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2 EMA/CVMP/NTWP/143787/2023
3 Committee for Veterinary Medicinal Products (CVMP)

4 **Concept paper for the development of a guideline on the**
5 **safety of nanoparticles – in the context of the**
6 **establishment of maximum residue limits and veterinary**
7 **marketing authorisations**

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Agreed by Novel Therapies and Technologies Working Party	June 2023
Adopted by CVMP for release for consultation	18 April 2024
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End of consultation (deadline for comments)	31 July 2024

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Keywords	novel therapies, nano, safety, veterinary regulation, persistent nanoparticles, nano-toxicology, nanoparticles, nanotechnology, veterinary medicinal products issued from nanotechnologies
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13 **1. Introduction**

14 This concept paper addresses the need for a specific guideline on the establishment of maximum
15 residue limits (MRLs) for pharmacologically active nanoparticles, as well as on safety for veterinary
16 medicinal products (VMPs) containing nanoparticles. There is currently no guidance for the
17 establishment of MRLs for pharmacologically active nanoparticles, or for the safety of VMPs containing
18 nanoparticles. A need to clarify the establishment of MRLs for nanoparticles and the safety
19 requirements of VMPs containing nanoparticles was specifically recognised by requests for scientific
20 advice from applicants received by EMA. Consequently, to address this issue, the CVMP initiated the
21 development of a specific safety guideline.

22 The specific properties and functions of nanoparticles might differ from those of “conventional” active
23 substances and therefore, this guideline will elaborate on safety aspects, including consumer safety of



24 an application for VMPs containing nanoparticles within the framework of Commission Regulation (EU)
25 2018/782 and Regulation (EU) 2019/6 including additional specific safety data requirements for
26 particular veterinary medicinal products such as products issued from nanotechnologies, which are
27 addressed in Section V.1.5.5. of Annex II. The guideline to be developed is intended to address these
28 aspects for both pharmacologically active nanoparticles and nanoparticles without pharmacological
29 activity (excipients) within the VMP.

30 The guideline to be developed should focus on the elaboration of guidance on specific data
31 requirements and assessment for target animal safety, user safety, consumer safety and the
32 environment for VMPs containing nanoparticles. The legal framework concerns Regulation (EC)
33 470/2009, Commission Regulation (EU) 2018/782 and Regulation (EU) 2019/6 and includes both
34 biological and non-biological VMPs. This concept paper intends to describe and discuss the scientific
35 approach as a basis for the guideline to be developed.

36 **2. Problem statement**

37 VMPs may contain nanoparticles which fulfil a variety of purposes, either as active substances or as
38 excipients. The physico-chemical properties of nanoparticles might differ significantly from those of
39 non-nanoparticles. More specifically, properties and functions of nanoparticles depend on the type of
40 material (e.g. inorganic, organic or combinations of different materials or compounds), physical
41 structure, morphology and composition. Therefore, nanoparticles might need specific data
42 requirements and assessment considerations. As these properties and functions are not specifically
43 limited to those particles up to 100 nm in size, the guideline is intended to also be applicable to larger
44 particles (up to approximately 1000 nm) if the specific characteristics and functions thereof require
45 such an approach.

46 Furthermore, the guideline to be developed will need to address the safety of both persistent and non-
47 persistent nanoparticles. While it is generally expected that the use of non-persistent nanoparticles
48 might prevail in veterinary use, special concern might be associated with nanoparticles with the
49 potential for persistence in the treated animals, the environment, foodstuffs from animal origin or the
50 consumers and this also needs to be taken into account during the assessment.

51 Generally, to adequately assess the safety of nanoparticles a thorough characterisation/identification
52 with suitable and validated analytical test methods is necessary. Only under this condition a reliable
53 correlation of the safety with its physico-chemical properties and quality aspects will be possible. It
54 should be noted, however, that general aspects of the quality part of applications will not be addressed
55 in the guideline. Nevertheless, also with regard to pharmacokinetic properties, the necessary
56 parameters (characteristics) to define, to identify and to classify VMPs containing nanoparticles need to
57 be determined.

58 Safety of VMPs must be ensured for target animals, users, consumers and the environment. This is
59 required due to different or new characteristics and hazards compared to conventional active
60 substances or excipients. For example, the toxicity profile of an active substance may differ because of
61 altered physico-chemical characteristics (such as size, structure, shape, active surface) or properties of
62 nanoparticles, with potential influence on the pharmacokinetic parameters (ADME). Furthermore, due
63 to nanoparticle-specific hazards, it might be necessary to address safety studies that specifically take
64 these into consideration (cytotoxicity, inflammation, immunotoxicity, ecotoxicity, long-term toxicity
65 studies). In addition, the presence of nanoparticles may have significant influence on the safety profile
66 of the VMP. For example, the bioavailability might be significantly increased, or the non-
67 nanocomponent(s) might transfer through physiological barriers they would not be able to cross in a
68 conventional formulation or individually. For products issued from nanotechnologies specific safety data

69 requirements are addressed within Section V.1.5.5. of Annex II of Regulation 2019/6. In the Annex,
70 nanotechnologies are primarily seen as a technology to generate carriers. Therefore, it may be possible
71 that certain VMPs might not be specifically covered in the Annex II. With a view to guide prospective
72 developers, the guideline will aim to generally cover safety aspects for nanomaterials – as defined by
73 the 2022 Commission Recommendation on the definition of nanomaterials – that are used in veterinary
74 medicinal products. In addition, the guideline will also address other particles up to 1000 nm that are
75 considered to require additional safety assessment within the framework of Regulation (EU) 2019/6
76 and Regulation (EU) 2018/782.

77 **3. Discussion (on the problem statement)**

78 The guidance to be developed intends to focus on the following items:

- 79 - consider aspects of persistency or biodegradability of nanoparticles (either active substance or
80 excipient) and/or VMPs containing such nanoparticles;
- 81 - provide guidance on the necessary parameters for the identification and characterisation of
82 nanoparticles and in VMP formulation. This is relevant to ensure that the material tested is the
83 same to which the target animals, users, consumers and the environment are exposed;
- 84 - provide guidance on pharmacokinetic considerations and the degradation process, including
85 accumulation and potential persistence of the particles as well as fate of non-nano substances in
86 VMPs containing nanoparticles, and quantitative and qualitative information on excretion;
- 87 - provide guidance on nano-specific safety and residue data requirements and assessment
88 (consumer safety in regard to MRL and VMP authorisation, toxicity, user safety, target animal
89 safety and environmental safety) within the framework of Commission Regulation (EU) 2018/782
90 and Annex II of Regulation 2019/6 for nanoparticles and VMPs containing nanoparticles including
91 the specific data requirements and assessment for VMPs issued from nanotechnologies. This
92 includes exposure of consumers, issues related to administration route for users and the target
93 animals, consideration of toxicity (individual substance vs. formulation), impurities, environmental
94 fate and ecotoxicity and also taking in consideration pharmacokinetic and potential
95 pharmacodynamic properties;
- 96 - provide guidance on the influence of nanoparticles on the pharmacodynamics, pharmacokinetics
97 and toxicity of non-nano component(s) in a VMP formulation.

98 In drafting the guideline, the NTWP will take existing international guidance on nanoparticles (e.g.
99 OECD guidelines, EFSA guidance) as well as general guidance and their potential adaption for nano-
100 specific consideration into account.

101 **4. Recommendation**

102 The Committee for Veterinary Medicinal Products (CVMP) recommends that the Operational Expert
103 Group (OEG) on nanomedicines, a subgroup of the Novel Therapies and Technologies Working Party
104 (NTWP), drafts a guideline on safety of nanoparticles in the context of MRLs and VMPs authorisations.

105 The scope of the guideline is to give clear advice to applicants and assessors on the safety data
106 requirements and assessment of nanoparticles and other particles of concern (up to about 1000 nm).

107 **5. Proposed timetable**

108	Q2 2024	Concept paper released for public consultation
109	Q3 2024	End of public consultation
110	Q4 2025	Draft guideline to be released for public consultation

111 **6. Resource requirements for preparation**

112 The development of the new guideline will involve the OEG, the NTWP, the SWP-V, IWP, the QWP,
113 EWP-V, ERAWP and the CVMP.

114 The OEG drafting group will meet virtually as required (e.g. 4-6 virtual meetings). Comments will be
115 sought from SWP-V, QWP, IWP, ERAWP and EWP-V. Discussion/endorsement is foreseen at 3-5 NTWP
116 meetings and 4 CVMP plenary meetings.

117 **7. Impact assessment (anticipated)**

118 The guidance will clarify requirements for regulators and industry with respect to nanoparticle safety
119 and so will encourage consistent and predictable decisions. This is expected to have a positive impact
120 on the development of veterinary medicines containing such substances.

121 **8. Interested parties**

122 Veterinary pharmaceutical industry, EU regulatory authorities involved in assessment of marketing
123 authorisation applications.

124 **9. References to literature, guidelines, etc.**

125 Regulation (EU) 2019/6 of the European Parliament and of the Council of 11 December 2018 on
126 veterinary medicinal products and repealing Directive 2001/82/EC [https://eur-lex.europa.eu/legal-](https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32019R0006&from=EN)
127 [content/EN/TXT/PDF/?uri=CELEX:32019R0006&from=EN](https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32019R0006&from=EN) (non-consolidated version)

128 Commission Delegated Regulation (EU) 2021/805 of 8 March 2021 amending Annex II to Regulation
129 (EU) 2019/6 of the European Parliament and of the Council [https://eur-](https://eur-lex.europa.eu/eli/reg_del/2021/805/oj)
130 [lex.europa.eu/eli/reg_del/2021/805/oj](https://eur-lex.europa.eu/eli/reg_del/2021/805/oj)

131 Commission Recommendation of 10 June 2022 on the definition of nanomaterial [https://eur-](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A32022H0614%2801%29)
132 [lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A32022H0614%2801%29](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A32022H0614%2801%29)

133 Commission Regulation (EU) 2018/782 establishing the methodological principles for the risk
134 assessment and risk management recommendations referred to in Regulation (EC) No 470/2009
135 <https://eur-lex.europa.eu/legal-content/DE/TXT/?uri=CELEX%3A32018R0782>

136 Regulation (EC) No 470/2009 of the European Parliament and of the Council laying down Community
137 procedures for the establishment of residue limits of pharmacologically active substances in foodstuffs
138 of animal origin <https://eur-lex.europa.eu/legal-content/DE/TXT/?uri=CELEX%3A32009R0470>.