeting recommended continuation of the study without modification.

The DMC met on 10-Jan-2015 for the formal interim analysis of OS (based on a 15-Dec-2014 database lock). The DMC confirmed that the pre-specified boundary was crossed and noted that there were no concerning safety signals. The data were consistent across all parameters. The DMC was unanimous in declaring superiority for OS as defined in the DMC charter, and the applicant decided to stop the comparative portion of the trial.

Changes to the study protocol was based on 10 amendments, the most relevant are included in the table below.

**Table 12: Protocol amendments – Study CA209017**

Document	Site(s)	Date of Issue
Amendment No. 01	Site specific: all sites permitting pharmacogenetic studies <ul style="list-style-type: none"> <li>Permitted the collection and storage of blood samples for use in future exploratory pharmacogenetic research</li> </ul>	12-Jun-2012
Amendment No. 06	Site specific: all sites <ul style="list-style-type: none"> <li>Updated the Summary of Safety section in the protocol to include new preliminary reproductive toxicology data that was distributed as a Non-clinical Expedited Safety Report and to include changes to the guidance on contraception.</li> </ul>	08-Mar-2013
Amendment No. 07	Site specific: all sites <ul style="list-style-type: none"> <li>Modified the trial to require confirmation of objective response per RECIST 1.1 criteria. This modification is in response to a request of the US FDA. This amendment additionally included the following changes to the protocol: <ul style="list-style-type: none"> <li>Clarification of the target population</li> <li>Extension of OS analyses to 5 years beyond the primary OS analysis</li> <li>Collection of PRO information during the survival phase</li> <li>Modification of the secondary objective related to analysis of efficacy data by PD-L1 expression status</li> <li>Modification of the tumor assessment schedule for non-progressing subjects who initiate a subsequent anticancer therapy</li> <li>Inclusion of additional safety information on Nivolumab for opportunistic infections related to immunosuppression</li> <li>The inclusion of “nivolumab” throughout the protocol, as the approved generic name for BMS-936558</li> <li>Minor, additional clarifications and typographical revisions throughout the protocol.</li> </ul> </li> </ul>	29-May-2013
Amendment No. 09	Site specific: all sites <ul style="list-style-type: none"> <li>Modified the overall survival (OS) analysis for CA209017, relative to the number of required events and timing of interim and final OS analyses. These changes were made to address the potential for long-term survival and delayed onset of benefit observed in prior studies with immuno-oncology drugs such as ipilimumab.</li> <li>Modified to move objective response rate from a co-primary endpoint to a secondary endpoint (OS remains as the sole primary endpoint). This modification was based on mature ORR results from an expanded cohort of NSCLC subjects treated in the Phase 1b study MDX1106-03. ORR according to the investigator was to be the first secondary endpoint to be tested in the statistical hierarchy if OS is positive, at either the interim or final OS analysis.</li> </ul>	25-Apr-2014

**Baseline data**

Most randomised subjects were from the EU (n=131, 48.2%), followed by North America (n=86, 31.6%), South America (n=18, 6.6%) and Central America (n=8, 2.9%).

**Table 13: Baseline Demographic Characteristics - All Randomized Subjects**

	Nivolumab 3 mg/kg N = 135	Docetaxel N = 137	Total N = 272
<b>AGE (YEARS)</b>			
N	135	137	272
MEAN	62.2	64.4	63.3
MEDIAN	62.0	64.0	63.0
MIN , MAX	39 , 85	42 , 84	39 , 85
STANDARD DEVIATION	8.33	8.28	8.36
<b>AGE CATEGORIZATION (%)</b>			
< 65	79 ( 58.5)	73 ( 53.3)	152 ( 55.9)
>= 65 AND < 75	45 ( 33.3)	46 ( 33.6)	91 ( 33.5)
>= 75 AND < 85	10 ( 7.4)	18 ( 13.1)	28 ( 10.3)
>= 85	1 ( 0.7)	0	1 ( 0.4)
>= 75	11 ( 8.1)	18 ( 13.1)	29 ( 10.7)
>= 65	56 ( 41.5)	64 ( 46.7)	120 ( 44.1)
<b>GENDER (%)</b>			
MALE	111 ( 82.2)	97 ( 70.8)	208 ( 76.5)
FEMALE	24 ( 17.8)	40 ( 29.2)	64 ( 23.5)
<b>RACE (%)</b>			
WHITE	122 ( 90.4)	130 ( 94.9)	252 ( 92.6)
BLACK OR AFRICAN AMERICAN	6 ( 4.4)	2 ( 1.5)	8 ( 2.9)
ASIAN	4 ( 3.0)	2 ( 1.5)	6 ( 2.2)
AMERICAN INDIAN OR ALASKA NATIVE	0	0	0
NATIVE HAWAIIAN OR OTHER PACIFIC ISLANDER	0	0	0
OTHER	1 ( 0.7)	2 ( 1.5)	3 ( 1.1)
NOT REPORTED	2 ( 1.5)	1 ( 0.7)	3 ( 1.1)
<b>ETHNICITY (%)</b>			
HISPANIC OR LATINO	7 ( 5.2)	5 ( 3.6)	12 ( 4.4)
NOT HISPANIC OR LATINO	61 ( 45.2)	60 ( 43.8)	121 ( 44.5)
NOT REPORTED	67 ( 49.6)	72 ( 52.6)	139 ( 51.1)

**Table 14: Baseline Disease Characteristics and Tumor Assessments - All Randomized Subjects**

	Number of Subjects (%)		
	Nivolumab 3 mg/kg N = 135	Docetaxel N = 137	Total N = 272
DISEASE STAGE			
STAGE IIIB	29 (21.5)	24 (17.5)	53 (19.5)
STAGE IV	105 (77.8)	112 (81.8)	217 (79.8)
NOT REPORTED	1 (0.7)	1 (0.7)	2 (0.7)
TIME FROM INITIAL DIAGNOSIS (YEARS)			
N	135	137	272
MEDIAN (MIN - MAX)	0.74 (0.1 - 10.0)	0.73 (0.1 - 4.6)	0.74 (0.1 - 10.0)
TIME FROM INITIAL DIAGNOSIS (%)			
< 1 YEAR	94 (69.6)	99 (72.3)	193 (71.0)
1- < 2 YEARS	26 (19.3)	25 (18.2)	51 (18.8)
2- < 3 YEARS	7 (5.2)	7 (5.1)	14 (5.1)
3- < 4 YEARS	3 (2.2)	2 (1.5)	5 (1.8)
4- < 5 YEARS	0	4 (2.9)	4 (1.5)
>= 5 YEARS	5 (3.7)	0	5 (1.8)
CELL TYPE			
SQUAMOUS	133 (98.5)	137 (100.0)	270 (99.3)
OTHER	2 (1.5)	0	2 (0.7)
SUBJECTS WITH AT LEAST ONE LESION (B) (%)	134 (99.3)	137 (100.0)	271 (99.6)
SITE OF LESION (A) (B) (%)			
ADRENAL GLAND	14 (10.4)	11 (8.0)	25 (9.2)
ASCITES	1 (0.7)	0	1 (0.4)
BONE	22 (16.3)	15 (10.9)	37 (13.6)
BONE MARROW	1 (0.7)	0	1 (0.4)
BREAST	2 (1.5)	0	2 (0.7)
CENTRAL NERVOUS SYSTEM	9 (6.7)	8 (5.8)	17 (6.3)
CHEST WALL	4 (3.0)	0	4 (1.5)
EFFUSION	20 (14.8)	17 (12.4)	37 (13.6)
ESOPHAGUS	1 (0.7)	1 (0.7)	2 (0.7)
KIDNEY	8 (5.9)	7 (5.1)	15 (5.5)
LIVER	27 (20.0)	34 (24.8)	61 (22.4)
LUNG	115 (85.2)	121 (88.3)	236 (86.8)
LYMPH NODE	68 (50.4)	80 (58.4)	148 (54.4)
MEDIASTINUM	14 (10.4)	19 (13.9)	33 (12.1)
OTHER	21 (15.6)	18 (13.1)	39 (14.3)
PANCREAS	1 (0.7)	1 (0.7)	2 (0.7)
PELVIS	2 (1.5)	2 (1.5)	4 (1.5)
PERICARDIUM	3 (2.2)	5 (3.6)	8 (2.9)
PERITONEUM	1 (0.7)	1 (0.7)	2 (0.7)
PLEURA	16 (11.9)	11 (8.0)	27 (9.9)
SKIN/SOFT TISSUE	10 (7.4)	5 (3.6)	15 (5.5)
SPLEEN	2 (1.5)	0	2 (0.7)
VISCERAL, OTHER	6 (4.4)	0	6 (2.2)
NUMBER OF SITES WITH AT LEAST ONE LESION (B) (%)			
1	19 (14.1)	22 (16.1)	41 (15.1)
2	42 (31.1)	49 (35.8)	91 (33.5)
3	40 (29.6)	43 (31.4)	83 (30.5)
4	23 (17.0)	15 (10.9)	38 (14.0)
>=5	10 (7.4)	8 (5.8)	18 (6.6)
SUBJECTS WITH AT LEAST ONE TARGET LESION (%)	134 (99.3)	137 (100.0)	271 (99.6)
SITE OF TARGET LESION (A) (%)			
ADRENAL GLAND	11 (8.1)	11 (8.0)	22 (8.1)
BONE	2 (1.5)	2 (1.5)	4 (1.5)
BREAST	2 (1.5)	0	2 (0.7)
CHEST WALL	4 (3.0)	0	4 (1.5)
KIDNEY	5 (3.7)	6 (4.4)	11 (4.0)
LIVER	22 (16.3)	28 (20.4)	50 (18.4)
LUNG	110 (81.5)	113 (82.5)	223 (82.0)
LYMPH NODE	51 (37.8)	52 (38.0)	103 (37.9)
MEDIASTINUM	6 (4.4)	6 (4.4)	12 (4.4)
OTHER	13 (9.6)	10 (7.3)	23 (8.5)
PANCREAS	0	1 (0.7)	1 (0.4)
PELVIS	1 (0.7)	1 (0.7)	2 (0.7)
PERICARDIUM	2 (1.5)	0	2 (0.7)
PERITONEUM	1 (0.7)	0	1 (0.4)
PLEURA	4 (3.0)	4 (2.9)	8 (2.9)
SKIN/SOFT TISSUE	8 (5.9)	5 (3.6)	13 (4.8)
SPLEEN	2 (1.5)	0	2 (0.7)
VISCERAL, OTHER	4 (3.0)	0	4 (1.5)
SUM OF REFERENCE DIAMETERS OF TARGET LESIONS (MM)			
N	134	137	271
MEDIAN (MIN - MAX)	87.5 (12 - 250)	74.0 (10 - 259)	81.0 (10 - 259)
CNS METASTASIS			
YES	9 (6.7)	8 (5.8)	17 (6.3)
NO	126 (93.3)	129 (94.2)	255 (93.8)
SMOKING STATUS			
CURRENT/FORMER	121 (89.6)	129 (94.2)	250 (91.9)
NEVER SMOKED	10 (7.4)	7 (5.1)	17 (6.3)
UNKNOWN	4 (3.0)	1 (0.7)	5 (1.8)
PERFORMANCE STATUS (ECOG) [%]			
0	27 (20.0)	37 (27.0)	64 (23.5)
1	106 (78.5)	100 (73.0)	206 (75.7)
NOT REPORTED	2 (1.5)	0	2 (0.7)

(A) Subjects may have lesions at more than one site.

(B) Includes both target and non-target lesions.

Source: [Table S.3.2](#) (ECOG), [Table S.3.3](#) (baseline disease characteristics), [Table S.3.4](#) (time from diagnosis to randomization), and [Table S.3.6](#) (pretreatment tumor assessments).

## Numbers analysed

**Table 15: Analysis Population –**

Population	Nivolumab Group N	Docetaxel Group N	Total N
<b>All Enrolled Subjects:</b> All subjects who signed an informed consent form and were registered into the IVRS.	NA	NA	352
<b>All Randomized Population:</b> All subjects who were randomized to any treatment group in the study. This is the primary dataset for analyses of demography, protocol deviations, baseline characteristics, efficacy, outcome research and PD-L1 expression.	135	137	272
<b>All Treated Population:</b> All subjects who received at least one dose of nivolumab or docetaxel. This is the primary dataset for analyses for dosing and safety.	131	129	260
<b>Response-Evaluable Subjects:</b> Randomized subjects whose change in the sum of diameters of target lesions was assessed (ie, target lesion measurements were made at baseline and at least one on-study tumor assessment).	117	96	213
<b>PD-L1 Quantifiable Subjects:</b> All randomized subjects with quantifiable PD-L1 expression at baseline	117	108	225
<b>Immunogenicity Subjects:</b> All nivolumab-treated subjects with baseline and at least one post-baseline assessment for ADA	109	NA	NA

Source: [Table S.2.2](#) (enrolled), [Table S.2.6](#) (randomized), [Table S.4.1](#) (treated), [Figure S.5.16](#) (response-evaluable), [Table S.10.6](#) (PD-L1 tested, quantifiable PD-L1 expression at baseline), [Table S.7.10A](#) (ADA)

## Outcomes and estimation

The following table summarizes the main efficacy results for study CA209017 after the planned interim analyses of 196 deaths (85% of deaths).

**Table 16: Summary of Efficacy - CA209017 (All Randomized Subjects)**

Efficacy parameter	Nivolumab 3 mg/kg (N = 135)	Docetaxel 75mg/m <sup>2</sup> (Reference group) (N = 137)
<b>Overall Survival</b>		
Events, n (%) subjects who died	86 (63.7)	113 (82.5)
Stratified log-rank test p-value <sup>a</sup>		0.0002
Hazard ratio <sup>b</sup>		0.59
96.85% CI <sup>c</sup>		(0.43, 0.81)
95% CI		(0.44, 0.79)
Median (95% CI), months <sup>d</sup>	9.23 (7.33, 13.27)	6.01 (5.13, 7.33)
Rate at 12 months (95% CI), %	42.1 (33.7, 50.3)	23.7 (16.9, 31.1)
<b>Progression-free Survival</b>		
Events, n (%) subjects with disease progression/death	105 (77.8)	122 (89.1)
Stratified log-rank test p-value <sup>a</sup>		0.0004
Hazard ratio (95% CI) <sup>b</sup>		0.62 (0.47, 0.81)
Median (95% CI), months <sup>d</sup>	3.48 (2.14, 4.86)	2.83 (2.10, 3.52)
Rate at 12 months (95% CI)	20.8 (14.0, 28.4)	6.4 (2.9, 11.8)

<b>Efficacy parameter</b>	<b>Nivolumab 3 mg/kg (N = 135)</b>	<b>Docetaxel 75mg/m<sup>2</sup> (Reference group) (N = 137)</b>
<b>Objective Response Rate<sup>e</sup></b>		
n (%)	27 (20.0)	12 (8.8)
(95% CI)	(13.6, 27.7)	(4.6, 14.8)
Odds ratio estimate (95% CI) <sup>f</sup>		2.64 (1.27, 5.49)
p-value <sup>g</sup>		0.0083
<b>Best Overall Response</b>		
Complete Response (CR)	1 (0.7)	0
Partial Response (PR)	26 (19.3)	12 (8.8)
Stable Disease (SD) <sup>h</sup>	39 (28.9)	47 (34.3)
<b>Time to Response</b>		
Number of responders	27	12
Median (range), months	2.2 (1.6, 11.8)	2.1 (1.8, 9.5)
<b>Duration of Response</b>		
Ongoing responders, n/N (%)	17/27 (63.0)	4/12 (33.3)
Median (range), months <sup>d,i</sup>	NR (2.9, 20.5+)	8.4 (1.4+, 15.2+)
<b>Overall survival by PD-L1 Expression Status (≥ 5% tumour cell membrane expression cutoff)</b>		
PD-L1 positive subjects, n (%)	42 (31.1)	39 (28.5)
Unstratified Hazard ratio (95% CI)		0.53 (0.31, 0.89)
Median (95% CI), months	10.0 (5.8, 17.1)	6.4 (4.5, 9.0)
PD-L1 negative subjects, n (%)	75 (55.6)	69 (50.4)
Unstratified Hazard ratio (95% CI)		0.70 (0.47, 1.02)
Median (95% CI), months	8.5 (5.5, 13.3)	6.1 (5.1, 8.3)
PD-L1 non-quantifiable subjects, n (%)	18 (13.3)	29 (21.2)
Unstratified Hazard ratio (95% CI)		0.39 (0.19, 0.82)
Median (95% CI) (Months)	9.4 (7.1, NR)	5.1 (3.0, 6.1)

a Log-rank Test stratified by region (US/Canada, Rest of World, Europe) and prior treatment regimen (paclitaxel, another agent) as entered into the IVRS.

b Stratified Cox proportional hazard model. Hazard Ratio is nivolumab over docetaxel.

c The boundary for statistical significance requires the p-value to be less than 0.0315.

d Median computed using Kaplan-Meier method.

e CR+PR per RECIST v 1.1, confidence interval based on the Clopper and Pearson method, as assessed by the Investigator.

f stratified by region (US/Canada vs Europe vs Rest of World) and prior treatment regimen (paclitaxel vs another agent) as entered into the IVRS. Strata adjusted odds ratio (nivolumab over docetaxel) using Mantel-Haenszel method.

g Two-sided p-value from stratified CMH Test.

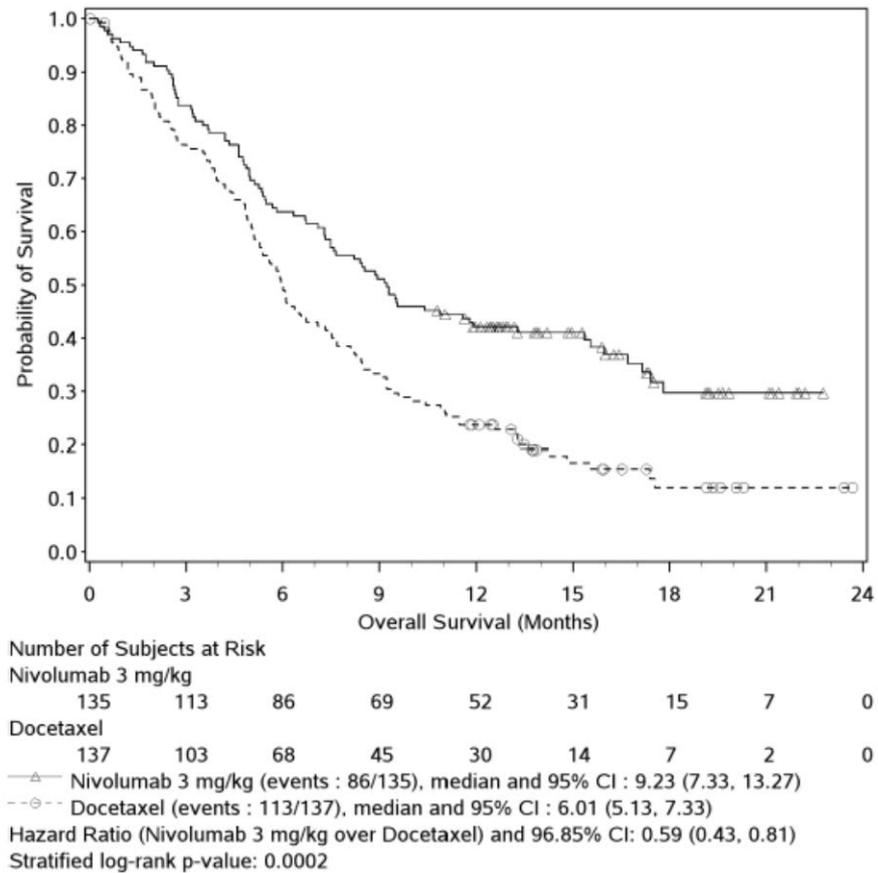
h Median duration of SD was 6.3 months (95% CI: 4.8, 7.6) in the nivolumab group vs 4.4 months (95% CI: 3.6, 4.9) in the docetaxel group.

i Symbol + indicates a censored value.

Clinical database lock dates were 15-Dec-2014 for the CA209017 Final CSR.

The overall survival effect is further illustrated in the Kaplan-Meier curve in the figure below.

**Figure 8: Kaplan-Meier of Overall Survival - All Randomized Subjects in CA209017**

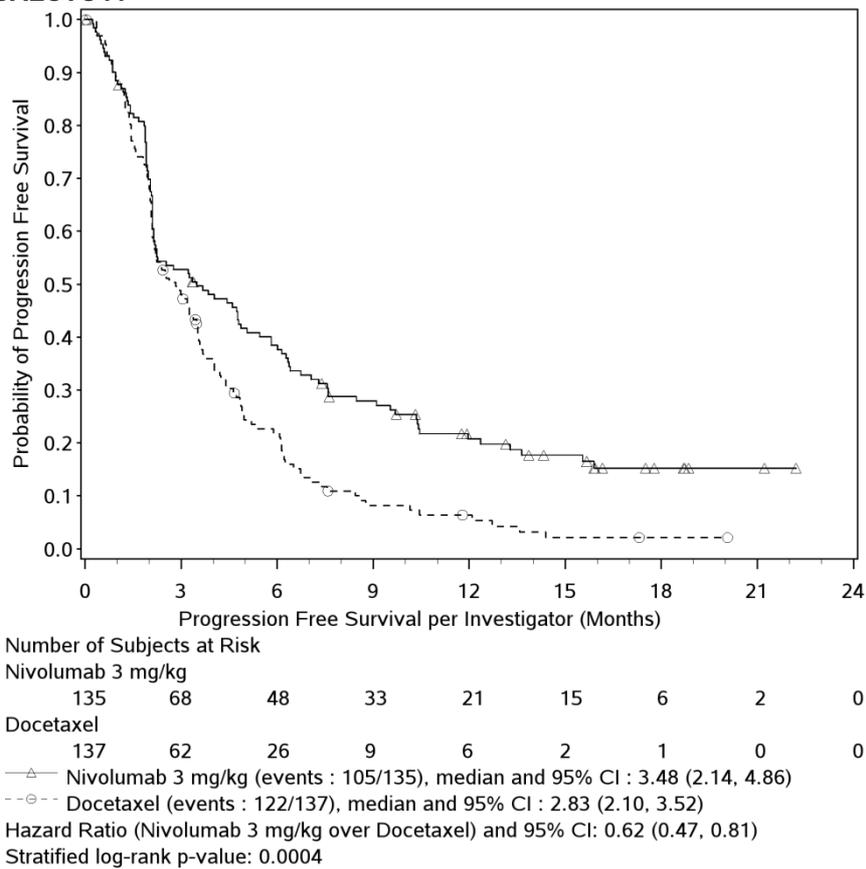


Symbols represent censored observations.

The boundary for statistical significance requires the p-value to be less than 0.0315.

Source: Refer to Figure S.5.1 of the CA209017 CSR

**Figure 9: Kaplan-Meier of Progression-free Survival per investigator - All Randomized Subjects in CA209017**



Statistical model for hazard ratio and p-value: Stratified Cox proportional hazard model and stratified log-rank test.  
 Symbols represent censored observations.  
 Source: Refer to Figure S.5.9 of the CA209017 Final CSR.

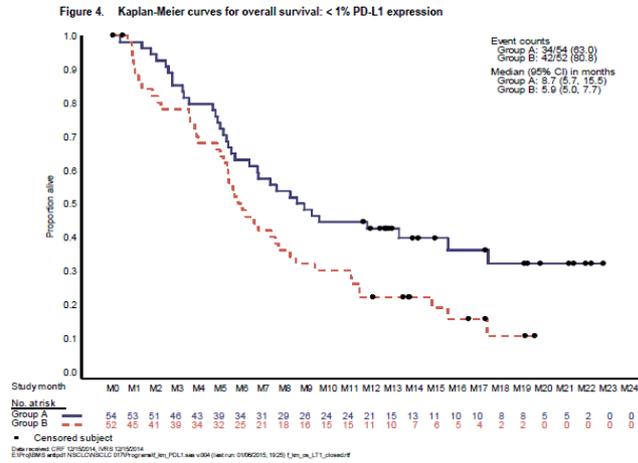
### Ancillary analyses

#### - Efficacy by PD-L1 expression

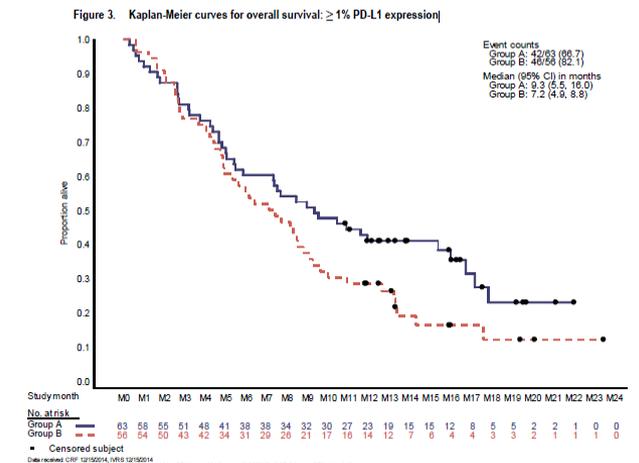
The special subset of patients according to PD-L1 expression and the value of PD-L1 as predictive biomarkers was discussed by the applicant and the efficacy results by PD-L1 expression status are summarized in the figure and table below.

**Figure 10: Overall survival by PD-L1 expression (group A is nivolumab, group B is docetaxel)**

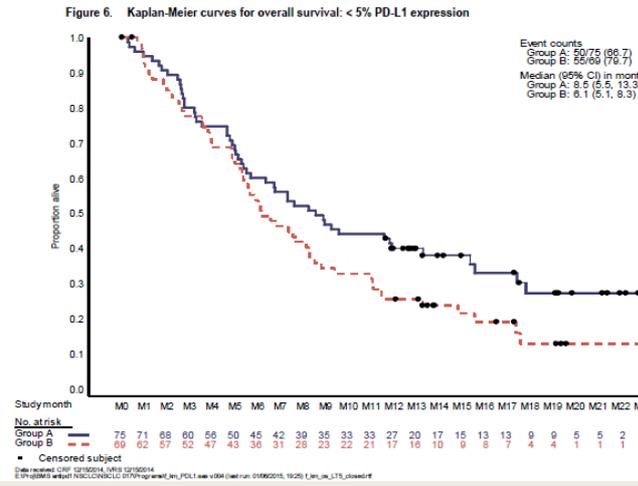
**PDL status <1%**



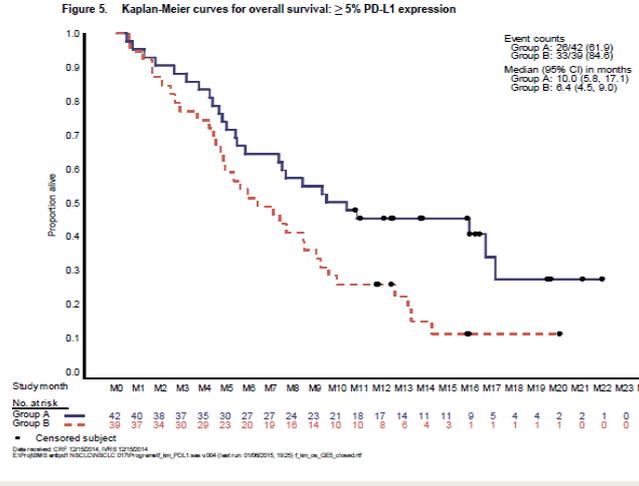
**PDL status ≥1%**



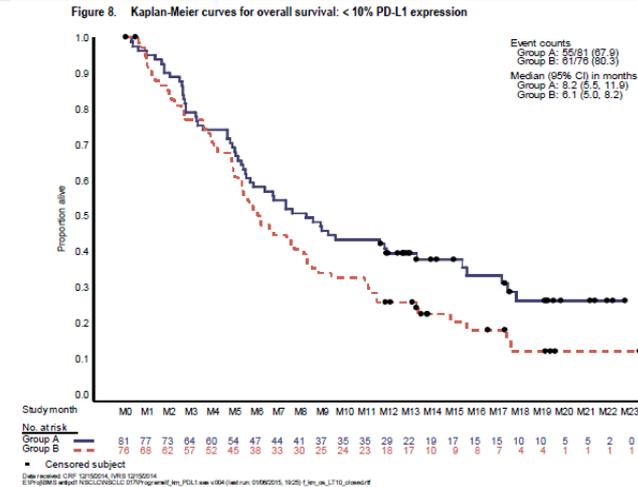
**PDL status <5%**



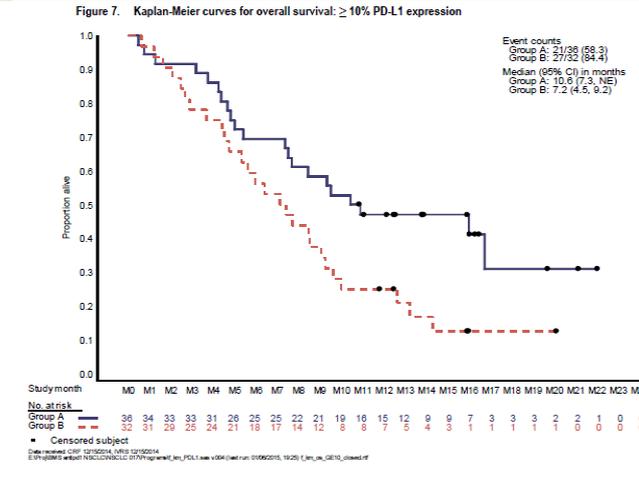
**PDL status ≥5%**



**PDL status <10%**



**PDL status ≥10%**



**Table 17: Investigator-assessed Objective Response Rate by Pre-treatment PD-L1 Expression Status – CA209017**

	PD-L1 Expression Cutoff						Not Quantifiable
	1%		5%		10%		
	Positive (≥ 1%)	Negative (< 1%)	Positive (≥ 5%)	Negative (< 5%)	Positive (≥ 10%)	Negative (< 10%)	
<b>CA209017</b>							
<i>Docetaxel</i>							
<b>ORR, % (n/N)</b>	10.7 (6/56)	9.6 (5/52)	7.7 (3/39)	11.6 (8/69)	9.1 (3/33)	10.7 (8/75)	3.4 (1/29)
<b>95% CI</b>	4.0, 21.9	3.2, 21.0	1.6, 20.9	5.1, 21.6	1.9, 24.3	4.7, 19.9	< 0.1, 17.8
<i>Nivolumab</i>							
<b>ORR, % (n/N)</b>	17.5 (11/63)	16.7 (9/54)	21.4 (9/42)	14.7 (11/75)	19.4 (7/36)	16.0 (13/81)	38.9 (7/18)
<b>95% CI</b>	9.1, 29.1	7.9, 29.3	10.3, 36.8	7.6, 24.7	8.2, 36.0	8.8, 25.9	17.3, 64.3

Abbreviations: CI: confidence interval; ORR: objective response rate; PD-L1: programmed cell death ligand 1;

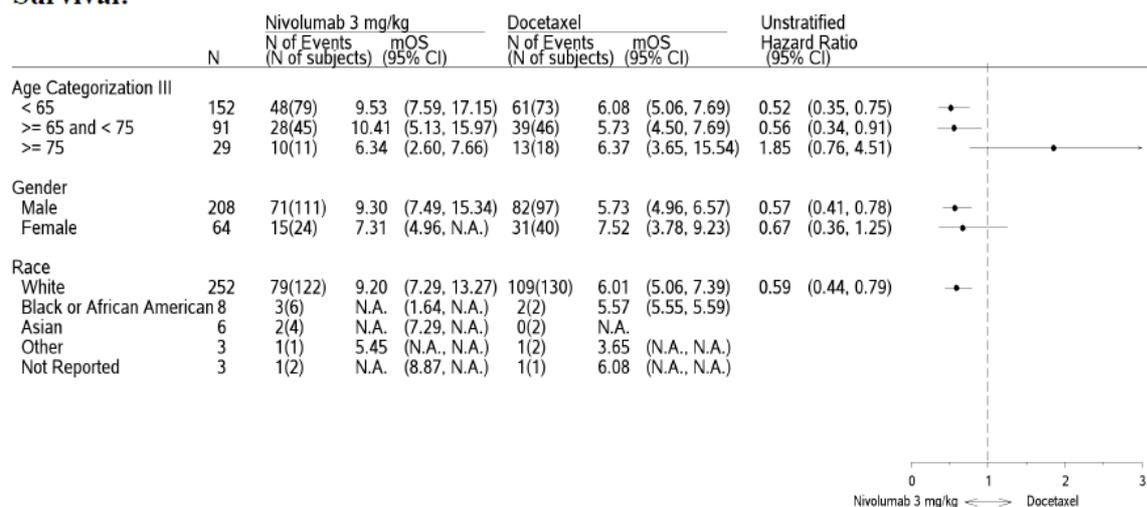
During the procedure, the applicant was requested to provide efficacy data by age subgroup.

- Efficacy in pre-defined subsets

**Table 18: Forest Plot of Treatment Effect on OS and PFS in Pre-Defined Subsets in CA209017- All Randomized Subjects**

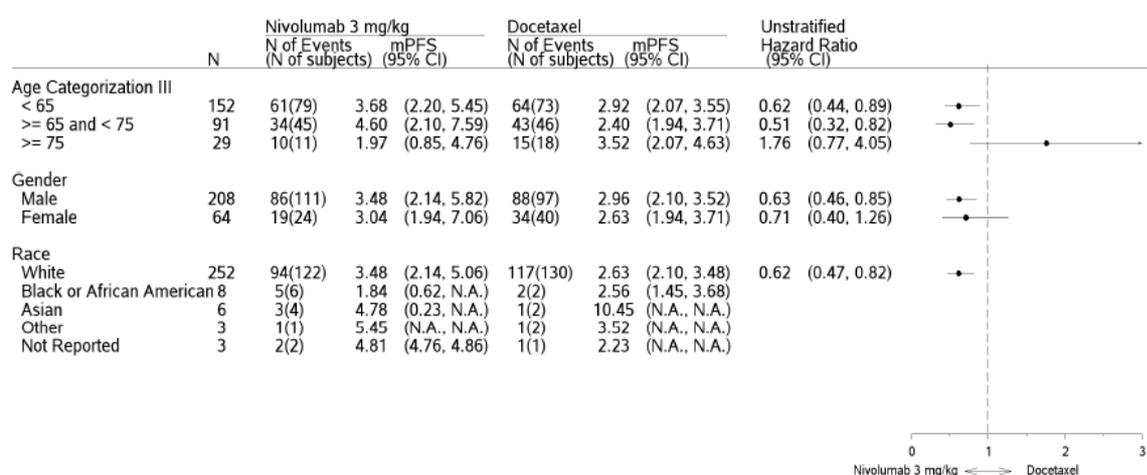
**Overall**

**Survival:**



Source: refer to [Figure S.5.7](#) (OS) of the CA209017 CSR

**Progression-free Survival:**

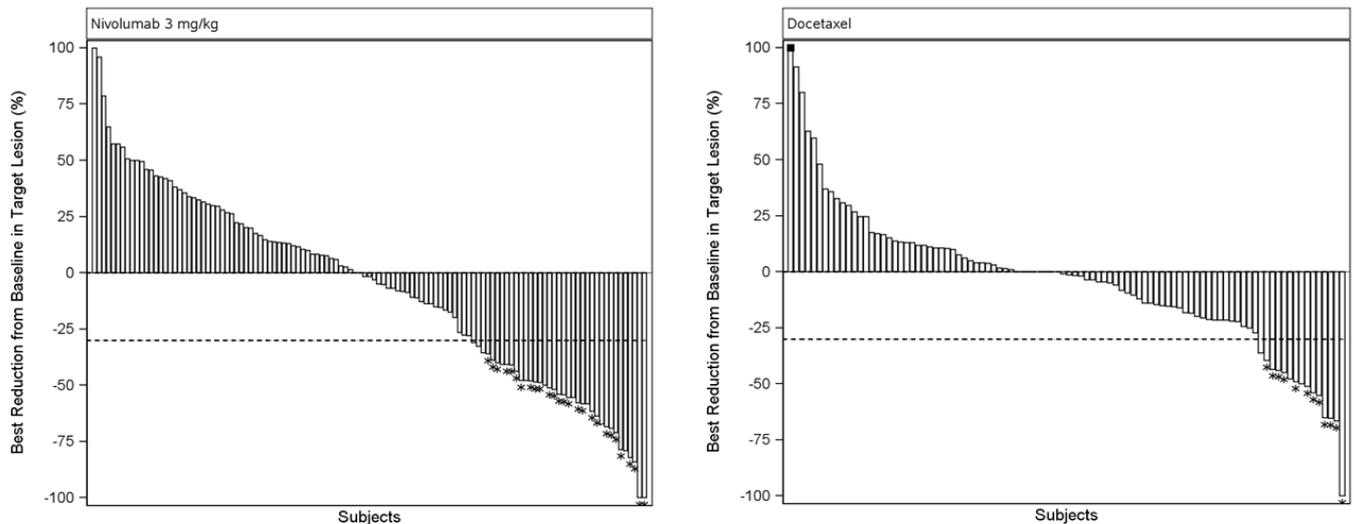


Source: refer to [Figure 5.13](#) (PFS) of the CA209017 CSR

- Reduction in sum of diameters of target lesions

Reductions in target lesion tumour burden are described in the figures below:

**Figure 11: Waterfall Plot of Best Reduction from Baseline in Sum of Diameters of Target Lesions per Investigator - All Response Evaluable Subjects - CA209017**



Subjects with target lesion at baseline and at least one evaluable target lesion assessment on-study= 117

Negative/positive value means maximum tumor reduction/minimum tumor increase.

Best reduction is based on evaluable target lesion measurements up to progression or start subsequent therapy date, excluding on-treatment palliative radiotherapy of non-target bone lesions or CNS lesions.

Horizontal reference line indicates the 30% reduction consistent with a RECIST v1.1 response.

\*: Responder per RECIST v1.1 criteria, confirmation of response required.

*- Health-related quality of life (HRQoL)*

The disease-related symptom improvement rate by Week 12 was defined as the proportion of randomized subjects who had 10 mm or more decrease from baseline in the average symptom burden index at anytime between randomization and Week 12. The disease related symptom improvement as measured by LCSS was similar between the two groups.

**Table 19: Disease Related Symptom Improvement Rate by Week 12, LCSS Questionnaire - All Randomized Subjects**

	Nivolumab 3 mg/kg N = 135	Docetaxel N = 137
#SUBJECTS WITH DISEASE RELATED SYMPTOM IMPROVEMENT BY WEEK 12/#RANDOMIZED SUBJECTS (1)	25/135	29/137
DISEASE RELATED SYMPTOM IMPROVEMENT RATE	18.5	21.2
(95% CI) (2)	(12.4, 26.1)	(14.7, 29.0)

(1) Disease-Related Symptom Improvement by Week 12 is defined as a 10 points or more decrease from baseline in average symptom burden index score at anytime between randomization and week 12.

(2) 95% exact CI computed using Clopper-Pearson Method.

However, The LCSS average symptom score in the nivolumab group generally decreased (improved) over time and the change from baseline exceeded the clinically meaningful threshold at about 10 months; in the docetaxel group, the average symptom index was stable over the period for which there were enough patients to interpret the data (about 6 months).

**Table 20: Average Symptom Burden Index Score Summary - All Randomized Subjects**

Period Visit	Treatment	Group	N#	Mean	SD	Median	Q25, Q75	Change From Baseline			
								Mean	SD	Median	Q25, Q75
BASELINE	NIVOLUMAB DOCETAXEL	3 MG/KG	90	29.99	16.594	30.08	15.00,39.67				
			97	31.92	16.453	33.67	19.17,43.00				
ON-TRT WEEK 3	NIVOLUMAB DOCETAXEL	3 MG/KG	NA 86	28.10	16.338	27.92	15.83,39.17	-2.50	14.671	-3.50	-10.67, 5.83
ON-TRT WEEK 4	NIVOLUMAB DOCETAXEL	3 MG/KG	94 NA	31.67	16.007	29.08	20.83,43.33	1.92	14.553	2.17	-8.17, 9.17
ON-TRT WEEK 6	NIVOLUMAB DOCETAXEL	3 MG/KG	NA 70	29.20	14.916	32.75	16.33,38.17	-0.78	12.435	-2.50	-9.42, 4.50
ON-TRT WEEK 8	NIVOLUMAB DOCETAXEL	3 MG/KG	67 NA	27.81	19.065	20.17	12.00,40.83	-0.10	16.855	-1.50	-10.17, 9.17
ON-TRT WEEK 9	NIVOLUMAB DOCETAXEL	3 MG/KG	NA 41	28.15	19.243	29.50	10.00,38.67	-0.25	13.830	-3.33	-6.83, 4.67
ON-TRT WEEK 12	NIVOLUMAB DOCETAXEL	3 MG/KG	52 32	22.25 24.70	18.065 15.341	16.42 22.50	5.92,35.17 9.50,36.67	-3.98 -1.15	14.938 14.925	-4.00 -4.67	-10.67, 0.33 -10.17, 7.17
ON-TRT WEEK 15	NIVOLUMAB DOCETAXEL	3 MG/KG	NA 23	22.01	13.500	18.50	12.17,32.00	-0.13	11.598	-1.83	-6.67, 6.50
ON-TRT WEEK 16	NIVOLUMAB DOCETAXEL	3 MG/KG	45 NA	20.60	16.406	15.00	7.00,32.83	-6.51	12.487	-5.92	-15.33, 0.33
ON-TRT WEEK 18	NIVOLUMAB DOCETAXEL	3 MG/KG	NA 12	22.74	17.499	23.92	5.00,29.50	-1.13	15.262	-1.50	-15.42, 7.75
ON-TRT WEEK 20	NIVOLUMAB DOCETAXEL	3 MG/KG	38 NA	19.82	15.844	17.67	6.17,30.50	-6.97	14.966	-5.17	-18.58, 2.50
ON-TRT WEEK 21	NIVOLUMAB DOCETAXEL	3 MG/KG	NA 10	18.48	17.379	13.25	1.50,31.33	-3.46	10.324	-6.42	-8.83, 3.33
ON-TRT WEEK 24	NIVOLUMAB DOCETAXEL	3 MG/KG	31 11	19.68 23.48	17.300 17.525	12.83 27.00	5.50,37.50 5.83,39.33	-8.76 -0.09	12.427 16.423	-10.50 -0.17	-16.33, 0.33 -6.50, 6.67
ON-TRT WEEK 30	NIVOLUMAB DOCETAXEL	3 MG/KG	30 7	18.53 23.65	16.102 25.545	14.00 20.83	5.50,25.17 1.17,52.00	-8.27 9.07	14.362 24.531	-9.17 21.33	-19.42, 3.42 -6.33, 23.67
ON-TRT WEEK 36	NIVOLUMAB DOCETAXEL	3 MG/KG	24 7	12.92 24.76	14.083 22.907	7.58 22.67	3.75,14.58 3.83,45.50	-9.48 10.10	13.854 22.978	-9.83 21.00	-18.33, -2.83 -2.33, 26.33
ON-TRT WEEK 42	NIVOLUMAB DOCETAXEL	3 MG/KG	18 6	8.94 18.17	8.505 18.544	5.33 11.58	2.33,15.50 3.33,33.00	-14.24 7.04	14.530 19.334	-13.00 7.25	-24.17, -5.67 -9.00, 23.08
ON-TRT WEEK 48	NIVOLUMAB DOCETAXEL	3 MG/KG	13 5	10.54 19.60	12.957 14.192	4.33 15.33	2.33,13.50 12.67,18.33	-14.53 1.21	13.862 23.682	-14.33 5.83	-25.00, -4.83 -13.25, 15.67
ON-TRT WEEK 54	NIVOLUMAB DOCETAXEL	3 MG/KG	13 3	14.96 28.61	15.221 24.751	11.83 34.33	3.67,17.00 1.50,50.00	-14.15 9.08	14.377 30.759	-9.00 9.08	-21.50, -3.67 -12.67, 30.83
ON-TRT WEEK 60	NIVOLUMAB DOCETAXEL	3 MG/KG	9 2	14.48 15.50	15.356 17.442	5.00 15.50	4.00,31.00 3.17,27.83	-14.40 -19.17	16.753	-14.00 -19.17	-27.33, -6.00 -19.17, -19.17
ON-TRT WEEK 66	NIVOLUMAB DOCETAXEL	3 MG/KG	11 1	10.91 7.00	12.838	5.33 7.00	1.83,19.50 7.00, 7.00	-14.80	11.217	-13.83	-19.67, -5.83
ON-TRT WEEK 72	NIVOLUMAB DOCETAXEL	3 MG/KG	7 1	9.02 30.50	8.602	9.17 30.50	1.17,13.67 30.50,30.50	-10.75	9.638	-13.58	-14.50, -1.67
ON-TRT WEEK 78	NIVOLUMAB DOCETAXEL	3 MG/KG	6 1	11.53 6.67	12.198	10.00 6.67	0.67,19.67 6.67, 6.67	-12.03	14.441	-14.50	-15.00, -13.50
ON-TRT WEEK 84	NIVOLUMAB DOCETAXEL	3 MG/KG	6 1	6.42 5.33	7.347	3.00 5.33	2.33,10.00 5.33, 5.33	-16.97	9.302	-13.33	-15.17, -12.50
ON-TRT WEEK 96	NIVOLUMAB DOCETAXEL	3 MG/KG	1 0	0.17		0.17	0.17, 0.17	-33.50		-33.50	-33.50, -33.50
FOLLOW-UP FOLLOW-UP 1	NIVOLUMAB DOCETAXEL	3 MG/KG	39 45	35.76 37.49	16.755 16.374	37.67 36.17	27.50,49.00 25.83,50.17	6.01 8.50	17.053 14.848	5.42 11.92	-5.33, 13.33 0.75, 19.75
FOLLOW-UP FOLLOW-UP 2	NIVOLUMAB DOCETAXEL	3 MG/KG	21 33	33.79 33.63	18.850 17.464	39.67 33.17	18.17,47.33 21.67,47.50	7.48 7.30	23.574 19.278	8.67 7.42	-11.00, 19.50 -6.50, 17.83

NA: Not Assessed.

# Number of subjects who filled the questionnaire at study assessment and with baseline value.

For the EQ-VAS baseline health status scores were similar in the two groups and were similar to scores reported elsewhere for advanced lung cancer subjects. The average EQ-VAS increased over time for both treatment groups, indicating better overall health status for subjects remaining on treatment.

**Table 21: Overall Self-Rated Health Status EQ-VAS Summary All Randomized Subjects**

Period Visit	Nivolumab 3 mg/kg N = 135					Docetaxel N = 137				
	N	Mean	SD	Median	Q25-Q75	N	Mean	SD	Median	Q25-Q75
BASELINE	93	63.0	18.19	60.0	50.0- 76.0	97	64.4	21.92	67.0	50.0- 80.0
TREATMENT WEEK 3	NA					90	65.6	21.41	63.0	50.0- 86.0
TREATMENT WEEK 4	98	61.8	19.65	60.0	50.0- 79.0	NA				
TREATMENT WEEK 6	NA					71	68.0	17.67	69.0	52.0- 80.0
TREATMENT WEEK 8	71	68.8	18.81	70.0	51.0- 82.0	NA				
TREATMENT WEEK 9	NA					42	66.9	21.00	68.0	50.0- 87.0
TREATMENT WEEK 12	54	72.9	21.25	79.0	50.0- 90.0	34	69.6	17.93	72.0	54.0- 84.0
TREATMENT WEEK 15	NA					24	69.2	22.20	72.0	60.0- 88.5
TREATMENT WEEK 16	47	72.1	18.56	78.0	61.0- 87.0	NA				
TREATMENT WEEK 18	NA					12	71.1	19.47	73.0	55.0- 89.0
TREATMENT WEEK 20	41	71.6	21.60	74.0	59.0- 89.0	NA				
TREATMENT WEEK 21	NA					10	80.6	15.25	84.5	69.0- 93.0
TREATMENT WEEK 24	34	73.4	23.03	80.0	60.0- 90.0	11	75.8	19.32	80.0	59.0- 91.0
TREATMENT WEEK 30	33	74.0	23.32	80.0	65.0- 95.0	7	79.4	17.42	90.0	63.0- 96.0
TREATMENT WEEK 36	25	78.0	22.05	80.0	70.0- 96.0	7	74.1	23.93	88.0	54.0- 91.0
TREATMENT WEEK 42	19	77.4	25.90	85.0	68.0- 95.0	6	77.5	21.96	87.5	62.0- 92.0
TREATMENT WEEK 48	14	84.3	16.74	90.5	81.0- 97.0	5	77.8	17.24	81.0	79.0- 85.0
TREATMENT WEEK 54	14	75.6	26.26	82.0	70.0- 92.0	3	81.7	13.58	89.0	66.0- 90.0
TREATMENT WEEK 60	9	86.0	12.77	87.0	80.0- 98.0	2	87.5	3.54	87.5	85.0- 90.0
TREATMENT WEEK 66	12	83.9	17.31	89.5	78.0- 97.0	1	95.0		95.0	95.0- 95.0
TREATMENT WEEK 72	7	85.7	10.09	85.0	79.0- 97.0	1	86.0		86.0	86.0- 86.0
TREATMENT WEEK 78	6	82.2	19.60	87.5	70.0- 98.0	1	98.0		98.0	98.0- 98.0
TREATMENT WEEK 84	6	89.0	11.78	92.0	84.0- 98.0	1	80.0		80.0	80.0- 80.0
TREATMENT WEEK 96	1	99.0		99.0	99.0- 99.0	0				
FOLLOW-UP FOLLOW-UP 1	39	58.2	23.11	59.0	40.0- 79.0	44	58.3	20.51	60.0	47.5- 75.5
FOLLOW-UP FOLLOW-UP 2	21	60.9	24.43	69.0	39.0- 77.0	33	69.3	15.97	70.0	60.0- 80.0

EQ-VAS ranges from 0 to 100, with 0 representing the worst health, 100 the best health.  
NA: Not Assessed.

### Summary of main study

The following table summarise the efficacy results from the main studies supporting the present application. These summaries should be read in conjunction with the discussion on clinical efficacy as well as the benefit risk assessment (see later sections).

**Table 22: Summary of Efficacy for study CA209017**

<b>Title:</b> An Open-label Randomized Phase III Trial of BMS-936558 (Nivolumab) versus Docetaxel in Previously Treated Advanced or Metastatic Squamous Cell Non-small Cell Lung Cancer (NSCLC).			
Study identifier	CA209017		
Design	Phase 3, randomized, open-label study of nivolumab vs docetaxel in adult ( <input type="checkbox"/> 18 years) subjects with advanced or metastatic squamous cell NSCLC after failure of prior platinum-doublet chemotherapy. Subjects were randomized 1:1 and stratified according to the following factors: prior treatment with paclitaxel-based doublet vs. other doublet, and region (US/Canada vs. Europe vs. Rest of World).		
	Duration of main phase:	FPFV: 16-Oct-2012; LPLV for primary endpoint: 17-Nov-2014	
	Duration of Run-in phase:	Not applicable	
	Duration of Extension phase:	On-going	
Hypothesis	Superiority of nivolumab vs. docetaxel in terms of OS.		
Treatments groups	Nivolumab 3 mg/kg	Nivolumab at 3 mg/kg was administered as an IV infusion over 60 minutes on Day 1 of each 2-week cycle. Treatment was continued until disease progression (or discontinuation of nivolumab therapy in subjects receiving treatment beyond initial Response Evaluation Criteria in Solid Tumours [RECIST] v1.1-defined progression), discontinuation due to toxicity, or other protocol-defined reasons.	
	Docetaxel 75 mg/m <sup>2</sup>	Docetaxel 75 mg/m <sup>2</sup> was administered every 3 weeks. Treatment was continued until disease progression, discontinuation due to toxicity, or other protocol-defined reasons.	
Endpoints definitions and	Primary endpoint	OS	Defined as the time between the date of randomization and the date of death. For subjects without documentation of death, OS was censored on the last date the subject was known to be alive.
	Secondary endpoint	Investigator-assessed PFS	Defined as the time from randomization to the date of the first documented tumour progression as determined by the investigator using RECIST 1.1 criteria, or death due to any cause.
	Secondary endpoint	Investigator-assessed ORR	Defined as the number of subjects whose best confirmed objective response (BOR) is either a confirmed CR or confirmed PR, as determined by the investigator, divided by the number of randomized subjects. BOR was defined as the best response designation, recorded between the date of randomization and the date of objectively documented progression per RECIST 1.1 or the date of subsequent anti-cancer therapy (excluding on-treatment palliative radiotherapy of non-target bone lesions or CNS lesions), whichever occurs first.

	Secondary endpoint	DOR and TTR	Duration of objective response ( <b>DOR</b> ) was defined as the time between the date of first confirmed response to the date of the first documented tumour progression (per RECIST 1.1), or death due to any cause, whichever occurs first.	
	Secondary endpoint	OS, ORR, or PFS based on pre-study PD-L1 expression level	Time to Objective Response ( <b>TTR</b> ) was defined as the time from randomization to the date of the first confirmed response (evaluated for responders only). PD-L1 expression was defined as the percent of tumour cells demonstrating plasma membrane PD-L1 staining in a minimum of 100 evaluable tumour cells per validated Dako PD-L1 IHC assay.	
Database lock	15-Dec-2014			
<b>Results and Analysis</b>				
<b>Analysis description</b>	<b>Primary Analysis</b>			
Analysis population and time point description	Treated Subjects			
Descriptive statistics and estimate variability	<b>Treatment group</b>	Nivolumab 3 mg/kg		Docetaxel 75 mg/m2
	<b>Number of subject</b>	n=135		n=137
	OS (months) Median 95% CI	9.23 (7.33, 13.27)		6.01 (5.13, 7.33)
	HR <sup>a</sup> 95% CI	0.59 (0.44, 0.79) P=0.0002		- -
	Investigator-assessed PFS (months) Median 95% CI	3.48 (2.14, 4.86)		2.83 (2.10, 3.52)
	HR (95% CI) <sup>a</sup>	0.62 (0.47, 0.81) P=0.0004		-
	Investigator-assessed ORR (n, %) 95% CI	27 (20.0) (13.6, 27.7)		12 (8.8) (4.6, 14.8)
	Odds ratio estimate (95% CI) <sup>b</sup>	2.64 (1.27, 5.49) P=0.0083 <sup>c</sup>		- -

	DOR Median (range), months and TTR Median (range), months	NR (2.9, 20.5+)	8.4 (1.4+, 15.2+)
		2.2 (1.6, 11.8)	2.1 (1.8, 9.5)
	OS, based on pre-study PD-L1 expression level	<i>PD-L1 positive subjects*</i> 10.0 (5.8, 17.1)  <i>PD-L1 negative subjects</i> 8.5 (5.5, 13.3)	<i>PD-L1 positive subjects</i> 6.4 (4.5, 9.0)  <i>PD-L1 negative subjects</i> 6.1 (5.1, 8.3)

NE: not estimable.

a Stratified Cox proportional hazard model. Hazard ratio is nivolumab over docetaxel.

b For CA209017, stratified by region (US/Canada vs Europe vs Rest of World) and prior treatment regimen (paclitaxel vs another agent) as entered into the IVRS. Strata adjusted odds ratio (nivolumab over docetaxel) using Mantel-Haenszel method.

c Two-sided p-value from stratified CMH Test.

\*PD-L1 positive subjects are patients with a PD-L1 status  $\geq$  5% tumour membrane expression cut off

## Analysis performed across trials (pooled analyses and meta-analysis)

### Clinical studies in special populations

No dedicated studies have been conducted in special populations.

The potential impact of hepatic and renal impairment in the PK of nivolumab has been evaluated by the means of PKPD modelling (see section 2.4.2 Clinical Pharmacology). Data on patients with varying degrees of hepatic/renal impairment from the clinical studies is very limited.

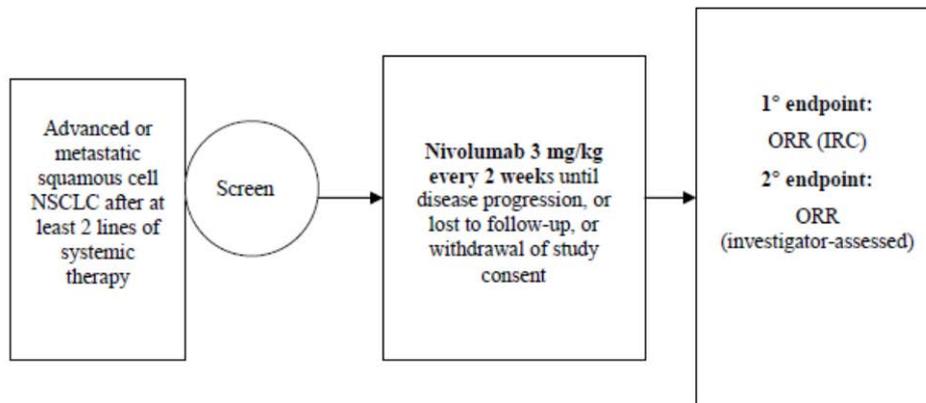
Data on the PK and efficacy in elderly patients in different age subgroups has been provided (see sections 2.4.2 clinical pharmacology and ancillary analyses).

### Supportive study

#### Study CA209063

Study CA209063 was a phase 2 study designed to evaluate the antitumour activity of nivolumab monotherapy (3 mg/kg Q2W) in subjects with histologically or cytologically documented advanced or metastatic SQ NSCLC whose disease had progressed during or after both a platinum doublet-based chemotherapy regimen and at least 1 additional systemic therapy (refractory population).

**Figure12: Study Design**



The primary efficacy endpoint was confirmed ORR (by IRC), defined as subjects with a BOR of confirmed PR or CR, using RECIST v1.1 criteria. ORR-related endpoints were also included: DOR, TTR, duration of SD. The secondary endpoint was ORR (by investigator). Main exploratory endpoints were PFS, OS and safety.

A total of 140 subjects were enrolled at 28 sites in 4 countries (US, France, Germany, and Italy). Of them, 117 (83.6%) subjects were treated with nivolumab 3 mg/kg Q2W, and 23 (16.4%) subjects were not treated because they no longer met study criteria at the time of planned first treatment (screen failures). The majority of subjects were male (72.6%) and white (84.6%), and the median age was 65.0 years. At study entry, the majority of treated subjects (82.9%) had stage IV disease. The proportion of subjects with a baseline ECOG performance status of 0 was 22.2% and the proportion of subjects with a baseline ECOG performance status of 1 was 77.8%. The majority (92.3%) of treated subjects were current or former smokers.

All patients received two or more prior systemic treatments: 35% received two, 44% received three, and 21% received four or more. The majority of patients (76%) received nivolumab within 3 months of completing their most recent prior regimen.

The following table summarizes the main efficacy results for the study CA209063 based on a minimum follow up of approximately 11 months.

**Table 23: Efficacy Summary with Nivolumab Monotherapy in study CA209063**

CA209063 Final CSR Addendum 1	
Efficacy parameter	Nivolumab 3 mg/kg N = 117
<b>Overall Survival</b>	
Events, n (%) subjects who died	72 (61.5)
Median (95% CI), months <sup>a</sup>	8.21 (6.05, 10.91)
Rate at 12 months (95% CI), %	40.8 (31.6, 49.7)
<b>Progression-free Survival</b>	
Events, n (%) subjects with disease progression/death	85 (72.6)
Median (95% CI), months <sup>a</sup>	1.87 (1.77, 3.15)
Rate at 12 months (95% CI)	20.0 (12.7, 28.5)
<b>Objective Response Rate <sup>b</sup></b>	
n (%)	17 (14.5)
(95% CI)	(8.7, 22.2)
Best Overall Response	
Complete Response (CR)	0
Partial Response (PR)	17 (14.5)
Stable Disease (SD) <sup>c</sup>	30 (25.6)
<b>Time to Response</b>	
Number of responders	17
Median (range), months	3.3 (1.7, 8.8)
<b>Duration of Response</b>	
Ongoing responders, n/N (%)	13/17 (76.5)
Median (range), months <sup>a,d</sup>	NR (1.9+, 11.5+)
<b>Overall survival by PD-L1 Expression Status (≥5% tumour cell membrane expression cutoff)</b>	
PD-L1 positive subjects, n (%)	25 (21.4)
Unstratified Hazard ratio (95% CI)	-
Median (95% CI), months	15.7 (8.1, NR)
PD-L1 negative subjects, n (%)	51 (43.6)
Unstratified Hazard ratio (95% CI)	-
Median (95% CI), months	8.2 (5.0, 13.6)
PD-L1 non-quantifiable subjects, n (%)	10 (8.5) <sup>e</sup>
Unstratified Hazard ratio (95% CI)	-
Median (95% CI) (Months)	12.7 (1.1, 13.3)

a Median computed using Kaplan-Meier method.

b CR+PR per RECIST v 1.1, confidence interval based on the Clopper and Pearson method. As assessed by the IRC for CA209063.

c Median duration of SD was 6.0 months (95% CI: 4.7, 10.9) in CA209063 Addendum 1.

d Symbol + indicates a censored value.

e PD-L1 status indeterminate/not evaluable (n = 10). An additional 31 subjects in CA209063 did not have a sample tested.

Clinical database lock dates was 23-Jul-2014 for Addendum 1 to the CA209063 Final CSR.

### 2.4.3. Discussion on clinical efficacy

The efficacy of nivolumab in the treatment of previously treated SQ NSCLC was initially based on the results from two uncontrolled studies: one phase II, (Study CA209063), and 1 phase I, open label, dose-escalation study (MDX1106-03). Considering that both of these studies were uncontrolled, open label studies, and that the number of patients provided as part of the initial submission was limited, any conclusion on efficacy was challenging and insufficient to allow the assessment of the BR balance of nivolumab in the initially proposed indication. During the procedure, the applicant provided the primary analysis for the confirmatory phase III study (vs. docetaxel), study CA209017.

#### Design and conduct of clinical studies

The pivotal Study CA209017 was a randomized, open-label, parallel phase 3 trial of nivolumab monotherapy (3 mg/kg, Q2W) versus docetaxel (75 mg/m<sup>2</sup> q3w) in patients with advanced or metastatic squamous cell NSCLC whose disease had progressed during or after one prior platinum doublet-based chemotherapy regimen. The treatment could be provided until disease progression or if no longer tolerated. Subjects were randomized 1:1 and stratified according to prior treatment, and region. The primary objective was to show superiority in OS for nivolumab compared to docetaxel. The open label design of the study is accepted because of the different dosing frequencies.

In study CA209017, the patients were stratified according to region because of regional differences. Patients were also stratified according to previous paclitaxel use (both paclitaxel and docetaxel being taxanes). However, previous studies failed to show a reduced activity of docetaxel after paclitaxel [Fosella, Hanna, Shephard 2005, Spirodonidi 2001, Kosmas 2001]. Therefore, patients who received prior paclitaxel were to be stratified across the two treatment groups to ensure that the control arm will be unaffected by cross-resistance.

Stratification based on PD-L1 status was not performed as the applicant considered the PD-L1 status variable within the tumour and the value of the use of the PD-L1 as a predictive biomarker was uncertain, since at study initiation the results were still immature and an IHC assay method was not verified.

Docetaxel (75 mg/m<sup>2</sup>) Q3W used as comparator is an acceptable standard second line treatment in locally advanced or metastatic NSCLC. The head to head comparison with a currently approved treatment facilitates the positioning of nivolumab in the current treatment armamentarium of SQ-NSCLC. However, the study limited the inclusion to patients with an ECOG 0-1 while chemotherapy will also be applied to patients with an ECOG 2<sup>6</sup>.

The primary endpoint was OS, in accordance with the EU guideline. Secondary endpoints were the investigator's PFS and ORR by RECIST criteria more likely to show drug activity against the tumour, and OS, ORR, or PFS based on pre-study PD-L1 expression status. These endpoints are acceptable and in accordance with the EU Guideline on anticancer medicinal products. The use of the RECIST instead of iRECIST to measure PFS and ORR is accepted, since the comparator arm included a chemotherapy treatment. The PFS and overall response rate were measured by the investigator and not confirmed by an independent review committee. As the primary endpoint is the overall survival, this can be accepted.

Patients with a baseline performance score  $\geq 2$ , active brain metastases or autoimmune disease, symptomatic interstitial lung disease, and patients who had been receiving systemic immunosuppressants prior to study entry were excluded from the clinical trials of NSCLC. In the

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<sup>6</sup> M. Reck<sup>1,2</sup>, S. Popat<sup>3,4</sup>, N. Reinmuth<sup>1,2</sup>, D. De Ruyscher<sup>5</sup>, K. M. Kerr<sup>6</sup>, S. Peters<sup>7</sup> & on behalf of the ESMO Guidelines Working Group\* Metastatic non-small-cell lung cancer (NSCLC): ESMO Clinical Practice Guidelines for diagnosis, treatment and follow-up. *Annals of Oncology* 00: 1–13, 2014

absence of data, nivolumab should be used with caution in these populations after careful consideration of the potential risk-benefit on an individual basis (see sections 4.4, 4.5 and 5.1 of the SmPC).

A planned interim analysis was conducted when 199 deaths were reached. One interim analysis of OS was planned after 196 deaths (85% of deaths required for final analysis) have been observed. This formal comparison of OS allowed for early stopping for superiority.

The primary endpoint in study CA209017 was changed late in the study (25 April 2014, database lock 15 August 2014) from OS and ORR (co-primary with alpha split as 0.04 for OS and 0.01 for ORR) to OS as only primary endpoint (with  $\alpha=0.05$ ). Although the sponsor had access to safety data, the applicant clarified that they remained blinded for the efficacy review and that these analyses did not influence the decision to change the primary endpoint.

### **Efficacy data and additional analyses**

The dose to be used in the clinical studies was selected from preclinical, pharmacokinetic and phase I clinical studies. The receptor occupancy tests failed to show larger receptor occupancy at higher doses. However, in the clinical study, the NSCLC cohort showed numerically better ORR with the 3 mg/kg and 10 mg/kg dose than with the 1 mg/kg dose. At the 10 mg/kg dose, however, the incidence of SAE was higher than with the lower 3 mg/kg dose for patients with NSCLC. Therefore, the applicant decided to proceed with the 3 mg/kg dose which is considered reasonable.

#### Study CA209017

A total of 135 patients were randomized to nivolumab 3 mg/kg Q2W and 137 patients to docetaxel. The median age was 62 years and 41.5% of subjects were  $\geq 65$  years old (8.1% over 75 and only one subject 85 or older). The median age is lower than for the general NSCLC population (71 years) however this can be observed in clinical studies conducted in NSCLC.

The study included a large number of former /current smokers (89.6%), a well-known risk factor for NSCLC. Most subjects were male but no difference in efficacy was observed based on gender. Most patients were white and although no racial differences are expected in terms of efficacy, the number is too limited to be conclusive. At the time of inclusion, most patients (77.8%) had stage IV disease with less than 1 year from initial diagnosis (69.6%, median time 0.74 years) and ECOG 1 status (78.5%) or less (20%). No patients with a worse ECOG PS  $\geq 2$  were included, although chemotherapy can also be offered to patients with ECOG 2. Therefore, the efficacy and safety of nivolumab in comparison with chemotherapy in patients with ECOG 2 is not known. ECOG is one of the multiple factors considered by prescribers and this limitation will be reflected in the SmPC (see sections 4.4 and 5.1).

Overall the included patient population is regarded representative for metastatic lung NSCLC.

The docetaxel group included more female patients (17.8 % vs.29.2%), ECOG PS 0 (21.5% vs. 27%), stage IV disease (78% vs. 82%) stable disease as best response after prior therapy (24% vs. 34%), patients  $\geq 65$  years (41.4% vs 46.1%), patients aged  $\geq 75$  years (8.1 % vs 13.1%) carboplatin use (60% vs. 74%). Favourable prognostic parameters are female, ECOG PS 0, stable disease. Less favourable factors are stage IV disease and older age.

Despite small differences, the baseline characteristics between the two groups were comparable.

This study was stopped early, during a pre-defined interim analysis. Superiority in terms of OS was demonstrated, with an absolute clinically relevant difference in OS between treatment arms of approximately 3 months and 42% vs 24% of patients alive at 12 months, nivolumab vs. docetaxel respectively. Treatment differences in terms of PFS were more modest, with a 0.65 month gain for

nivolumab over docetaxel. However, given the profile of the curves, the HR [0.62 (0.47, 0.81)] for PFS is considered much more informative.

The poor correlation observed between OS and PFS results is not totally unexpected, since PFS findings can be difficult to interpret for immunotherapy agents. Other efficacy endpoints (ORR, BOR, and DOR) and an early separation in the Kaplan-Meier OS estimates also seem to favour nivolumab treatment.

OS results in the subgroups analysed (prior paclitaxel use, gender, race, ECOG score, prior platinum regimen, time from completion of the most recent regimen to randomisation) support the robustness of the outcome in the whole population, with the only exception of patients >75 years. In the patients aged  $\geq 75$  years, the magnitude of the effect seems lower, although it should be interpreted with caution due to the small sample size (nivolumab n=11, docetaxel n=18) and the observed unbalance for ECOG PS between the two groups. The subgroup of patients age  $\geq 65$  years showed a response in favour of nivolumab (nivolumab n= 56, median OS 7.57 months [95 %CI 5.26-15.34]; docetaxel n= median OS 5.8 months [95%CI 4.83-7.69] HR 0.70 (95 % CI 5.26-1.06). Data from patients 75 years of age or older are too limited to draw conclusions on this population (see sections 4.2 and 5.1 of the SmPC).

In order to further define the long-term efficacy of nivolumab, the CHMP requested the applicant to submit updated analysis of OS (see Annex II condition).

In terms of subsequent therapy, 36.3% of nivolumab subjects and 29.9% of docetaxel subjects received subsequent systemic anti-cancer therapy. In both groups, the most frequent type was chemotherapy (35.6% and 24.1%, for nivolumab and docetaxel, respectively). Sensitivity analyses taking this fact into consideration showed a consistent effect in favour of nivolumab (OS HR=0.50 (95% CI: 0.35, 0.71)). Although the inherent limitations to this analysis are acknowledged, these results can be considered supportive of the main results.

In addition, the benefit of nivolumab was observed regardless of the PD-L1 status. Patients who were PDL-positive showed the best (numerical) improvements, but the observed improvements in ORR, PFS and OS were comparable or even better than those obtained with docetaxel in PD-L1 negative patients.

Therefore, a restriction to the PD-L1 positive population is not justified. The variability within the tumour, changes within the tumour immune microenvironment with nivolumab treatment, differences in testing on tumour cells versus testing for PD-L1 positivity and PD-L2 status in immune cells, T cell infiltration, the use of archival tissue etc could explain this finding. The role of PD-L1 expression has not been fully elucidated.

The expression of PD-L1 and PD-L2 in the tumour microenvironment and the relationship with tumour responses therefore needs to be further investigated. The CHMP has imposed a condition to the marketing authorization in Annex II to perform further analyses to ascertain the potential role of the PD-L2 biomarker, to further explore the relationship between PD-L1 and PD-L2 expression on the efficacy of nivolumab, to continue the exploration of the optimal cut-off for PD-L1 positivity and to further investigate the possible change in PD-L1 status of the tumour during treatment and/or tumour progression.

### Study CA209063

A total of 117 patients received at least one dose of nivolumab in this study. All patients had received at least 2 prior regimens (per inclusion criteria), with 44.4% having received 3 regimens and 20.5% having received  $\geq 4$  prior regimens. This indicates that the population included was heavily pre-treated.

In the initial submission, a response was obtained in 12% (14 out of 117) of the patients, indicating anti-tumour activity. BOR was PR in all 14 IRC-assessed confirmed responders, while the median time to response was 3.0 months (ranged from 1.7 to 4.8 months). ORR by investigator was consistent with the primary endpoint.

Median PFS (per IRC) was 1.9 months, median follow-up time for survival was 6.1 months (range 0.0 to 11.7 months), but median OS had not been reached at the time of the data lock point.

During the procedure, the applicant provided updated efficacy (and safety) data with a minimum follow-up for ORR of approximately 11 months (from the initial 5.5 months). The updated efficacy results for this study are in line with those provided in the initial submission.

The magnitude of the effect seen in study CA209063 seems smaller than in study CA209017; however it is probably due to a more advanced and heavily pre-treated population included in the phase 2 study.

In general these results are considered supportive of the efficacy of nivolumab in patients with SQ NSCLC who failed prior chemotherapy, a population with a high unmet medical need as the treatment options are limited.

#### **2.4.4. Conclusions on the clinical efficacy**

The efficacy of nivolumab in patients with advanced SQ NSCLC after failure of prior chemotherapy is currently based on one phase 3 study vs. docetaxel monotherapy, and two supportive phase I/II open label, uncontrolled studies conducted in patients with ECOG 0-1. The observed results can be considered clinically meaningful, and the B/R balance is considered positive in the 2nd and later lines setting.

The CHMP considers the following measures necessary to address issues related to efficacy:

- Post-authorisation efficacy study (PAES): The Applicant should submit the updated descriptive OS results for study CA209017
- The value of biomarkers to predict the efficacy of nivolumab should be further explored, specifically:
  - To continue the exploration of the optimal cut-off for PD-L1 positivity based on current assay method used to further elucidate its value as predictive of nivolumab efficacy. These analyses will be conducted in Studies CA 209037 and CA209066 in patients with advanced melanoma.
  - To further investigate the value biomarkers other than PD-L1 expression status at tumour cell membrane level by IHC (e.g., other methods / assays, and associated cut-offs, that might prove more sensitive and specific in predicting response to treatment based on PD-L1, PD-L2, tumour infiltrating lymphocytes with measurement of CD8+T density, RNA signature, etc.) as predictive of nivolumab efficacy. These additional biomarker analyses are occurring in the context of Study CA209038 and Study CA209066.
  - To further investigate at post-approval the relation between PDL-1 and PDL-2 expression in Phase 1 studies (CA209009, CA209038 and CA209064).
  - To further investigate the associative analyses between PDL-1 and PDL-2 expression conducted in Study CA209066.
  - To further investigate at post-approval the possible change in PD-L1 status of the tumour during treatment and/or tumour progression in Studies CA209009, CA209038 and CA209064.

## 2.5. Clinical safety

### Patient exposure

The estimated total number of subjects treated with nivolumab 3 mg/kg monotherapy Q2W across multiple studies and indications is approximately 1800 as of the cut-off dates for the submission (Table 24).

**Table 24: Estimated Number of Subjects Treated with Nivolumab 3 mg/kg Monotherapy Every 2 Weeks in BMS-Sponsored Studies**

Study Number	Phase	Study Design	No. of Nivolumab-treated Subjects at 3 mg/kg Q2W (No. of Total Treated Subjects)	Status at Time of SCS	SCS Database Lock Date
<b>Melanoma</b>					
CA209037	3	Randomized, open-label vs. investigator's choice	268 (370)	Ongoing <sup>a</sup>	30-Apr-2014
CA209038	1	Exploratory study of nivolumab	85 (85)	Ongoing	01-Apr-2014
CA209066	3	Randomized, double-blind vs. dacarbazine	206 (411)	Ongoing <sup>c</sup>	23-May-2014
CA209067	3	Randomized, double-blind, nivolumab monotherapy or combined with ipilimumab vs. ipilimumab monotherapy	302 (906)	Ongoing	27-Mar-2014
<b>Refractory and advanced malignancies, including melanoma</b>					
MDX1106-03 (NSCLC, melanoma, RCC, CRC, mCRPC)	1	Open-label, multicenter, multidose, dose escalation (0.1, 0.3, 1, 3, 10 mg/kg nivolumab)	54 (306)	Completed	04-Feb-2013
<b>NSCLC</b>					
CA209063	2	Single arm with nivolumab	117 (117)	Completed	06-Mar-2014
CA209017	3	Randomized, open-label vs. docetaxel	130 (259)	Ongoing	03-Feb-2014
CA209057	3	Randomized, open-label vs. docetaxel	278 (555)	Ongoing	30-Jan-2014
<b>RCC<sup>b</sup></b>					
CA209025	3	Randomized, open-label vs. everolimus	395 (790)	Ongoing	11-Mar-2014
<b>TOTAL</b>			<b>1835 (3799)</b>		

<sup>a</sup> An interim CSR is available for this study. CA209037 is not considered completed because analyses of both primary endpoints are not yet available. OS data will be reported in a subsequent final CSR, estimated to be available in 4Q 2014/1Q 2015.

<sup>b</sup> Completed Study CA209010 in RCC is not included in this table because the 3 mg/kg dose of nivolumab was not assessed in CA209010.

<sup>c</sup> A Data Monitoring Committee (DMC) report is available for CA209066. A CSR is estimated to be available in 4Q 2014.

Abbreviations: CRC = colorectal cancer; mCRPC = metastatic castrate-resistant prostate cancer; No. = number; NSCLC = non-small cell lung cancer; RCC = renal cell carcinoma; SCS = summary of clinical safety.

### Adverse events

The pooled analyses of study CA209017 and CA209063 show an incidence of adverse events of 98.4%, with an incidence of 45.2% of grade 3-4 AE's and 12.1% of grade 5 AE.

The most frequently reported adverse events are fatigue (39.5%), dyspnoea (37.1%), cough (31.5%) decreased appetite (29.4%) and nausea (21.8%).

The most frequent grade 3-4 AEs are dyspnoea, (6.9%), fatigue (4.4%), nausea (2.0%), cough (1.6%) and decreased appetite (1.6%).

The following table described a summary of AEs for which the causal relationship to study therapy was assessed by the investigator as definite, probable, possible, or missing ("adverse reactions") in the pooled safety population.

**Table 25: Drug-related adverse events by worse CTC grade - Pooled CA209017 and CA209063 studies**

System Organ Class (%) Preferred Term (%)	CA209017						CA209017+CA209063		
	DOCETAXEL N = 129			NIVOLUMAB 3 mg/kg N = 131			NIVOLUMAB 3 mg/kg N = 248		
	Any Grade	Grade 3-4	Grade 5	Any Grade	Grade 3-4	Grade 5	Any Grade	Grade 3-4	Grade 5
TOTAL SUBJECTS WITH AN EVENT	111 ( 86.0)	71 ( 55.0)	3 ( 2.3)	76 ( 58.0)	9 ( 6.9)	0	163 ( 65.7)	29 ( 11.7)	1 ( 0.4)
GENERAL DISORDERS AND ADMINISTRATION SITE CONDITIONS	67 ( 51.9)	14 ( 10.9)	0	41 ( 31.3)	1 ( 0.8)	0	95 ( 38.3)	6 ( 2.4)	0
FATIGUE	57 ( 44.2)	13 ( 10.1)	0	34 ( 26.0)	1 ( 0.8)	0	83 ( 33.5)	6 ( 2.4)	0
PYREXIA	10 ( 7.8)	1 ( 0.8)	0	6 ( 4.6)	0	0	10 ( 4.0)	0	0
CHILLS	1 ( 0.8)	0	0	4 ( 3.1)	0	0	6 ( 2.4)	0	0
CEDEMA	8 ( 6.2)	0	0	2 ( 1.5)	0	0	6 ( 2.4)	0	0
MALAISE	2 ( 1.6)	0	0	0	0	0	4 ( 1.6)	0	0
CHEST PAIN	1 ( 0.8)	0	0	0	0	0	2 ( 0.8)	0	0
INFLUENZA LIKE ILLNESS	1 ( 0.8)	0	0	1 ( 0.8)	0	0	2 ( 0.8)	0	0
GAIT DISTURBANCE	1 ( 0.8)	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
CATHETER SITE RASH	1 ( 0.8)	0	0	0	0	0	0	0	0
INFUSION SITE ERYTHEMA	1 ( 0.8)	0	0	0	0	0	0	0	0
INJECTION SITE REACTION	1 ( 0.8)	0	0	0	0	0	0	0	0
PAIN	4 ( 3.1)	0	0	0	0	0	0	0	0
PERFORMANCE STATUS DECREASED	1 ( 0.8)	0	0	0	0	0	0	0	0
GASTROINTESTINAL DISORDERS	66 ( 51.2)	7 ( 5.4)	0	24 ( 18.3)	1 ( 0.8)	0	65 ( 26.2)	4 ( 1.6)	0
NAUSEA	30 ( 23.3)	2 ( 1.6)	0	12 ( 9.2)	0	0	30 ( 12.1)	0	0
DIARRHOEA	26 ( 20.2)	3 ( 2.3)	0	10 ( 7.6)	0	0	22 ( 8.9)	3 ( 1.2)	0
STOMATITIS	14 ( 10.9)	0	0	6 ( 4.6)	0	0	12 ( 4.8)	0	0
VOMITING	14 ( 10.9)	1 ( 0.8)	0	4 ( 3.1)	0	0	11 ( 4.4)	0	0
DRY MOUTH	1 ( 0.8)	0	0	2 ( 1.5)	0	0	9 ( 3.6)	0	0
CONSTIPATION	8 ( 6.2)	0	0	2 ( 1.5)	0	0	8 ( 3.2)	0	0
ABDOMINAL PAIN	7 ( 5.4)	1 ( 0.8)	0	2 ( 1.5)	0	0	7 ( 2.8)	0	0
CHEILITIS	1 ( 0.8)	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
COLITIS	0	0	0	1 ( 0.8)	1 ( 0.8)	0	1 ( 0.4)	1 ( 0.4)	0
DUODENAL ULCER	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
DYSPEPSIA	2 ( 1.6)	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
ABDOMINAL DISTENSION	1 ( 0.8)	0	0	0	0	0	0	0	0
ANAL PRURITUS	1 ( 0.8)	0	0	0	0	0	0	0	0
DYSPHAGIA	1 ( 0.8)	0	0	0	0	0	0	0	0
FLATULENCE	1 ( 0.8)	0	0	0	0	0	0	0	0
GASTRITIS	1 ( 0.8)	0	0	0	0	0	0	0	0
GLOSSITIS	1 ( 0.8)	0	0	0	0	0	0	0	0
INTESTINAL PERFORATION	1 ( 0.8)	1 ( 0.8)	0	0	0	0	0	0	0
ORAL DYSÆSTHESIA	1 ( 0.8)	0	0	0	0	0	0	0	0
ORAL PAIN	3 ( 2.3)	0	0	0	0	0	0	0	0

System Organ Class (%) Preferred Term (%)	CA209017						CA209017+CA209063		
	DOCE TAXEL N = 129			NIVOLUMAB 3 mg/kg N = 131			NIVOLUMAB 3 mg/kg N = 248		
	Any Grade	Grade 3-4	Grade 5	Any Grade	Grade 3-4	Grade 5	Any Grade	Grade 3-4	Grade 5
<b>METABOLISM AND NUTRITION DISORDERS</b>	37 ( 28.7)	5 ( 3.9)	0	20 ( 15.3)	1 ( 0.8)	0	50 ( 20.2)	3 ( 1.2)	0
DECREASED APPETITE	25 ( 19.4)	1 ( 0.8)	0	14 ( 10.7)	1 ( 0.8)	0	36 ( 14.5)	1 ( 0.4)	0
HYPOMAGNESAEMIA	3 ( 2.3)	0	0	2 ( 1.5)	0	0	6 ( 2.4)	0	0
HYPONATRAEMIA	2 ( 1.6)	2 ( 1.6)	0	1 ( 0.8)	0	0	3 ( 1.2)	2 ( 0.8)	0
HYPOPHOSPHATAEMIA	0	0	0	0	0	0	3 ( 1.2)	0	0
DEHYDRATION	5 ( 3.9)	2 ( 1.6)	0	1 ( 0.8)	0	0	2 ( 0.8)	0	0
HYPERGLYCAEMIA	1 ( 0.8)	0	0	2 ( 1.5)	0	0	2 ( 0.8)	0	0
HYPOKALAEMIA	3 ( 2.3)	0	0	0	0	0	2 ( 0.8)	0	0
HYPERCALCAEMIA	1 ( 0.8)	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
HYPERCHOLESTEROLAEMIA	0	0	0	0	0	0	1 ( 0.4)	0	0
HYPERMAGNESAEMIA	0	0	0	0	0	0	1 ( 0.4)	0	0
HYPERKALAEMIA	1 ( 0.8)	0	0	0	0	0	0	0	0
HYPOALBUMINAEMIA	2 ( 1.6)	0	0	0	0	0	0	0	0
HYPOPHAGIA	1 ( 0.8)	0	0	0	0	0	0	0	0
<b>SKIN AND SUBCUTANEOUS TISSUE DISORDERS</b>	39 ( 30.2)	5 ( 3.9)	0	19 ( 14.5)	0	0	43 ( 17.3)	2 ( 0.8)	0
RASH	11 ( 8.5)	2 ( 1.6)	0	9 ( 6.9)	0	0	24 ( 9.7)	1 ( 0.4)	0
PRURITUS	0	0	0	3 ( 2.3)	0	0	10 ( 4.0)	1 ( 0.4)	0
DRY SKIN	3 ( 2.3)	0	0	2 ( 1.5)	0	0	4 ( 1.6)	0	0
HYPERHIDROSIS	0	0	0	3 ( 2.3)	0	0	3 ( 1.2)	0	0
ACTINIC KERATOSIS	0	0	0	0	0	0	1 ( 0.4)	0	0
HAIR GROWTH ABNORMAL	0	0	0	0	0	0	1 ( 0.4)	0	0
LIVIDO RETICULARIS	1 ( 0.8)	0	0	0	0	0	1 ( 0.4)	0	0
NAIL DISORDER	5 ( 3.9)	1 ( 0.8)	0	0	0	0	1 ( 0.4)	0	0
NIGHT SWEATS	1 ( 0.8)	1 ( 0.8)	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
PAIN OF SKIN	0	0	0	0	0	0	1 ( 0.4)	0	0
SKIN EXFOLIATION	2 ( 1.6)	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
SKIN FISSURES	2 ( 1.6)	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
URTICARIA	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
XERODERMA	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
ALOPECIA	29 ( 22.5)	1 ( 0.8)	0	0	0	0	0	0	0
NAIL DISCOLOURATION	2 ( 1.6)	0	0	0	0	0	0	0	0
PALMAR-PLANTAR ERYTHRODYSAESTHESIA SYNDROME	1 ( 0.8)	0	0	0	0	0	0	0	0
<b>MUSCULOSKELETAL AND CONNECTIVE TISSUE DISORDERS</b>	29 ( 22.5)	3 ( 2.3)	0	17 ( 13.0)	0	0	35 ( 14.1)	1 ( 0.4)	0
MUSCULOSKELETAL PAIN	23 ( 17.8)	3 ( 2.3)	0	8 ( 6.1)	0	0	19 ( 7.7)	1 ( 0.4)	0
ARTHRALGIA	9 ( 7.0)	0	0	7 ( 5.3)	0	0	13 ( 5.2)	0	0
MUSCLE SPASMS	1 ( 0.8)	0	0	1 ( 0.8)	0	0	3 ( 1.2)	0	0

System Organ Class (%) Preferred Term (%)	CA209017						CA209017+CA209063		
	DOCETAXEL N = 129			NIVOLUMAB 3 mg/kg N = 131			NIVOLUMAB 3 mg/kg N = 248		
	Any Grade	Grade 3-4	Grade 5	Any Grade	Grade 3-4	Grade 5	Any Grade	Grade 3-4	Grade 5
MUSCULAR WEAKNESS	1 ( 0.8)	0	0	1 ( 0.8)	0	0	3 ( 1.2)	0	0
HYPERCREATINEMIA	0	0	0	2 ( 1.5)	0	0	2 ( 0.8)	0	0
JOINT STIFFNESS	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
MUSCLE TIGHTNESS	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
MUSCULOSKELETAL STIFFNESS	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
POLYMYALGIA RHEUMATICA	0	0	0	0	0	0	1 ( 0.4)	0	0
JOINT SWELLING	1 ( 0.8)	0	0	0	0	0	0	0	0
RESPIRATORY, THORACIC AND MEDIASTINAL DISORDERS	13 ( 10.1)	2 ( 1.6)	2 ( 1.6)	17 ( 13.0)	1 ( 0.8)	0	33 ( 13.3)	5 ( 2.0)	0
DYSNOEA	4 ( 3.1)	0	0	5 ( 3.8)	0	0	11 ( 4.4)	0	0
PNEUMONITIS	1 ( 0.8)	0	1 ( 0.8)	5 ( 3.8)	0	0	11 ( 4.4)	4 ( 1.6)	0
COUGH	1 ( 0.8)	0	0	4 ( 3.1)	1 ( 0.8)	0	9 ( 3.6)	1 ( 0.4)	0
EPISTAXIS	2 ( 1.6)	0	0	2 ( 1.5)	0	0	2 ( 0.8)	0	0
CHRONIC OBSTRUCTIVE PULMONARY DISEASE	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
HYPOXIA	0	0	0	0	0	0	1 ( 0.4)	0	0
LUNG INFILTRATION	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
NASAL CONGESTION	0	0	0	0	0	0	1 ( 0.4)	0	0
PLEURAL EFFUSION	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
PULMONARY EMBOLISM	1 ( 0.8)	1 ( 0.8)	0	0	0	0	1 ( 0.4)	0	0
WHEEZING	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
HAEMOPTYSIS	1 ( 0.8)	0	0	0	0	0	0	0	0
HICCUPS	2 ( 1.6)	1 ( 0.8)	0	0	0	0	0	0	0
OROPHARYNGEAL PAIN	1 ( 0.8)	0	0	0	0	0	0	0	0
PULMONARY HAEMORRHAGE	1 ( 0.8)	0	1 ( 0.8)	0	0	0	0	0	0
NERVOUS SYSTEM DISORDERS	43 ( 33.3)	6 ( 4.7)	0	13 ( 9.9)	1 ( 0.8)	0	27 ( 10.9)	3 ( 1.2)	0
NEUROPATHY PERIPHERAL	25 ( 19.4)	3 ( 2.3)	0	4 ( 3.1)	0	0	10 ( 4.0)	2 ( 0.8)	0
HEADACHE	3 ( 2.3)	0	0	4 ( 3.1)	0	0	7 ( 2.8)	0	0
DIZZINESS	7 ( 5.4)	0	0	2 ( 1.5)	0	0	5 ( 2.0)	0	0
DYSGEUSIA	5 ( 3.9)	0	0	2 ( 1.5)	0	0	4 ( 1.6)	0	0
FRESYNOPE	0	0	0	2 ( 1.5)	0	0	2 ( 0.8)	0	0
AMNESIA	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
HYPOGEUSIA	0	0	0	0	0	0	1 ( 0.4)	0	0
MYASTHENIC SYNDROME	0	0	0	1 ( 0.8)	1 ( 0.8)	0	1 ( 0.4)	1 ( 0.4)	0
LETHARGY	3 ( 2.3)	1 ( 0.8)	0	0	0	0	0	0	0
NEUROTOXICITY	4 ( 3.1)	2 ( 1.6)	0	0	0	0	0	0	0
PAROSMIA	1 ( 0.8)	0	0	0	0	0	0	0	0
SOMNOLENCE	1 ( 0.8)	0	0	0	0	0	0	0	0

System Organ Class (%) Preferred Term (%)	CA209017						CA209017+CA209063		
	DOCETAXEL N = 129			NIVOLUMAB 3 mg/kg N = 131			NIVOLUMAB 3 mg/kg N = 248		
	Any Grade	Grade 3-4	Grade 5	Any Grade	Grade 3-4	Grade 5	Any Grade	Grade 3-4	Grade 5
INVESTIGATIONS	14 ( 10.9)	6 ( 4.7)	0	10 ( 7.6)	2 ( 1.5)	0	18 ( 7.3)	2 ( 0.8)	0
BLOOD CREATININE INCREASED	2 ( 1.6)	0	0	4 ( 3.1)	0	0	6 ( 2.4)	0	0
WEIGHT DECREASED	6 ( 4.7)	0	0	1 ( 0.8)	1 ( 0.8)	0	4 ( 1.6)	1 ( 0.4)	0
BLOOD ALKALINE PHOSPHATASE INCREASED	2 ( 1.6)	0	0	2 ( 1.5)	0	0	3 ( 1.2)	0	0
TRANSAMINASES INCREASED	1 ( 0.8)	1 ( 0.8)	0	2 ( 1.5)	0	0	3 ( 1.2)	0	0
AMYLASE INCREASED	0	0	0	1 ( 0.8)	1 ( 0.8)	0	1 ( 0.4)	1 ( 0.4)	0
BLOOD THYROID STIMULATING HORMONE DECREASED	0	0	0	0	0	0	1 ( 0.4)	0	0
BLOOD THYROID STIMULATING HORMONE INCREASED	0	0	0	0	0	0	1 ( 0.4)	0	0
LIPASE INCREASED	0	0	0	1 ( 0.8)	1 ( 0.8)	0	1 ( 0.4)	1 ( 0.4)	0
WHITE BLOOD CELL COUNT DECREASED	7 ( 5.4)	5 ( 3.9)	0	0	0	0	1 ( 0.4)	0	0
BLOOD BILIRUBIN INCREASED	1 ( 0.8)	0	0	0	0	0	0	0	0
BLOOD AND LYMPHATIC SYSTEM DISORDERS	73 ( 56.6)	56 ( 43.4)	0	5 ( 3.8)	1 ( 0.8)	0	15 ( 6.0)	4 ( 1.6)	0
ANAEMIA	29 ( 22.5)	4 ( 3.1)	0	2 ( 1.5)	0	0	9 ( 3.6)	1 ( 0.4)	0
LYMPHOPENIA	2 ( 1.6)	0	0	1 ( 0.8)	1 ( 0.8)	0	4 ( 1.6)	3 ( 1.2)	0
THROMBOCYTOPENIA	2 ( 1.6)	1 ( 0.8)	0	2 ( 1.5)	0	0	4 ( 1.6)	0	0
LEUKOPENIA	8 ( 6.2)	5 ( 3.9)	0	1 ( 0.8)	1 ( 0.8)	0	1 ( 0.4)	1 ( 0.4)	0
LYMPHADENOPATHY	0	0	0	0	0	0	1 ( 0.4)	0	0
NEUTROPENIA	50 ( 38.8)	44 ( 34.1)	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
FEBRILE BONE MARROW APLASIA	1 ( 0.8)	1 ( 0.8)	0	0	0	0	0	0	0
FEBRILE NEUTROPENIA	14 ( 10.9)	13 ( 10.1)	0	0	0	0	0	0	0
PANCYTOPENIA	1 ( 0.8)	1 ( 0.8)	0	0	0	0	0	0	0
INFECTIONS AND INFESTATIONS	19 ( 14.7)	5 ( 3.9)	1 ( 0.8)	5 ( 3.8)	1 ( 0.8)	0	12 ( 4.8)	2 ( 0.8)	1 ( 0.4)
HERPES ZOSTER	0	0	0	0	0	0	2 ( 0.8)	1 ( 0.4)	0
ORAL FUNGAL INFECTION	1 ( 0.8)	0	0	0	0	0	2 ( 0.8)	0	0
SKIN INFECTION	1 ( 0.8)	0	0	1 ( 0.8)	0	0	2 ( 0.8)	0	0
BRONCHITIS	0	0	0	0	0	0	1 ( 0.4)	0	0
CANDIDA INFECTION	3 ( 2.3)	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
FURUNCLE	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
INFECTION	2 ( 1.6)	1 ( 0.8)	0	0	0	0	1 ( 0.4)	0	0
ORAL CANDIDIASIS	3 ( 2.3)	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
PNEUMONIA	4 ( 3.1)	3 ( 2.3)	0	0	0	0	1 ( 0.4)	0	1 ( 0.4)
UPPER RESPIRATORY TRACT INFECTION	1 ( 0.8)	0	0	1 ( 0.8)	1 ( 0.8)	0	1 ( 0.4)	1 ( 0.4)	0
NEUTROPENIC INFECTION	1 ( 0.8)	1 ( 0.8)	0	0	0	0	0	0	0
ONYCHOMYCOSIS	1 ( 0.8)	0	0	0	0	0	0	0	0
PARONYCHIA	1 ( 0.8)	0	0	0	0	0	0	0	0
RESPIRATORY TRACT INFECTION	1 ( 0.8)	0	0	0	0	0	0	0	0

System Organ Class (%) Preferred Term (%)	CA209017						CA209017+CA209063		
	DOCETAXEL N = 129			NIVOLUMAB 3 mg/kg N = 131			NIVOLUMAB 3 mg/kg N = 248		
	Any Grade	Grade 3-4	Grade 5	Any Grade	Grade 3-4	Grade 5	Any Grade	Grade 3-4	Grade 5
SEPSIS	1 ( 0.8)	0	1 ( 0.8)	0	0	0	0	0	0
URINARY TRACT INFECTION	2 ( 1.6)	0	0	0	0	0	0	0	0
ENDOCRINE DISORDERS	0	0	0	5 ( 3.8)	0	0	10 ( 4.0)	1 ( 0.4)	0
HYPOTHYROIDISM	0	0	0	5 ( 3.8)	0	0	8 ( 3.2)	0	0
ADRENAL INSUFFICIENCY	0	0	0	0	0	0	1 ( 0.4)	1 ( 0.4)	0
THYROIDITIS	0	0	0	0	0	0	1 ( 0.4)	0	0
VASCULAR DISORDERS	8 ( 6.2)	1 ( 0.8)	0	5 ( 3.8)	0	0	10 ( 4.0)	1 ( 0.4)	0
FLUSHING	4 ( 3.1)	0	0	2 ( 1.5)	0	0	4 ( 1.6)	0	0
HYPOTENSION	3 ( 2.3)	1 ( 0.8)	0	2 ( 1.5)	0	0	3 ( 1.2)	0	0
HYPERTENSION	0	0	0	1 ( 0.8)	0	0	2 ( 0.8)	0	0
THROMBOSIS	0	0	0	0	0	0	1 ( 0.4)	0	0
VASCULITIS	0	0	0	0	0	0	1 ( 0.4)	1 ( 0.4)	0
PHLEBITIS	2 ( 1.6)	0	0	0	0	0	0	0	0
CARDIAC DISORDERS	1 ( 0.8)	1 ( 0.8)	0	2 ( 1.5)	0	0	4 ( 1.6)	0	0
PERICARDIAL EFFUSION	0	0	0	1 ( 0.8)	0	0	2 ( 0.8)	0	0
TACHYCARDIA	0	0	0	1 ( 0.8)	0	0	2 ( 0.8)	0	0
ATRIAL FIBRILLATION	1 ( 0.8)	1 ( 0.8)	0	0	0	0	0	0	0
IMMUNE SYSTEM DISORDERS	2 ( 1.6)	1 ( 0.8)	0	0	0	0	3 ( 1.2)	2 ( 0.8)	0
HYPERSENSITIVITY	2 ( 1.6)	1 ( 0.8)	0	0	0	0	3 ( 1.2)	2 ( 0.8)	0
INJURY, POISONING AND PROCEDURAL COMPLICATIONS	2 ( 1.6)	0	0	2 ( 1.5)	0	0	3 ( 1.2)	0	0
INFUSION RELATED REACTION	1 ( 0.8)	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
INJURY	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
PROCEDURAL NAUSEA	0	0	0	0	0	0	1 ( 0.4)	0	0
RADIATION PNEUMONITIS	1 ( 0.8)	0	0	0	0	0	0	0	0
RENAL AND URINARY DISORDERS	1 ( 0.8)	0	0	1 ( 0.8)	1 ( 0.8)	0	3 ( 1.2)	1 ( 0.4)	0
RENAL FAILURE	1 ( 0.8)	0	0	0	0	0	2 ( 0.8)	0	0
TUBULOINTERSTITIAL NEPHRITIS	0	0	0	1 ( 0.8)	1 ( 0.8)	0	1 ( 0.4)	1 ( 0.4)	0
EAR AND LABYRINTH DISORDERS	1 ( 0.8)	0	0	0	0	0	2 ( 0.8)	0	0
EAR DISORDER	0	0	0	0	0	0	1 ( 0.4)	0	0
TINNITUS	1 ( 0.8)	0	0	0	0	0	1 ( 0.4)	0	0
EYE DISORDERS	5 ( 3.9)	0	0	1 ( 0.8)	0	0	2 ( 0.8)	0	0
DRY EYE	0	0	0	0	0	0	1 ( 0.4)	0	0
SCLERAL HYPERAEMIA	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
DACRYOSTENOSIS ACQUIRED	1 ( 0.8)	0	0	0	0	0	0	0	0
LACRIMATION INCREASED	4 ( 3.1)	0	0	0	0	0	0	0	0
NEOPLASMS BENIGN, MALIGNANT AND UNSPECIFIED (INCL CYSTS AND POLYPS)	0	0	0	1 ( 0.8)	0	0	2 ( 0.8)	0	0

System Organ Class (%) Preferred Term (%)	CA209017						CA209017+CA209063		
	DOCETAXEL N = 129			NIVOLUMAB 3 mg/kg N = 131			NIVOLUMAB 3 mg/kg N = 248		
	Any Grade	Grade 3-4	Grade 5	Any Grade	Grade 3-4	Grade 5	Any Grade	Grade 3-4	Grade 5
HISTIOCYTIC NECROTISING LYMPHADENITIS	0	0	0	1 ( 0.8)	0	0	1 ( 0.4)	0	0
SEBORRHOEIC KERATOSIS	0	0	0	0	0	0	1 ( 0.4)	0	0
REPRODUCTIVE SYSTEM AND BREAST DISORDERS	1 ( 0.8)	0	0	0	0	0	1 ( 0.4)	0	0
ERECTILE DYSFUNCTION	1 ( 0.8)	0	0	0	0	0	1 ( 0.4)	0	0
PSYCHIATRIC DISORDERS	2 ( 1.6)	0	0	0	0	0	0	0	0
MENTAL STATUS CHANGES	1 ( 0.8)	0	0	0	0	0	0	0	0
SLEEP DISORDER	1 ( 0.8)	0	0	0	0	0	0	0	0

MedDRA Version: 17.1

CTC Version 4.0

Includes events reported between first dose and 30 days after last dose of study therapy.  
Some preferred terms are re-mapped or deleted based on BMS medical review.

### **Adverse drug reactions**

Related adverse events from Table 25 were excluded from the product information because of 1 or more of the following reasons:

- Overly general/non-specific
- No suspected causal relationship to nivolumab per BMS medical review
- Single case events with limited data
- Medical concept captured under a different term
- Covered in a separate label output of laboratory abnormalities

Adverse reactions reported in subjects with SQ NSCLC who were treated with nivolumab 3 mg/kg in CA209017 and CA209063 (N = 248, pooled population), including laboratory measurements worsened from baseline, are presented in Table 26. Adverse reactions are presented by system organ class and by frequency. Frequencies are defined as: very common ( $\geq 1/10$ ); common ( $\geq 1/100$  to  $< 1/10$ ); uncommon ( $\geq 1/1,000$  to  $< 1/100$ ); rare ( $\geq 1/10,000$  to  $< 1/1,000$ ); very rare ( $< 1/10,000$ ). Within each frequency grouping, adverse reactions are presented in the order of decreasing seriousness.

**Table 26: Adverse reactions in patients with squamous NSCLC treated with nivolumab 3 mg/kg (CA209017 and CA209063)**

<b>Infections and infestations</b>	
Uncommon	bronchitis, upper respiratory tract infection
<b>Neoplasms benign, malignant and unspecified (including cysts and polyps)</b>	
Uncommon	histiocytic necrotising lymphadenitis (Kikuchi lymphadenitis)
<b>Immune system disorders</b>	
Uncommon	anaphylactic reaction, hypersensitivity, infusion related reaction
<b>Endocrine disorders</b>	
Common	hypothyroidism
Uncommon	adrenal insufficiency, thyroiditis
<b>Metabolism and nutrition disorders</b>	
Very common	decreased appetite
<b>Nervous system disorders</b>	
Common	peripheral neuropathy, headache, dizziness
Uncommon	myasthenic syndrome, polyneuropathy
<b>Cardiac disorders</b>	
Uncommon	tachycardia
<b>Vascular disorders</b>	
Uncommon	vasculitis
<b>Respiratory, thoracic and mediastinal disorders</b>	
Common	pneumonitis, dyspnoea, cough
Uncommon	lung infiltration
<b>Gastrointestinal disorders</b>	
Very common	nausea
Common	diarrhoea, stomatitis, vomiting, abdominal pain, constipation, dry mouth
Uncommon	colitis, duodenal ulcer
<b>Skin and subcutaneous tissue disorders</b>	
Common	rash, pruritus
Uncommon	urticaria
<b>Musculoskeletal and connective tissue disorders</b>	
Common	musculoskeletal pain, <sup>a</sup> arthralgia
Uncommon	polymyalgia rheumatica
<b>Renal and urinary disorders</b>	
Uncommon	tubulointerstitial nephritis, renal failure
<b>General disorders and administration site conditions</b>	
Very common	fatigue
Common	pyrexia, oedema
<b>Investigations</b>	
Very common	increased AST, <sup>b</sup> increased ALT, <sup>b</sup> increased alkaline phosphatase, <sup>b</sup> increased creatinine, <sup>b</sup> decreased lymphocytes, <sup>b</sup> decreased platelet count, <sup>b</sup> decreased haemoglobin, <sup>b</sup> hypercalcaemia, <sup>b</sup> hypocalcaemia, <sup>b</sup> hyperkalaemia, <sup>b</sup> hypokalaemia, <sup>b</sup> hypomagnesaemia, <sup>b</sup> hyponatraemia <sup>b</sup>
Common	increased total bilirubin, <sup>b</sup> decreased absolute neutrophil count, <sup>b</sup> hypermagnesaemia, <sup>b</sup> hypernatraemia <sup>b</sup>
Uncommon	Increased lipase, increased amylase

<sup>a</sup> Musculoskeletal pain is a composite term which includes back pain, bone pain, musculoskeletal chest pain, musculoskeletal discomfort, myalgia, neck pain, pain in extremity, pain in jaw, spinal pain.

<sup>b</sup> Frequencies reflect the proportion of patients who experienced a worsening from baseline in laboratory measurements.

### ***Adverse events of special interest:***

In order to characterise AEs of special clinical interest, the Sponsor identified selected AEs based on the following 4 guiding principles:

- AEs which may differ in type frequency, or severity from AEs caused by non-immunotherapies
- AEs which may require immunosuppression (eg, corticosteroids) as part of their management
- AEs whose early recognition and management may mitigate severe toxicity
- AEs for which multiple event terms maybe used to describe a single type of AE, thereby necessitating the pooling of terms for full characterization

Endocrinopathies, diarrhoea/colitis, hepatitis, pneumonitis, nephritis, and rash are currently considered to be select AEs.

#### Endocrine AEs:

The endocrine select AE category included the following subcategories: adrenal disorders, diabetes, pituitary disorders and thyroid disorders.

In the pooled analyses of study CA209017 and CA209063, nivolumab showed an incidence of thyroid disorders, including hypothyroidism or thyroiditis, of 4.4% (11/248). Grade 2 cases were reported in 3.6% (9/248) of patients. No Grade 3- 5 thyroid disorders were reported. The incidence of adrenal insufficiency was 0.4% (1/248; Grade 3). There were no reports of hypophysitis, diabetes mellitus, or diabetic ketoacidosis in these studies (see section 4.8 of the SmPC).

- Median time to onset of endocrine select AEs was 17.8 weeks (range: 6.1 to 33.1 weeks).
- Resolution occurred in 6 of 12 subjects (50.0%), 5 of 11 thyroid disorders and the adrenal insufficiency, and median time to resolution was 20.6 weeks (range: 0.4 to 47.6<sup>+</sup> weeks); <sup>+</sup> denotes a censored observation.
- Three subjects required high dose of corticoids (at least 40 mg prednisone equivalents) at a median initial dose of 1.1 mg/kg (range: 0.5-1.3) for 2.7 weeks (range: 0.6-4.6). One subject required permanent discontinuation of nivolumab due to Grade 3 adrenal insufficiency.

#### Gastrointestinal AEs:

The GI select AE category included the following terms: colitis, colitis ulcerative, diarrhoea, enteritis, enterocolitis, frequent bowel movements, and GI perforation.

The pooled analysis of CA209017 and CA209063, showed a frequency of diarrhoea or colitis was 9.3% (23/248). Grade 2 and Grade 3 cases were reported in 2% (5/248) and 1.6% (4/248) of patients, respectively. No Grade 4 or 5 cases were reported (see section 4.8 of the SmPC).

- Median time to onset of GI selected AEs was 5.6 weeks (range: 0.1 to 91.0 weeks).
- Three patients, including 2 patients with a Grade 3 case, received high dose corticosteroids (at least 40 mg prednisone equivalents) at a median initial dose of 0.6 mg/kg (range: 0.4-1.3), for a median duration of 2.0 weeks (range: 1.4 to 14.1 weeks). One patient required permanent discontinuation of nivolumab due to Grade 3 diarrhoea.
- Resolution occurred in 19 of 23 subjects (82.6%) with a median time to resolution of 2.0 weeks (range: 0.1 to 31.0 weeks).

#### Hepatic AEs:

The hepatic selected AE category included the following terms: acute hepatic failure, ALT increased, AST increased, bilirubin conjugated increased, blood bilirubin increased, gamma-glutamyl-transferase (GGT) increased, hepatic enzyme increased, hepatic failure, hepatitis, hepatitis acute, hyperbilirubinemia, liver disorder, liver function test abnormal, liver injury, and transaminases increased.

The pooled analysis of CA209017 and CA209063, showed a frequency of drug-related hepatic selected AEs of 1.2% (3/248). Grade 2 cases were reported in 0.4% (1/248) of patients. No Grade 3-5 cases were reported and no patient had blood bilirubin increased (see section 4.8 of the SmPC).

- Median time to onset of hepatic select AEs was 25.1 weeks (range: 4.1 to 31.1 weeks).
- None of these subjects received high-dose corticosteroids (at least 40 mg prednisone equivalents).
- One patient required permanent discontinuation of nivolumab due to Grade 2 increases in transaminases.
- Resolution occurred in 2 patients (67%) with a median time to resolution of 4.1 weeks (range: 2.9 to 22.3<sup>+</sup> weeks); <sup>+</sup> denotes a censored observation.

Only 1 subject in the SQ NSCLC 3 mg/kg cohort of MDX1106-03 experienced an AE belonging to the hepatic select AE category; Grade 3 transaminase increased considered drug-related by the investigator which led to study discontinuation.

#### Pulmonary AEs:

The pulmonary select AE category included the following terms: acute respiratory distress syndrome, acute respiratory failure, interstitial lung disease, lung infiltration, and pneumonitis.

In the pooled analysis of CA209017 and CA209063 studies, the incidence of pneumonitis, including interstitial lung disease, was 5.2% (13/248). Grade 2 and Grade 3 cases were reported in 2.8% (7/248) and 1.6% (4/248) of patients, respectively. No Grade 4 or 5 cases reported in these studies. In the phase 1 study MDX1106-03, pneumonitis, including a Grade 4 case in 1 patient, was reported in 3/37 patients (8.1%) with NSCLC receiving nivolumab 3 mg/kg (see section 4.8 of the SmPC).

Median time to onset was 11.6 weeks (range: 2.6-85.1). Eleven patients received high-dose corticosteroids (at least 40 mg prednisone equivalents) at a median initial dose of 1.1 mg/kg (range: 0.5-4.0) for a median total duration of 4.3 weeks (range: 0.6-13.1). Eight patients, including the 4 patients with a Grade 3 case, required permanent discontinuation of nivolumab due to pneumonitis. Resolution occurred in all 13 patients with a median time to resolution of 3.9 weeks (range: 0.6-13.4).

#### Renal AEs:

The renal select AE category to describe an interstitial nephritis included the following terms: blood creatinine increased, blood urea increased, creatinine renal clearance decreased, hypercreatinemia, nephritis, nephritis allergic, nephritis autoimmune, renal failure, acute renal failure, renal tubular necrosis, tubulointerstitial nephritis, and urine output decreased

In the pooled analysis of studies CA209017 and CA209063, the frequency of drug-related renal select AEs was 3.2% (8/248). Grade 2 and Grade 3 cases were reported in 1.2% (3/248) and 0.4% (1/248)

of patients, respectively. No Grade 4 or 5 nephritis or renal dysfunction was reported in these studies (see section 4.8 of the SmPC).

- Median time to onset of renal select AEs was 10.5 weeks (range: 2.1 to 27.0 weeks).
- Two subjects, including the 1 subject with Grade 3 tubulointerstitial nephritis, received high-dose corticosteroids (at least 40 mg prednisone equivalents) at a median initial dose of 0.8 mg/kg (range: 0.5-1.2) for a median duration of 5.3 weeks (range: 0.9 to 9.7 weeks); no renal AEs led to permanent discontinuation of nivolumab.
- Resolution occurred in 5 of 7 subjects (71.4%), including the Grade 3 tubulointerstitial nephritis, with a median time to resolution of 5.9 weeks (range: 0.7 to 37.6<sup>+</sup> weeks); <sup>+</sup> denotes a censored observation.

#### Skin AEs:

The skin select AE category included the following terms blister, dermatitis, dermatitis exfoliative, drug eruption, eczema, erythema, erythema multiform, exfoliative rash, palmarplantar erythrodysesthesia syndrome, photosensitivity reaction, pruritus, pruritus allergic, pruritus generalized, psoriasis, rash, rash erythematous, rash generalized, rash macular, rash maculopapular, rash papular, rash pruritic, skin exfoliation, skin hypopigmentation, skin irritation, Steve-Johnson Syndrome, toxic epidermal necrolysis, urticaria, and vitiligo

In the pooled analysis of studies CA209017 and CA209063, the incidence of rash was 12.1% (30/248). Grade 2 and Grade 3 cases were reported in 1.6% (4/248) and 0.8% (2/248) of patients, respectively. No Grade 4 or 5 rash was reported in these studies (see section 4.8 of the SmPC).

- Median time to onset of skin select AEs was 8.1 weeks (range: 0.3 to 51.9 weeks).
- None of these patients received high-dose corticosteroids.
- Two patients required permanent discontinuation of nivolumab (1 with Grade 2 rash and 1 with Grade 3 rash).
- Resolution occurred in 24 patients (83%) and median time to resolution was 5.7 weeks (range: 0.1 to 46.9<sup>+</sup> weeks); <sup>+</sup> denotes a censored observation.

#### Hypersensitivity/infusion reactions AEs:

Hypersensitivity/infusion reactions were analysed along with the select AE categories because multiple event terms may be used to describe such events, and pooling of terms is, therefore, necessary for full characterization. Hypersensitivity/infusion reactions do not otherwise meet criteria to be considered a select AE.

In the pooled analysis of studies CA209017 and CA209063, the frequency of drug-related hypersensitivity/infusion reactions was 1.6% (4/248). There was 1 Grade 3 anaphylactic reaction and 1 Grade 4 hypersensitivity (both required permanent discontinuation of nivolumab) (see section 4.8 of the SmPC).

- Median time to onset of hypersensitivity/infusion reactions was 1.2 weeks (range: 0.1 to 27.9 weeks).
- Two subjects received high dose corticosteroids (at least 40 mg prednisone equivalents), each for a duration of 0.1 weeks.
- Resolution occurred in all 4 subjects and median time to resolution was 0.1 weeks (range: 0.1 to 0.3 weeks).

## Serious adverse event/deaths/other significant events

The following table summarises the frequency of serious adverse events and deaths in study CA209017 and in the pooled analysis of studies CA209017 and CA209063.

**Table 27: SAEs and deaths - All Treated Subjects - CA209017 and CA209017 + CA209063**

	CA209017		CA209017 + CA209063						
	Docetaxel (N = 129)	Nivolumab (N = 131)	Nivolumab (N = 248)						
<b>DEATHS</b>									
NUMBER OF SUBJECTS WHO DIED (%)	106 ( 82.2)	82 ( 62.6)	154 ( 62.1)						
PRIMARY REASON FOR DEATH (%)									
DISEASE PROGRESSION	86 ( 66.7)	73 ( 55.7)	136 ( 54.8)						
STUDY DRUG TOXICITY	3 ( 2.3)	0	2 ( 0.8)						
UNKNOWN	4 ( 3.1)	1 ( 0.8)	1 ( 0.4)						
OTHER	13 ( 10.1)	8 ( 6.1)	15 ( 6.0)						
NUMBER OF SUBJECTS WHO DIED WITHIN 30 DAYS OF LAST DOSE (%)	20 ( 15.5)	16 ( 12.2)	30 ( 12.1)						
NUMBER OF SUBJECTS WHO DIED WITHIN 100 DAYS OF LAST DOSE (%)	54 ( 41.9)	46 ( 35.1)	88 ( 35.5)						
	CA209017			CA209017 + CA209063					
	Docetaxel (N = 129)			Nivolumab (N = 131)			Nivolumab (N = 248)		
System Organ Class (%)									
Preferred Term (%)	Ary Grade	Grade 3-4	Grade 5	Ary Grade	Grade 3-4	Grade 5	Ary Grade	Grade 3-4	Grade 5
<b>ALL SAEs</b>									
TOTAL SUBJECTS WITH AN EVENT	70 ( 54.3)	42 ( 32.6)	17 ( 13.2)	61 ( 46.6)	36 ( 27.5)	15 ( 11.5)	129 ( 52.0)	78 ( 31.5)	30 ( 12.1)
<b>MOST FREQUENT (017 + 063, &gt; 2%)</b>									
MALIGNANT NEOPLASM PROGRESSION	9 ( 7.0)	2 ( 1.6)	6 ( 4.7)	18 ( 13.7)	4 ( 3.1)	10 ( 7.6)	26 ( 10.5)	4 ( 1.6)	17 ( 6.9)
PNEUMONIA	10 ( 7.8)	8 ( 6.2)	0	7 ( 5.3)	7 ( 5.3)	0	14 ( 5.6)	12 ( 4.8)	2 ( 0.8)
CHRONIC OBSTRUCTIVE PULMONARY DISEASE	1 ( 0.8)	1 ( 0.8)	0	2 ( 1.5)	2 ( 1.5)	0	6 ( 2.4)	6 ( 2.4)	0
DYSPNOEA	2 ( 1.6)	2 ( 1.6)	0	2 ( 1.5)	2 ( 1.5)	0	9 ( 3.6)	7 ( 2.8)	0
PNEUMONITIS	0	0	0	2 ( 1.5)	1 ( 0.8)	0	7 ( 2.8)	5 ( 2.0)	0
HYPERCALCAEMIA	0	0	0	4 ( 3.1)	2 ( 1.5)	0	9 ( 3.6)	4 ( 1.6)	0
<b>DRUG-RELATED SAEs</b>									
TOTAL SUBJECTS WITH AN EVENT	31 ( 24.0)	25 ( 19.4)	3 ( 2.3)	9 ( 6.9)	3 ( 2.3)	0	21 ( 8.5)	12 ( 4.8)	1 ( 0.4)
<b>MOST FREQUENT (017 + 063, &gt; 1%)</b>									
PNEUMONITIS	0	0	0	1 ( 0.8)	0	0	6 ( 2.4)	4 ( 1.6)	0

In study CA209063, two subjects (1.7%) died due to study drug toxicity within 100 days of last dose in CA209063; one due to hypoxic pneumonia and one due to ischemic stroke.

## Laboratory findings

In the pooled analysis of studies CA209017 and CA209063, the proportion of patients who experienced a shift from baseline to a Grade 3 or 4 laboratory abnormality was as follows: 13.2% for decreased lymphocytes, 9% for hyponatraemia, 2.9% for hypercalcaemia and hyperkalaemia, 2.5% for decreased haemoglobin (all Grade 3), 2.0% for hypokalaemia, 1.6% for decreased neutrophil count, 1.3% for hypomagnesaemia, 1.2% for hypocalcaemia, 0.8% for increased total bilirubin, and 0.4% for increased AST, decreased platelet, hypomagnesaemia, and hypernatremia. There was no worsening to Grade 3 or 4 in increased ALT, increased alkaline phosphatase, and increased creatinine (see section 4.8 of the SmPC).

A summary of laboratory parameters that worsened relative to baseline for study CA209017 and pooled analysis from studies CA209017 and CA209063 is summarised in the following table.

**Table 28: Summary of On-Treatment Worst CTC Grade (Grade 1-4 and Grade 3-4) Laboratory Parameters that Worsened Relative to Baseline - All Treated Subjects**

Lab Test Description	Number (%) of Subjects								
	CA209017			CA209017+CA209063					
	Docetaxel			Nivolumab			Nivolumab		
N (A)	Grade 1-4	Grade 3-4	N (A)	Grade 1-4	Grade 3-4	N (A)	Grade 1-4	Grade 3-4	
HEMOGLOBIN (B)	126	72 ( 57.1)	7 ( 5.6)	130	42 ( 32.3)	3 ( 2.3)	244	74 ( 30.3)	6 ( 2.5)
PLATELET COUNT	125	17 ( 13.6)	0	130	14 ( 10.8)	1 ( 0.8)	244	30 ( 12.3)	1 ( 0.4)
LEUKOCYTES	128	103 ( 80.5)	66 ( 51.6)	130	16 ( 12.3)	4 ( 3.1)	244	30 ( 12.3)	4 ( 1.6)
LYMPHOCYTES (ABSOLUTE)	125	79 ( 63.2)	35 ( 28.0)	129	59 ( 45.7)	14 ( 10.9)	243	113 ( 46.5)	32 ( 13.2)
ABSOLUTE NEUTROPHIL COUNT	126	92 ( 73.0)	73 ( 57.9)	130	11 ( 8.5)	4 ( 3.1)	244	17 ( 7.0)	4 ( 1.6)
ALKALINE PHOSPHATASE	124	22 ( 17.7)	2 ( 1.6)	129	31 ( 24.0)	0	240	47 ( 19.6)	0
ASPARTATE AMINOTRANSFERASE	123	14 ( 11.4)	2 ( 1.6)	129	32 ( 24.8)	0	242	50 ( 20.7)	1 ( 0.4)
ALANINE AMINOTRANSFERASE	124	25 ( 20.2)	1 ( 0.8)	129	23 ( 17.8)	0	242	36 ( 14.9)	0
BILIRUBIN, TOTAL	124	11 ( 8.9)	0	129	7 ( 5.4)	0	242	10 ( 4.1)	2 ( 0.8)
CREATININE	124	14 ( 11.3)	1 ( 0.8)	130	22 ( 16.9)	0	244	47 ( 19.3)	0
HYPERCALCEMIA	124	9 ( 7.3)	2 ( 1.6)	130	31 ( 23.8)	4 ( 3.1)	244	54 ( 22.1)	7 ( 2.9)
HYPOCALCEMIA	124	27 ( 21.8)	1 ( 0.8)	130	27 ( 20.8)	1 ( 0.8)	244	47 ( 19.3)	3 ( 1.2)
HYPERKALEMIA	123	29 ( 23.6)	8 ( 6.5)	130	35 ( 26.9)	2 ( 1.5)	244	55 ( 22.5)	7 ( 2.9)
HYPOKALEMIA	123	14 ( 11.4)	2 ( 1.6)	130	17 ( 13.1)	2 ( 1.5)	244	40 ( 16.4)	5 ( 2.0)
HYPERMAGNESEMIA	120	7 ( 5.8)	0	125	7 ( 5.6)	1 ( 0.8)	237	12 ( 5.1)	1 ( 0.4)
HYPOMAGNESEMIA	120	23 ( 19.2)	0	125	31 ( 24.8)	2 ( 1.6)	237	55 ( 23.2)	3 ( 1.3)
HYPERNATREMIA	125	3 ( 2.4)	0	130	8 ( 6.2)	1 ( 0.8)	244	13 ( 5.3)	1 ( 0.4)
HYPONATREMIA	125	46 ( 36.8)	12 ( 9.6)	130	43 ( 33.1)	11 ( 8.5)	244	86 ( 35.2)	22 ( 9.0)

Toxicity Scale: CTC Version 4.0

Includes laboratory results reported after the first dose and within 30 days of last dose of study therapy.

(A) N: Subjects with a CTC Graded Laboratory Result for the given parameter from both Baseline and On-treatment. Percentages are based on N as denominator.

(B) Per Anemia criteria in CTC version 4.0 there is no grade 4 for hemoglobin.

### Immunogenicity

A pooled analysis of nivolumab anti-drug antibody (ADA) assessments was performed with data available from the following studies for NSCLC and melanoma in which ADA was assessed by the current sensitive and drug tolerant assay (ICDIM 140 V1.00/V2.02): CA209037, CA209063, CA209066, and CA209017). The confirmed ADA positive samples were then tested with a neutralizing antibody assay.

**Table 29: Integrated Summary of anti-nivolumab anti-drug antibody (ADA) assessments – Studies CA209037, CA209063, CA209066, and CA209017**

	Number of Patients (%)				
	CA209063 (N=101)	CA209037 (N=180)	CA209066 (N=107)	CA209017 <sup>a</sup> (N=109)	Pooled Summary (N=497)
Baseline ADA Positive	11 (10.9)	9 (5.0)	3 (2.8)	8 (7.3)	31 (6.2)
ADA Positive	12 (11.9)	12 (6.7)	6 (5.6)	21 (19.3)	51 (10.3)
Persistent Positive	0	2 (1.1)	0	2 (1.8)	4 (0.8)
Only Last Sample Positive	6 (5.9)	4 (2.2)	2 (1.9)	3 (2.8)	15 (3.0)
Other Positive	6 (5.9)	6 (3.3)	4 (3.7)	16 (14.7)	32 (6.4)
Neutralizing ADA Positive	0	2 (1.1)	0	3 (2.8)	5 (1.0)
ADA Negative	89 (88.1)	168 (93.3)	101 (94.4)	88 (80.7)	446 (89.7)

Overall, a total of 497 patients (from CA209063, CA209037, CA209066, and CA209017 studies) were treated with nivolumab 3 mg/kg every 2 weeks and had available ADA assessments at baseline and

post-baseline. Out of 497 patients, 51 patients (10.3%) were tested positive for treatment-emergent anti-product antibodies by an electrochemiluminescent (ECL) assay after initiation of nivolumab (relative to baseline), of whom:

- Four patients (0.8%) were considered persistent positive
- Neutralizing antibodies were detected in only 5 patients (1%), out of which 4 subjects were negative for neutralizing ADA at subsequent samples.
- The observed titers in the ADA positive samples were low ( $\leq 512$ )

### Safety in special populations

The safety profile of nivolumab was similar between the subgroups based on intrinsic (race, gender) and extrinsic (geographical location) factors.

A summary of adverse events in the elderly population is presented below.

**Table 30: Summary of Adverse Events by Age Groups – Pooled studies CA209017 and CA209063**

MedDRA Terms	Age < 65 years (N=134) n (%)	Age 65 - 74 years (N=87) n (%)	Age 75-84 years (N=25) n (%)	Age 85+ years (N=2) n (%)
Total AEs	130 (97.0)	87 (100.0)	25 (100.0)	2 (100.0)
Serious AEs – Total	67 (50.0)	46 (52.9)	14 (56.0)	2 (100.0)
- Fatal	18 (13.4)	11 (12.6)	5 (20.0)	0
- Hospitalization/prolong existing hospitalization	55 (41.0)	42 (48.3)	12 (48.0)	2 (100.0)
- Life-threatening	1 (0.7)	1 (1.1)	0	0
- Disability/incapacity	1 (0.7)	0	0	0
- Other (medically significant)	6 (4.5)	6 (6.9)	0	0
AE leading to drop-out	31 (23.1)	14 (16.1)	6 (24.0)	0
Psychiatric disorders	18 (13.4)	10 (11.5)	3 (12.0)	2 (100.0)
Nervous system disorders	40 (29.9)	29 (33.3)	9 (36.0)	1 (50.0)
Accidents and injuries	5 (3.7)	8 (9.2)	2 (8.0)	1 (50.0)
Cardiac disorders	15 (11.2)	14 (16.1)	2 (8.0)	1 (50.0)
Vascular disorders	18 (13.4)	14 (16.1)	2 (8.0)	1 (50.0)
Cerebrovascular disorders	0	2 (2.3)	0	0
Infections and infestations	40 (29.9)	37 (42.5)	10 (40.0)	2 (100.0)
Anticholinergic syndrome	48 (35.8)	28 (32.2)	10 (40.0)	1 (50.0)
Quality of life decreased	0	0	0	0
Sum of postural hypotension, falls, black outs, syncope, dizziness, ataxia, fractures	13 (9.7)	9 (10.3)	4 (16.0)	0

MedDRA Version: 17.1; CTC Version: 4.0. Abbreviations: AEs = adverse events; HLGT = high level group terms; MedDRA = Medical Dictionary for Regulatory Activities, SAE = serious adverse event, SOC = system organ class; SMQ = Standardized MedDRA Queries

Source: Appendix Q66.1.eu (AEs by Age Group), Appendix Q66.2.eu (SAEs by Age group), Appendix Q66.3.eu (AE Leading to Discontinuation), Appendix Q66.4.eu (AE by Selected SOC/HLGT/SMQ), Appendix Q66.5.eu (Sum of Postural Hypotension, Falls, Black Outs, Syncope, Dizziness, Ataxia, Fractures), Appendix Q66.6.eu (SAEs by Category), and Appendix Q66.7.eu (QOL Decreased).

### Safety related to drug-drug interactions and other interactions

The applicant did not submit studies on drug-drug interaction (see safety discussion).

### Discontinuation due to adverse events

In the pooled analysis of studies CA209017 and CA209063, pneumonitis and malignant disease progression were the most frequently reported AE leading to discontinuation of the study.

**Table 31: AEs leading to discontinuation - All Treated Subjects - CA209017 and CA209017 + CA209063**

System Organ Class (%) Preferred Term (%)	CA209017						CA209017 + CA209063		
	Docetaxel (N = 129)			Nivolumab (N = 131)			Nivolumab (N = 248)		
	Any Grade	Grade 3-4	Grade 5	Any Grade	Grade 3-4	Grade 5	Any Grade	Grade 3-4	Grade 5
<b>ALL AES LEADING TO DISCONTINUATION</b>									
TOTAL SUBJECTS WITH AN EVENT	26 ( 20.2)	16 ( 12.4)	4 ( 3.1)	14 ( 10.7)	7 ( 5.3)	2 ( 1.5)	51 ( 20.6)	31 ( 12.5)	11 ( 4.4)
<b>MOST FREQUENT (017 + 063, &gt; 2%)</b>									
PNEUMONITIS	0	0	0	3 ( 2.3)	1 ( 0.8)	0	8 ( 3.2)	5 ( 2.0)	0
MALIGNANT NEOPLASM PROGRESSION	2 ( 1.6)	2 ( 1.6)	0	4 ( 3.1)	2 ( 1.5)	1 ( 0.8)	8 ( 3.2)	2 ( 0.8)	5 ( 2.0)
<b>DRUG-RELATED AES LEADING TO DISCONTINUATION</b>									
TOTAL SUBJECTS WITH AN EVENT	13 ( 10.1)	8 ( 6.2)	1 ( 0.8)	4 ( 3.1)	2 ( 1.5)	0	18 ( 7.3)	14 ( 5.6)	0
<b>MOST FREQUENT (017 + 063, &gt; 1%)</b>									
PNEUMONITIS	0	0	0	2 ( 1.5)	0	0	7 ( 2.8)	4 ( 1.6)	0

### Post marketing experience

The applicant did not submit post-marketing experience.

### 2.5.1. Discussion on clinical safety

A total of 1826 patients have been exposed to nivolumab 3 mg Q2W, but most of the results are still blinded. The unblinded results include the melanoma population and mainly the SQ-NSCLC population.

The safety profile for the intended indication is mainly based on the open label studies CA 209017 and CA209063. Nivolumab is most commonly associated with immune-related adverse reactions. Most of these, including severe reactions resolved following initiation of appropriate medical therapy or withdrawal of nivolumab.

The pooled analyses of study CA20917 and CA209063 show an incidence of adverse events of 98.4%, with an incidence of 45.2% of grade 3-4 AEs and 12.1% of grade 5 AE.

The most frequently reported adverse events are fatigue (39.5%), dyspnoea (37.1%), cough (31.5%) decreased appetite (29.4%) and nausea (21.8%).

The most frequents grade 3-4 AEs are dyspnoea, (6.9%), fatigue (4.4%), nausea (2.0%), cough (1.6%) and decreased appetite (1.6%).

Selected AEs on the basis of its mechanism of action and rate of frequency include endocrinopathies, diarrhoea/colitis, hepatitis, pneumonitis, nephritis, rash and hypersensitivity/infusion reactions, with the multiple event terms that may describe each of these grouped into endocrine, GI, hepatic, pulmonary, renal, and skin selected AE categories, respectively.

According to the data submitted, the immunological ADRs related to nivolumab (pooled studies CA209017, CA209063) and identified as important identified risks include skin, gastrointestinal, endocrine, hepatic, pulmonary, and renal events, with the following terms reported: rash (12.1%), diarrhoea or colitis (9.3%), pneumonitis (5.2%), thyroid disorders (4.4%), nephritis or renal dysfunction (3.2%), hypersensitivity/infusion reactions (1.6%) and liver function abnormalities (1.2%). The SmPC sections 4.2 and 4.4 and 4.8 contain the recommendations on how to manage the immunologic ADRs.

Patients with a baseline performance score  $\geq 2$ , active brain metastases or autoimmune disease, symptomatic interstitial lung disease, and patients who had been receiving systemic immunosuppressants prior to study entry were excluded from the clinical trials of NSCLC (see sections 4.5 and 5.1). In the absence of data, nivolumab should be used with caution in these populations after careful consideration of the potential risk-benefit on an individual basis. In addition, these populations have been included in the RMP as missing information.

The time -related adverse event data base is obtained from the 129 patients participating in trial CA209017.

With regards to duration, the endocrine system selected AEs took the longest time to resolve. Most of the events in this category were thyroid gland disorders.

In study CA209017, almost all of the patients reported at least one AE during the study (96.9% in both treatment groups). The most common AEs in the nivolumab treatment group CA209017 were dyspnoea (36.6%), cough (31.3%), and fatigue (30.5%), while in the docetaxel group were fatigue (39.5%), neutropenia (33.3%) and dyspnoea (29.5%). Nivolumab showed a lower incidence than docetaxel of grade 3 adverse events (51% vs 73%), serious adverse events (47% vs. 54%, grade 3 AE 39% vs. 46%) and AEs leading to discontinuation (11% vs. 20%). Drug-related SAEs were reported for 6.9 % and 24.0% of patients in the nivolumab and docetaxel groups, respectively. The reduction in incidence of adverse events between nivolumab and docetaxel was mainly due but not limited to the large difference in haematological events like lymphopenia and neutropenia.

The adverse events favouring docetaxel ( $\geq 5\%$  difference) were dyspnoea, cough and hypercalcaemia; however, the adverse events favouring nivolumab were fatigue, anaemia, asthenia, diarrhoea, nausea, neutropenia and alopecia.

The adverse events  $\geq$  grade 3 favouring nivolumab ( $\geq 5\%$  difference) were fatigue, asthenia, diarrhoea and neutropenia. No adverse events  $\geq$  grade 3 was reported with  $\geq 5\%$  difference in the nivolumab arm indicating that nivolumab could be better tolerated

The majority of on-study deaths were due to disease progression (55.7% nivolumab, 66.7% docetaxel). No deaths occurring in nivolumab-treated patients were considered drug-related, while in the docetaxel group, 3 deaths (2.3%) were attributed to study drug toxicity.

Pneumonitis was identified in the early studies as a potential drug-related lethal adverse event. In general, the outcomes and severity of pneumonitis AEs occurred in the phase II and Phase III studies were more favourable than those observed during the phase I study. This is probably explained by the implementation of standardized clinical management of NSCLC patients treated with nivolumab in the later studies. Patients should be monitored for signs and symptoms of pneumonitis such as radiographic changes (e.g., focal ground glass opacities, patchy infiltrates), dyspnoea, and hypoxia. Infectious and disease related aetiologies should be ruled out (see sections 4.2, 4.4 and 4.8 of the SmPC).

Regarding special populations, elderly subjects were underrepresented, since literature suggests that one third of NSCLC patients are over 65 years old. According to safety data broken down by age (Age 65-74, Age 75-84, and Age 85+), an increasing trend in frequency could be seen for most AEs of special interest in the elderly (e.g. those affecting the CNS). However, for drug-related AEs by Age, frequencies seemed very similar for patients  $<65$  and those  $\geq 65$  and  $<75$  years of age and lower for patients over the age of 75. Considering the limited number of patients over 75 ( $n=27$ , and 2  $>85$ ), no sound conclusions can be drawn regarding the potential relationship between nivolumab toxicity and age. Safety of nivolumab in the elderly will be followed up in the post-marketing setting.

Data in subjects with severe renal impairment and moderate or severe hepatic impairment is limited; caution should be exercised when using nivolumab in these patient populations.

In study CA209017, hypercalcaemia was more frequently reported in the nivolumab group (31/130, 24%) than in the docetaxel group (9/124, 7%). This is most likely due to the malignancy and/or presence of bone metastases (more frequently reported for nivolumab patients at baseline) although the exact cause is not known. Immune-related hyperparathyroidism might be considered especially if associated with hypophosphataemia (reported in 6 hypercalcaemic patients in this study). Although hypercalcaemia is usually a sign of poor prognosis, the overall nivolumab treated population showed an improved overall survival. Monitoring and managing of hypercalcaemia in clinical practice is considered feasible and should not add additional burden to the patients' care.

Severe infusion reactions have been reported in clinical trials. In case of a severe infusion reaction, nivolumab infusion must be discontinued and appropriate medical therapy administered. Patients with mild or moderate infusion reaction may receive nivolumab with close monitoring (see section 4.4 and 4.8 of the SmPC). This risk has been included in the RMP as an important identified risk.

A low percentage of patients were positive for nivolumab ADA, most of them with low titers. A small number of patients had detectable neutralizing antibodies, and very few patients had persistent ADA throughout the treatment period. There was no evidence of altered pharmacokinetic or toxicity profile associated with anti product antibody development. Based on these facts, the CHMP considers that nivolumab shows a low immunogenicity potential. Given the low number of patients tested, the risk of developing ADA was considered not yet fully investigated. For suspected immune related adverse reactions, adequate evaluation should be performed to confirm aetiology or exclude other causes. Based on the severity of the adverse reaction, nivolumab should be withheld and corticosteroids administered. Upon improvement, nivolumab may be resumed after corticosteroid taper. Nivolumab must be permanently discontinued for any severe immune related adverse reaction that recurs and for any life threatening immune related adverse reaction (see sections 4.4 and 4.8 of the SmPC). The risk of immunogenicity has been included in the RMP as an important potential risk.

The Applicant has provided a comparison of the safety profile between the melanoma (pooled data from studies CA209003/037/066) and NSCLC populations (pooled data from studies CA209063 and CA209017). Although in general the safety profile is consistent with data previously provided, some differences in incidence of drug-related adverse events can be observed between both tumour types. For instance, drug-related pulmonary adverse events are more frequently observed in the NSCLC population (4.5%) than in the melanoma population (2.5%), while skin related selected AE and endocrine selected AE were more frequently observed in the melanoma population, (skin melanoma vs. NSCLC: 36.5% vs. 12.4%). These differences in incidence of drug-related adverse events might be due to the locally elicited immune response.

Like most therapeutic proteins, nivolumab is not metabolised by liver cytochrome (CYP) P450 metabolising enzymes or other drug-metabolising enzymes, and is not expected to have an effect on cytochrome P450 or other drug-metabolising enzymes in terms of inhibition or induction. In addition, nivolumab treatment did not result in any meaningful change in cytokines known to have indirect effect on CYP enzymes across the dose range 0.3 to 10 mg/kg. The lack of cytokine modulation suggests nivolumab has no or low potential for modulating CYP enzymes and therefore, there is a low risk of a therapeutic protein-drug interaction. Therefore, the lack of studies investigating the safety related to drug-drug interaction is acceptable. There is missing information for patients below 18 years of age, patients with severe hepatic and/or renal impairment. The missing information has been included as part of the RMP and is also described in sections 4.2 and 5.2 of the SmPC.

Based on its pharmacodynamic properties, nivolumab is unlikely to affect the ability to drive and use

machines. Because of potential adverse reactions such as fatigue (see sections 4.7 and 4.8 from the SmPC), patients should be advised to use caution when driving or operating machinery until they are certain that nivolumab does not adversely affect them.

No cases of overdose have been reported in clinical trials. In case of overdose, patients should be closely monitored for signs or symptoms of adverse reactions, and appropriate symptomatic treatment instituted immediately.

The MAH has included in section 4.8 of the SmPC all ADRs reported in either the pooled melanoma studies or the pooled squamous NSCLC studies.

The following events specific to the pooled NSCLC data and not reported in the pooled melanoma data have been added to the current ADR table in the Opdivo SmPC:

Bronchitis, histocytic necrotising lymphadenitis (Kikuchi lymphadenitis), polyneuropathy, tachycardia, vasculitis, lung infiltration, dry mouth, duodenal ulcer, urticaria, polymyalgia rheumatic, hypercalcaemia, hypocalcaemia, hyperkalaemia, hypokalaemia, hypomagnesaemia, hyponatraemia, decreased absolute neutrophil count, hypermagnesaemia and hypernatraemia.

Adverse events which have been reported in both melanoma and squamous NSCLC studies have been listed under the highest frequency they have been reported.

This has affected the frequency of only one adverse event, which is decreased appetite that has been reported as common adverse event in the melanoma studies, but as very common event in the squamous NSCLC studies. Thus, decreased appetite has been listed under very common in the proposed updated ADR table in the Opdivo SmPC.

From the safety database all the adverse reactions reported in clinical trials have been included in the Summary of Product Characteristics

### **2.5.2. Conclusions on the clinical safety**

The ADRs reported for patients being treated with nivolumab appear to be mostly of low grade and manageable. It was noted that immunological ADRs include skin, gastrointestinal, endocrine, hepatic, pulmonary and renal events. These are managed appropriately with the recommendations as stated in the SmPC and are also addressed in the RMP. Therefore, the CHMP considers that the safety and tolerability of nivolumab has been described appropriately and is acceptable.

The CHMP considers the following measures necessary to address issues related to safety:

- To generate additional information on AEs of special interest (e.g. immune-related pneumonitis, colitis, hepatitis, nephritis or renal dysfunction, endocrinopathies, rash, and other immune-related adverse reactions and infusion reactions) in routine oncology practice during post-marketing use. The study protocol will be discussed at PRAC within 3 months after the EC decision. The applicant should submit study CA209234, a non-interventional PASS. This post-authorisation measure is included in the RMP.

In addition, the CHMP recommends the following the following measure to address issues related to safety:

- To further evaluate the immunogenicity and the impact of ADA on efficacy and safety.

## 2.6. Risk management plan

The CHMP received the following PRAC Advice on the submitted Risk Management Plan.

The PRAC considered that the risk management plan version 2.0 (dated 29 May 2015) is acceptable. The CHMP endorsed this advice without changes.

The CHMP endorsed the Risk Management Plan version 2.0 with the following content:

### Safety concerns

#### Table Summary of safety concerns

Summary of safety concerns	
Important identified risks	Immune-related pneumonitis Immune-related colitis Immune-related hepatitis Immune-related nephritis or renal dysfunction Immune-related endocrinopathies Immune-related rash Other immune-related ARs Severe infusion reactions
Important potential risks	Embryofetal toxicity Immunogenicity Cardiac arrhythmias (previously treated melanoma indication, only)
Missing information	Paediatric patients <18 years of age Patients with severe hepatic and/or renal impairment Patients with autoimmune disease Patients already receiving systemic immunosuppressants before starting nivolumab

## Pharmacovigilance plan

**Table: Ongoing and planned studies in the PhV development plan**

Activity/Study title (type of activity, study title [if known] category 1-3)*	Objectives	Safety concerns addressed	Status Planned, started,	Date for submission of interim or final reports (planned or actual)
CA209234: Pattern of Use, Safety, and Effectiveness of Nivolumab in Routine Oncology Practice. Category 3	To assess use pattern, effectiveness, and safety of nivolumab, and management of important identified risks of nivolumab in patients with lung cancer or melanoma in routine oncology practice	Postmarketing use safety profile, management and outcome of immune-related pneumonitis, colitis, hepatitis, nephritis or renal dysfunction, endocrinopathies, rash, and other immune-related adverse reactions (uveitis, pancreatitis, demyelination, Guillain-Barre syndrome, myasthenic syndrome), and infusion reactions	Planned	Final CSR submission: 4Q2024

The PRAC, having considered the data submitted, was of the opinion that the proposed post-authorisation pharmacovigilance development plan is sufficient to identify and characterise the risks of the product.

The PRAC also considered that routine pharmacovigilance remains sufficient to monitor the effectiveness of the risk minimisation measures.

## Risk minimisation measures

**Table: Summary table of Risk Minimisation Measures**

Safety concern	Routine risk minimisation measures	Additional risk minimisation measures
<b>Important Identified Risks</b>		
Immune-related pneumonitis Immune-related colitis Immune-related hepatitis Immune-related nephritis or renal dysfunction Immune-related endocrinopathies Immune related rash Other immune-related ARs	The SmPC warns the risks of immune-related pneumonitis, immune-related colitis, immune-related hepatitis, immune-related nephritis and renal dysfunction, immune-related endocrinopathies, immune-related rash, and other immune-related adverse reactions in Section 4.4 (Special warnings and precautions for use), and provides specific guidance on their monitoring and management, including treatment delay or discontinuation and intervention with corticosteroids in Sections 4.2, 4.4 and 4.8, as appropriate. Further ADRs are included in Section 4.8. In addition, the package leaflet also includes specific warnings and descriptions of the most important safety information in the language suitable for patients.	To further raise awareness of HCPs on important risks and their appropriate management, additional risk minimization activity includes a Communication Plan.  The Plan comprising 2 tools to be distributed to potential prescribers at launch by BMS: <ul style="list-style-type: none"> <li>• Adverse Reaction Management Guide</li> <li>• Patient Alert Card</li> </ul>
Severe infusion reactions	The SmPC warns the risk of severe infusion reactions in Section 4.4 and ADR in Section 4.8.	None
<b>Important Potential Risks</b>		
Embryofetal Toxicity	SmPC includes Embryofetal Toxicity in Section 4.6 Fertility, pregnancy and lactation, Section 5.3 Preclinical safety data  The package leaflet also includes specific description on the safety information in the	None

<b>Safety concern</b>	<b>Routine risk minimisation measures</b>	<b>Additional risk minimisation measures</b>
	language suitable for patients.	
Immunogenicity	SmPC Section 4.8 Immunogenicity	None
Cardiac arrhythmias (previously treated melanoma indication, only)	SmPC Section 4.8 Undesirable effects	None
<b>Missing Information</b>		
Paediatric patients	SmPC Section 4.2 Posology and method of administration, subsection on Pediatric population	None
Severe hepatic and/or renal impairment	SmPC Section 4.2 Posology and method of administration: Patients with hepatic or renal impairment;  SmPC Section 5.2 Pharmacokinetic properties: Hepatic or renal impairment	None
Patients with autoimmune disease	SmPC Section 4.4 provides warning and cautionary information for patients with a history of autoimmune disease	None
Patients already receiving systemic immunosuppressants before starting nivolumab	SmPC Sections 4.4 Special populations and 4.5 Systemic Immunosuppressants	None

## **2.7. Pharmacovigilance**

### **Detailed description of the pharmacovigilance system**

The CHMP considered that the Pharmacovigilance system as described by the applicant fulfils the legislative requirements.

### 3. Benefit-Risk Balance

#### **Benefits**

##### **Beneficial effects**

In a phase III study in patients with documented histologically or cytologically advanced or metastatic SQ NSCLC whose disease had progressed during or after a platinum doublet-based chemotherapy regimen, Nivolumab showed a median overall survival (OS) of 9.2 months vs 6.0 months for docetaxel HR 0.59 (95%CI; (0.44-0.79), p=0.0002. Forty two (42) % vs 24% of patients were alive at 12 months for nivolumab vs docetaxel, respectively.

Treatment differences in terms of PFS were more modest, with a 0.65 month gain for nivolumab over docetaxel HR 0.62 (95 % CI 0.47-0.81) p=0.0004.

Other additional efficacy endpoints (ORR, BOR, and DOR) and an early separation in the Kaplan-Meier OS estimates also favour nivolumab treatment.

The results are supported by the single arm phase II study CA209063, including 117 patients with SQ-NSCLC after at least 2 previous chemotherapy treatments. The updated results show an ORR of 14.5% (95% CI 8.7, 22.2). The median PFS (per IRC) was 1.87 months (95% CI 1.77, 3.15), median OS was 8.21 months (range 6.05 to 10.91 months) with 62% of reported events.

##### **Uncertainty in the knowledge about the beneficial effects.**

In the elderly population, the benefit in the age group  $\geq 75$  years of age seems smaller (OS [HR 1.85; 95% CI: 0.76, 4.51], PFS [HR=1.76; 95%-CI: 0.77, 4.05]) than in the overall population although it is acknowledged that the number of patients is limited (11 nivolumab vs 18 docetaxel) (see sections 4.2 and 5.1 of the SmPC).

The role of the biomarkers PD-L1 or PD-L2 expression as potential predictive or prognostic biomarkers remains undetermined. The CHMP has imposed a condition to the marketing authorization in Annex II to perform further analyses to ascertain the potential role of the PD-L2 biomarker and other markers, to further explore the relationship between PD-L1 and PD-L2 expression on the efficacy of nivolumab, to continue the exploration of the optimal cut-off for PD-L1 positivity and to further investigate the possible change in PD-L1 status of the tumour during treatment and/or tumour progression.

#### **Risks**

##### **Unfavourable effects**

The pooled analyses of study CA20917 and CA209063 show an incidence of adverse events of 98.4%, with an incidence of 45.2% of grade 3-4 AEs and 12.1% of grade 5 AE.

The most frequently reported adverse events are fatigue (39.5%), dyspnoea (37.1%), cough (31.5%) decreased appetite (29.4%) and nausea (21.8%).

The most frequent grade 3-4 AEs are dyspnoea, (6.9%), fatigue (4.4%), nausea (2.0%), cough (1.6%) and decreased appetite (1.6%).

In general, AEs and drug-related AEs were frequently reported in the studies, mostly of mild-moderate severity, and no clear indications of cumulative toxicity have been observed.

Several AE of special interest ("selected AEs") have been identified for nivolumab. These include endocrinopathies, diarrhoea/colitis, hepatitis, pneumonitis, nephritis, rash and hypersensitivity/infusion reactions.

Nivolumab has been associated with study-drug related pneumonitis/ILD. A total of 14 cases of pneumonitis have been reported in the two main studies (8 in study CA209063, 6 in study CA209017), most of them considered study drug-related (n=13).

In the pivotal study CA209017, nivolumab showed a comparable incidence for the adverse events (97% vs. 97%) with docetaxel. However, nivolumab reported a lower incidence of the adverse events grade  $\geq 3$  (51% vs. 73%), serious adverse events (47% vs. 54%) and events leading to discontinuation (11% vs. 20%).

#### **Uncertainty in the knowledge about the unfavourable effects**

AEs of special interest will be systematically assessed within ongoing and planned studies (see RMP).

## Effects Table

		Short Description	Unit	Arm1 (Nivolumab 3mg/kg)	Arm2 (Docetaxel 75 mg/m <sup>2</sup> )	Uncertainties/ Strength of evidence
Favourable	OS	Primary endpoint	Median (months)	9.23, 95% CI (7.33, 13.27)  HR 95% CI: 0.59 (0.44, 0.79)	6.01 95% CI (5.13, 7.33)	<p>Meaningful anti-tumour activity results in heavily pre-treated SQ NSCLC.</p> <p>Superiority over docetaxel demonstrated with a meaningful gain in terms of OS.</p> <p>Only 1 patient achieved CR (BOR was PR for most of the nivolumab patients)</p> <p>Limited data for patients &gt;75 years old</p> <p>Reliability and value of PD-L1 (and PD-L2) as predictive biomarkers is uncertain.</p> <p>Magnitude of the effect in the phase 2 study (3<sup>rd</sup> line and beyond) is smaller</p>
	PFS	2ndary endpoint	Median (months)	3.48, 95% CI (2.14, 4.86)  HR 95% CI: 0.62 (0.47, 0.81)	2.83 95% CI (2.10, 3.52)	
	ORR	2ndary endpoint Complete + partial tumour response	Number (%)	27 (20%), 95% CI (13.6, 27.7)  CR= 1 (0.7%) vs PR = 26 (19.3%)	12 (8.8%) 95% CI (4.6, 14.8)  CR= 0 vs. PR = 12 (8.8%)	
Unfavourable	Dyspnoea		Proportion	AE 36.6% G3/4 5.3% SAE 1.5%	AE 29.5% G3/4 5.6.2% SAE 1.6%	Safety profile seems manageable and tolerable by patients.
	Cough		Proportion	AE 31.3% G3/4 1.5% SAE <1%	AE 18.6% G3/4 0% SAE <1%	
	Fatigue		Proportion	AE 30.5% G3/4 2.3% SAE <1%	AE 39.5% G3/4 8.5% SAE 1.6%	Long-term safety data of nivolumab are limited and the relation between duration of treatment and AEs is not known.
	Decreased appetite		Proportion	AE 24.4% G3/4 0.8% SAE <1%	AE 27.1% G3/4 1.6% SAE <1%	
	Anaemia		Proportion	AE 16.8% G3/4 3.1% SAE 1.5%	AE 28.7% G3/4 3.1% SAE 14.7%	The size of the safety database might be too limited to determine the incidence of rare and immune related AEs.
	Diarrhoea		Proportion	AE 15.3% G3/4 0% SAE <1%	AE 25.6% G3/4 3.1% SAE <1%	
	Pneumonitis (drug-related)		Proportion	AE 4.6% G3/4 0.8% SAE 0.8%	AE 0.8% G3/4 0% SAE 0%	
	Tolerability			AE ≥ 1 dose delay/reduction: 27.5% AE ≥ 1 infusion interruption: 6.1% AE leading to discontinuations: 10.7% SAE 46.6%	AE ≥ 1 dose delay/reduction: 41.1% AE ≥ 1 infusion interruption: 6.2% AE leading to discontinuations: 20.2% SAE 54.3%	

### ***Benefit-risk balance***

#### **Importance of favourable and unfavourable effects**

The superiority of nivolumab over docetaxel in terms of OS was demonstrated and is supported by the PFS data. This is an important outcome in a patient population with high unmet medical need and limited therapeutic options.

The overall frequency of adverse events was comparable but nivolumab reported a lower frequency of adverse events  $\geq$  grade 3, serious adverse events and adverse events leading to discontinuation than for docetaxel.

Overall, the size of the safety database is considered adequate to characterise the general safety profile of nivolumab and the toxicity was considered manageable and tolerable by patients.

#### **Benefit-risk balance**

The treatment with nivolumab in SQ-NSCLC has shown an improvement over active treatment in life expectancy for patients with at least one prior treatment. The observed overall incidence of adverse events was comparable with docetaxel, but with a lower reported incidence of AE's grade  $\geq$ 3, serious AE's and AE's leading to discontinuation. Importantly, nivolumab treatment is associated with less related hematological sided effects, including the potential life threatening febrile neutropenia. Given the clinically relevant improvement in OS over docetaxel and the manageable toxicity in a patient population in which there is a high unmet medical need, the benefit-risk balance of nivolumab is considered positive.

#### ***Discussion on the benefit-risk balance***

The treatment options for second-line treatment for SQ-NSCLC are limited. In the pivotal study CA20917 comparing nivolumab to docetaxel, an improvement of 3.2 months in OS was demonstrated. Study CA209063 provides data regarding the use of nivolumab in the 3<sup>rd</sup> line and later setting.

For over 15 years, docetaxel has been a standard treatment for previously treated SQ NSCLC subjects who have progressed after first-line treatment. However, only a small fraction of patients respond to docetaxel: 3.3-15%, with a median response duration of approximately 6 months and median overall survival (OS) and 1-year OS of approximately 6 to 10 months and 30% to 40%, respectively. The treatment with nivolumab in SQ-NSCLC has shown an improvement over active treatment in life expectancy for patients with at least one prior treatment. Patients who were PD-L1-positive showed the best (numerical) improvements, but patients who were PD-L1-negative showed comparable or even better improvements in ORR, PFS and OS than those obtained with docetaxel. The applicant should further investigate an appropriate biomarker in order to select the most sensitive patients.

## **4. Recommendations**

### ***Outcome***

Based on the review of the submitted data, the CHMP considers the following variation acceptable and therefore recommends the variation to the terms of the Marketing Authorisation, concerning the following change:

Variation accepted		Type	Annexes affected
C.I.6.a	C.I.6.a - Change(s) to therapeutic indication(s) - Addition of a new therapeutic indication or modification of an approved one	Type II	I, II, IIIA and IIIB

Extension of indication to include treatment of locally advanced or metastatic squamous non-small cell lung cancer (NSCLC) after prior chemotherapy in adults (in line with the Nivolumab BMS MAA, procedure EMEA/H/C/003840). As a consequence, sections 4.1, 4.2, 4.4, 4.8 and 5.1 of the SmPC have been updated and the Package Leaflet has been revised accordingly. Further, Annex II has been updated to include a post-authorisation efficacy study as a new obligation in line with the agreed Annex II for Nivolumab BMS. In addition, the MAH took the opportunity to make editorial changes in the SmPC, Annex II, labelling and Package Leaflet. A revised RMP version 2.0 was agreed during the procedure.

The variation leads to amendments to the Summary of Product Characteristics, Annex II, Labelling, Package Leaflet and to the Risk Management Plan (RMP).

This CHMP recommendation is subject to the following new condition:

- **Obligation to conduct post-authorisation measures**

The MAH shall complete, within the stated timeframe, the below measures:

Description	Due date
Post-authorisation efficacy study (PAES): The MAH should submit an updated OS data for Study CA209017: a Phase 3, randomized study of nivolumab vs docetaxel in subjects with advanced or metastatic squamous NSCLC who have experienced disease progression during or after one prior platinum doublet-based chemotherapy regimen.	The updated data should be submitted by 31 <sup>st</sup> December 2015