

# Checklist of required fields per application type

How to create, submit and withdraw a CTA

CTIS Training Programme – Module 10
Version 1.1 - December 2021

## Learning Objectives

• In this support document you will find a list of the data and documents that are requested as a minimum in CTIS to be able to proceed with the submission of the different application types.

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## General information

This document is composed of three sections below, listing the sections, sub-sections and required fields on each type of clinical trial applications (CTA). Find below the legend of the elements included in each section:

- A cloud icon ( $^{\frown}$ ) indicates that users need to upload a pre-populated document to CTIS.
- A 'button' indicates that when users click on that specific button, a pop-up window will allow users to populate the indicated information.
- A 'check box' indicates that, if clicked, the user will need to populate specific information.
- A 'pencil' indicates that users should click this icon to open a pop-up window where they will be able to edit or complete the specific information.
- An 'example' field refers to instances of the particular required field e.g. an authorised product.

#### **Initial Clinical Trial Application**

Form section	Sub-sections	Required fields
Initial application details	Cover letter	• Cover letter 45
Compliance with regulation	Compliance with Regulation (EU) 2016/679	• Compliance with Regulation (EU) (2016/679
Deferral publication dates	Deferral of clinical trial information	<ul> <li>Short title / Trial category</li> <li>Justification for trial category / Trial category</li> </ul>

MSC section	Required fields
Member State Concerned	<ul><li>+Add member states (button)</li><li>Member states</li><li>Subjects</li><li>Select Proposed RMS</li></ul>

Part I section	Sub-sections		Required fields
Trial details	Trial identifi	ers	<ul><li>Full title</li><li>Public title</li></ul>
	Trial information	Trial category	<ul> <li>If Low intervention trial (check box) /     Justification for the low intervention     trial  </li> <li>Trial phase</li> </ul>
		Medical condition	<ul><li>Add condition (button) / Medical condition(s)</li><li>Therapeutic area</li></ul>
		Main objective	<ul><li>Trial scope</li><li>Main objective</li></ul>
		Eligibility criteria	<ul><li>Add inclusion criteria (button)</li><li>Add exclusion criteria (button)</li></ul>
		End points	Add primary endpoint (button)
		Trial duration	<ul><li>Estimated recruitment start date in EEA</li><li>Estimated end of trial date in EEA</li></ul>
		Population of trial subjects	<ul> <li>Age range</li> <li>Gender</li> <li>Clinical trial group</li> <li>(if check box 'Vulnerable group' is clicked) Recruitment population group</li> </ul>
	Protocol information	Clinical trial protocol	• Protocol 🙃
Sponsors	Contact point for Union		<ul><li>Add contact point for Union (button)</li><li>Scientific Contact Point</li><li>Public Contact Point</li></ul>
	Products		<ul> <li>+Add (button)</li> </ul>
	Role: Test (e	xample)	<ul> <li>+Add (button) / Authorised product (example)</li> </ul>
Products	Dosage and administration	on details	<ul> <li>Route of administration</li> <li>Maximum duration of treatment</li> <li>Maximum daily dose allowed</li> <li>Total dose unit of measure</li> </ul>
	Information about the modification of the medicinal product		<ul> <li>Has the medicinal product been modified in relation to its Marketing Authorisation? (check box)</li> </ul>
	Investigator the medicina	brochure for al product	<ul> <li>Investigator brochure </li> <li>Summary of product characteristics (SmPC) </li> </ul>
	IMPD Quality*		<ul> <li>IMPD-Q (2)</li> <li>Simplified IMPD-Q (2)</li> <li>Justification for no IMPD upload</li> </ul>
	IMPD - Safety and efficacy*		<ul> <li>IMPD - Safety and Efficacy</li> <li>Simplified IMPD - Safety and Efficacy</li> <li>Justification for no IMPD upload</li> </ul>
	Content labe	elling	Content labelling of the IMP's

 $<sup>^{</sup>st}$  To view the required fields per IMP please refer to question 2.12 What types of IMP can be added as a test role on the Frequently Asked Questions document of this module.

Part II section	Sub-sections	Required fields
Country specific details	Trial sites	<ul> <li>+Add sites (button)</li> <li>Search organisation (or create an organisation)</li> <li>Edit information (pencil)</li> <li>First name / last name / department / phone / email</li> </ul>
	Documents	<ul> <li>Recruitment arrangements</li> <li>Subject information and informed consent form</li> <li>Investigator CV</li> <li>Suitability of the facilities</li> <li>Proof of insurance cover or indemnification</li> </ul>
		• Financial and other arrangements 🗘

## Additional MSC Clinical Trial Application

Form section	Sub-sections	Required fields
Initial application details	Cover letter	• Cover letter 🗭
	Required fields (if users wish to add MSCs which have not been populated in the pop-up screen after the Add MSC CTA has been created, but not yet submitted)	
MSC section	been populated in the pop	o-up screen after the Add MSC CTA

Part II sections	Sub-sections	Required fields
Country specific details	Trial sites	<ul> <li>+Add sites (button)</li> <li>Search organisation (or create organisation)</li> <li>Edit information (pencil)</li> <li>First name / last name / department / phone / email</li> </ul>
	Documents	<ul> <li>Recruitment arrangements</li> <li>Subject information and informed consent form</li> <li>Investigator CV</li> </ul>
		<ul> <li>Suitability of the facilities</li> <li>Proof of insurance cover or indemnification</li> <li>Financial and other arrangements</li> </ul>

### Substantial Modification Clinical Trial Application

When users create a Substantial Modification, the scope must be selected (Part I only, Part II only, or Part I and Part II) from the dropdown menu in the pop-up screen.

Form section	Sub-sections	Required fields
Substantial Modification details	Cover letter	• Cover letter 🕩
	Modification description	Modification description

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Send a question

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Clinical Trials Information System (CTIS).

Support document – Checklist of mandatory fields per application type.

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