
EU RMP

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**EUROPEAN UNION RISK MANAGEMENT PLAN (EU RMP)
FOR OLAPARIB**

The content of this RMP has been reviewed and approved by the Deputy EU QPPV

QPPV oversight declaration: The content of this RMP has been reviewed and approved by the Marketing Authorisation Holder's Deputy QPPV in the EU, as delegated by QPPV in the EU

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Administrative Information

Other RMP version under evaluation None

Details of currently approved RMP **Version Number:** 28
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Rationale for Submitting an Updated RMP

To remove the completed post-authorisation efficacy study, PROpel as an EU post-authorisation obligation.

To amend the due date for the final OS data for the SOLO1 post-authorisation efficacy study.

Summary of Significant Changes in this RMP

Part IV - PLANS FOR POST-AUTHORISATION EFFICACY STUDIES	Completed post-authorisation efficacy study D081SC00001 (PROpel) removed as a condition of the marketing authorisation in the EU. Due date for the final OS data for post-authorisation efficacy study D0818C00001 (SOLO1) amended. Classification of Study D0818C00001 (SOLO1) corrected to 'Efficacy studies which are conditions of the marketing authorisation'. Study D0818C0001 had previously been listed under 'Efficacy studies which are Specific Obligations in the context of a conditional marketing authorisation or a marketing authorisation under exceptional circumstances' in error (Procedure EMEA/H/C/003726/II/0023).
Part VI - SUMMARY OF THE RISK MANAGEMENT PLAN FOR OLAPARIB	Completed post-authorisation efficacy study D081SC00001 (PROpel) removed as a condition of the marketing authorisation in the EU.
Part VII - ANNEXES	Annex 5 and 8 updated.

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LIST OF ABBREVIATIONS AND DEFINITION OF TERMS

Abbreviation/ Special term	Definition/Explanation
5-FU	5 fluorouracil
ADR	Adverse drug reaction
ADT	Androgen deprivation therapy
AE	Adverse event
AML	Acute myeloid leukaemia
<i>ATM</i>	Ataxia telangiectasia mutated
BCRP	Breast Cancer Resistance Protein
bd	Twice daily
<i>BRCA</i>	Breast cancer susceptibility gene (<i>BRCA1</i> and <i>BRCA2</i>)
<i>BRCAm</i>	<i>BRCA</i> -mutated
CA-125	Cancer Antigen-125
CHMP	Committee for Medicinal Products for Human Use
CNS	Central nervous system
CR	Complete response
CRPC	Castrate-resistant prostate cancer
CSR	Clinical study report
CTCAE	Common Terminology Criteria for Adverse Events
CYP	Cytochrome P450
DCO	Data cut-off
DHPC	Direct Healthcare Professional Communication
DNA	Deoxyribonucleic acid
ECIS	European Cancer Information System
ECOG	Eastern Co-operative Oncology Group
EEA	European Economic Area
EMA	European Medicines Agency
EPAR	European Public Assessment Report
ER	Oestrogen receptor
ESMO	European Society for Medical Oncology
ESR	Externally Sponsored Studies
EU	European Union
FIGO	The International Federation of Gynecology and Obstetrics

Abbreviation/ Special term	Definition/Explanation
<i>gBRCA</i>	Germline <i>BRCA</i>
<i>gBRCAm</i>	Germline <i>BRCA</i> -mutated
GFR	Glomerular filtration rate
HDI	Human Development Index
HER2	Human epidermal growth factor receptor 2
HR	Hormone receptor
HRD	Homologous recombination deficient
HRR	Homologous recombination repair
HSPC	Hormone-sensitive prostate cancer
IARC	International Agency for Research on Cancer
ICD	International Classification of Diseases
MAP	Managed Access Programme
mCRPC	Metastatic castrate-resistant prostate cancer
MDS	Myelodysplastic syndrome
NCCN	National Comprehensive Cancer Network
NHA	New hormonal agent
NICE	National Institute for Health and Care Excellence
NPM	New primary malignancy
od	Once daily
OS	Overall survival
PARP	Polyadenosine 5' diphosphoribose polymerase
PBRER	Periodic Benefit-Risk Evaluation Report
PDAC	Pancreatic ductal adenocarcinoma
PFS	Progression-free survival
PFS2	Time from start of randomisation to second progression or death
PgR	Progesterone receptor
PK	Pharmacokinetics
PL	Package leaflet
PR	Partial response
PSR	Platinum-Sensitive Relapsed
PT	Preferred term
Q	Quarter

Abbreviation/ Special term	Definition/Explanation
QTc	Corrected QT interval
RECIST	Response Evaluation Criteria in Solid Tumours
RMP	Risk Management Plan
rPFS	Radiological progression-free survival
SAE	Serious adverse event
<i>sBRCA</i>	Somatic <i>BRCA</i>
<i>sBRCAm</i>	Somatic <i>BRCA</i> -mutated
SEER	Surveillance, Epidemiology, and End Results programme
SmPC	Summary of Product Characteristics
tds	Three times daily
TNBC	Triple-negative breast cancer
UK	United Kingdom
ULN	Upper limit of normal
US	United States
VEGF	Vascular endothelial growth factor
VTE	Venous thromboembolism
WHO	World Health Organisation

I: PART I: PRODUCT OVERVIEW

Table I-1 Product Overview

Active substance(s) (INN or common name)	Olaparib
Pharmacotherapeutic group(s) (ATC Code)	L01XK01
Marketing authorisation holder	AstraZeneca
Medicinal products to which this RMP refers	Olaparib
Invented name(s) in the European Economic Area (EEA)	LYNPARZA
Marketing authorisation procedure	Centralised
Brief description of the product	Chemical class: Polyadenosine 5' diphosphoribose polymerase (PARP) inhibitor
	Summary of mode of action: Oral PARP inhibitor (PARP-1, PARP-2, PARP-3), a targeted anticancer agent that exploits deficiencies in DNA repair mechanisms present in cancer cells
	Important information about its composition: Not applicable
Hyperlink to the Product Information	LYNPARZA, Summary of Product Characteristics
Indication(s) in the EEA	<p>Current</p> <p>Indication 1: Monotherapy for the maintenance treatment of adult patients with platinum-sensitive relapsed high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer who are in response (complete or partial) to platinum-based chemotherapy.</p> <p>Indication 2: Monotherapy for the treatment of adult patients with germline <i>BRCA1/2</i>-mutations, who have HER2 negative locally advanced or metastatic breast cancer. Patients should have previously been treated with an anthracycline and a taxane in the (neo)adjuvant or metastatic setting unless patients were not suitable for these treatments. Patients with hormone receptor (HR)-positive breast cancer should also have progressed on or after prior endocrine therapy, or be considered unsuitable for endocrine therapy.</p> <p>Indication 3: Monotherapy for the maintenance treatment of adult patients with advanced (FIGO stages III and IV) <i>BRCA1/2</i>-mutated (germline and/or somatic) high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer who are in response (complete response or partial) following completion of first-line platinum-based chemotherapy.</p> <p>Indication 4: Monotherapy for the maintenance treatment of adult patients with germline breast cancer susceptibility gene (<i>gBRCAm</i>) mutated metastatic adenocarcinoma of the</p>

Table I-1 Product Overview

	<p>pancreas whose disease has not progressed on first line platinum-based chemotherapy.</p> <p>Indication 5 Monotherapy for the treatment of adult patients with metastatic castration-resistant prostate cancer and <i>BRCA</i> 1/2 mutations (germline and/or somatic) who have progressed following prior therapy that included a new hormonal agent.</p> <p>Indication 6: Combination with bevacizumab for the maintenance treatment of adult patients with advanced (FIGO stages III and IV) high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer who are in response (complete or partial) following completion of first-line platinum-based chemotherapy in combination with bevacizumab and whose cancer is associated with homologous recombination deficiency (HRD) positive status defined by either a <i>BRCA</i> 1/2 mutation and/or genomic instability.</p> <p>Indication 7: Monotherapy or in combination with endocrine therapy for the adjuvant treatment of adult patients with germline <i>BRCA</i>1/2 mutations who have HER2-negative, high risk early breast cancer previously treated with neoadjuvant or adjuvant chemotherapy.</p> <p>Indication 8: Combination with abiraterone and prednisone or prednisolone for the treatment of adult patients with metastatic castration resistant prostate cancer (mCRPC) in whom chemotherapy is not clinically indicated.</p>
Dosage in the EEA	<p>Current (tablets): Lynparza is available as 100 mg and 150 mg tablets. The recommended dose of Lynparza is 300 mg (two 150 mg tablets) taken twice daily, equivalent to a total daily dose of 600 mg. The 100 mg tablet is available for dose reduction.</p>
Pharmaceutical form(s) and strengths	<p>Current (film-coated tablets): 100 mg: yellow to dark yellow, oval, bi convex, film-coated tablet debossed with ‘OP100’ on one side and plain on the other side.</p> <p>150 mg: Green to green/grey, oval, bi-convex film-coated tablet debossed with ‘OP150’ on one side and plain on the other side.</p>
Is the product subject to additional monitoring in the EU?	<p>No</p>

II: PART II: SAFETY SPECIFICATION

II: 1 MODULE SI: EPIDEMIOLOGY OF THE INDICATION(S) AND TARGET POPULATION

II: 1.1 Ovarian Cancer

Incidence

Globally, there were around 295,414 new cases of ovarian cancer in 2018, with 67,771 occurring in Europe, 24,469 in the US, and 10,672 in Japan ([Globocan 2018a](#)). A higher incidence is seen in Europe, and particularly Northern, Central and Eastern Europe, where rates are over 9 per 100,000 woman years, Age Standardised to the World population. In Europe in 2018, ovarian cancer was estimated to be the fifth most common cause of cancer death (44,576 deaths) and the sixth most common newly diagnosed cancer (67,771 new cases) in females in Europe ([Globocan 2018a](#)).

Prevalence

The IARC estimated 762,663 five-year prevalent cases at the year 2018 in the world, including around 188,069 cases in Europe, 80,446 in Northern America, and 31,658 in Japan ([Globocan 2018a](#)). In the US, the complete prevalence of ovarian cancer cases is estimated at approximately 190,000 cases ([Howlander et al 2014](#)).

Prevalence of *sBRCAm*

From literature data and AstraZeneca unpublished data on file, the proportion of *BRCAm* patients carrying an *sBRCA* mutation is between 6.4% and 10% (Cancer Genome Atlas Research Network 2011, [Pennington et al 2014](#), [Norquist et al 2016](#), [Callens et al 2017](#), [Mirza et al 2016](#), [Coleman et al 2017](#), [Dougherty et al 2017](#)).

Germline mutations are prevalent in around 5 to 25%, with *gBRCA1* mutations in 4 to 18% and *gBRCA2* mutations in 4 to 7% ([Eccles et al 2016](#)). A recent study conducted in ovarian cancer patients in Germany (n=523) found a *gBRCA1* prevalence of 15.5% and a *gBRCA2* prevalence of 5.5% ([Harter et al 2017](#)). Other global variations are likely, due to ethnic associations with carrier mutations.

Demographics of the Population in the Proposed Indication and Risk Factors for the Disease

Ovarian cancer occurs only in females, and the incidence is notably higher in Europe, the US and worldwide in patients aged 65 and older ([Lowe et al 2013](#)), though *gBRCA* mutated ovarian cancer is associated with an earlier age of onset (around 53 years and 58 years with *BRCA1* and *BRCA2* mutation respectively ([Hirsch-Yechezkel et al 2003](#))). Germline *BRCA* mutation is also associated with certain ethnic lineages, such as Ashkenazi Jews. Large

variations in incidence of ovarian cancer between different regions are partly associated with lifestyle (particularly null parity or delayed childbearing), age, ethnicity, socio-economic status and access to high-quality care. Ovarian cancers also tend to be more prevalent in developed societies where expected life spans are longer.

Several risk factors have been identified, including family history, low parity, infertility, early age of menarche, and late age of menopause (Coleman et al 2015). Other risk factors are oestrogen hormone replacement therapy and tobacco smoking, and (limited evidence) perineal use of talc-based body powder and exposure to X-radiation and gamma (γ)-radiation (for medical purposes) (Weiderpass and Labreche 2012).

The average cumulative risks in *BRCA1*-mutation carriers by age 70 years were 39% (18% to 54%) for ovarian cancer. The corresponding estimates for *BRCA2* were 11% (2.4% to 19%) (Antoniou et al 2003, see Errata, page 709). Patients with *BRCA* mutation are also at significantly increased risk of other cancers, such as breast and prostate cancer.

The Main Existing Treatment Options

Newly Diagnosed Ovarian Cancer

The current standard of care for newly diagnosed advanced ovarian cancer, including those patients with *BRCAm* high-risk ovarian cancer, consists of radical debulking surgery followed by post-operative platinum-based first line chemotherapy (NCCN Ovarian 2018). For patients for whom upfront surgery is unlikely to achieve a complete resection, treatment consists of neoadjuvant chemotherapy followed by interval debulking surgery and adjuvant chemotherapy (NCCN Ovarian 2018). Platinum containing chemotherapy is considered the treatment of choice for patients with newly diagnosed advanced ovarian cancer, including those patients with *BRCAm* high risk advanced ovarian cancer.

First line chemotherapy is generally given for a maximum of 6 cycles. It usually cannot be continued until progression as it is associated with cumulative neurological, renal and haematological toxicities. Moreover, clinical outcomes do not improve if chemotherapy is extended beyond 6 cycles (Ledermann et al 2013).

The vascular endothelial growth factor inhibitor bevacizumab (Avastin®) in combination with carboplatin and paclitaxel followed by bevacizumab maintenance was the first approved agent in the first line maintenance ovarian cancer setting (EU: approved in 2011; Japan: approved in 2013; US: approved in 2018). The olaparib tablet formulation is also approved in this indication in the US (2018), and the EU, Japan, Canada, Australia and Brazil (all approved in 2019), for newly diagnosed ovarian cancer.

Olaparib tablet formulation in combination with bevacizumab is now available in the US, the EU and Brazil, as well as in other markets, for the maintenance treatment of adult patients

with advanced high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer who are in response (complete response or partial response) to first-line platinum-based chemotherapy with bevacizumab.

Relapsed Ovarian Cancer

Platinum-based chemotherapy remains the treatment of choice for PSR ovarian cancer patients. Despite responding to treatment, most patients subsequently relapse and experience disease progression within a year of starting chemotherapy and require further chemotherapy with attendant morbidity (Colombo et al 2010). Subsequent to disease progression, treatment for all patients with PSR ovarian cancer is another platinum-based chemotherapy regimen, eg, a doublet combining carboplatin with paclitaxel, gemcitabine, or pegylated liposomal doxorubicin (Colombo et al 2010). Each of the successive lines of therapy is limited in duration even in responding patients due to the cumulative toxicity burden from cytotoxic chemotherapy. In between lines of treatment, patients wait and are watched for the next progression. In addition, in the EU, treatment options include the VEGF inhibitor bevacizumab (Avastin®), either in combination with carboplatin and gemcitabine, followed by bevacizumab alone. Avastin® was approved in October 2012 for the treatment of patients with first recurrence of platinum-sensitive epithelial ovarian, fallopian tube or primary peritoneal cancer who have not received prior therapy with bevacizumab or other VEGF inhibitors or VEGF receptor targeted agents.

Trabectedin (Yondelis®) an antineoplastic agent was approved in September 2007, in combination with pegylated liposomal doxorubicin, for the treatment of patients with relapsed platinum-sensitive ovarian cancer.

There are currently a number of PARP inhibitors (including olaparib and niraparib) being investigated as anticancer agents for a range of indications. Niraparib (Zejula™) was approved on 29 April 2020 for the maintenance treatment of adult patients with advanced epithelial ovarian, fallopian tube or primary peritoneal cancer who are in response (complete or partial) to first-line platinum-based chemotherapy. Rucaparib (Rubraca™) also received approval from the European Commission for the treatment of adult patients with platinum sensitive, relapsed or progressive, *BRCA*-mutated (germline and/or somatic), high-grade epithelial ovarian, fallopian tube, or primary peritoneal cancer, who have been treated with two or more prior lines of platinum-based chemotherapy, and who are unable to tolerate further platinum based chemotherapy.

Natural History of the Indicated Condition in the Untreated Population, Including Mortality and Morbidity

The 5-year relative survival of ovarian cancer patients in Europe is estimated to be 38% (Sung et al 2021). The age-adjusted mortality rate (according to the World standard population) for ovarian cancer is 5.1/100,000 in Europe, 4.1/100,000 in the US, and 3.3/100,000 in Japan

(Globocan 2018a). Mortality rates reflect the age at diagnosis and the peak of mortality lies in older women aged between 75 to 84 years contributing 28.8% of mortality cases (Horner et al 2009). Most patients (>70%) present with advanced disease, in whom overall 5-year survival is around 29% (Siegel et al 2018). Breast cancer susceptibility gene mutated ovarian cancer presents characteristics that differ from wild type and between specific mutation type (*BRCA1* and *BRCA2*) (Hennessy et al 2010). Breast cancer susceptibility gene mutated ovarian cancer is more likely to have serous histology, and is often diagnosed at a later stage and at a younger age than ovarian cancer without *BRCA* mutation.

Important Co-morbidities

Important co-morbidities, based on existing literature and the Swedish Cancer and Co-morbidity database have been reported as anaemia, neutropenia, thrombocytopenia, bowel obstruction, renal failure, and secondary MDS/AML and other secondary cancers. Some comorbidities may be due to prior treatment.

An increased risk of primary breast, ovarian and prostate cancer in addition to secondary cancers has been reported among *gBRCA* mutation carriers (Bergfeldt et al 1995).

II: 1.2 Breast Cancer

Incidence

Female breast cancer (ICD-10 code: C50) surpassed lung cancer as the global leading cancer in 2020 with an estimated incidence of 2.3 million newly diagnosed cases, representing 11.7% of all cancer cases. In 2020, a total of 684,996 women died of breast cancer, representing 6.9% of all cancer deaths (Sung et al 2021, WHO Cancer today 2020). The global incidence rate of breast cancer in 2020 among women was 24.5% and the mortality rate was 15.5%, counting for 1 in 4 cancer cases and 1 in 6 cancer deaths. The incidence was the highest among all cancers in the vast majority of countries (159 of 185 countries) with the highest mortality in 110 countries (Sung et al 2021). However, these numbers vary widely in different geographical regions of the world. Incidence rates are reportedly 88% higher in transitioned countries than in transitioning countries¹ (55.9 and 29.7 per 100,000, respectively), with the highest incidence rates (>80.0 per 100,000) in Australia/New Zealand, Western Europe (Belgium has the world's highest incidence: 113.2 per 100,000) (WHO Cancer today 2020), Northern America, and Northern Europe and the lowest rates (<40.0 per 100,000) in Central America, Eastern and Middle Africa, and South Central Asia (Sung et al 2021). In the European Community in 2020, the number of cases of breast cancer in women and men was

¹ Here, we use the term transitioning for nations classified as low or medium Human Development Index (HDI), and we use transitioned for those classified as high or very high HDI.

355,457 and the number of deaths was 91,826 (ECIS 2020). Metastatic breast cancer remains an incurable disease with an estimated 5-year OS of 25.0% (ESMO 2019).

More breast cancer patients are diagnosed at an early stage (79.0% to 87.0% are diagnosed at Stage I or II), than a late stage (13.0% to 21.0% are diagnosed at Stage III or IV). Between 6.0% and 7.0% of people have metastases at diagnosis (Stage IV) (Cancer Research UK). Stage at diagnosis has been associated with a degree of deprivation, age, and ethnicity (Lyrtzopoulos et al 2013, Public Health England 2020). The incidence data presented here represents the majority of the patient population, diagnosed at an early stage, and also represented in the OlympiA study.

Breast cancer is a heterogeneous disease and optimal treatment depends on pathological and molecular characterisation of the tumour. Early-stage breast cancer (Stages I to III) is defined as disease confined to the breast with or without regional lymph node involvement and in the absence of metastatic disease. Treatment for Stages I to III breast cancer usually includes surgery and radiation therapy, with the addition of chemotherapy for patients with high risk of recurrence, either before (neoadjuvant) or after (adjuvant) surgery. Other drug therapies including endocrine and anti-HER2 therapy are additionally given depending on ER and/or PgR and HER2 status.

Prevalence

At the end of 2020, there were 7.8 million women who had been diagnosed with breast cancer within the past 5 years, making it the world's most prevalent cancer (WHO Breast cancer 2021).

Tumour *BRCA* mutations (*tBRCAm*) can be of germline or somatic origin. Published analysis conducted at AstraZeneca, including tumours from patients coming from the US, Canada and Europe, indicate that 6.6% to 9.5% of breast cancers have loss of function *BRCA* mutations detectable in tumours, with around 3% to 5% of germline origin and at least 2% of somatic origin (Polak et al 2017, Sokol et al 2020). Moreover, studies examining the prevalence of *gBRCA* mutations in unselected European primary breast cancer patient populations report a range of 1.7% to 7.3% (Cortesi et al 2021, Høberg-Vetti et al 2016, Nilsson et al 2018, Winter et al 2016, van den Broek et al 2015); a single European study on patients diagnosed with primary breast cancer (Winter et al 2016) reports a *sBRCAm* prevalence of 3%. Mutation carriers are mostly younger and have a family history of breast and/or ovarian cancer.

Patients with a *BRCA1* pathogenic or likely pathogenic variant are particularly predisposed to TNBC (ie, negative for HER2, ER and PgR), whereas ER and PgR positive tumours often develop in patients who carry *BRCA2* pathogenic or likely pathogenic variant (Atchley et al 2008, Lakhani et al 2002, Mavaddat et al 2012).

Demographics of the Population in the Proposed Indication and Risk Factors for the Disease

Breast cancer incidence is strongly associated with age, with age-specific incidence rates increasing steadily from age 30 to 34 and more steeply from age 70 to 74 (NICE 2018).

Risk factors for breast cancer include modifiable factors such as weight, physical activity, hormone replacement therapy, nulliparity, breast feeding, ionizing radiation, and alcohol consumption; and non-modifiable factors such as age, sex, family history with or without high-risk germline mutation(s), early menarche, and dense breasts (Kluttig and Schmidt-Pokrzywniak 2009).

A prospective study evaluating over 9,000 *BRCA1/2* mutation carriers (majority from Europe) reported that the cumulative breast cancer risk to age 80 years was 72% for *BRCA1* and 69% for *BRCA2* carriers (Murthy and Muggia 2019). For subsets of the disease, *BRCA1* prevalence may be associated with younger age of onset as well as family history. According to the National Cancer Institute and the Robert Koch Institute, the overall mean age at first diagnosis for breast cancer is 63 years; while in unselected patients with TNBC, the mean age at first diagnosis is considerably earlier, with reports ranging from 51 to 58 years.

The likelihood range to detect *BRCA* pathogenic or likely pathogenic variants at any age in breast cancer patient populations is 0.4% to 7.5%, and in TNBC patient subpopulations is 2.9% to 17.5% (Pujol et al 2021).

The Main Existing Treatment Options

The decision to treat patients with early breast cancer with neoadjuvant or adjuvant chemotherapy in addition to surgery/radiotherapy is driven by the consideration of clinical characteristics, tumour stage and pathology. Randomised clinical trials have found no significant differences in long-term outcomes when systemic chemotherapy is given before or after surgery (Mauri et al 2005, Rastogi et al 2008).

For TNBC, neoadjuvant/adjuvant chemotherapy has been the main systemic treatment option for patients since hormone therapy and HER2 targeted drugs are not choices for these patients. Chemotherapy treatment of patients with early-stage ER- and/or PgR-positive HER2-negative breast cancer should depend on the individual risk of recurrence and presumed responsiveness to endocrine therapy. For HR-positive patients with ≥ 4 positive nodes at definitive surgery, NCCN guidelines recommend that all patients should receive adjuvant chemotherapy followed by endocrine therapy (NCCN Guidelines 2021); additional chemotherapy is also recommended to patients with HR-positive cancers that have high risk characteristics, such as high grade tumour, large tumour size (≥ 2 cm), pathologically involved lymph nodes, and/or high recurrence score (OncotypeDX 21-gene or other multigene assay). Adjuvant endocrine

therapy is recommended following neo/adjuvant chemotherapy for all ER- and/or PgR-positive HER2-negative patients ([ESMO 2019](#), [NCCN Guidelines 2021](#)).

Standard neo/adjuvant chemotherapy for HER2-negative early disease is an anthracycline alkylator, and taxane-containing regimen. The ESMO Guidelines ([ESMO 2019](#)) recommend that a sequential anthracycline/taxane-based regimen be standard for the majority of patients. The NCCN and ESMO guidelines consider there is insufficient evidence for the routine use of platinum in neoadjuvant regimens for TNBC patients. Whilst platinum compounds are not routinely recommended, the addition of a platinum compound may be considered in high risk TNBC patients with deleterious *BRCA1/2* mutations ([ESMO 2019](#)) and in select patients where better local control is desirable ([NCCN Guidelines 2021](#)). In high risk TNBC patients not achieving pathological CR after standard neoadjuvant chemotherapy, the addition of adjuvant capecitabine post-operatively may be considered ([ESMO 2019](#), [NCCN Guidelines 2021](#)).

Recently the results from the OlympiA study have been incorporated into the NCCN guidelines as being recommended for high-risk breast cancer patients with HER2-negative disease and *gBRCA* mutation. The preferred regimen is 300 mg bd for 1 year ([NCCN Guidelines 2021](#)). In addition, the St Gallen guidelines have recommended that adjuvant olaparib for women with Stage II or III, HER2-negative cancers meeting the eligibility criteria of the OlympiA study should be considered for treatment with olaparib. These recommendations are irrespective of ER status or prior platinum chemotherapy treatment. Genetic testing for a confirmed *gBRCA* mutation is recommended ([Burstein et al 2021](#)).

Recently pembrolizumab has been approved in the US and is under review in the EU for the treatment of patients with high-risk early stage TNBC in combination with chemotherapy as neoadjuvant treatment, and then continued as a single agent as adjuvant treatment after surgery ([Keytruda USPI 2021](#)).

Natural History of the Indicated Condition in the Untreated Population, Including Mortality and Morbidity

Breast cancer is the fifth leading cause of cancer mortality worldwide, with 685,000 deaths. Among women, breast cancer represents 1 in 4 cancer cases and 1 in 6 cancer deaths, ranking first for incidence in the vast majority of countries ([WHO Breast cancer 2021](#)). Breast cancer incidence in transitioned nations is higher, while relative mortality is greatest in transitioning nations ([Grundmann et al 2020](#)). Globally, the mortality rates of breast cancer are lower compared to incidence rates (approximately 17.7 per 100,000 compared to 58.5 per 100,000, crude rate) due to the more favourable survival of breast cancer in transitioned nations. The EUROCARE-5 study reports the European mean age-standardised 5-year relative survival for breast cancer in women is 81.8% ([De Angelis et al 2014](#)). The WHO reported in 2020 that the

breast cancer mortality in Europe was 141,765, and the crude rate 15.3 per 100,000 ([WHO Cancer today 2020](#)).

Nearly 30% of women with cancer confined to the breast and 75% of women with nodal involvement will ultimately relapse ([Rosen et al 1989](#)).

The known earlier onset of *BRCA1*m and *BRCA2*m related breast cancer, as opposed to sporadic breast cancer, is consistent with the observation that *BRCA* mutations are associated with an aggressive biology and adverse prognostic impact ([Atchley et al 2008](#)). This early onset of breast cancer associated with *gBRCA* was also evidenced by the OlympiA trial population where the median age of study participants at the time of randomisation following completion of neo/adjuvant treatment in OlympiA was 42 years ([Tutt et al 2021](#)). In the French CANTO registry, women who were *gBRCA1/2* carriers were diagnosed with primary breast cancer at an average age of 43.7 years ([Bertaut et al 2021](#)). A study based on the clinical database of the Danish Breast Cancer Group, reported that mean age at diagnosis was 42 and 46 years for *BRCA1* and *BRCA2* carriers, respectively ([Soenderstrup et al 2017](#)). Zhong et al, reported the mean age at breast diagnosis to be an average of 46.6 years for patients with *gBRCA1/2* mutations and 49.7 years for patients with *sBRCA* mutations albeit in a non-European population ([Zhong et al 2016](#)).

BRCA1/2 breast cancer characteristics include high histological grade, continuous pushing margins, TP-53 mutations, loss of RAD51 focus information, extreme genomic instability and sensitivity to DNA crosslinking agents, with *BRCA1* tumours additionally more frequently basal-like and ER-negative ([Turner et al 2004](#)). TNBC, which is more frequently associated with *BRCA1*m, generally has a poor prognosis despite high sensitivity to chemotherapy ([Metzger-Filho et al 2012](#)) with early recurrence between the first and third year after diagnosis, frequently in association with visceral and/or brain metastases and a shorter period between time of recurrence and death ([Dent et al 2007](#)). Within *BRCAm* tumours, the proportions with high-risk features are similar for each gene, regardless of the mutation being germline or somatic ([Winter et al 2016](#)). When compared to *BRCA* wildtype primary breast carcinomas, tumours harbouring a *BRCA1/2* mutation (*gBRCA1* n=10, *gBRCA2* n=10, *sBRCA1* n=4, *sBRCA2* n=5), showed a higher proportion of patients with higher risk features including N1-N3, grade 3 tumours, ER/PR-negative disease and basal subtype ([Winter et al 2016](#)).

Breast cancer patients with a *BRCA1* mutation frequently experience metastasis to lung and distant lymph nodes, and *BRCA2* mutation carriers most often to bone and liver; the data also indicate that at least one-half of patients with *BRCA1*-associated or *BRCA2*-associated metastatic breast cancer will develop CNS metastases. Involvement of CNS and other non-CNS distant sites (relative to locoregional recurrence or contralateral disease) as first recurrence events were associated with increased mortality risk ([Song et al 2020](#)).

Important Co-morbidities:

The prevalence of co-morbidities among women treated for breast cancer is higher in the older population segment (≥ 66 years old): 32.2%, a statistic comparable to those without cancer at 31.8%. A study with breast cancer survivors reported that the 5 most prevalent co-morbidities in this patient population were: hypertension (32.8%), arthritis (32.8%), thyroid problem (22.4%) hypercholesterolemia (12.7%), and diabetes (12.0%). Co-morbidities, specifically hypertension, arthritis, and diabetes, were associated with poorer quality of life in multiple domains among breast cancer survivors (Fu et al 2015). In a study published in 2019, the most prevalent baseline comorbidity reported was cardiovascular conditions (39.0%), followed by pain/pain-inflammation (34.8%) (Ng et al 2019).

II: 1.3 Pancreatic Cancer

Incidence

Pancreatic cancer was the thirteenth most frequent cancer worldwide with an estimated 458,918 new cases diagnosed in 2018 (Bray et al 2018). Globally, age-standardised incidence rates (per 100,000 per year) were lowest in Africa (2.2) and highest in Europe (7.7) and North America (7.6) (Globocan 2018). In the US in 2019, pancreatic cancer is estimated to be the ninth most common newly diagnosed cancer (56,770 new cases) (American Cancer Society 2021, Siegel et al 2018). In Europe in 2018, pancreatic cancer was estimated to be the ninth most common newly diagnosed cancer (132,559 new cases) (Globocan 2018). Current trends show increasing incidence in the US and Europe, particularly for younger adults (Wu et al 2018, Rawla et al 2019). At least 50% of newly diagnosed pancreatic tumours are staged as metastatic (SEER Cancer Fact Sheet).

Prevalence

Due to the very poor prognosis of pancreatic cancer with nearly as many deaths as new cases annually, the disease prevalence is low (Bray et al 2018). The estimated 5-year prevalence of pancreatic cancer in 2018 was 282,574 worldwide, with 32,692 prevalent cases in the US and 79,268 cases in Europe (Globocan 2018).

Although carriers of loss of function germline mutations of the *BRCA1* and particularly *BRCA2* gene are known to have an increased risk of developing pancreatic cancer (Breast Cancer Linkage Consortium 1999, Goggins et al 1996), the prevalence of *gBRCA* mutations in the unselected cases of pancreatic cancer is unclear. Holter et al recently reported on a prospective analysis of the prevalence of *gBRCA1/2* mutations in a cohort of 306 unselected patients with incident PDAC diagnoses and identified *gBRCA* mutations in ~5% of patients (Holter et al 2015). Furthermore, Shindo et al recently identified BRCA mutations in 1.8% of patients in a cohort of 854 patients with PDAC (Shindo et al 2017) and Blair et al identified BRCA mutations in 3.3% of patients in a cohort of 658 patients with resected sporadic PDAC (Blair et al 2018). There are specific populations, however, where the association is much

stronger. In Ashkenazi Jewish patients with pancreatic cancer, the prevalence of *gBRCA* mutations is 6% to 10% in unselected patients (Ferrone et al 2009, Ozcelik et al 1997, Ozcelik et al 1997) and 15% in patients with a family history of the disease (Kim et al 2012). In pancreatic cancer patients with a family history of the disease, prevalence of carrying a germline *BRCA2* mutation as high as 17% to 19% has been reported (Hahn et al 2003, Murthy and Muggia 2019).

Demographics of the Population in the Proposed Indication and Risk Factors for the Disease

Pancreatic cancer incidence is higher for men than women and increases with age; the median age at diagnosis in the US is 70 years (Ferlay et al 2018a, SEER Cancer Fact Sheet). There is some evidence that patients carrying *BRCA* mutations are diagnosed at a younger age; however, results are not consistent (Bannon et al 2018, Holter et al 2015, Hu et al 2018, Toss et al 2019).

The causes of pancreatic cancer are not well understood. However, the strongest identified risk factors are tobacco smoking, family history of pancreatic cancer, heavy alcohol consumption, and *Helicobacter pylori* infection (Maisonneuve et al 2015, Rawla et al 2019). Additional potential risk factors include obesity, diabetes, non-O blood type, exposure to chemicals, chronic pancreatitis, and genetic predisposition, including *BRCA* germline mutations (Iqbal et al 2012, Maisonneuve et al 2015, Rawla et al 2019). Breast cancer susceptibility gene mutated cancer is more common among patients with a personal history of cancer or family history of several cancers, including pancreatic, or those of Ashkenazi Jewish heritage (Bannon et al 2018, Chaffee et al 2018, Holter et al 2015).

The Main Existing Treatment Options

There are limited treatment options available for patients, surgery remains the only option for cure. The 2 preferred regimens for initial treatment of metastatic disease include the combination of 5-FU, irinotecan, leucovorin, and oxaliplatin (FOLFIRINOX) or gemcitabine in combination with nab paclitaxel (Ducreux et al 2015, NCCN 2019). Gemcitabine alone or in combination with erlotinib may also be used in the first line treatment setting (Sohal et al 2018). However, exacerbated toxicities are associated with combination platinum-based chemotherapy regimens (eg, Grade 3 or 4 neutropenia and sensory neuropathy; Conroy et al 2011) which generally limit the number of cycles of treatment that can be given, meaning chemotherapy cannot generally be continued until disease progression.

Furthermore, few second line regimens are available for the treatment of patients with pancreatic cancer (American Cancer Society 2019), and these agents offer modest benefit (Rahma et al 2013). In 2015 in the US and 2016 in the EU, liposomal irinotecan (Onivyde™) was approved in combination with 5-FU and leucovorin, as second line treatment after progression following gemcitabine-based therapy for patients with metastatic adenocarcinoma

of the pancreas. 5-fluorouracil/leucovorin plus oxaliplatin may be considered as second line treatment under certain circumstances, for patients who received gemcitabine plus nab paclitaxel as first line treatment, have an ECOG performance status of 0 or 1 and a relatively favourable co morbidity profile (Ducreux et al 2015, Sohal et al 2018).

Natural History of the Indicated Condition in the Untreated Population, Including Mortality and Morbidity

Pancreatic cancer is associated with a very poor prognosis. Worldwide in 2018, pancreatic cancer was estimated to be the seventh most common cause of cancer death (432,242 deaths) (Globocan 2018). Pancreatic cancer is the fourth most common cause of cancer-related death in Europe, accounting for 6.6% of all cancer deaths (Ferlay et al 2018a). In the US in 2019, pancreatic cancer is estimated to be the third most common cause of cancer death (45,750 deaths) (American Cancer Society 2019, Siegel et al 2019). The proportion of cases diagnosed with localised, regional, or distant disease in the US is 10%, 29%, and 52%, respectively (therefore 80% of patients are diagnosed at an unresectable stage), and the 5 year survival rate for patients diagnosed with metastatic disease is only 3% (SEER Cancer Fact Sheet American Cancer Society 2019). In Europe, the overall 5-year survival is 6% to 10% and the median survival for patients with metastatic disease is 2.8 to 5.7 months (Bouvier et al 2017, Carrato et al 2015, Lepage et al 2015, Minicozzi et al 2018). Survival rates have not shown much improvement over the past several decades (Rawla et al 2019). There is some evidence that prognosis for *BRCA* mutation carriers is worse compared to those without mutations (Blair et al 2018, Ferrone et al 2009). The poor outcomes observed for pancreatic cancer are largely due to the late presentation of the disease as optimum screening tests have yet to be identified (McGuigan et al 2018).

Important Co-morbidities:

Data related to comorbidity prevalence among pancreatic cancer patients, including those with *BRCA* mutations, are scarce. Common important co-morbidities expected in many older adults with cancer include hypertension, ischemic heart disease, heart failure, chronic obstructive pulmonary disease, anaemia and diabetes (Williams et al 2016). Some comorbidities may be due to prior treatment.

II: 1.4 Prostate Cancer

Incidence

Prostate cancer is the second most common newly diagnosed cancer in men worldwide, ranking as the fifth leading cause of cancer death among males (Globocan 2020). In the US and Europe, prostate cancer is the leading male cancer diagnosis, ranking as the second and third most common cause of cancer death, respectively (American Cancer Society 2021, Siegel et al 2021, ECIS 2020). In 2020, in the EU-27 countries, there were 335,514 newly diagnosed prostate cancer cases (ECIS 2020). In 2021, it is estimated that there will be

248,530 newly diagnosed prostate cancer cases in the US ([American Cancer Society 2021](#), [Siegel et al 2021](#)).

Almost all patients in advanced stages will ultimately develop mCRPC, which progresses rapidly ([Scher et al 2015](#)). A systematic literature review identified that among patients with non-metastatic CRPC, nearly 60% developed metastatic disease during the first 5 years, with most of the metastases occurring within the first 3 years and one-third of patients developed bone metastases within 2 years ([Kirby et al 2011](#)).

Prevalence

Improvements in screening, usually via prostate specific antigen testing and family history ([Djulgovic et al 2010](#)), and decreases in mortality rates in many countries have led to an increase in the prevalence of prostate cancer worldwide ([Bray et al 2018](#)). The estimated five-year prevalence in 2018 was 3.7 million men worldwide, with a prevalence of 813,547 in North America and 1.5 million in Europe ([Ferlay et al 2018b](#)). Evidence on the prevalence of CRPC among men with prostate cancer has previously been estimated between 19% and 53% ([Berruti et al 2007](#), [Bianco et al 2003](#)).

Demographics of the Population in the Indication and Risk Factors for the Disease

Prostate cancer is generally a disease of older age, with most cases being diagnosed in men in their 60s and 70s ([Pettersson et al 2018](#)). The age-specific incidence has shifted in areas where screening has been commonly implemented, and men are more often being diagnosed in their 50s and younger ([Lowe et al 2003](#)). In particular, in the US, African-American men and Jamaican men of African descent are more likely to develop prostate cancer than Caucasian men, and they are also more likely to be in an advanced stage at the time of diagnosis, with more aggressive high-grade tumours. A modest increase in prostate cancer risk is also associated with increasing body mass index ([MacInnis et al 2006](#)) as well as smoking ([Huncharek et al 2010](#)).

Genetic risk factors for prostate cancer have previously been identified; *BRCA* mutations are the most well-known. Prostate cancer in men with a germline *BRCAM* appears to occur at a younger age at presentation, has a more aggressive phenotype and is associated with significantly reduced survival times than in patients without a *BRCAM* ([Castro et al 2013](#), [NCCN 2019 Prostate](#)). Other inherited factors are associated with 5% to 9% of prostate cancers ([Hemminki and Czene 2002](#)) with the risk being higher in patients with relatives who have been diagnosed with the disease ([Bruner et al 2003](#), [Kicinski et al 2011](#)). Further, men with Lynch syndrome, a genetic , , occurring in ~1% of prostate cancers ([NCCN 2019 Prostate](#)), have a 2- to 6-fold increase in the risk of prostate cancer ([Mohler et al 2019](#)).

The Main Existing Treatment Options

Available therapy for patients with mCRPC in the US and EU includes docetaxel, enzalutamide, abiraterone, cabazitaxel, Radium-223, and olaparib. In the US, sipuleucel-T and rucaparib are also approved.

For patients who have not received prior treatment with docetaxel or an NHA, the preferred NCCN and ESMO regimens ([NCCN Prostate Cancer Guidelines 2021](#), [Parker et al 2020](#)) for systemic treatment of M1 CRPC include abiraterone, docetaxel, and enzalutamide.

Sipuleucel-T (Category 1; US only) is only recommended for specific patients. Abiraterone and enzalutamide remain preferred Category 1 NHAs after systemic treatment with docetaxel in the M1 CRPC disease state. A Category 1 designation signifies that, based upon high-level evidence, there is uniform NCCN consensus that the intervention is appropriate.

New hormonal agents are potent, orally available treatment options with a favourable tolerability profile. Abiraterone and enzalutamide are authorised in the US and EU for the treatment of patients with mCRPC who have received prior chemotherapy containing docetaxel and also for use in the first-line metastatic (pre-chemotherapy) setting. Since their approval in the frontline setting, NHAs have increasingly replaced docetaxel globally as the preferred choice of first-line therapy for mCRPC ([Flaig et al 2016](#), [Parker et al 2020](#)).

Radium-223 is also used in certain circumstances in both frontline and later settings for bone metastases (Radium-223 is not recommended in combination with abiraterone acetate plus prednisone/prednisolone or in patients with visceral metastases) and mitoxantrone can be used for palliation in symptomatic patients with visceral metastases who cannot tolerate other therapies.

All approved therapy options for frontline treatment of mCRPC are also available for later treatments. In addition, cabazitaxel and the PARP inhibitors olaparib (homologous recombination repair gene mutation [HRRm] patients in the US, *BRCAm* patients in the EU) and rucaparib (*BRCAm* patients in the US) are also approved as subsequent therapies for mCRPC.

Natural History of the Indicated Condition in the Untreated Population, Including Mortality and Morbidity

Prostate cancer is the fifth leading cause of cancer death among men worldwide with the burden expected to increase from 359,000 deaths in 2018 to 740,000 deaths by 2040. This is mostly attributed to the growth and aging of the population since almost 55% of all prostate cancer deaths occur after the age of 65 ([Bray et al 2018](#)). Mortality rates are highly variable worldwide, with the highest rates reported in Central America (10.7 per 100,000), and the lowest rates in Asia (South-Central [3.3], Eastern [4.7] and South-Eastern [5.4]) and Northern Africa [5.8]) ([Ferlay et al 2018b](#)).

Metastatic castration-resistant prostate cancer is associated with a range of symptoms but is predominantly characterised by bone pain, fatigue, and urinary dysfunction (Gater et al 2011, Lindqvist et al 2008). Around 90% of patients with mCRPC have bone metastases (de Bono et al 2010, de Bono et al 2011, Scher et al 2012), which leads to significant morbidity, including pain and skeletal-related events such as spinal cord compression and pathological fractures, which require interventions such as bone surgery or radiation therapy (El-Amm et al 2013). Existing bone-targeted therapies (zoledronic acid, denosumab) reduce the number of bone complications incompletely without a documented positive impact on OS (Saad et al 2004, Fizazi et al 2011).

Prostate cancer is amenable to curative therapy if detected early; however, advanced stages are life-threatening. Surgical or medical castration is the mainstay of therapy for advanced prostate cancer. However, many diagnosed patients will eventually experience disease progression, and castration-resistant prostate cancer ensues. Once prostate cancer becomes castration resistant and progresses to a metastatic stage the disease is not curable (median survival ranges from 9 -13 months), and treatment must focus on extending life, delaying disease progression and improving quality of life (Kirby et al 2011). Additionally, mCRPC patients with a homologous recombination repair gene mutation (such as *BRC A2*) have been generally shown to have a poorer prognosis compared with an unselected population (Castro et al 2019).

Important Co-morbidities

Co-morbidities associated with prostate cancer are linked with race, stage, and age at diagnosis (Xiao et al 2013, Evans et al 2008). Analysis of data from a US population-based cancer registry showed that, out of 60,497 patients with prostate cancer identified, the most prevalent co-morbidities were hypertension (42.3%), genitourinary system disease (24.4%), endocrine, nutritional/metabolic and immunity disorders (19.29%), digestive system disease (15.23%), ischemic heart disease (13.86%), musculoskeletal and connective tissue disease (11.75%), and diabetes (10.46%) (Xiao et al 2013).

Venous thromboembolism (VTE) is an important co-morbidity occurring in 1% to 2% of patients with prostate cancer (,). The risk of VTE increases almost three-fold during the first year and doubles during the second through fifth years after diagnosis of prostate cancer, and is higher in older patients, those with metastases, those with high Gleason scores, and those who underwent surgery (Ording et al 2015). In men on ADT, the incidence of thromboembolic disease increases with the duration of therapy and the risk is highest for those who switched regimen, suggesting that both disease progression and ADT contribute to the propagation of risk of thromboembolic disease (O'Farrell et al 2016).

II: 2 MODULE SII: NONCLINICAL PART OF THE SAFETY SPECIFICATION

II: 2.1 Summary of Key Safety Findings from Nonclinical Data

Toxicity

Key Issues Identified from Acute Repeat Dose Toxicity Studies

The principal target organ for toxicity following repeat dosing for up to 6 months was the bone marrow, with associated changes in peripheral haematology parameters in both rats and dogs. All haematological changes seen in rats and dogs in the 1-month studies showed full or partial reversibility following a 28-day recovery period. Steady-state exposures at the highest dose levels used in the repeat-dose rat and dog toxicity studies were notably lower than those achieved in humans at the recommended clinical dose.

Studies using human bone marrow cells demonstrated that direct exposure to olaparib can result in toxicity to bone marrow cells in ex vivo assays.

Subsequent postmarketing experience/clinical practice has provided sufficient rationale to exclude this finding as a safety concern for olaparib.

Reproductive and Developmental Toxicity

In female rats, although conception rates were unaffected by pre- and peri-conception dosing, embryofoetal survival was decreased. In embryofoetal development studies in rats, olaparib caused reductions in early embryofoetal survival and foetal weights at exposures that did not induce significant maternal toxicity and were significantly lower than those achieved in humans at the efficacious clinical dose.

These reproductive and development toxicity data indicate that olaparib may cause foetal harm in women of child bearing potential. Effects on embryofoetal survival and abnormal development is included in this RMP as an important potential risk for olaparib.

Genotoxicity

Olaparib was not mutagenic in a bacterial mutation test (Ames), but was clastogenic in a chromosome aberration test *in vitro* and induced micronuclei in the bone marrow of rats following oral dosing.

Genotoxicity has the potential to lead to the development of new malignancies and adversely affect embryofoetal survival and development. MDS/AML, other new primary malignancies and effects on embryofoetal survival and abnormal development are included in this RMP as either important identified or potential risks for olaparib.

Carcinogenicity

Carcinogenicity studies have not been conducted with olaparib in accordance with International Council for Harmonisation Technical Requirements for Pharmaceuticals for Human Use (ICH) S9 guidelines.

II: 3 MODULE III: CLINICAL TRIAL EXPOSURE

II: 3.1 Clinical Trial Exposure

II: 3.1.1 Overview of Olaparib Clinical Programme

As of 15 June 2021, an estimated 17,923 patients with ovarian, breast, pancreatic, prostate, gastric or a variety of other solid tumours had received treatment with olaparib in monotherapy and combination therapy, including AstraZeneca sponsored studies, AstraZeneca-Merck Alliance sponsored and investigator sponsored/collaborative studies.

Data in this section are presented from the monotherapy all doses pool, consisting of patients who have received olaparib monotherapy treatment at any dose in AstraZeneca-Merck Alliance sponsored monotherapy studies (N=4464) (see [Table II-6](#) to [Table II-13](#) below).

Data in Section [II: 7.3](#) are presented for the monotherapy therapeutic dose pool, which consists of patients who have received olaparib capsules at the therapeutic dose of 400 mg bd and patients who were intended to receive the tablet formulation of olaparib at a dose of 300 mg bd as a monotherapy and who received olaparib treatment (N=4098). This pool is used to characterise the important identified risk of MDS/AML, and the important potential risk of NPMs. For studies included in the therapeutic dose pool see footnote 1 in this section under capsule and tablet studies.

Exposure data is also presented for the PAOLA-1 study, for olaparib in combination with bevacizumab (see [Table II-4](#) to [Table II-5](#) below).

Pooled exposure data is presented for the PROpel study and Study 8, for olaparib in combination with abiraterone (see [Table II-1](#), [Table II-2](#), and [Table II-3](#)).

MONOTHERAPY EXPOSURE

Studies Included in the Monotherapy All Doses Pool

Capsule Studies

All studies are completed.

- D0810C00019 (Study 19, pivotal study): Phase II placebo-controlled multicentre study in PSR ovarian cancer¹.
- D0810C00001 (Study 01): Phase I study in Japanese patients with advanced solid tumours¹.
- D0810C00002 (Study 02): Phase I pharmacokinetic (PK) study in advanced solid tumours¹.
- D0810C00007: Phase I PK study in breast cancer¹.
- D0810C00008: Phase II proof-of-concept study in *gBRCA* breast cancer¹.
- D0810C00009 (Study 09): Phase II proof-of-concept study in *gBRCA* ovarian cancer¹.

- D0810C00010 (Study 10): Phase I metabolism, excretion and PK study in advanced solid tumours.
- D0810C00012 (Study 12): Phase II dose-response study versus pegylated liposomal doxorubicin in *gBRCA* recurrent ovarian cancer¹.
- D0810C00020 (Study 20): Phase II study in *gBRCA* or high-grade serous/undifferentiated ovarian cancer, and *gBRCA* or TNBC¹.
- D0810C00024 (Study 24): Phase I relative bioavailability study in advanced solid tumours¹.
- D0810C00042: Phase II study in *gBRCAm* advanced tumours, including ovarian cancer¹.
- D081AC00001: Phase I study of food impact on PK in advanced solid tumours¹.
- D0910C00008: Phase II study in patients with colorectal cancer¹.
- D0816C00012 (ORZORA): Phase IV study in patients with PSR somatic or germline *BRCA* mutated ovarian cancer¹.

¹ These studies are included in the therapeutic dose pool.

Tablet Studies

- D081CC00006 (OlympiA, pivotal study): Phase III study in patients with *gBRCA1/2* mutations in high risk HER2 negative early breast cancer. Primary analysis DCO: 27 March 2020¹.
- D081DC00007 (PROfound, pivotal study): Phase III study in mCRPC patients with HRR gene mutations who have failed prior treatment with an NHA. Primary analysis DCO: 4 June 2019¹.
- D081FC00001 (POLO, pivotal study): Phase III study in *gBRCA* mutated metastatic pancreatic adenocarcinoma. Primary analysis DCO: 15 January 2019¹.
- D0816C00010 (SOLO3, FDA Post-approval commitment): Phase III study in *gBRCA* mutated advanced platinum-sensitive relapsed ovarian cancer after at least 2 prior lines of chemotherapy. Final Overall Survival DCO: 16 April 2021¹.
- D0818C00001 (SOLO1, pivotal study): Phase III in newly diagnosed *BRCAm* ovarian cancer. Primary analysis DCO: Main cohort and China cohort 17 May 2018. OS DCO: 5 March 2020¹.
- D0816C00002 (SOLO2, pivotal study): Phase III study in platinum sensitive serous ovarian cancer. Primary analysis DCO: Main cohort 19 September 2016; China cohort 16 January 2017. OS DCO: 3 February 2020¹.
- D0819C00003 (OlympiAD pivotal study): Phase III study in HER2-negative breast cancer patients with *gBRCA* 1 or 2 mutations¹.
- D0816C00020 (OPINION): Phase III study in non-germline *BRCA* mutated ovarian cancer¹.
- D0816L00003 (LIGHT): Phase II study in patients with different HRD tumour status, ovarian, fallopian tube, or primary peritoneal cancer after at least 2 prior lines of chemotherapy¹.

- D5336C00001 (VIOLETTE): Phase II study in patients with metastatic triple-negative breast cancer. Only the monotherapy arm included¹.
- D0810C00024 (Study 24): Phase I study of relative bioavailability in advanced solid tumours¹.
- D0816C00004 (Study 04): Phase I food interaction and QT study in advanced solid tumours¹.
- D0816C00005 (Study 05): Phase I hepatic impairment study in advanced solid tumours¹.
- D0816C00006 (Study 06): Phase I renal impairment study in advanced solid tumours¹.
- D0816C00007 (Study 07): Phase I CYP inhibitor and QT study in advanced solid tumours¹.
- D0816C00008 (Study 08): Phase I CYP induction study in advanced solid tumours¹.
- D081BC00001: Phase I Japan monotherapy study in advanced solid tumours¹.
- D081CC00001: Phase I drug-interaction study: olaparib and anti-hormonal agents¹.
- D081BC00002: Phase I China PK study in advanced solid tumours¹.

¹ These studies are included in the therapeutic dose pool.

COMBINATION THERAPY

- D081SC00001 (PROpel, pivotal study): Phase III study, olaparib plus abiraterone as first-line treatment in patients with mCRPC. Interim analysis DCO: 30 July 2021.
- D081DC00008 (Study 8): Phase II study, olaparib plus abiraterone in patients with mCRPC with prior docetaxel-containing chemotherapy.
- Study D0817C00003 (PAOLA-1, pivotal study): Phase III, olaparib plus bevacizumab as first line maintenance treatment in patients with advanced ovarian cancer. Final analysis PFS2 and interim analysis OS DCO: 22 March 2020.

Exposure for Combination Therapy

Pooled exposure for Olaparib in Combination with Abiraterone

All patients were male and had prostate cancer.

Table II-1 Duration of Olaparib Exposure in Combination with Abiraterone

Duration of exposure Total patient population	Number of patients on active treatment	Patient years
>0	469	580.8
≥1 week (7 days)	469	580.8
≥1 month (30 days)	465	580.5
≥3 months (91 days)	422	572.8
≥6 months (183 days)	360	549.2
≥12 months (365 days)	275	484.9
≥18 months (548 days)	206	397.2
≥24 months (731 days)	72	164.4
≥30 months (913 days)	10	25.8
TOTAL PERSON TIME		580.8

Table II-2 Olaparib Exposure in Combination with Abiraterone by Age Group

Age group (years)	Number of patients	Patient years
Total patient population	469	580.8
<35	0	0.0
35-49	8	7.4
50-64	139	191.1
65-74	208	264.7
75-84	106	111.4
≥85	8	6.2

Table II-3 Olaparib Exposure in Combination with Abiraterone by Ethnic or Racial Origin

Racial origin	Number of patients	Patient years
Total patient population	469	580.8
White	348	420.1
Asian	67	96.5
Black or African American	15	18.2
Native Hawaiian or other Pacific Islander	2	2.6
American Indian or Alaska Native	1	0.2
Other	14	16.9
Missing	22	26.2

Exposure for Olaparib in Combination with Bevacizumab

All patients were female and had ovarian cancer.

Ethnic or racial origin was not collected.

Table II-4 Duration of Olaparib Exposure in Combination with Bevacizumab

Duration of exposure (at least)	Number of patients on active treatment	Patient years
Total patient population		
>0	535	689.1
≥1 week (7 days)	526	689.0
≥1 month (30 days)	506	688.1
≥3 months (91 days)	468	681.9
≥6 months (183 days)	415	661.6
≥12 months (365 days)	331	601.2
≥18 months (548 days)	259	508.1
≥24 months (731 days)	133	271.7
≥30 months (913 days)	2	5.8
TOTAL PERSON TIME		689.1

Note: this includes all patients, irrespective of *gBRCA* mutation status.

Exposure data in the olaparib + bevacizumab combination includes patients randomised to receive olaparib for up to 2 years. During this time, patients continued to receive bevacizumab (in combination with olaparib) for up to 15 months in total.

Table II-5 Olaparib Exposure in Combination with Bevacizumab by Age Group and Sex

Age group (years)	Number of patients	Patient years
	Female	Female
Total patient population	535	689.1
<35	2	3.3
35-49	64	90.8
50-64	265	345.7
65-74	172	213.5
75-84	31	33.8
≥85	1	2.0

Monotherapy Exposure

Table II-6 Monotherapy Exposure by Tumour Type

Tumour type	Number of patients	Patient years
Ovarian cancer	2309	2637.5
Breast cancer	1520	1078.7
Pancreatic cancer	130	120.9
Prostate cancer	280	209.6
Other ^a	226	58.3
TOTAL	4464	4104.6

Note: this includes all patients, irrespective of *gBRCA* mutation status.

^a 'Other' are data from studies where olaparib has been used to treat recurrent tumour types, other than ovarian, breast, pancreatic or prostate tumours, after disease progression.

Table II-7 Duration of Monotherapy Exposure

Duration of exposure (at least)	Number of patients^a	Patient years^a
Total patient population		
>0	4464	4104.6
≥1 week (7 days)	4350	4103.4
≥1 month (30 days)	4150	4092.9
≥3 months (91 days)	3453	3980.3
≥6 months (183 days)	2702	3700.1
≥12 months (365 days)	1495	2746.1
≥18 months (548 days)	715	1879.4
≥24 months (731 days)	453	1423.8
≥30 months (913 days)	204	892.9
≥36 months (1096 days)	156	763.6
≥42 months (1278 days)	129	676.4
≥48 months (1461 days)	116	627.6
≥54 months (1644 days)	101	563.9
≥60 months (1826 days)	83	479.2
≥66 months (2009 days)	53	319.7
≥72 months (2192 days)	24	154.0
≥78 months (2374 days)	9	60.8
TOTAL PERSON TIME		4104.6

^a Rows are cumulative and patients are included if they have taken treatment up to and including the treatment day based on the calculation.

Table II-8 Duration of Monotherapy Exposure by Tumour Type

Duration of exposure (at least)	Number of patients^a	Patient years^a
Ovarian cancer		
>0	2309	2637.5
≥1 week (7 days)	2294	2637.3
≥1 month (30 days)	2216	2633.4
≥3 months (91 days)	1895	2579.1
≥6 months (183 days)	1470	2418.4
≥12 months (365 days)	887	1989.9
≥18 months (548 days)	605	1644.5
≥24 months (731 days)	403	1292.6
≥30 months (913 days)	185	829.8
≥36 months (1096 days)	145	721.9
≥42 months (1278 days)	122	647.2
≥48 months (1461 days)	111	605.8
≥54 months (1644 days)	99	554.6
≥60 months (1826 days)	83	479.2
≥66 months (2009 days)	53	319.7
≥72 months (2192 days)	24	154.0
≥78 months (2374 days)	9	60.8
TOTAL PERSON TIME		2637.5
Breast cancer		
>0	1520	1078.7
≥1 week (7 days)	1430	1077.7
≥1 month (30 days)	1361	1074.0
≥3 months (91 days)	1176	1044.9
≥6 months (183 days)	975	970.8
≥12 months (365 days)	483	539.9
≥18 months (548 days)	43	88.7
≥24 months (731 days)	20	47.1
≥30 months (913 days)	5	13.9
≥36 months (1096 days)	2	6.2
TOTAL PERSON TIME		1078.7
Pancreatic cancer		
>0	130	120.9
≥1 week (7 days)	130	120.9
≥1 month (30 days)	119	120.3

Table II-8 Duration of Monotherapy Exposure by Tumour Type

Duration of exposure (at least)	Number of patients^a	Patient years^a
≥3 months (91 days)	90	116.1
≥6 months (183 days)	62	105.9
≥12 months (365 days)	39	89.6
≥18 months (548 days)	30	78.9
≥24 months (731 days)	20	61.8
≥30 months (913 days)	13	46.6
≥36 months (1096 days)	9	35.5
≥42 months (1278 days)	7	29.3
≥48 months (1461 days)	5	21.8
≥54 months (1644 days)	2	9.3
TOTAL PERSON TIME		120.9
Prostate cancer		
>0	280	209.6
≥1 week (7 days)	277	209.5
≥1 month (30 days)	269	209.2
≥3 months (91 days)	232	203.2
≥6 months (183 days)	171	180.5
≥12 months (365 days)	76	112.0
≥18 months (548 days)	34	60.5
≥24 months (731 days)	8	17.3
TOTAL PERSON TIME		209.6
Other^b		
>0	226	58.3
≥1 week (7 days)	220	58.3
≥1 month (30 days)	186	56.4
≥3 months (91 days)	61	37.4
≥6 months (183 days)	24	24.4
≥12 months (365 days)	10	14.7
≥18 months (548 days)	3	6.8
≥24 months (731 days)	2	5.0
≥30 months (913 days)	1	2.6
TOTAL PERSON TIME		58.3

^a Rows are cumulative and patients are included if they have taken treatment up to and including the treatment day based on the calculation.

^b ‘Other’ are data from studies where olaparib has been used to treat other recurrent tumour types, other than ovarian, breast, pancreatic or prostate tumours, after disease progression.

Table II-9 Monotherapy Exposure by Age Group and Sex

Age group (years)	Number of patients		Patient years	
	Male	Female	Male	Female
Total patient population	495	3969	323.1	3781.4
<35	6	287	2.0	233.3
35-49	41	1255	32.6	1145.8
50-64	189	1609	110.6	1615.3
65-74	175	653	123.4	630.3
75-84	78	158	51.6	151.0
≥85	6	7	3	5.8

Table II-10 Monotherapy Exposure by Age Group and Sex (by Tumour Type)

Age group (years)	Number of patients		Patient years	
	Male	Female	Male	Female
Ovarian cancer	0	2309	0	2637.5
<35	0	29	0	36.8
35-49	0	459	0	552.4
50-64	0	1130	0	1330.5
65-74	0	541	0	566.3
75-84	0	143	0	145.8
≥85	0	7	0	5.8
Breast cancer	11	1509	9.8	1069.0
<35	0	258	0	196.4
35-49	1	771	1.1	580.0
50-64	6	395	4.1	246.1
65-74	4	75	4.7	42.1
75-84	0	10	0	4.3
≥85	0	0	0	0
Pancreatic cancer	73	57	68.0	53.0
<35	1	0	0.5	0
35-49	17	10	24.5	9.7
50-64	37	31	29.7	25.7
65-74	15	15	9.8	17.3
75-84	3	1	3.5	0.2
≥85	0	0	0	0
Prostate cancer	280	0	209.5	0

Table II-10 Monotherapy Exposure by Age Group and Sex (by Tumour Type)

Age group (years)	Number of patients		Patient years	
	Male	Female	Male	Female
<35	0	0	0	0
35-49	5	0	2.2	0
50-64	87	0	62.1	0
65-74	123	0	96.7	0
75-84	59	0	45.7	0
≥85	6	0	3	0

Table II-11 Monotherapy Exposure by Dose and Formulation

Dose of exposure	Number of patients	Patient years
CAPSULE	1183	898.1
<100 mg bd capsule ^a	48	5.8
100 mg bd capsule	81	24.7
200 mg bd capsule	106	49.6
400 mg bd capsule	943	817.2
600 mg bd capsule	5	0.9
TABLET	3281	3206.5
150 mg bd tablet	3	2.1
200 mg bd tablet	40	25.4
250 mg bd tablet	9	6.5
250 mg tds tablet (2 weeks on, 1 off)	15	9.0
300 mg bd tablet ^b	3162	3138.0
300 mg od tablet	1	0
350 mg bd tablet	6	2.5
400 mg bd tablet	23	9.1
400 mg bd tablet (1 week on, 1 off)	16	10.4
450 mg bd tablet	6	3.3

^a <100 mg bd includes the following doses 10 mg od, 10 mg bd, 20 mg od, 30 mg bd, 40 mg od, 50 mg od, 60 mg bd, 80 mg od and 100 mg od.

^b Includes 16 patients who received the equivalent daily dose of 200 mg tds.

bd = Twice daily; od = Once daily; tds = Three times daily.

Table II-12 Monotherapy Exposure by Dose and Tumour Type for the Tablet Formulation

Dose of exposure	Number of patients	Patient years
Ovarian cancer – tablet total	1497	1861.6
200 mg bd	34	23.5
250 mg bd	6	4.7
250 mg tds (2 weeks on, 1 off)	15	9.0
300 mg bd	1392	1799.7
300 mg od	1	0
350 mg bd	6	2.5
400 mg bd	21	8.4
400 mg bd (1 week on, 1 off)	16	10.4
450 mg bd	6	3.3
Breast cancer – tablet total	1283	1002.1
150 mg bd	1	0
200 mg bd	1	0.1
250 mg bd	3	1.9
300 mg bd	1278	1000.2
Pancreatic cancer - tablet total	104	108.2
150 mg bd	1	1.7
300 mg bd	103	106.5
Prostate cancer - tablet total	267	200.2
150 mg bd	1	0.4
300 mg bd	266	199.8
Other^a– tablet total	130	34.4
200 mg bd	5	1.8
300 mg bd	123	31.9
400 mg bd	2	0.7

^a 'Other' are data from studies where olaparib has been used to treat other recurrent tumour types other than ovarian, breast, pancreatic or prostate tumours, after disease progression.

bd = Twice daily; od = Once daily; tds = Three times daily.

Table II-13 Monotherapy Exposure by Ethnic or Racial Origin

Racial origin	Number of patients	Patient years
Total patient population	4464	4104.6
White	3601	3305.1
Asian	693	674.6
Black or African American	76	52.0
American Indian or Alaska Native	13	14.9
Native Hawaiian or other Pacific Islander	2	3.1
Other	38	33.2
Missing	41	21.7

II: 4 MODULE SIV: POPULATIONS NOT STUDIED IN CLINICAL TRIALS

II: 4.1 Exclusion Criteria in Pivotal Clinical Studies within the Development Programme

Table II-14 Important Exclusion Criteria in the Pivotal Clinical Studies

Exclusion criterion	Reason for exclusion	Missing information	Rationale for NOT being missing information
Patients receiving strong inhibitors and inducers of CYP3A4	CYP3A4/5 have been shown to be the major isozymes responsible for the metabolism of olaparib in vitro. Strong inhibitors of cytochrome CYP3A4 have the potential to increase olaparib exposure and hence toxicity due to interaction. Strong inducers of cytochrome CYP3A4 have the potential to decrease olaparib exposure and hence to decrease efficacy of olaparib.	No	Data from studies D0816C00007 and D0816C00008 have provided evidence of altered olaparib exposure in patients concomitantly treated with strong inhibitors or inducers of CYP3A4. The possible outcome of this drug-drug interaction is increased toxicity or reduced efficacy, respectively. Section 4.4 of the SmPC includes wording to reduce the dose of olaparib if a strong or moderate CYP3A inhibitor must be co-administered, as well as a warning that Lynparza co-administration with strong or moderate CYP3A inducers may result in a substantial reduction in the efficacy of Lynparza. Considering the available data and the warnings and precautions provided in the SmPC, this utilisation is not considered missing information.

Table II-14 Important Exclusion Criteria in the Pivotal Clinical Studies

Exclusion criterion	Reason for exclusion	Missing information	Rationale for NOT being missing information
Resting electrocardiogram with QTc >470 msec on 2 or more time points within a 24 hour period or family history of, or congenital, long QT syndrome. In D081DC00007 (PROfound), patients with a resting ECG indicating uncontrolled, potentially reversible cardiac conditions, as judged by the investigator.	To ensure interpretation of safety and efficacy findings were not confounded by the presence of pre-existing QTc prolongation.	No	Data from studies D0816C00004 and D0816C00007 showed that no clinically relevant effect on the QTc interval was observed after single (300 mg and 100 mg, respectively) or multiple (300 mg) oral dosing of olaparib tablet. Therefore, a different safety profile to that characterised for the general target population is not anticipated in this population.
Patients with abnormal organ and bone marrow function	Criteria are based on standard exclusions for oncology clinical studies to allow adequate assessment of the safety profile of olaparib without potentially confounding haematological abnormalities at baseline. Haemoglobin <9.0 g/dL (<10 g/dL later studies), Absolute neutrophil count <1.5 × 10 ⁹ /L, Platelet count <100 × 10 ⁹ /L	No	Administration to this population is not expected due to wording on haematological toxicity in Section 4.4 of the SmPC. Considering these warnings and precautions, usage in these patients is not considered to be relevant for inclusion as missing information.
Patients with MDS/AML	Excluded in clinical studies of olaparib due to irreversible abnormality of bone marrow and inability to fulfil haematological criteria for starting olaparib treatment.	No	Administration to this population is not expected due to wording on MDS/AML in Section 4.4 of the SmPC. Considering these warnings and precautions, usage in these patients is not considered to be relevant for inclusion as missing information.

Table II-14 Important Exclusion Criteria in the Pivotal Clinical Studies

Exclusion criterion	Reason for exclusion	Missing information	Rationale for NOT being missing information
Hepatic impairment	Patients with serum bilirubin >1.5 times ULN and aspartate aminotransferase/alanine aminotransferase >2.5 times ULN (or >5 times ULN if patient had liver metastases) were excluded as no safety or PK data were available in hepatically impaired patients.	No	Data from study D0816C00005 provides evidence that Lynparza can be administered to patients with mild or moderate hepatic impairment with no dose adjustments. The study of patients with severe hepatic impairment is neither feasible nor warranted. Consequently use in this patient population is not classified as an area of missing information.
Renal impairment	Patients with serum creatinine >1.5 times ULN were excluded, as no safety or PK data were available in renally impaired patients.	No	Data from study D0816C00006 provides evidence that Lynparza can be administered to patients with mild renal impairment (creatinine clearance 51 to 80 ml/min), with no dose adjustments. The posology section of the SmPC states that the dose of Lynparza for patients with moderate renal impairment (creatinine clearance 31 to 50 ml/min) should be reduced. The study of patients with severe renal impairment and end stage renal disease is neither feasible nor warranted. Consequently use in this patient population is not classified as an area of missing information.
Pregnant women	There are no data for use of olaparib in pregnant women. Studies in animals have shown reproductive toxicity.	No	Use in this population is not expected as the SmPC states that Lynparza should not be used during pregnancy due to the potential for embryofetal toxicity identified in nonclinical studies; embryofetal survival and development is an important potential risk. Considering these factors, use in this population is not classified as an area of missing information.
Breast feeding women	There are no animal studies on the excretion of olaparib in breast milk and is unknown whether olaparib/or its metabolites are excreted in human milk.	No	Breast feeding during olaparib treatment, and for 1 month after receiving the last dose, is contraindicated, therefore this population is not relevant for the proposed indication.

Table II-14 Important Exclusion Criteria in the Pivotal Clinical Studies

Exclusion criterion	Reason for exclusion	Missing information	Rationale for NOT being missing information
Children/ adolescents	The indications under development involve cancers found in the adult population.	No	Use in these populations is not indicated, therefore this population is not relevant for the proposed indication.
Patients with a known hypersensitivity to olaparib or any of the excipients of the product.	These patients should not be treated with olaparib.	No	Hypersensitivity to Lynparza is contraindicated, therefore this population is not relevant for inclusion as missing information.
Patients with known active hepatic disease (ie, Hepatitis B or C)	Safety related: to ensure patients are able to tolerate study treatment without it impacting on existing hepatic disease.	No	There is no reason to suspect a different safety profile in these patients compared to the general target population, provided patients with active hepatic disease have adequate haematological parameters and absence of hepatic impairment and are not taking concomitant medication that would preclude Lynparza use. Therefore this population is not considered to be an area of missing information.
Immuno-compromised patients eg, patients who are known to be serologically positive for human immunodeficiency virus	To ensure interpretation of safety findings were not confounded by the presence of symptoms associated with these conditions and to minimise early withdrawal of patients who would not have been eligible to continue in the study in the event that they required active treatment with anti-retroviral agents, many of which are known to be strong CYP3A inhibitors and therefore were not to be used in the olaparib programme.	No	There is no reason to suspect a different safety profile in these patients compared to the general target population, provided that these patients have normal haematological parameters and are not taking concomitant medication that would preclude Lynparza use. Therefore this population is not considered to be an area of missing information.
Previous treatment with other PARP inhibitors	To eliminate the unknown impact of potential resistance mechanisms from prior use of other similar agents on the evaluation of efficacy and safety of olaparib.	No	A different safety profile from that established in the target population is not anticipated in this population, therefore this is not classified as an area of missing information.

Table II-14 Important Exclusion Criteria in the Pivotal Clinical Studies

Exclusion criterion	Reason for exclusion	Missing information	Rationale for NOT being missing information
Patients receiving other systemic chemotherapy/ radiotherapy within a specified period prior to study treatment or persistent toxicities \geq CTCAE Grade 2 caused by previous cancer therapy	To minimise the impact of previous chemotherapy/ radiotherapy toxicities on the evaluation of efficacy and safety.	No	There is no reason to suspect a different safety profile in these patients compared to the general target population, provided that patients have adequate haematological parameters and are not taking concomitant medication that would preclude Lynparza use. Therefore this population is not considered to be an area of missing information.
Patients with uncontrolled seizures and symptomatic uncontrolled brain metastases	To ensure interpretation of safety findings was not confounded by patients with symptomatic uncontrolled brain metastases or seizures.	No	There is no reason to suspect a different safety profile in these patients compared to the general target population, provided that patients have adequate haematological parameters and are not taking concomitant medication that would preclude Lynparza use. Therefore this population is not considered to be an area of missing information.
Patients with ECOG performance status >2	To ensure that patients were well enough to comply with study procedures and to allow adequate assessment of the efficacy and safety profile of olaparib.	No	There is no clinical reason why patients with ECOG performance status >2 should be at any higher risk from Lynparza ADRs, compared to the target population, therefore this is not considered to be an area of missing information.

II: 4.2 Limitations to Detect Adverse Reactions in Clinical Trial Development Programmes

The clinical development programme is unlikely to detect certain types of adverse reactions such as rare adverse reactions, adverse reactions with a long latency, or those caused by prolonged or cumulative exposure.

II: 4.3 Limitations in Respect to Populations Typically Under-represented in Clinical Trial Development Programmes

Table II-15 Exposure of Special Populations Included or Not in Clinical Trial Development Programmes

Type of special population	Exposure	
	Number of patients	Patient years
Pregnant women	Not included in the clinical development programme	
Breast feeding women	Not included in the clinical development programme	
Patient with relevant comorbidities:		
<ul style="list-style-type: none"> • Patients with hepatic impairment 	<p>Patients enrolled in olaparib studies had either normal hepatic function or mild hepatic impairment at study entry (total bilirubin ≤ 1.5 x ULN and aspartate aminotransferase/alanine aminotransferase ≤ 2.5 x ULN [≤ 5 x ULN if liver metastases were present]). Patients with moderate or severe hepatic impairment were not included in the clinical development programme.</p> <p>Ten patients with mild hepatic impairment and 8 patients with moderate hepatic impairment completed the single dosing phase of study D0816C00005 investigating the PK, safety and tolerability of olaparib in patients with advanced solid tumours and mild or moderate hepatic impairment; all patients continued into the continuous dosing phase of the study.</p>	
<ul style="list-style-type: none"> • Total patients with renal impairment in monotherapy pool^{a, b} <ul style="list-style-type: none"> ◦ Mild ◦ Moderate ◦ Severe ◦ End stage renal disease 	<p>1975</p> <p>1503</p> <p>455</p> <p>6</p> <p>11</p>	<p>1827.5</p> <p>1427.2</p> <p>385.5</p> <p>6.7</p> <p>8.2</p>
<ul style="list-style-type: none"> • Total patients with renal impairment in combination with bevacizumab^a <ul style="list-style-type: none"> ◦ Mild ◦ Moderate ◦ Severe ◦ End stage renal disease 	<p>331</p> <p>248</p> <p>83</p> <p>0</p> <p>0</p>	<p>408.8</p> <p>306.7</p> <p>102.1</p> <p>0</p> <p>0</p>

Table II-15 Exposure of Special Populations Included or Not in Clinical Trial Development Programmes

Type of special population	Exposure	
	Number of patients	Patient years
<ul style="list-style-type: none"> • Total patients with renal impairment in olaparib + abiraterone pool <ul style="list-style-type: none"> ◦ Mild ◦ Moderate ◦ Severe ◦ End stage renal disease 	233	277.7
<ul style="list-style-type: none"> ◦ Mild ◦ Moderate ◦ Severe ◦ End stage renal disease 	177	222.1
<ul style="list-style-type: none"> ◦ Moderate ◦ Severe ◦ End stage renal disease 	56	55.6
<ul style="list-style-type: none"> ◦ Severe ◦ End stage renal disease 	0	0.0
<ul style="list-style-type: none"> ◦ End stage renal disease 	0	0.0
<ul style="list-style-type: none"> • Patients with cardiovascular impairment • Immunocompromised patients • Patients with a disease severity different from inclusion criteria in clinical trials 	Not included in the clinical development programme	
Patients with relevant different ethnic origin ^b		
<ul style="list-style-type: none"> • In monotherapy pool <ul style="list-style-type: none"> ◦ White ◦ Black or African American ◦ Asian ◦ Other/not reported 	3601	3305.1
<ul style="list-style-type: none"> ◦ White ◦ Black or African American ◦ Asian ◦ Other/not reported 	76	52.0
<ul style="list-style-type: none"> ◦ Black or African American ◦ Asian ◦ Other/not reported 	693	674.6
<ul style="list-style-type: none"> ◦ Asian ◦ Other/not reported 	94	72.9
<ul style="list-style-type: none"> • In olaparib + abiraterone pool <ul style="list-style-type: none"> ◦ White ◦ Black or African American ◦ Asian ◦ Other/not reported 	348	420.1
<ul style="list-style-type: none"> ◦ White ◦ Black or African American ◦ Asian ◦ Other/not reported 	15	18.2
<ul style="list-style-type: none"> ◦ Black or African American ◦ Asian ◦ Other/not reported 	67	96.5
<ul style="list-style-type: none"> ◦ Asian ◦ Other/not reported 	39	45.9
Subpopulations carrying relevant genetic polymorphisms		
<ul style="list-style-type: none"> • In monotherapy pool <ul style="list-style-type: none"> ◦ <i>BRCA</i> mutated^c ◦ HRR gene mutated without <i>BRCA</i> mutation^c ◦ HRD positive without <i>BRCA</i> mutation^c 	2973	3210.7
<ul style="list-style-type: none"> ◦ <i>BRCA</i> mutated^c ◦ HRR gene mutated without <i>BRCA</i> mutation^c ◦ HRD positive without <i>BRCA</i> mutation^c 	205	145.6
<ul style="list-style-type: none"> ◦ HRR gene mutated without <i>BRCA</i> mutation^c ◦ HRD positive without <i>BRCA</i> mutation^c 	68	39.2
<ul style="list-style-type: none"> • In combination with bevacizumab <ul style="list-style-type: none"> ◦ <i>BRCA</i> mutated^c ◦ HRR gene mutated without <i>BRCA</i> mutation^c ◦ HRD positive without <i>BRCA</i> mutation^c 	158	242.9
<ul style="list-style-type: none"> ◦ <i>BRCA</i> mutated^c ◦ HRR gene mutated without <i>BRCA</i> mutation^c ◦ HRD positive without <i>BRCA</i> mutation^c 	34	36.8
<ul style="list-style-type: none"> ◦ HRR gene mutated without <i>BRCA</i> mutation^c ◦ HRD positive without <i>BRCA</i> mutation^c 	97	137.1
<ul style="list-style-type: none"> • In olaparib + abiraterone pool <ul style="list-style-type: none"> ◦ <i>BRCA</i> mutated^c ◦ HRR gene mutated without <i>BRCA</i> mutation^c 	49	72.6
<ul style="list-style-type: none"> ◦ <i>BRCA</i> mutated^c ◦ HRR gene mutated without <i>BRCA</i> mutation^c 	73	87.6

^a Renal impairment was defined using CHMP criteria (EMA/83874/2014): Normal renal elimination capacity GFR ≥ 90 mL/min; mildly decreased renal elimination capacity GFR 60-89 mL/min; moderately decreased renal elimination capacity GFR 30-59 mL/min; severely decreased renal elimination capacity GFR 15-29 mL/min; end stage renal disease GFR < 15 mL/min or requiring dialysis treatment.

^b Included in the pre-authorisation clinical development programme.

^c *BRCA* patient numbers are not absolute as not all patients were tested.

BRCA = Breast cancer susceptibility gene; CHMP = Committee for Medicinal Products for Human Use; GFR = Glomerular filtration rate; HRD = Homologous recombination deficient; HRR = Homologous recombination repair.

II: 5 MODULE SV: POST-AUTHORISATION EXPERIENCE

II: 5.1.1 Method Used to Calculate Exposure

The post-marketing patient exposure data presented is estimated based on olaparib's monthly actual ex-factory sales volume from each local marketing company. These data represent all olaparib formulations delivered to various distribution channels (for example wholesalers, pharmacies etc) worldwide.

The sales volume is provided as the number of individual capsules/tablets sold as of 31 May 2021. For olaparib capsules, the estimated post-marketing patient exposure data for the reporting period is an approximation based on the assumption that each patient took 16 capsules of olaparib a day. Therefore, a patient-year worth of exposure is calculated by multiplying 16 capsules per day by 365 days (5840 capsules per patient-year).

For olaparib tablets, the estimated post-marketing patient exposure data for the reporting period is an approximation based on the assumption that each patient took 4 tablets of olaparib a day. Therefore, a patient-year worth of exposure is calculated by multiplying 4 tablets per day by 365 days (1460 tablets per patient-year).

The current methodology does not distinguish between sales that are related to initial prescriptions versus those related to repeat prescriptions. Therefore, it is not possible to estimate the number of patients exposed to olaparib. More detailed patient-level data (eg, gender, ethnicity, age category, off-label use, specific populations etc) are not available

II: 5.1.2 Exposure

The cumulative global post-marketing patient exposure for olaparib capsules and olaparib tablets since launch (24 December 2014) through to 31 May 2021 has been estimated to be approximately 17,430 patient years for capsules and 57,247 patient-years for tablets. Olaparib capsules are no longer manufactured and this formulation has been removed from the licence.

The cumulative regional exposure data are presented in [Table II-16](#).

Table II-16 Cumulative Exposure by Region

Formulation	Europe	International	North America	Japan	Total
Capsules	65,517,468	21,759,753	14,516,096	0	101,793,317
Tablets	27,015,045	20,998,568	24,816,120	10,750,264	83,579,997

II: 6 MODULE SVI: ADDITIONAL EU REQUIREMENTS FOR THE SAFETY SPECIFICATION

Potential for Misuse for Illegal Purposes

Not applicable for olaparib.

II: 7 MODULE SVII: IDENTIFIED AND POTENTIAL RISKS

II: 7.1 Identification of Safety Concerns in the Initial RMP Submission

Not applicable.

II: 7.2 New Safety Concerns and Reclassification with a Submission of an Updated RMP

Not applicable.

II: 7.3 Details of Important Identified Risks, Important Potential Risks and Missing Information

Data presented for MDS/AML and NPMs are based on the monotherapy therapeutic dose pool; this consists of patients who have received olaparib capsules at the therapeutic dose of 400 mg bd and patients who were intended to receive the tablet formulation of olaparib at a dose of 300 mg bd as a monotherapy and who received olaparib treatment (N=3988). Data are presented for events that occurred up to the final DCO for studies within the pool.

In the majority of studies MDS/AML and the development of NPMs was actively solicited beyond the 30 day follow-up period, regardless of causality assessment; however, in the POLO study, these events were not actively solicited after the 30-day follow-up period and were not captured in the POLO study database although, where reported, they were captured in the AstraZeneca Patient Safety database.

II: 7.3.1 Presentation of Important Identified Risks Myelodysplastic Syndrome/Acute Myeloid Leukaemia

Potential Mechanisms

The pathogenesis of MDS and AML is not completely understood, but like other cancers involves the stepwise acquisition of oncogenic driver mutations. MDS and AML are clonal processes that are thought to develop from a single transformed hematopoietic progenitor cell (Walter et al 2012, Will et al 2012, Woll et al 2014). In MDS, studies suggest that the cell of origin has acquired multiple mutations resulting in dysplasia and ineffective haematopoiesis, therefore, the cytopenias and myelosuppression are an effect and consequence of the underlying disease (MDS), rather than a cause of the disease (Pang et al 2013). Olaparib is not mutagenic in the Ames test. Olaparib does demonstrate clastogenicity *in vitro* in a Chinese hamster ovary (CHO) mammalian cell assay and *in vivo* micronuclei in the bone marrow of rats, but the positive result in these studies could be expected due to the non-repair of replication errors occurring at a natural rate during cell division. Treatment with PARP inhibitors, which leads to the accumulation of DNA damage in some cells and apoptosis, could potentially contribute to the development of new primary malignancies, including MDS/AML, by creating genomic instability in the absence of apoptosis (see also New primary malignancies – Potential mechanisms section).

MDS/AML usually occurs years after cytotoxic chemotherapy and/or radiotherapy which, beside the direct induction of DNA damage, raises the role of genetic predisposition and also a stochastic occurrence of a second cancer. McNerney et al suggest that chemotherapy and/or radiotherapy directly promote clonal selection of pre-existing mutant haematopoietic stem cells (McNerney et al 2017). There are instances where blood cells contain somatic mutations of genes known to be recurrently mutated in hematologic malignancies (frequently referred to as clonal haematopoiesis) with absence of morphological evidence of disease. Recently, Bolton et al investigated the relationship between clonal haematopoiesis and PARP inhibitor therapy and found that patients exposed to PARP inhibitor therapy were more likely to have clonal haematopoiesis (33%), particularly those in the DNA damage response pathway compared to those exposed to other systemic therapies or radiation (18%), or untreated patients (16%) (Bolton et al 2020).

Evidence Source(s) and Strength of Evidence

Case reports of MDS/AML have been received from clinical studies and through spontaneous reporting.

Characterisation of the Risk

Table II-17 Important Identified Risk: Myelodysplastic Syndrome/Acute Myeloid Leukaemia

		Frequency	Seriousness		Outcome (at time of reporting)				Severity (CTCAE grade)				
		n (%) patients with AEs	Serious	Non-serious	Fatal	Ongoing	Recovered	Not reported	<3	3	4	5	Not reported
Monotherapy therapeutic dose pool (N=4098) ^a		34 (0.8)	34	0	21	10	3	0	1	11	15	7	0
Combination data	Olaparib + bevacizumab (N=535) ^b	4 (0.7)	4	0	2	1	1	0	0	1	1	2	0
	Olaparib + abiraterone (N=469) ^c	0	0	0	0	0	0	0	0	0	0	0	0

Monotherapy data:

Seriousness/Outcome: All reports of MDS/AML are medically significant and therefore serious

In 21 of the 34 cases of MDS/AML in the monotherapy therapeutic dose pool a fatal outcome was reported, with MDS/AML recorded as the primary or secondary cause of death. The time to death after olaparib was discontinued ranged from 20 to 1109 days (median 311 days). In 3 of the 21 fatal cases, patients died due to disease progression secondary to AML or MDS. In 10 of the 34 cases, MDS/AML was ongoing at the time of reporting, and in 3 cases the outcome was reported as recovered.

Nature of risk (Olaparib monotherapy therapeutic dose pool cases n=34):

The incidence of MDS/AML in patients treated in clinical trials with Lynparza monotherapy, including long-term survival follow-up, was <1.5%, with higher incidence in patients with *BRCAm* PSR ovarian cancer who had received at least 2 prior lines of platinum chemotherapy and were followed up for 5 years. The majority of events had a fatal outcome. The duration of therapy with olaparib in patients who developed MDS/AML varied from <6 months to 4 years. All patients had potential contributing factors for the development of MDS/AML, having received previous chemotherapy with platinum agents. Many had also received other DNA damaging treatments. The majority of reports were in *gBRCA* mutation carriers and some of the patients had a history of more than one primary malignancy or of bone marrow dysplasia. If MDS and/or AML are confirmed while on treatment with Lynparza, it is recommended that Lynparza should be discontinued and the patient be treated appropriately.

Table II-17 Important Identified Risk: Myelodysplastic Syndrome/Acute Myeloid Leukaemia

Overall in monotherapy and combination studies (N=7161), there were 2954 (41.3%) patients with *gBRCA1* mutation of whom 40/2954 (1.4%) had MDS/AML and 1516 (21.2%) patients with *gBRCA2* mutation of whom 14/1516 (0.9%) had MDS/AML.

Considering the estimated overall exposure to olaparib in clinical trials (including ongoing monotherapy and combination studies), ESR, and a MAP of 17,923 patients at 15 June 2021, and assuming that patients whose treatment remains blinded are receiving olaparib, the estimated overall incidence of MDS/AML in clinical trials and MAP is 96/17,923 (0.5%).

Combination data

Olaparib in combination with bevacizumab

The frequency and nature of MDS/AML in the PAOLA-1 study was similar to that observed in olaparib monotherapy studies. All four patients had received multiple cycles of previous chemotherapy (e.g., carboplatin/paclitaxel, carboplatin/paclitaxel in combination with bevacizumab). Death in the two fatal cases occurred 262 days and 450 days after the last dose of olaparib. The duration of MDS/AML in the patient who recovered was 381 days; olaparib had been discontinued due to the event.

Olaparib in combination with abiraterone

No events of MDS/AML were reported in the olaparib + abiraterone pool.

- ^a Data are provided to a DCO of 16 April 2021 for the monotherapy therapeutic dose pool
- ^b Data are provided to a DCO of 22 March 2020 for olaparib + bevacizumab data (PAOLA-1)
- ^c Data are provided to a DCO of 30 July 2021 for olaparib + abiraterone pool (Study 8 and PROpel)

AE = Adverse event; AML = Acute myeloid leukaemia; bd = Twice daily; *BRCA* = Breast cancer susceptibility gene (*BRCA1* and *BRCA2*); *BRCAm* = *BRCA*-mutated; CTCAE = Common Terminology Criteria for Adverse Events; DCO = Data cut-off; ESR = Externally-sponsored research; MAP = Managed Access Programme; MDS = Myelodysplastic syndrome; N = Total number of patients; PSR = Platinum-sensitive relapsed.

Risk Factors and Risk Groups

Risk factors include prior treatment with cytotoxic chemotherapy and/or irradiation, occupational exposure, and smoking (Strom et al 2008). Secondary MDS/AML occurs as a late toxicity of cancer treatment, usually following exposure to alkylating agents such as cyclophosphamide, melphalan or platinum agents (cisplatin, carboplatin) or concurrent radiation with a latent period of 5 to 7 years, or the DNA topoisomerase II inhibitors (shorter latency period of 2 years) (Leone et al 1999, Travis et al 1999). Both acquired aplastic anaemia following immunosuppressive treatment and genetic Fanconi anaemia can evolve into MDS. Patients with Fanconi anaemia have a higher risk of MDS and AML (Kutler et al 2003). There is some evidence that the risk of MDS/AML may be increased in patients with BRCA mutation (Friedenson 2007, Cole and Strair 2010), but there is not sufficient published data to quantify this risk due to the rarity of the event and historical lack of routine BRCA mutation screening. Germline BRCA mutation is known to predispose patients to the development of solid tumours, notably ovarian and breast tumours and Cole and Strair have hypothesised that a deficiency in the expression of BRCA genes may also render patients more vulnerable to the adverse effects of chemotherapy and therefore put them at an increased risk of MDS/AML (Cole and Strair 2010).

Preventability

No data are available on preventability.

Section 4.4 of the SmPC provides advice to prescribers on the avoidance and management of haematological toxicity caused by previous anti-cancer therapy.

Impact on the Risk-benefit Balance of the Product

MDS is associated with significant morbidity and mortality. Morbidity is related to the degree of cytopenia and may include hospital admissions for bleeding episodes, infections and transfusion dependent anaemia. Mortality is mainly due to neutropenic sepsis and transformation to AML.

Public Health Impact

There is no public health impact.

II: 7.3.2 Presentation of Important Potential Risks

New Primary Malignancies

Potential Mechanisms

The observation that secondary cancers are linked to treatment with DNA damaging agents, raises the potential risk that treatment with PARP inhibitors, which lead to the accumulation of DNA damage in some cells, could also contribute to the development of these conditions by creating genomic instability. PARP inhibition does not directly cause DNA damage but impairs the ability of cells to repair DNA single strand breaks and, in cells that have a

deficient homologous recombination pathway, this leads to the accumulation of un-repaired double strand breaks that eventually cause the death of the target cell. Normal cells, even those from patients with a *gBRCA* mutation and only one functional *BRCA* allele in all cells, would be expected to have an intact homologous recombination DNA repair mechanism and therefore be able to adequately repair the double strand breaks induced by inhibiting PARP. However, the overall burden of single and double strand breaks will be increased in all dividing cells by PARP inhibition and this is the basis for the potential risk that this may contribute to the development of second primary cancers.

Evidence Source(s) and Strength of Evidence

Case reports of NPMs have been received from clinical studies and post-marketing use.

Characterisation of the Risk

Table II-18 Important Potential Risk: New Primary Malignancies

		Frequency	Seriousness		Outcome (at time of reporting)				Severity (CTCAE grade)				
		n (%) patients with AEs	Serious	Non-serious	Fatal	Ongoing	Recovered	Not reported	<3	3	4	5	Not reported
Monotherapy therapeutic dose pool (N=4098) ^a		42 (1.0)	43 ^b	1 ^b	3 ^b	18 ^b	22 ^b	1 ^b	5 ^b	20 ^b	6 ^b	0 ^b	13 ^b
Combination data	Olaparib + bevacizumab (N=535) ^c	13 (2.4)	13	0	3	8	2	0	0	3	1	0	9
	Olaparib + abiraterone (N=469) ^d	12 (2.5)	10	2	1	6	5	0	4	7	0	1	0

Monotherapy data:

Seriousness/Outcome:

Of the 44 AEs (reported in 42 patients) in the olaparib monotherapy therapeutic dose pool, the reported malignancies were: breast cancers (n=18), gastrointestinal cancers (n=8), thyroid cancer (n=4), lung cancer (n=3), malignant melanoma (n=2), bladder cancer, Burkitt lymphoma, endometrial adenocarcinoma, glioma, lip and/or oral cavity cancer, lymphoma, plasma cell myeloma, squamous cell carcinoma of the oral cavity, and squamous cell carcinoma of the tongue (n=1 of each). Of the 42 patients in the olaparib monotherapy therapeutic dose pool with new primary malignancies, 35 patients had a documented *BRCA* mutation, 3 patients were *gBRCA* wildtype and in 4 patients, the *BRCA* mutation status was unknown.

Nature of risk:

Of the 42 olaparib patients in the monotherapy therapeutic dose pool, the diagnosis of NPM, in the majority of patients (n=27) was made whilst the patient was still receiving olaparib and in the majority of cases (n=16) olaparib was continued. Time from cancer diagnosis, to onset of secondary cancers was generally several years and overlapped with time when patients were receiving olaparib.

Overall in monotherapy and combination studies (N=7161), there were 2954 (41.3%) patients with *gBRCA1* mutation of whom 75/2954 (2.5%) had NPM and 1516 (21.2%) patients with *gBRCA2* mutation of whom 25/1516 (1.6%) had NPM.

Table II-18 Important Potential Risk: New Primary Malignancies

Considering the estimated overall exposure to olaparib in clinical trials (including ongoing monotherapy and combination studies), ESR, and the MAP of 17,923 patients at 15 June 2021 and assuming that patients whose treatment remains blinded are receiving olaparib, the estimated overall incidence of NPM in clinical trials and MAP is 115/17,923 (0.6%).

Patients from all sources had other potential factors that offer alternative explanations for the development of the new primary tumour including documented *BRCA* mutation, previous treatment with various chemotherapy agents including multiple cycles of platinum containing chemotherapies and treatment with taxanes, anthracyclines and other alkylating and DNA-damaging agents. Some patients had received radiotherapy and some had medical histories of previous cancers including ovarian, cervical, breast and peritoneal carcinoma. A number of patients with new primary skin cancers had either previous basal cell carcinoma or skin lesions evident prior to study treatment.

Combination data**Olaparib in combination with bevacizumab**

The frequency in the PAOLA-1 study was slightly higher than that observed in olaparib monotherapy studies. All 13 patients had received multiple cycles of previous chemotherapy (eg, carboplatin/paclitaxel, carboplatin/paclitaxel in combination with bevacizumab). Time to onset ranged from 194 days to 1126 days (median 747 days; approximately 25 months). Of the 3 fatal cases, 2 were due to breast cancer and 1 due to acute lymphocytic leukaemia.

Olaparib in combination with abiraterone

The frequency in the olaparib + abiraterone pool is slightly higher than that observed in olaparib monotherapy studies. Time to onset ranged from 89 days to 850 days (median 433 days; approximately 14 months). The 1 fatal case was due to bladder cancer.

^a Data are provided to a DCO of 16 April 2021 for the monotherapy therapeutic dose pool.

^b Data presented are for number of events (42 patients experienced 44 events).

^c Data are provided to a DCO of 22 March 2020 for olaparib + bevacizumab data (PAOLA-1).

^d Data are provided to a DCO of 30 July 2021 for olaparib + abiraterone pool (Study 8 and PROpel).

AE = Adverse event; bd = Twice daily; *BRCA* = Breast cancer susceptibility gene (BRCA1 and BRCA2); CTCAE = Common Terminology Criteria for Adverse Events; DCO = Data cut-off; ESR = Externally-sponsored research; MAP = Managed Access Programme; N = Total number of patients; NPM = New primary malignancy.

Risk Factors and Risk Groups

Patients with ovarian cancer, breast cancer and *BRCA* mutations are at risk of developing other common cancers (Bergfeldt et al 1995, Fowble et al 2001, Wesolowski et al 2007). Therapy induced risk factors, including previous radiotherapy or chemotherapy with DNA damaging agents, are known to increase the incidence of malignant disease (eg, bladder cancer, lymphoma and leukaemia).

Other common risk factors include:

- Exposure to ultraviolet-light which can induce DNA damage, causing skin cancer
- Exposure to environmental factors eg, formaldehyde, asbestos
- Dietary factors in cancer of colon and breast
- Hormonal factors eg, oestrogen dependent (endometrial and breast cancers)
- Smoking, which has been connected to several types of cancer eg, lung
- Immunological factors: some cancer patients have depressed immunological function and certain states of immunosuppression can predispose for specific malignant disease.

Preventability

Good medical care and screening can help detect new cancers early. Appropriate attention to potential symptoms and prompt action will contribute to controlling the risk.

Impact on the Risk-benefit Balance of the Product

Cancer is associated with significant morbidity and mortality, and therefore if confirmed, it could potentially impact benefit risk. The reported incidence of new primary malignancies in the olaparib programme is low and consistent with the observed incidence in epidemiological data of patients treated for advanced ovarian and breast cancer.

Public Health Impact

There is no public health impact.

Effects on Embryofoetal Survival and Abnormal Development

Potential Mechanisms

Based on its mechanism of action (PARP inhibition), Lynparza could cause effects on embryofoetal survival and abnormal development.

Evidence Source(s) and Strength of Evidence

Nonclinical studies in rats have shown that olaparib causes adverse effects on embryofoetal survival and induces major foetal malformations at exposures below those expected at the recommended human dose of 300 mg bd. Olaparib was not mutagenic in a bacterial mutation test (Ames), but was clastogenic in a chromosome aberration test in vitro and induced micronuclei in the bone marrow of rats following oral dosing.

Characterisation of the Risk

Table II-19 Important potential risk: Effects on embryofoetal survival and abnormal development^a

Frequency/ seriousness/ outcomes	Frequency	Seriousness		Outcome (at time of reporting)				
	n	Serious	Non-serious	Healthy baby	Congenital abnormality	Spontaneous abortion	Elective termination	Unknown
	10	2	8	3	0	1	0	6

During OlympiA, 2 pregnancies occurred on olaparib treatment or within 3 months after the last dose of study intervention. Both pregnancies were carried to full term and resulted in a healthy baby.

In addition to the 2 cases from OlympiA, based on a search of the AstraZeneca safety database up to 15 June 2021, there have been 8 other reports of exposure during pregnancy for olaparib as detailed below:

1 report concerned possible exposure to olaparib via the inhaled route in a manufacturing facility; the subject subsequently delivered a healthy baby boy.

1 report, from an externally sponsored study, concerned a patient who had a spontaneous abortion (at approximately 9 weeks), following 33 days of treatment with olaparib 150 mg bd. Olaparib commenced 12 days after a negative urine pregnancy test. IV paclitaxel and carboplatin were administered around the same time as olaparib (35 days and 51 days duration, respectively), and granulocyte colony stimulating factor was started on an unknown date.

The remaining 6 reports came from spontaneous sources for which there is limited detail:

- 2 cases possibly concerned the same patient (patients had same date of birth and the information provided was almost identical - in one report the patient's doctor's office reported that the patient was no longer on medication due to being pregnant, and in the other the patient stated she had been on the medication in the past and stopped due to getting pregnant with no further information provided).
- 1 report stated that the patient was in week 9 of pregnancy and was being treated with Lynparza for breast cancer. No further information was provided.
- The remaining 3 spontaneous reports were possibly reported in error as none included any information on pregnancy:
 - In 1 report the patient was a 73-year-old female,
 - 1 report was invalid (no patient identifiers),
 - 1 report of 'depressed system' in a 48-year-old patient.

Severity and nature of risk

Based on findings from reproductive toxicity studies, it is considered that olaparib has the potential to cause serious teratogenic effects and foetal loss in humans, if used during pregnancy.

^a Cases involving exposure during pregnancy, included spontaneous reports and clinical trial cases from unblinded studies. Data are provided to a DCO of 15 June 2021 across all sources in the olaparib clinical development programme.

Risk Factors and Risk Groups

Not known.

Preventability

Section 4.4 of the SmPC provides wording which states that Lynparza should not be used during pregnancy and provides advice on contraception for female and male patients. Cautionary statements have also been made in the SmPC in Section 4.6 'Fertility, pregnancy and lactation'.

Impact on the Risk-benefit Balance of the Product

Exposure to olaparib during pregnancy has the potential to have serious consequences such as severe foetal developmental abnormalities or loss of the pregnancy, and could therefore impact benefit risk.

Public Health Impact

There is no public health impact.

II: 7.3.3 Presentation of Missing Information

Long Term Exposure to/Potential Toxicity to Olaparib

Evidence Source

Long term exposure to/potential toxicity to olaparib is missing information due to the extension of the indication to include breast cancer; the limited availability of data to date in this population; and the associated increase in exposure caused by inclusion of this target population.

No activities are planned to further characterise this safety concern.

II: 8 MODULE SVIII: SUMMARY OF THE SAFETY CONCERNS

II: 8.1 Summary of the Safety Concerns

Table II-20 Summary of Safety Concerns

Important identified risks	Myelodysplastic syndrome/acute myeloid leukaemia
Important potential risks	New primary malignancies Effects on embryofoetal survival and abnormal development
Missing information	Long term exposure to/potential toxicity to olaparib

III: PART III: PHARMACOVIGILANCE PLAN

III: 1 ROUTINE PHARMACOVIGILANCE ACTIVITIES

Specific Adverse Reaction Follow-up Questionnaires

Follow-up targeted safety questionnaires are in place to enable more complete data collection and assessment of the following important identified and potential risks:

- MDS/AML: to obtain detailed information about the patient, the underlying disease, all potential risk factors and the sequence of events, such as previous chemotherapy details, exposure to radiotherapy, diagnostic details and classification of MDS, clinical progression and final outcome.
- New primary malignancies: to obtain detailed information about the patient, the underlying disease, all potential risk factors and the sequence of events, such as previous chemotherapy details, exposure to radiotherapy, diagnostic details, classification, staging of NPM, clinical progression, complications and final outcome.

Other Forms of Routine Pharmacovigilance Activities

Cumulative Reviews of MDS/AML

- MDS/AML: Collection and assessment of data from the ongoing clinical programme and post-marketing sources to further characterise the important identified risk of MDS/AML. A cumulative assessment of MDS/AML cases is provided within the annual PBRER (previously categorised as a required additional pharmacovigilance activity).

III: 2 ADDITIONAL PHARMACOVIGILANCE ACTIVITIES

There are no ongoing or planned additional pharmacovigilance activities for olaparib.

III: 3 SUMMARY TABLE OF ADDITIONAL PHARMACOVIGILANCE ACTIVITIES

Not applicable.

IV: PART IV: PLANS FOR POST-AUTHORISATION EFFICACY STUDIES

Table IV-1 Planned and On-going Post-authorisation Efficacy Studies that are Conditions of the Marketing Authorisation or that are Specific Obligations

Study Status	Summary of objectives	Efficacy uncertainties addressed	Milestones	Due dates
Efficacy studies which are conditions of the marketing authorisation				
<p>D0818C00001 (SOLO1) A phase III, randomised, double blind, placebo controlled, multicentre study of olaparib maintenance monotherapy in patients with <i>BRCA</i> mutated advanced (FIGO stage III-IV) ovarian cancer following First Line platinum-based chemotherapy</p> <p>Ongoing</p>	<p>Primary Objective: To determine the efficacy by PFS (using investigator assessment of scans according to modified RECIST 1.1) of olaparib maintenance monotherapy compared with placebo in <i>BRCA</i> mutated high risk advanced ovarian cancer patients who are in clinical CR or PR following first line platinum-based chemotherapy.</p> <p>Secondary objectives: To determine the efficacy of olaparib maintenance monotherapy compared with placebo in <i>BRCA</i> mutated high risk advanced ovarian cancer patients who are in clinical CR or PR following first line platinum-based chemotherapy by assessment of OS, time to earliest progression by RECIST or CA-125, or death, and PFS2.</p>	Further evidence of efficacy and safety in <i>gBRCAm</i> patients	<p>Interim analysis PFS2</p> <p>Interim analysis OS</p> <p>Final report</p>	<p>3Q2020</p> <p>3Q2020</p> <p>4Q2029</p>
Efficacy studies which are Specific Obligations in the context of a conditional marketing authorisation or a marketing authorisation under exceptional circumstances				
Not applicable				

V: PART V: RISK MINIMISATION MEASURES

V: 1 ROUTINE RISK MINIMISATION MEASURES

Table V-1 Description of Routine Risk Minimisation Measures by Safety Concern

Safety concern	Routine risk minimisation activities
MDS/AML	<p>Routine risk communication:</p> <ul style="list-style-type: none"> • SmPC Section 4.4 and 4.8 • PL Section 2 and 4 <p>Routine risk minimisation activities recommending specific clinical measures to address the risk:</p> <p>SmPC Section 4.4: Guidance is provided for monitoring and management.</p> <p>PL Section 2: Advice regarding low blood counts and the signs and symptoms to look out for.</p> <p>PL Section 4: Provides information on side effects and signs and symptoms, commonly shown in blood tests, to look out for.</p>
New primary malignancy	There are no routine risk minimisation activities for new primary malignancy.
Effects on embryofoetal survival and abnormal development	<p>Routine risk communication in:</p> <ul style="list-style-type: none"> • SmPC Sections 4.4, 4.6 • PL Section 2 <p>Routine risk minimisation activities recommending specific clinical measures to address the risk:</p> <p>SmPC Section 4.4, 4.6: Advice on contraception and pregnancy.</p> <p>PL Section 2: Advice on contraception and pregnancy</p>
Long term exposure to/potential toxicity to olaparib	None.

V: 2 ADDITIONAL RISK MINIMISATION MEASURES

Routine risk minimisation activities as described in Part V: 1 are sufficient to manage the safety concerns of the medicinal product.

Removal of Additional Risk Minimisation Activities

Not applicable.

V: 3 SUMMARY OF RISK MINIMISATION MEASURES

Table V-2 Summary Table of Pharmacovigilance Activities and Risk Minimisation Activities by Safety Concern

Safety concern	Risk minimisation measures	Pharmacovigilance activities
MDS/AML	Routine risk minimisation measures: <ul style="list-style-type: none"> • SmPC Section 4.4 and 4.8 • PL Section 2 and 4 	Routine pharmacovigilance activities beyond adverse reactions reporting and signal detection: <ul style="list-style-type: none"> • Follow-up targeted safety questionnaire • Cumulative assessment (provided within each annual PBRER)
New primary malignancy	None	Routine pharmacovigilance activities beyond adverse reactions reporting and signal detection: <ul style="list-style-type: none"> • Follow-up targeted safety questionnaire
Effects on embryofetal survival and abnormal development	Routine risk minimisation measures: <ul style="list-style-type: none"> • SmPC Sections 4.4, 4.6 • PL Section 2 	Routine
Long term exposure to/potential toxicity to olaparib	None	Routine

VI: PART VI: SUMMARY OF THE RISK MANAGEMENT PLAN FOR LYNPARZA (OLAPARIB)

This is a summary of the RMP for Lynparza. The RMP details important risks of Lynparza, how these risks can be minimised, and how more information will be obtained about Lynparza's risks and uncertainties (missing information).

Lynparza's SmPC and its PL give essential information to healthcare professionals and patients on how Lynparza should be used.

This summary of the RMP for Lynparza should be read in the context of all this information including the assessment report of the evaluation and its plain-language summary, all which is part of the EPAR.

Important new concerns or changes to the current ones will be included in updates of Lynparza's RMP.

VI: 1 THE MEDICINE AND WHAT IT IS USED FOR

Lynparza is a PARP (poly [adenosine diphosphate-ribose] polymerase) inhibitor. PARP inhibitors destroy cancer cells by exploiting deficiencies in DNA pathways. These specific cancer cells can be identified by response to platinum chemotherapy or by looking for faulty (mutated) DNA repair genes, such as *BRCA* (BREast CANcer) genes.

Lynparza is authorised, as monotherapy, for the maintenance treatment of *BRCA*-mutated relapsed ovarian, cancer, once the cancer has responded to platinum-based chemotherapy, and for the maintenance treatment of adult patients with newly diagnosed advanced *BRCA*-mutated ovarian cancer, who are in response to first-line platinum-based chemotherapy.

Lynparza is also authorised as monotherapy for the treatment of adult patients with germline *BRCAm* HER2-negative metastatic breast cancer who have previously been treated with chemotherapy. These patients could have received chemotherapy in the neoadjuvant, adjuvant or metastatic setting (see SmPC for the full indications). Patients with HR-positive breast cancer should also have progressed on or after prior endocrine therapy, or be considered unsuitable for endocrine therapy (see SmPC for the full indications).

Lynparza is also used as monotherapy or in combination with endocrine therapy for the adjuvant treatment of adult patients with germline *BRCA1/2*-mutations who have HER2-negative, high risk early breast cancer previously treated with neoadjuvant or adjuvant chemotherapy.

Lynparza is also used as monotherapy for treatment of a type of pancreatic cancer (*BRCA* mutated), that has responded to the first treatment with standard platinum-based chemotherapy. A test is used to find out whether you have *BRCA*-mutated pancreatic cancer.

Lynparza is also used as monotherapy for treatment of adult patients with metastatic castration-resistant prostate cancer and *BRCA1/2* mutations (germline and/or somatic) who have progressed following prior therapy that included new hormonal agent.

Lynparza in combination with bevacizumab is used for the maintenance treatment of adult patients with advanced high-grade epithelial ovarian, fallopian tube or primary peritoneal cancer who are in response (complete response or partial response) following completion of first-line platinum-based chemotherapy with bevacizumab and whose cancer is associated with HRD positive status defined by either a *BRCA1/2* mutation and/or genomic instability.

Lynparza in combination with abiraterone and prednisone or prednisolone is used for the treatment of adult patients with metastatic castration resistant prostate cancer (mCRPC) in whom chemotherapy is not clinically indicated.

The tablets contain Lynparza as the active substance and are given by oral administration.

Further information about the evaluation of Lynparza's benefits can be found in Lynparza's EPAR, including in its plain-language summary, available on the EMA website, under the medicine's webpage.

http://www.ema.europa.eu/ema/index.jsp?curl=/pages/medicines/human/medicines/003726/human_med_001831.jsp.

VI: 2 RISKS ASSOCIATED WITH THE MEDICINE AND ACTIVITIES TO MINIMISE OR FURTHER CHARACTERISE THE RISKS

Important risks of Lynparza, together with measures to minimise such risks and the proposed studies for learning more about Lynparza's risks, are outlined below.

Measures to minimise the risks identified for medicinal products can be:

- Specific information, such as warnings, precautions, and advice on correct use, in the package leaflet and SmPC addressed to patients and healthcare professionals;
- Important advice on the medicine's packaging;
- The authorised pack size - the amount of medicine in a pack is chosen so to ensure that the medicine is used correctly;
- The medicine's legal status - the way a medicine is supplied to the patient (eg, with or without prescription) can help to minimise its risks.

Together, these measures constitute routine risk minimisation measures.

In the case of Lynparza, these measures are supplemented with additional risk minimisation measures mentioned under the relevant important risks, below.

In addition to these measures, information about adverse reactions is collected continuously and regularly analysed, including Periodic Benefit-Risk Evaluation Report (PBRER) assessment so that immediate action can be taken as necessary. These measures constitute routine pharmacovigilance activities.

If important information that may affect the safe use of Lynparza is not yet available, it is listed under 'missing information' below.

VI: 2.1 List of Important Risks and Missing Information

Important risks of Lynparza are risks that need special risk management activities to further investigate or minimise the risk, so that the medicinal product can be safely taken. Important risks can be regarded as identified or potential. Identified risks are concerns for which there is sufficient proof of a link with the use of Lynparza. Potential risks are concerns for which an association with the use of this medicine is possible based on available data, but this association has not been established yet and needs further evaluation. Missing information

refers to information on the safety of the medicinal product that is currently missing and needs to be collected (eg, on the long term use of the medicine).

Table VI-1 List of Important Risks and Missing Information

Important identified risks	Myelodysplastic syndrome/Acute myeloid leukaemia
Important potential risks	New primary malignancies Effects on embryofetal survival and abnormal development
Missing information	Long term exposure to/potential toxicity to olaparib

VI: 2.2 Summary of Important Risks

Table VI-2 Important Identified Risks

MDS/AML	
Evidence for linking the risk to the medicine	Case reports of MDS/AML have been received from clinical studies and through spontaneous reporting.
Risk factors and risk groups	<p>Risk factors include prior treatment with cytotoxic chemotherapy and/or irradiation, occupational exposure, and smoking (Strom et al 2008).</p> <p>Secondary MDS occurs as a late toxicity of cancer treatment, usually with a combination of radiation and the radiomimetic alkylating agents such as bisulfan or procarbazine (with a latent period of 5 to 7 years) or the DNA topoisomerase inhibitors (2 years). Both acquired aplastic anaemia following immunosuppressive treatment and genetic Fanconi anaemia can evolve into MDS. Patients with Fanconi anaemia have a higher risk of MDS and AML (Kutler et al 2003). There is some evidence that the risk of MDS/AML may be increased in patients with <i>BRCA</i> mutation (Friedenson 2007, Cole and Strair 2010), but there is not sufficient published data to quantify this risk due to the rarity of the event and historical lack of routine <i>BRCA</i> mutation screening. Germline <i>BRCA</i> mutation is known to predispose patients to the development of solid tumours, notably ovarian and breast tumours and Cole and Strair have hypothesised that a deficiency in the expression of <i>BRCA</i> genes may also render patients more vulnerable to the adverse effects of chemotherapy and therefore put them at an increased risk of MDS/AML (Cole and Strair 2010). Recently, Bolton et al investigated the relationship between clonal haematopoiesis and PARP inhibitor therapy and found that patients exposed to PARP inhibitor therapy were more likely to have clonal haematopoiesis (33%), particularly those in the DNA damage response pathway compared to those exposed to other systemic therapies or radiation (18%), or untreated patients (16%) (Bolton et al 2020).</p> <p>Overall in monotherapy and combination studies (N=7161), there were 2594 (41.3%) patients with <i>gBRCA1</i> mutation of whom 40/2954 (1.4%) had MDS/AML and 1516 (21.2%) patients with <i>gBRCA2</i> mutation of whom 14/1516 (0.9%) had MDS/AML.</p>

Table VI-2 Important Identified Risks

MDS/AML	
Risk minimisation measures	Routine risk minimisation measures: <ul style="list-style-type: none"> • SmPC Section 4.4 and 4.8 • PL Section 2 and 4
Additional pharmacovigilance activities	Routine pharmacovigilance activities beyond adverse reactions reporting and signal detection: <ul style="list-style-type: none"> • Follow-up targeted safety questionnaire • Cumulative assessment (provided within each annual PBRER)

Table VI-3 Important Potential Risks

New primary malignancies	
Evidence for linking the risk to the medicine	Case reports of NPMs have been received from clinical studies and post-marketing use.
Risk factors and risk groups	<p>Patients with ovarian cancer, breast cancer and <i>BRCA</i> mutations are at risk of developing other common cancers (Bergfeldt et al 1995, Fowble et al 2001, Wesolowski et al 2007). Therapy induced risk factors, including previous radiotherapy or chemotherapy with DNA damaging agents, are known to increase the incidence of malignant disease (eg, bladder cancer, lymphoma and leukaemia).</p> <p>Other common risk factors include:</p> <ul style="list-style-type: none"> • Exposure to ultraviolet-light which can induced DNA damage, causing skin cancer • Exposure to environmental factors eg, formaldehyde, asbestos • Dietary factors in cancer of colon and breast • Hormonal factors eg, oestrogen dependent (endometrial and breast cancers) • Smoking, which has been connected to several types of cancer eg, lung • Immunological factors: some cancer patients have depressed immunological function and certain states of immunosuppression can predispose for specific malignant disease. <p>Overall in monotherapy and combination studies (N=7161), there were 2954 (41.3%) patients with <i>gBRCA1</i> mutation of whom 75/2954 (2.5%) had NPM and 1516 (21.2%) patients with <i>gBRCA2</i> mutation of whom 25/1516 (1.6%) had NPM.</p>
Risk minimisation measures	There are no routine risk minimisation activities for new primary malignancy.
Additional pharmacovigilance activities	Routine pharmacovigilance activities beyond adverse reactions reporting and signal detection: <ul style="list-style-type: none"> • Follow-up targeted safety questionnaire
Effects on embryofetal survival and abnormal development	

Table VI-3 Important Potential Risks

Evidence for linking the risk to the medicine	Nonclinical studies in rats have shown that Lynparza causes adverse effects on embryofoetal survival and induces major foetal malformations at exposures below those expected at the recommended human dose of 300 mg bd. Lynparza was not mutagenic in a bacterial mutation test (Ames), but was clastogenic in a chromosome aberration test in vitro and induced micronuclei in the bone marrow of rats following oral dosing.
Risk factors and risk groups	Not known.
Risk minimisation measures	Routine risk minimisation measures: <ul style="list-style-type: none"> • SmPC Sections 4.4, 4.6 • PL Section 2

Table VI-4 Missing Information

Long term exposure to/potential toxicity to olaparib	
Risk minimisation measures	None
Additional pharmacovigilance activities	None

VI: 2.3 Post-authorisation Development Plan

VI: 2.3.1 Studies Which are Conditions of the Marketing Authorisation

The following study is a condition of the marketing authorisation:

Study D0818C00001 (SOLO1): A study of the safety and efficacy of Lynparza tablets in women with advanced ovarian cancer with certain changes in their *BRCA1* or *BRCA2* genes (mutations), whose cancer has responded (reduced in size or disappeared) to first line platinum chemotherapy.

Purpose of the study: To investigate the efficacy of Lynparza tablets by PFS (using investigator assessment of scans according to modified RECIST 1.1) as maintenance monotherapy in *BRCA* mutated advanced ovarian cancer patients who achieved complete or partial response following first line platinum-based chemotherapy.

VI: 2.3.2 Other Studies in Post-authorisation Development Plan

There are no studies required for Lynparza.

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EU RMP Part VII Annex 4

Drug Substance Olaparib

EUROPEAN UNION RISK MANAGEMENT PLAN (EU RMP) FOR OLAPARIB

Part VII ANNEX 4 - SPECIFIC ADVERSE DRUG REACTION FOLLOW-UP FORMS

Active substance(s) (INN or common name)	Olaparib
Product(s) concerned (brand names(s))	Lynparza™
Name of Marketing Authorisation Holder or Applicant	AstraZeneca

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1. SPECIFIC ADVERSE DRUG REACTION FOLLOW-UP FORMS

- [MDS/AML Targeted Safety Questionnaire](#)
- [New Primary Malignancy Targeted Safety Questionnaire](#)

**LYNPARZA™ (Olaparib):
MYELOID NEOPLASM QUESTIONNAIRE**

AZ Date of Receipt: _____

Request for additional information

AZ ref: _____

Please provide as much information as possible, note that relevant laboratory reports may be attached as an alternative to completing appropriate sections.

1. Patient Demographic Information					
Initials: _____ Gender: <input type="checkbox"/> Male <input type="checkbox"/> Female		Country of origin:			
Age at diagnosis of MDS/AML: _____ yrs		Ethnicity			
2. Details of Cancer Treated with Olaparib (✓ all that apply)					
Site of primary tumour: <input type="checkbox"/> Ovary (1) <input type="checkbox"/> Breast (2) <input type="checkbox"/> Other (specify) (3)		Date of diagnosis (DD/MM/YYYY) Primary tumor 1 (Ovary): Primary tumor 2 (Breast): Primary tumor 3 (Other):		Bone metastases of primary tumor: <input type="checkbox"/> Yes <input type="checkbox"/> No	
BRCA status <input type="checkbox"/> gBRCA <input type="checkbox"/> BRCA1 <input type="checkbox"/> BRCA2 <input type="checkbox"/> BRCA wild type <input type="checkbox"/> BRCA mutation identified in tumour testing <input type="checkbox"/> Unknown					
3. Previous Radiotherapy: Yes <input type="checkbox"/> No <input type="checkbox"/> (if yes please provide details)					
Field	Total Dose	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)		
4. All Chemotherapy Exposure (including prior and during olaparib therapy)					
Drug name (generic)	Indication	No. of cycles	Line of treatment (1 st , 2 nd , 3 rd , ...)	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)
5. Relevant Patient's and Family History (✓ all that apply and provide details)					
Does the patient have any known risk factors for MDS/AML other than prior chemo-radiotherapy for the treatment of the primary tumor? <input type="checkbox"/> Family history of haematological neoplasm (e.g. MDS. AML. MPN) Describe family relation and tumour type: <input type="checkbox"/> Family history of non-hematological neoplasm Describe family relation and tumour type: <input type="checkbox"/> Inherited genetic syndromes more likely to develop MDS (e.g. Fanconi anemia, Shwachman-Diamond syndrome; Diamond Blackfan anemia, Familial platelet disorder associated with a monoallelic germ-line mutation in RUNX1, Severe congenital neutropenia, Dyskeratosis congenita). If yes, please name: <input type="checkbox"/> Environmental / Professional Exposures: <input type="checkbox"/> High-dose radiation exposure (e.g. nuclear accident) <input type="checkbox"/> Long-term workplace exposure to solvents or agricultural chemicals benzene, pesticides, herbicides, organic chemical radiation. If yes, please name: <input type="checkbox"/> Tobacco Use: specify weekly amount and total years of use _____					
6. Details of MDS and/or AML. If MDS progresses to AML, please provide details for the two events separately below (Please indicate worsening of a pre-existing MDS or AML where applicable)					
Date of (DD/MM/YYYY)					
Latency (years/months) between first chemotherapy for treatment of primary tumour and MDS/AML diagnosis					

Please turn the page

Latency (years/months) between first radiotherapy for treatment of primary tumour and MDS/AML diagnosis					
Latency (years/months) between first dose of olaparib and MDS/AML diagnosis					
Was the patient diagnosed of MDS prior to the AML diagnosis?	Yes <input type="checkbox"/> No <input type="checkbox"/>				
Was the patient diagnosed of Myeloproliferative Neoplasm (MPN) prior to the AML diagnosis?	Yes <input type="checkbox"/> No <input type="checkbox"/>				
Outcome of MDS/AML (✓) <input type="checkbox"/> Ongoing <input type="checkbox"/> Recovered <input type="checkbox"/> Fatal <input type="checkbox"/> Unknown <input type="checkbox"/> Recovered with sequelae, (specify)					
7. Under the 2016 WHO Classification of Myeloid Neoplasms and Acute Leukemia, this event is categorized as: (✓ all that apply, provide results of the test performed, and/or attach a copy of the respective report)					
<input type="checkbox"/> Acute Myeloid Leukemia and Related Neoplasms (AML) <input type="checkbox"/> Myelodysplastic Syndrome (MDS) <input type="checkbox"/> Myelodysplastic / Myeloproliferative Neoplasm (MDS/MPN) [includes CMML] <input type="checkbox"/> Myeloproliferative Neoplasm (MPN) <input type="checkbox"/> Other (please specify, e.g. acute leukemia of ambiguous lineage, myeloid / lymphoid neoplasm, etc.)					
<input type="checkbox"/> Complete Blood Count & peripheral blood smear at time of AML diagnosis: White blood cell absolute count (please specify units): Blast %: Neutrophils absolute count (please specify unit): Hemoglobin (please specify unit): Platelets (please specify unit): Examination of peripheral smear:					
<input type="checkbox"/> Bone marrow core biopsy and aspirate analysis, including immunophenotyping and cytochemistry Summary of findings (including Blast%):					
<input type="checkbox"/> Cytogenetic analysis findings (Karyotype +/- FISH) <input type="checkbox"/> normal karyotype <input type="checkbox"/> complex karyotype (≥3 clonal cytogenetic abnormalities) <input type="checkbox"/> monosomal karyotype (≥2 autosomal monosomies, or a single monosomy with an additional structural abnormality) <input type="checkbox"/> monosomy 5 (-5) <input type="checkbox"/> monosomy 7 (-7) <input type="checkbox"/> other (please specify)					
<input type="checkbox"/> Molecular analysis findings (KIT, FLT3 [ITD and TKD], NPM1, CEBPA, IDH1, IDH2, TP53, DNMT3A, other mutations) <input type="checkbox"/> no mutations detected <input type="checkbox"/> mutations detected (please specify):					
8. Details of Relevant Laboratory Test Results Please provide details to include: values at time of MDS/AML diagnoses. Please indicate if laboratory test reports are attached and provide laboratory units. Please add in the available spaces further laboratory test results you believe to be relevant.					
Laboratory Parameter	At MDS/AML diagnosis	Laboratory Parameter	At MDS/AML diagnosis	Laboratory Parameter	At MDS/AML diagnosis
Potassium		AST		Serum B12	
Sodium		ALT		Serum ferritin	
Creatinine		ALP		Iron	
Urea		LDH		Other:	
Bilirubin		RBC folate for MDS pat.)			
9. Details of Death (if applicable)					
Did the patient die? <input type="checkbox"/> Yes <input type="checkbox"/> No		Date of death?(DD/MM/YYYY)			
Was autopsy done? <input type="checkbox"/> Yes <input type="checkbox"/> No		Autopsy report attached? <input type="checkbox"/> Yes <input type="checkbox"/> No			
Primary cause of death?					
Provide details on cause of death and summary of autopsy report if available					

10. Details of anything else considered relevant for this event of MDS/AML that you have not already included in the above sections.

11. Reporter details

<p>Reporter's Address:</p> <p>Telephone #:</p> <p>Fax #:</p>	<p>Is the reporter a healthcare professional (HCP)? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please provide specialty:</p>	<p>If no, please confirm if we can contact the HCP? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please provide contact information of the HCP</p>
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Thank you for completing this form

Name of the reporter _____

Signature _____



**LYNPARZA™ (Olaparib):
New Primary Malignancy (NPM) QUESTIONNAIRE
(excluding MDS / AML) Request for additional information**

AZ Date of Receipt: _____

AZ ref: _____

Please provide as much information as possible, note that relevant laboratory reports may be attached as an alternative to completing appropriate sections.

1. Patient Demographic Information					
Initials: _____		Gender: <input type="checkbox"/> Male <input type="checkbox"/> Female		Country of origin: _____	
Age at diagnosis of NPM: _____ yrs		Ethnicity _____			
2. Details of Cancer Treated with Olaparib (✓ all that apply)					
Site of primary tumour: Date of diagnosis (DD/MM/YYYY) <input type="checkbox"/> Ovary (1) <input type="checkbox"/> Breast (2) _____/_____/_____ <input type="checkbox"/> Other (specify) (3) _____			Site of metastases: <input type="checkbox"/> Lung <input type="checkbox"/> Liver <input type="checkbox"/> Bone <input type="checkbox"/> None <input type="checkbox"/> Other (specify) _____		
BRCA status <input type="checkbox"/> gBRCA <input type="checkbox"/> BRCA mutation identified in tumour testing <input type="checkbox"/> BRCA1 <input type="checkbox"/> BRCA2 <input type="checkbox"/> BRCA wild type <input type="checkbox"/> Unknown					
3. Previous Radiotherapy: Yes <input type="checkbox"/> No <input type="checkbox"/> (if yes please provide details)					
Field	Total Dose		Date range administered (DD/MM/YYYY)		
4. All Chemotherapy (including prior and during olaparib therapy)					
Drug name (generic)	Indication	No. of cycles	Line of treatment (1 st , 2 nd , 3 rd , ...)	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)
5. Relevant Patient's and Family History (✓ all that apply and provide details)					
Family history of malignancy? Yes <input type="checkbox"/> No <input type="checkbox"/> <input type="checkbox"/> 1st degree <input type="checkbox"/> 2nd degree			Describe family relation and tumour type of each family member		
Any other previous cancers prior to the cancer under treatment with olaparib? (patient) Yes <input type="checkbox"/> No <input type="checkbox"/> (please specify): _____			Any previous myeloproliferative disease? (patient) Yes <input type="checkbox"/> No <input type="checkbox"/> (please specify): _____		
Relevant previous occupations or exposure to radiation, pesticides, herbicides, organic chemicals? Yes <input type="checkbox"/> No <input type="checkbox"/> (please specify): _____			Smoking? Yes <input type="checkbox"/> No <input type="checkbox"/> (please specify weekly amount and dates of exposure)		
Alcohol consumption? Yes <input type="checkbox"/> No <input type="checkbox"/> (please specify weekly amount and dates of exposure)			Use of herbal/Chinese medicine? Yes <input type="checkbox"/> No <input type="checkbox"/> (please specify and provide weekly amount and dates of exposure)		
Other relevant concomitant medical conditions / comorbidities? Yes <input type="checkbox"/> No <input type="checkbox"/> (please specify and provide details)					

Please turn the page

6. Details of New Primary Malignancy (NPM)			
Adverse event term	Worst CTCAE grade		
Start date (DD/MM/YYYY)	Related to Olaparib Yes <input type="checkbox"/> No <input type="checkbox"/>		
Other potential or contributory causes:			
Outcome (✓) <input type="checkbox"/> Ongoing <input type="checkbox"/> Recovered <input type="checkbox"/> Fatal <input type="checkbox"/> Unknown <input type="checkbox"/> Recovered with sequelae, (specify)	Histology and classification of NPM (please specify) <input type="checkbox"/> (✓) Please indicate if report is attached		
Site of NPM (please specify)	TNM Stage of NPM		
Any known genetic mutation e.g. adenomatous polyposis coli gene mutations if colon cancer?	Specify site of metastases of NPM (if applicable)		
Cancer biomarker(s) for NPM (please specify) <input type="checkbox"/> (✓) Please indicate if report is attached			
7. Details of relevant Signs and Symptoms (free text field)			
No relevant clinical signs / symptoms (please ✓ box to confirm) <input type="checkbox"/> No			
8. Details of Any Treatment for the NPM			
Drug or procedure name	Dosage (if applicable)	Start Date (DD/MM/YYYY)	Stop Date (DD/MM/YYYY)
9. Details of Death (if applicable)			
Did the patient die? <input type="checkbox"/> Yes <input type="checkbox"/> No		Date of death?(DD/MM/YYYY)	
Was autopsy done? <input type="checkbox"/> Yes <input type="checkbox"/> No		Autopsy report attached? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Primary cause of death?			
Provide details on cause of death and summary of autopsy report if available			
10. Details of anything else considered relevant for this event of NPM that you have not already included in the above sections. Please attach additional pages if required			
<input type="checkbox"/> I have attached additional pages			
11. Reporter details			
Reporter's Address:	Is the reporter a healthcare professional (HCP)? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please provide specialty:	If no, please confirm if we can contact the HCP? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, please provide contact information of the HCP	
Telephone #:			
Fax #:			

Thank you for completing this form

Name of the reporter _____

Signature _____